



DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION
CITY OF CHICAGO

AGREED LIQUOR LICENSE PLAN OF OPERATION

Licensee: Little Wok Group Inc.
DBA: Little Wok

Premises: 3144 N. Broadway
Chicago, Illinois 60657

Application Type: Consumption on Premises - Incidental Activity License (1475)
Account Number: 405484-1

The City of Chicago Department of Business Affairs & Consumer Protection (BACP), the Local Liquor Control Commission (LLCC) and the above name licensee have agreed to the following license conditions concerning the operation of the business.

- 1. Business Operations and Hours:** Little Wok is located at 3144 N Broadway Chicago IL 60657 in Lakeview neighborhood. The business will operate as a Chinese & Sushi restaurant with incidental alcohol sales and will be open seven days a week. Lunch and dinner will be served from 11:00 a.m. to 10:00 p.m. Sunday through Thursday and 11:00 a.m. To 11:00 p.m. on Friday and Saturday. The business premises have a total of 2,000 square feet. Last call for alcohol will be done 45 minutes prior to the kitchen closing. Additionally, the business will operate a sidewalk cafe.
- 2. Employee Responsibilities:** Our staff members will regularly attend local CAPS and other regularly scheduled community meetings. All wait staff having any responsibility for any alcoholic beverage will be required to attend regular, in-house meetings to reinforce responsible server training, to disseminate information provided at CAPS meetings, to discuss security protocol and to review our alcoholic beverage service policies. Our employment policies dictate a "zero tolerance" on service to minors and visibly intoxicated persons.
- 3. The premises** will have an operational security system in place in order to protect the building from intruders and also a camera system to monitor staff and patron interaction. There will be cameras at various locations throughout the premise.



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- 4. Dealing with Intoxicated Patrons:** All serving staff will obtain a BASSET certificate before serving any alcohol. If a patron either arrives intoxicated or becomes so on site, all serving personnel will be instructed that such person to advise management of the situation. Management will then advise the patron that they will no longer be served and will be offered assistance in getting a taxi home. Patrons will also be observed as to whether they attempt to drive under the influence. If so, efforts will be made to dissuade and stop the patron from doing so. If these attempts fail, police will be notified immediately.
- 5. Handling of Unruly Patrons:** Any unruly patrons will be asked to leave the premises. If patrons become involved in a physical fight local police will be called and patrons will be denied entry to the location on any future visit.
- 6. Law Enforcement:** Police will be called in a timely manner any time management or staff has information regarding any illegal activity that has already been committed or about to occur. Instances include, but are not limited to physical harassment of patron or staff member; sexual harassment of patrons or staff member; fights; underage persons attempting to purchase or consume alcoholic beverages; any activity suspected of being drug-related; any illicit or illegal sexual activity(e.g., solicitation)

The agreed conditions of this Consumption on Premise-Incident Activity license are legally binding and may be enforced by City of Chicago enforcement authorities under M.C.C. 4-60-040(h). Violation of the above stated agreed conditions, unless otherwise agreed to, may result in the imposition of a fine and/or suspension or the revocation of all business licenses issued to the licensee. Violations of the above stated agreed conditions may also result in the issuance of cease and desist orders prohibiting the activity which violated the conditions of the liquor license.

The agreed conditions of the liquor license shall apply to the business address and Licensee and to all officers, managers, members, partners and direct or indirect owners of the entity of which is licensed. The sale of the business to other persons purchasing the stock or membership units of the licensed entity shall be subject to the same agreed conditions set forth in this Plan of Operation. Any and all potential new owners of the licensed entity shall be subject to the same conditions set forth in this statement.

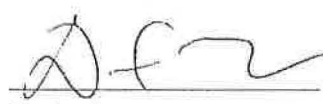


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It shall be the duty of every person conducting, engaging in, operating, carrying on or managing the above-mentioned business entity to post this Liquor License Plan of Operations next to the Liquor License in conspicuous place at the business address.

Agreed to and accepted by:

Licensee:


Dexin Tan
Member

7/14/2016
Date


City of Chicago
Local Liquor Control Commissioner

7/14/16
Date



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