



DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION  
CITY OF CHICAGO

**LIQUOR LICENSE PLAN OF OPERATION**

**Licensee:** Sushi Concepts Chicago, LLC  
d/b/a: Katana

**Premises:** 339 North Dearborn Street  
Chicago, IL 60654

**Application Type:** Consumption On Premises-Incidental Activity (1475), Retail Food Establishment (1006), Public Place of Amusement (1050), Outdoor Patio (1477) and Caterer's Liquor License (1481)

**Account Number:** 416260

**Site:** 1

Pursuant to Section 4-60-040 (h) of the City of Chicago Municipal Code, the City of Chicago Department of Business Affairs and Consumer Protection ("BACP") and the above-named Licensee have agreed to the issuance of Consumption On Premises-Incidental Activity, Retail Food Establishment, Public Place of Amusement, Outdoor Patio and Caterer's Liquor License ("Licenses"), under the following conditions:

1. **Monitor Exterior of Premises.** Licensee shall regularly monitor the exterior area around the Premises during business hours and address and abate noise, loitering and littering complaints about Licensee's patrons or employees.
2. **Littering.** Licensee shall take steps to prevent the accumulation of litter in the vicinity of the Premises, by designating full-time employees to be responsible for outside cleaning duties, during and after business hours each night, including picking up any trash located in front of, and adjacent to the Premises.
3. **Waste Containers.** Licensee shall maintain sufficient trash containers to accommodate any additional waste generated in the unlikely event that extra waste is generated and ensure that all trash containers shall be locked and secured at all times.
4. **Waste Removal.** Licensee shall have trash pick-up occur at least once per day of operations, and more frequently should the need arise.
5. **Cooperation With BACP.** Licensee agrees that in the event BACP receives a complaint regarding the Premises, Licensee shall cooperate fully with any investigation, including, but not limited to, submitting any records requested by BACP. Licensee shall produce any records requested by BACP within ten (10) days of such request.
6. **Exterior Lines.** Licensee shall disallow the formation of lines outside of the Premises.
7. **Criminal Activity.** Licensee shall take steps to prevent fighting, disturbances of the peace, public intoxication, unruly behavior, or any other criminal activity by the presence of adequate exterior lighting, and the presence



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of employees to deter patrons from participating in these types of activities. Employees may be strategically placed at the common exits, and restrooms within the establishment to survey the premises and prevent problems. If any incident giving rise to criminal activity occurs inside the Premises, the incident shall be documented in a logbook and the Chicago Police Department ("CPD") shall be contacted.

8. **Occupancy Limits.** Licensee shall not permit the number of patrons in the Premises to exceed the limits as set forth on the occupancy signs issued to Licensee by the City of Chicago Department of Buildings.
9. **Public Nuisances.** Licensee shall timely address any public nuisance issues which adversely impact the health, safety, and welfare of the community.
10. **Closure of Windows.** Licensee shall close windows at 10:00 p.m. to abate any sound issues.
11. **Late Hour License.** Licensee agrees that it will not, at any time, apply for a City of Chicago Late Hour Liquor License.
12. **Expansion of Premises.** Licensee agrees not to expand the Premises without, first, submitting the requisite application and receiving BACP approval for the application.
13. **Notifying CPD.** Licensee shall notify CPD of any illegal activity viewed in and around the Premises.
14. **Friendly Neighbor Sign.** Licensee shall display a sign in a conspicuous location near the front door inside the Premises that reads, "Please Respect Our Neighbors, Please Exit Quietly and Do Not Loiter."
15. **Alcohol Server Training.** All bar and wait staff will be BASSET or TIPS certified, and will be trained with respect to the detection of fraudulent identification. All bartenders and wait staff will be trained to identify intoxicated patrons and will take pro-active steps to prevent the intoxication of patrons.
16. **Illegal Parking.** Licensee shall discourage any illegal parking of vehicles by its patrons in front of, and around the Premises, by refusing to service to any such person who is parking their vehicle illegally. Licensee's employees will monitor the exterior of the Premises to prevent and deter patrons from parking illegally.
17. **Service of Food.** Kitchen to remain open during all hours that the business is open to the public, the licensee shall offer food preparation and service to its patrons.
18. **Package Goods Sales.** Licensee shall not sell package goods for consumption off the Premises.
19. **Bottle Service.** Licensee shall not offer for sale "bottle service" of spirits products for on-premises consumption.
20. **Promoters.** While Licensee may enlist the services of traditional PR firms, ad agencies, event planners and the like, Licensee will not employ so-called "promoters" or unlicensed persons or entities to market or promote any entertainment activities conducted at the Premises. Further, Licensee shall not permit any event to take place at the Premises where Licensee vacates the Premises and allows a promoter or other third-party to hire their own staff such as bartenders, servers, hosts and security personnel.



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21. **Public Place of Amusement License.** Licensee stipulates the Public Placement of Amusement (PPA) License is requested to provide live entertainment and for private events. The Licensee agrees to use the PPA under the following conditions:
  - a. Licensee shall not operate as a nightclub or dance club.
  - b. Licensee shall not charge a cover or any other fee for entrance into the Premises.
  - c. Licensee shall not employ "guest" or celebrity DJs or live bands.
  - d. Licensee shall not have a dance floor on the Premises.
  - e. Licensee may use the PPA License to raise money for non-profit organizations catering to a specific charity, for political functions, goodwill events, for pre-paid specialty dinner packages that Licensee would promote in advance, and for corporate bookings or private buyout events. Licensee and all of Licensee's staff (bartenders, servers, hosts and security personnel) shall manage and conduct all such events.
22. **Meetings with Community, Police and Alderman.** Licensee shall regularly attend CAPS Beat meetings and CAPS hospitality meetings and agrees to set up and/ or attend meetings with the Local Alderman, CPD police commander, and community residents or groups to discuss any concerns regarding the operations of the Premises.
23. **Non-Smoking Laws.** Licensee shall enforce all applicable City and State non-smoking laws as they relate to both the interior and the exterior of the Premises.
24. **Modification of Plan.** Licensee agrees that this plan of operation cannot be modified or amended without input by the Local Alderman.

The conditions of this liquor license are legally binding and may be enforced by City of Chicago enforcement authorities under Section 4-60-040(h) of the City of Chicago Municipal Code. All other conditions of the license are governed by the City of Chicago Municipal Code. Violation of the above stated conditions may result in the imposition of a fine and/or suspension or revocation of all business licenses issued to the Licensee. Violations of the above stated conditions may also result in the issuance of cease and desist orders prohibiting the activity which violates the conditions of the liquor license.

The conditions of the liquor licenses issued pursuant to this plan of operation shall apply to the business address and Licensee and to all officers, managers, members, partners and direct or indirect owners of the entity of which is licensed. The sale of the Licensee to other persons purchasing the stock of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation. Any and all potential new owners of the licensed entity shall be subject to the same conditions set forth in this statement.

It shall be the duty of every person conducting, engaging in, operating, carrying on or managing the above-mentioned business entity to post this Liquor License Plan of Operation next to the Liquor License certificate in a conspicuous place at the business address.





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**Licensee:** Sushi Concepts Chicago, LLC

**Address:** 339 North Dearborn Street  
Chicago, Illinois 60654

Phillip Cummins, Managing Member  
Sushi Concepts Chicago, LLC

7/24/17  
Date

Shannon Trotter, Commissioner  
Local Liquor Control Commission  
City of Chicago

7/19/17  
Date