

#### AGREED PLAN OF OPERATION

Licensee: Winter's Jazz Club, LLC D/B/A: Winter's Jazz Club

Premises: 411 E. Illinois Street, Ste. 50 Chicago, Illinois 60611

Application Type: Tavern, Outdoor Patio, and Public Place of Amusement

Account Number: 403501

Pursuant to the City of Chicago Municipal Code Section 4-60-040(h), the City of Chicago Department of Business Affairs and Consumer Protection (BACP) and the above named Licensee have agreed to the issuance of a Tavern Liquor License, Outdoor Patio Liquor License, and Public Place of Amusement License under the following conditions:

- 1. Licensee shall operate as a tavern, whereby the retail sale of food will be prohibited on the businesspremises.
- 2. Licensee shall regularly monitor the exterior area around the Premises during all of its business hours in order to address and abate noise, loitering and littering complaints about Licensee's patrons or employees.
- Licensee shall disallow the formation of lines on the exterior of the Premises and shall take all reasonable measures to expedite the ingress and egress of patrons through the business Premises.
- 4. Licensee shall take steps to prevent fighting, disturbances of the peace, public intoxication, unruly behavior, or any other criminal activity by the presence of adequate exterior lighting, and the presence of security personnel to deter patrons from participating in these types of activities. Security personnel shall be strategically placed at the common exits in order to survey the Premises and prevent problems. Security personnel at the entrances shall enforce occupancy limits at all times. In the event that any criminal activity occurs, the incident shall be documented in a log and the police department shall be contacted.



- 5. Licensee shall immediately address any public nuisance issues that adversely impact the health, safety, and welfare of the community.
- 6. Licensee shall immediately notify the police of any illegal activity viewed in and around the Premises.
- 7. Licensee will display a sign in a conspicuous location near the front door inside the Premises that reads substantially similar to, "*Please Respect Our Neighbors, Please Exit Quietly and Do Not Loiter.*"
- 8. Licensee shall monitor the noise levels emanating from the Premises to ensure compliance with the Chicago Environmental Noise Ordinance.
- 9. A portion of the Licensee's business Premises includes an outdoor patio. Licensee agrees to abide by Chapters 4-60-140(g) and 4-60-050(c) of the City of Chicago Municipal Code concerning the prohibition of any live or recorded music being played or performed in any outdoor patio. Licensee further agrees that no live or recorded music from inside the Premises shall be allowed to directly emanate onto the outdoor patio. Licensee shall take all reasonable noise abatement measures to prevent any live or recorded music, being played inside the Premises, from directly emanating onto the outdoor patio portion of the Premises.
- 10. Licensee agrees that any time while the Premises is operating and the outdoor patio is open to customers, if noise levels emanating from the Premises result in residential community complaints, Licensee shall take immediate steps to effectively address such complaints.
- 11. Licensee shall close the outdoor patio no later than 11:00 p.m. Sunday through Thursday and no later than 12:00 am on Sunday morning.
- 12. Licensee stipulates a Public Placement of Amusement (PPA) license is requested to provide live entertainment. The Licensee agrees to use the PPA under the following conditions:
  - a. Licensee will not operate as a dance club.
  - b. Licensee will not utilize a disc jockey (DJ) to perform musical compositions.



- 13. While Licensee may engage the services of advertising and/or marketing firms to advertise Licensee's business operations, Licensee will not use the services of so-called "club promoters" or other unlicensed persons to market or promote any entertainment activities produced or otherwise presented at the Premises. Notwithstanding the foregoing, any performing artist shall not be considered or defined as a "club promoter" as per this Agreement.
- 14. Alcohol Server Training. All bar and wait staff will be BASSET or TIPS certified, and will be trained with respect to the detection of fraudulent identification. All bartenders and wait staff will be trained to identify intoxicated patrons and will take pro-active steps to prevent the intoxication of patrons.
- 15. Licensee will not offer for sale "bottle service" of spirits products for on-premises consumption.
- 16. Licensee agrees that in the event the Local Liquor Control Commission ("LLCC") receives a complaint, the Licensee shall cooperate fully with any investigation, including, but not limited to, submitting any records requested by the LLCC.
- 17. Licensee shall take steps to prevent the accumulation of litter by making an employee responsible for the outside cleaning duties throughout the day and at the end of each night. The employee will be part of the full time staff and will be responsible for picking up any trash that is located in front of, and adjacent to the Premises of the establishment.
- 18. The Licensee shall maintain sufficient trash containers to accommodate any additional waste generated in the unlikely event that extra waste is generated.
- 19. The Licensee shall ensure that all trash containers shall be locked and secured at all times.
- 20. Licensee shall regularly attend CAPS Beat meetings and CAPS hospitality meetings and agrees to set up and/ or attend meetings with the alderman, police commander, and community residents or groups to discuss any concerns regarding the operations of the Licensee's business.



- 21. Non-Smoking Laws. The Licensee will enforce all applicable City and State non-smoking laws as they relate to both the interior and the exterior of the Premises.
- 22. Licensee agrees that this plan of operation cannot be modified or amended without input by the local Alderman.

The conditions of the liquor licenses issued pursuant to this plan of operation are legally binding and may be enforced by the City of Chicago enforcement authorities under Section 4-60-040 (h) of the City of Chicago Municipal Code. All other conditions of the license are governed by the City of Chicago Municipal Code. Violation of the above stated conditions may result in the imposition of a fine and/or suspension or revocation of all business licenses issued to the Licensee. Violation of the above stated conditions may also result in the issuance of Cease and Desist Orders prohibiting the activity which violates the conditions of the liquor license.

The conditions of the liquor licenses issued pursuant to this plan of operation shall apply to the business address and License and to all officers, managers, partners, and direct or indirect owners of the licensed entity. The sale of the business to other persons purchasing the stock or membership units of the licensed entity does not void the conditions of the license. Any and all potential new owners of the licensed entity shall be subject to the same conditions set forth in this plan of operation.

It shall be the duty of every person conducting, engaging in, maintaining, operating, carrying on or managing the above mentioned business entity to post this plan of operation next to the liquor license in a conspicuous place at the business address.

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Premises:

411 E. Illinois Street, Ste. 50 Chicago, Illinois 60611

Scott Stegman, Membe r-Manager

Gregory Steadman City of Chicago Local Liquor Control Commissioner