



DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION
CITY OF CHICAGO

LIQUOR LICENSE PLAN OF OPERATION

Licensee: 1011 Restaurant Group, LLC
d/b/a Stockton Restaurant & Lounge

Premises: 1009-11 N. Rush Street, Floor 2
Chicago, IL 60611

Application Type: Consumption on Premises-Incidental Activity, Retail Food & Public Place of Amusement

Account Number: 368217

Site: 2

Pursuant to Section 4-60-040 (h) of the City of Chicago Municipal Code, the City of Chicago Department of Business Affairs and Consumer Protection ("BACP") and the above-named Licensee have agreed to the issuance of Consumption On Premises-Incidental Activity, Retail Food and Public Place of Amusement Licenses ("Licenses"), under the following conditions:

1. **Monitor Exterior of Premises.** Licensee shall regularly monitor the exterior area around the Premises during business hours and address and abate noise, loitering and littering complaints about Licensee's patrons or employees.
2. **Littering.** Licensee shall take steps to prevent the accumulation of litter in the vicinity of the Premises, by designating full-time employees to be responsible for outside cleaning duties, during and after business hours each night, including picking up any trash located in front of, and adjacent to the Premises.
3. **Waste Containers.** Licensee shall maintain sufficient trash containers to accommodate any additional waste generated in the unlikely event that extra waste is generated and ensure that all trash containers shall be locked and secured at all times.
4. **Waste Removal.** Licensee shall have trash pick-up occur on a regular basis.



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5. **Cooperation With BACP.** Licensee agrees that in the event BACP receives a complaint regarding the Premises, Licensee shall cooperate fully with any investigation.
6. **Occupancy Limits.** Licensee shall not permit the number of patrons in the Premises to exceed the limits as set forth on the occupancy signs issued to Licensee by the City of Chicago Department of Buildings.
7. **Public Nuisances.** Licensee shall timely address any public nuisance issues which adversely impact the health, safety, and welfare of the community.
8. **Expansion of Premises.** Licensee agrees not to expand the Premises without, first, submitting the requisite application and receiving BACP approval for the application.
9. **Notifying Chicago Police Department ("CPD").** Licensee shall notify CPD of any illegal activity viewed in and around the Premises.
10. **Alcohol Server Training.** All bar and wait staff who serve alcoholic beverages will be BASSET or TIPS certified and will be trained with respect to the detection of fraudulent identification. All bartenders and wait staff will be trained to identify intoxicated patrons and will take pro-active steps to prevent the intoxication of patrons.
11. **Illegal Parking.** Licensee shall discourage any illegal parking of vehicles by its patrons in front of, and around the Premises, by refusing to service to any such person who is parking their vehicle illegally. Licensee's employees will monitor the exterior of the Premises to prevent and deter patrons from parking illegally.



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- 12. Public Place of Amusement License.** Licensee stipulates the Public Placement of Amusement (PPA) License shall be used to provide live entertainment and music. Licensee further agrees to use the PPA under the following conditions:
- a. Licensee shall not operate as a nightclub or dance club;
 - b. Licensee shall not charge a cover or any other fee for entrance into the Premises for regular business operations;
 - c. Licensee shall not offer bottle service; and
 - d. Licensee shall not employ or use so-called "promoters" or unlicensed persons or entities to market or promote any entertainment activities conducted at the Premises. Licensee shall not have any event take place at the Premises that involves a "Guest DJ." Licensee shall not rent the Premises to any such promoter or allow any event to take place where Licensee vacates the Premises and allows a third-party to hire their own staff (bartenders, servers, hosts and security). All entertainment offered at the Premises shall be booked by Licensee.
- 13. Meetings with Community, Police and Alderman.** Licensee agrees, on as needed basis, to set up and/or attend meetings with the 42nd Ward Alderman, CPD police commander, CAPS and community residents or groups to discuss any concerns regarding the operations of the Premises.
- 14. Non-Smoking Laws.** Licensee shall enforce all applicable City and State non-smoking laws as they relate to both the interior and the exterior of the Premises.
- 15. Modification of Plan.** Licensee agrees that this plan of operation cannot be modified or amended without input by the 42nd Ward Alderman.

The Licenses issued pursuant to this Plan of Operation are legally binding and may be enforced by the City of Chicago enforcement authorities under Section 4-60-040 (h) of the City of Chicago Municipal Code. All other conditions of the Licenses are governed by the City of Chicago Municipal Code. Violation of the above-stated conditions may result in the imposition of a fine and/or suspension or revocation of all Licenses issued



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to the Licensee. Violation of the above-stated conditions may also result in the issuance of Cease and Desist Orders prohibiting the activity giving rise to the violations. This Plan of Operation shall apply to the Premises and Licensee and to all officers, managers, partners, and direct or indirect owners of Licensee. The sale of the business to other persons purchasing the membership units of Licensee does not void this Plan of Operation. All potential new owners of the Licensee shall be subject to the same conditions set forth in this plan of operation.

It shall be the duty of the Licensee and every person conducting, engaging in, maintaining, operating, carrying on or managing the Premises to post this Plan of Operation next to the Licenses in a conspicuous place in the Premises.

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Joshua Carl, LLC Manager

JOSEPH DEVITO

11/15/19


Shannon K. Trotter
City of Chicago Local Liquor Control Commissioner

Date