

DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION CITY OF CHICAGO

PLAN OF OPERATION

Licensee:	LAKE 18 LLC
	d/b/a Woodwind
Premises:	18 th Floor
	259 E. Erie Street
	Chicago, Illinois 60611
Application Type:	Public Place of Amusement, Consumption on Premises- Incidental, Outdoor Patio & Retail Food- Establishment
Account Number:	457602-1

Pursuant to Chapter 4-156-330(a) of the City of Chicago Municipal Code, no public place of amusement license shall be issued for any establishment within 200 feet of any church, or building used exclusively for educational purposes (collectively known as the "affected institution"). However, pursuant to 4-156-330(b), the commissioner of business affairs and consumer protection (BACP}, may grant a reduction of the distance requirement in subsection (a) if, based on a review of relevant factors, BACP concludes that such a reduction would not detrimentally impact the affected institution. Based upon a written submission by the above named licensee for a reduction and a review of the relevant factors by BACP, a reduction of the distance requirement has been granted pursuant to the conditions set forth below in this Plan of Conduct.

Pursuant to City of Chicago Municipal Code ("M.C.C.") Sections 4-60-040 (h), the Department of Business Affairs and Consumer Protection ("BACP") / Local Liquor Control Commission ("LLCC") of the City of Chicago and the above-named Licensee have agreed to the issuance of a Consumption on Premises – Incidental Activity liquor license under the following conditions:

- I. The Licensee will establish Woodwind on the 18th floor of the Northwestern Medicine building at 259 E. Erie Street (the "Premises") for use primarily as a full-service restaurant and catering venue. Woodwind will be available for community meetings, not-for-profit fundraisers, retirement parties, weddings and other such public and private functions.
- II. Licensee shall monitor noise levels emanating from the Premises and shall take immediate action to alleviate and abate any excessive noise at any time while the Premises are in operation. Licensee shall regularly monitor the area around the Premises during all business hours in order to address and abate any potential noise complaints involving Licensee's business. A direct phone/text number will be made available to community residents requesting the same to facilitate communication with the Licensee.
- III. Licensee will remain in close contact with the 42nd Ward Office, as well as all relevant City agencies, and the local community group SOAR, to preemptively identify and abate any potential noise or other quality-of-life issue from Licensee's operation of Woodwind.
- IV. Licensee shall deny entry to any person who is visibly intoxicated and notify local police of all unlawful acts witnessed by, or reported to, any of its employees, including instances of public intoxication or other public disturbances.
- V. Licensee shall notify its patrons of the location of off-site, secure parking facilities within the building wherein the Premises is located, capable of accommodating at least 100 vehicles.
- VI. Licensee will maintain a "welcome desk" on the 1st floor of the 259 E. Erie building, wherein the Premises are located, to assist guests in ushering them to the elevators and to the 18th floor, as well as provide secured ingress and egress assistance during its hours of operation.
- VII. Licensee will maintain sufficient staff within the Premises during its business hours to ensure all patrons conduct themselves in a lawful manner and otherwise respect reasonable codes of conduct; and maintain public order to ensure that all amusements are conducted lawfully and in a manner that does not unduly disrupt the surrounding community.
- VIII. Licensee will contract with a duly licensed waste management / scavenger service to ensure that all trash generated in conjunction with Licensee's business activities is picked up regularly and will strictly adhere to all City of Chicago ordinances concerning commercial dumpsters and refuse.

- IX. Landlord has installed and will maintain internal and external video surveillance cameras (the "Cameras") of a number, type, placement and location conforming to the following:
 - The Cameras can view and record persons as they enter the Premises, as well as within the public portions of the Premises;
 - b. The cameras are sufficiently light sensitive and provide sufficient image resolution to produce easily discernible images;
 - c. The images recorded by the Cameras are capable of being viewed through use of compact disc, electronic file transfer and other digital media and are capable of being transferred to a variety of portable forms of media, including, but not limited to, compact disc and digital video disc.
 - All Camera recordings are indexed by date and time and will be preserved on the Landlord's computer system for at least 72 hours after recording;
 - All Camera recordings will be stored by the Landlord in a secure manner within its offices, the access to which shall be limited only to authorized personnel;
- X. Licensee will not operate as a nightclub or dance club;
- XI. Licensee will not offer for sale "bottle service" of spirits products for on-premises consumption;
- XII. While Licensee may enlist the services of traditional PR firms, ad agencies, event planners and the like, Licensee will not employ so-called "promoters" or unlicensed persons or entities to market or promote any entertainment activities conducted at the premises;
- XIII. The Licensee will not utilize a disc jockey (DJ), except that the Licensee may utilize a DJ or acoustic live performance for private events;
- XIV. Licensee shall not charge a cover or any other fee for entrance into the premises, except that Licensee may sell tickets or charge admission for private events at the premises;
- XV. Ticketed private events will not relate to the operation of a nightclub (i.e., pay per view sporting events);
- XVI. Private events will be booked and vetted by licensee;
- XVII. Entertainment related to private events shall be booked and vetted by Licensee;
- XVIII. Licensee shall not rent the Premises to a third-party promoter or allow any event to take place where Licensee vacates the Premises and allows a third-party to hire their own staff (bartenders, servers, hosts and security);

XIX. Licensee shall abide by Chapters 4-60-140(g) and 4-60-050(c) of the City of Chicago Municipal Code concerning the prohibition of any live or recorded music being played or performed in any outdoor patio.

The conditions of this liquor license are legally binding and may be enforced by City of Chicago enforcement authorities under M.C.C. §§ 4-60-040(h). All other conditions of the license are governed by the City of Chicago Municipal Code. Violation of the above stated conditions may result in the imposition of a fine and/or suspension or revocation of all business licenses issued to the Licensee. Violations of the above stated conditions may also result in the issuance of cease and desist orders prohibiting the activity which violates the conditions of the liquor license.

The conditions of the business licenses issued pursuant to this Plan of Operation shall apply to the business address and Licensee and to all officers, managers, members, partners and direct or indirect owners of the entity of which is licensed. The sale of the Licensee to other persons purchasing the stock of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation. Any and all potential new owners of the licensed entity shall be subject to the subject to the same conditions set forth in this Plan of Operation.

It shall be the duty of every person conducting, engaging in, operating, carrying on or managing the above-mentioned business entity to post this Liquor License Plan of Operation next to the business license certificates in a conspicuous place at the business address.

Licensee:

LAKE 18, LLC

Business Address:

259 E. Erie Street, 18th Floor Chicago, IL

Stephen Goglia, CEO

Date: 9-16-19

Shannon Trotter Local Liquor Commissioner City of Chicago