

Presentation Outline

General Information

- When a permit is NOT required
- Create an Dynamic Portal account
- Create application in Dynamic Portal
- Upload files to the ProjectDox account

Self-Cert. Permit Program

- Class objectives
- Overview
- Program Rules & Eligibility











	A building permit is NOT required for the following <u>repair or replacement</u> work in non- mixed use residential buildings (excluding hotels):
When is a permit	 Shingle roofing with slope of 5 in 12 or steeper on residential buildings with max. of 3 dwelling units & 3 stories
NOT required?	 In-kind replacement of non-fire rated doors/windows, hot water heaters, boilers, furnaces and AC condensers in buildings with max. of 3 dwelling units & 3 stories (excludes windows and exterior doors in landmark buildings and landmark districts)
	 In-kind replacement of toilets, sinks, faucets and tubs
	 Interior stairs in same location within a single dwelling unit
	 Not more than 1,000 sq. ft. of drywall without any alteration of underlying structural, plumbing, electrical or ventilation systems
	 Low voltage wiring for phones, speakers, burglar alarms, doorbells, thermostats and computers (excluding fire alarm systems)
	 Replacement of not more than 250 bricks in buildings with max. of 3 dwelling units & 3 stories (excludes landmark buildings and landmark districts)
	 Vinyl, aluminum, wood, EIFS or other similar nonstructural exterior finishes on residential buildings not more than 3 stories in height (excludes landmark buildings and landmark districts)















Class Frequency

- The Self-Cert Training Class is generally offered every two years. Registration expires on December 31 of the 5th year.
- The Structural Peer Review Training Class is generally offered every two years. This class is limited to Structural Engineers. Registration expires on June 31 of the 5th year.









The Self-Certification Permit Program simplifies and shortens the building permitting process for eligible projects by allowing architects and structural engineers who meet the qualifications specified in these Rules to take full responsibility for code compliance on small and mid-sized projects that do not present unusual hazards. Department of Buildings plan reviews are eliminated because a Self-Certification Professional certifies that the proposed work fully complies with the Building Code or Chicago Construction Codes, as applicable. Reviews and approvals by other city departments, including zoning, are still required before a permit will be issued. The Department selectively verifies compliance of work permitted under the Self-Certification Program through both field inspections and audits.

E-Plan = Dynamic Portal + ProjectDox

- The AOR's Dynamic Portal account is online at DOB's website. This is where permit applications are created and permits are paid/printed.
- The AOR's ProjectDox account is where the permit documents are uploaded and approved plan sets are downloaded.
- The applicant should attend DOB's free E-Plan training class offered twice a month in City Hall Room 906. Google search "Chicago eplan" to locate DOB webpage and sign up for this 2-hour class.

Self-Certification Overview

- The Self-Cert. Permit Program simplifies and shortens the permit process for eligible projects.
- The architect of record self performs the technical plan reviews and certifies that the project complies with the Chicago Building Code. The technical plan reviews by building department plan examiners are eliminated.
- Permit inspections are required.
- Just because a project meets the criteria for SC eligibility does not mean that it is a good idea.



Part I: General Provisions

- A. Definitions
- B. Scope and Effect

The Commissioner Reserves the right to amend these Rules at any time in accordance with law.



Part II: General Self-Certification Requirements

- C. Eligible Projects
- D. Ineligible Projects
- E. Pre-Submission Requirements

Complete an adequate investigation of the site where work is to be performed to determine that the self-certified drawings accurately reflect site conditions and existing building conditions, if applicable.

Self-Certification Program Rules (continued)

F. Submission Requirements

Permit applications must be submitted by the SCP using the SCP's personal E-Plan account. A SCP may not designate any other person to begin an electronic permit application, upload documents to the E-Plan system, or request that the Department process or not process an existing permit application under the Self-Certification Permit Program.

Evidence that, if any specialized review by the Department is required, including but not limited to Alternative Code Approval or Committee on Standards and Tests consideration, such review has been completed and approved prior to submission of the permit application

The Structural Peer Review (if applicable) is required at time of submission.

General Contractors are required at time of submission.

Self-Certification Program Rules (continued)

- G. Architect's Self-Certification Statement
- H. Permit Applicant Acknowledgement

The permit applicant agrees to take all measures necessary to correct any false or inaccurate statement made by the permit applicant, knowingly or negligently, in the permit application or any document submitted in support of the permit application, either by the permit applicant or the permit applicant's agents, contractors, or employees.

The permit applicant understands that the permit will be a conditional permit, subject to postissuance audit and/or field inspection by the Department in accordance with these Rules.

- I. Hold Harmless Letter
- J. Review and Issuance
- K. Permit Revisions

Use or Occupancy Classification	Scope	of Work	
of Finished Building	New Construction	Rehabilitation	
EXCLUSIVELY RESIDENTIAL BUILDINGS AND AC	CCESSORY STRUCTURES	•	
Group R-1, R-2, R-3, R-4 or R-5, maximum 8 Iwelling units and/or sleeping units	 building up to 4 stories above grade plane may include attached private garage may include occupiable roottop 	- building up to 4 stories above grade plane - may include attached private garage - may include occupiable rooftop - gut rehab and porch replacement allowed	
Detached Private Garage (Group R-5 or U)	 1 story building (may include occupiable rooftop) 	 1 story building (may include occupiable rooftop) 	
Single-Occupancy Non-Residential Buildings (No	Mixed Occupancy)		
Single Tenant: Group A, B, or M Group A occupant load less than 300)	- up to 2 story building - up to 10,000 tt ² building - single tenant building	- up to 2 story building - up to 10,000 f ² building - single tenant building	
All Group B or all Group M; maximum 4 tenants	- up to 4 story building - up to 30,000 ft ² building	 up to 4 story building up to 30,000 f² building each tenant space and common areas must be permitted separately (see below) UNLESS entire building is vacant 	
3roup E-1, I-1, or I-2	Not eligible.	 single tenant buildings only up to 4 story building up to 30,000 ft² building alterations or repairs only; NO additions 	
MIXED-OCCUPANCY BUILDINGS			
vlixed Occupancy (including Group A (with occupant load less than 300); B; M; R; S-2 garage only); and/or U (private garage only))	 mercantile, assembly or motor-vehicle-related use in basement or on first story above grade plane only building up to 4 stories above grade plane building area maximum 30,000 ft² maximum of 4 non-residential tenant spaces in building maximum of 8 dwelling and/or sleeping units in building occupiable rooftop allowed 	alterations or repairs only; NO additions mercantile, assembly or motor-vehicle-related use in basement or first story above grade plane only building up to 4 stories above grade plane building area maximum 30,000 tf maximum of 4 non-residential tenant spaces in building maximum of 8 dwelling and/or sleeping units in building each tenant space and common areas must be permitted separately (see below) UNLESS entite building is vacant	
NDIVIDUAL TENANT SPACES, DWELLING UNITS	, AND COMMON AREAS IN EXISTING BUILDINGS (Work in	high-rise buildings requires written pre-approval)	
Separately-owned dwelling unit in Group R-2 or R-5 (condominium or cooperative apartment)	 residential sales centers and model units alterations to single unit before initial occupancy 	- alterations or repairs only; NO additions - no change of occupancy	
Single-tenant Group A (with occupant load less han 300), B or M space in building WITHOUT esidential occupancy	 first time buildout of Group A tenant space (any area) first time buildout of Group B tenant space (any area) first time buildout of Group M tenant space up to 30,000 fter multi-story tenant spaces allowed 	 alterations or repairs only; NO additions multi-story tenant spaces allowed 30,000 ft² maximum area for Group M 	
Single-tenant Group A (with occupant load less han 300), B or M space in building WITH esidential occupancy	 first time buildout of Group A tenant space (any area) first time buildout of Group B tenant space (any area) first time buildout of Group M tenant space up to 30,000 ft^a single-story tenant spaces ONLY 	 alterations or repairs only; NO additions single-story tenant spaces ONLY 30,000 ft² maximum area for Group M 	
Common areas or systems in multiple dwelling or multi-tenant commercial building	Not applicable.	 alterations or repairs only; NO additions exterior wall / window replacement work allowed must be permitted separately from work within dwelling units or tenant spaces 	

Use or Occupancy Classification	Scope	of Work	
of Finished Building	New Construction & Additions	Repairs, Renovations & Alterations	
All buildings	 work includes adding balcony to existing building work includes construction within 1 foot of property line work includes excavation ≥ 5 feet below grade within 5 feet of an existing building work includes excavation ≥ 10 feet below grade work includes earth retention system 	Structural scope of work includes: - adding, altering, or removing load-bearing elements - adding, altering, or removing floor openings - excavation work ≥ 5 feet below grade - use of earth retention system	
Exclusively residential use	 scope of work exceeds 3 stories above grade plane scope of work includes occupiable rooftop above third story above grade plane 	- structural scope of work in building greater than 3 stories above grade plane	
Any non-residential use	- scope of work exceeds 1 story above grade plane - scope of work includes occupiable rooftop above second story above grade plane	- structural scope of work in building greater than 1 story above grade plane	
TABLE D (2020): DISQUALIFYING FEAT Use and Occupancy: increase in number of permitted dwelling units new construction or addition of Group B or E occu- any work involving Group A occupancy or occupan with total occupant load greater than 299 any work involving Group E-2 or I-4 occupancy any work involving Group E-2 or I-4 occupancy any work involving Group M occupancy with gross exceeding 30,000 ft ² • any work involving below-grade Group M any work involving a Group C - 1 occupanc roup S-2 occupancy other than a garage • any work involving a Group U occupancy other tha ences, sheds, carports, and private garages and emporary tents, canopies and stages	Use and Occupancy (continued): - any work involving a Wrigley Field-adjacent rooftop de pancy - any work involving a distillery - any change of occupancy where the original and new classifications are other than Group A (with occupant le cy less than 300), B or M - any work involving a technology equipment area grea than 150 ft ² Height and Area: with building area exceeding 30,000 ft ²	preapproval of the Commissioner ad Specialized Review or Department Pre-Approval Required: ter - any work requiring Alternative Code Approval, unless such approval has been granted prior to filing the permi application - any work requiring approval by the Committee on Standards and Tests - any work in a building exceeding 80 feet in height (hig g rise building) without written pre-approval from the Department to use the Self-Certification Permit Program	

Self-Certification Program Rules— Prototypes

Part III: Prototype-Based Self-Certification

L. Eligibility and Requirements

TABLE L (2020): PROTOTYPE ELIGIBLE PROJECTS

Occupancy Classification	Conditions	
Group A (occupant load less than 300)	- up to 2 stories above grade plane	
Group B	- up to 4 stories above grade plane	
Group M	- up to 2 stories above grade plane	
Group R	- up to 4 stories above grade plane, any number of dwelling units or sleeping units	
Group S-2 (garage only)	- up to 1 story above grade plane	
Mixed Occupancy (any combination of A, B, M, R, or S-2 allowed above)	- up to 4 stories above grade plane with only Group B or R more than 1 story above grade plane	



Part IV: Temporary Structure Self-Certification

M. Eligibility and Requirements

N. Required Pre-Occupancy Inspection by SCP



Self-Certification Program Rules— Temporary Structures (continued)

- Primarily intended for tents, canopies, stages and platforms with less than 300 persons and do not exceed 4000 sq ft
- Also available for Business (Group B), Mercantile (Group M), and Assembly (Group A) occupancies with less than 300 persons and do not exceed 4000 sq ft
- Temporary means "to be erected at same location for 60 days or less"
- Time cannot be extended beyond 60 days through self cert program requires Standard Plan Review

Self-Certification Program Rules— Temporary Structures (continued)

- Self-cert professional must **inspect** and **certify** temporary structure before occupancy to confirm:
 - Compliance with plans and building code
 - Temporary structure is safe for occupancy
 - Permit applicant has been informed in writing on use limits

Self-Certification Program Rules (continued)

Part V: Professional Registration

- O. Eligibility
- P. Duration and Registration
- Q. Training Class
- R. Initial Application Procedure
- S. Renewal Procedure



Self-Certification Program Rules—Audits

Part VI: Audit and Field Inspection

- U. Pre-Issuance Review and Audit
- V. Field Inspection and Post-Issuance Audit



Self-Certification Program Rules—Audits (continued)

W. Suspension and Revocation

a. Submission of a permit application for a project determined by the Department to be ineligible. **1 POINT.**

b. Submission of a permit application for an eligible project found by the Department to contain substantial defects, errors, omissions or deficiencies prior to issuance. **2 POINTS.**

c. Submission of a permit application found to contain a false statement. **2 POINTS.**

d. Submission of self-certified drawings in which, during a field inspection and/or

post-issuance audit, the Department identifies a condition that does not comply with the Municipal Code. **2 POINTS.**

e. Submission of self-certified drawings in which, during a field inspection and/or post-issuance audit, the Department identifies a condition that does not comply with the Municipal Code and pose a serious risk to health, safety, or welfare. **5 POINTS.**

f. Failure to comply with any ongoing obligation identified in Rule T. **5 POINTS.**

Self-Certification Program Rules—Audits (continued)

Part VI: Audit and Field Inspection (continued)

- W. Suspension and Revocation
 - If a SCP accrues 5 points within a 365-day period, or 10 points within a registration period, his or her SCP status may be suspended by the Department.
 - If a SCP accrues 10 points within a 365-day period, or 20 points within a registration period, his or her SCP status may be suspended or revoked by the Department.

Create a Self-Cert Permit Application

- 1. The building permit application must be created online through the AOR's Dynamic Portal account at cityofchicago.org/buildings. Select the "Getting Started Online button" to login.
- 2. Select from drop downs menus and boxes:

<u>Permit Application Type:</u> New Construction or Renovation/Alterations/Addition

Workbenches: Scope of work by trade

<u>Review Program</u>: Interior Alteration, Tenant Build Out or New Construction <u>**Type of Permit**</u>: Commercial, Residential or Institutional

- 3. "Self-Cert. Project" should be included in the description of work. " "...with Structural Peer Review" should also be included if applicable.
- 4. The SC Architect is added to the permit automatically. Do not add the SC Architect as a contractor. However, all other contractors must be included at the initial submission or the SC permit application will be rejected.
- 5. The Self-Cert. permit application must be completed and all "Required Documents" boxes must be checked before shopping cart will appear. Pay the building permit fee deposit and zoning fee. A payment confirmation will be emailed to the AOR.
- 6. The ProjectDox Upload Task is assigned to the AOR immediately upon payment of the building permit fee deposit and zoning fee.
- 7. Print the completed permit application for AOR's signature and seal. The General Contractor and Owner signatures are also required. Upload to ProjectDox.



AOR's ProjectDox Account: 8. The following documents are uploaded to ProjectDox (as dwf or pdf). DOB's file naming convention must be followed. Drawing file names are limited to 65 characters and must follow the naming convention. All sheets must include an empty 3"x3" box for DOB use in upper right corner. Refer to the Standard Plan Review Project Submittal Checklist.	
 Permit drawings with professional's seal and graphic scales Building permit application signed by AOR, Owner and GC Electrical permit application signed by the Owner & Electrician Professional of Record Self-Certification Statement form Owner/Tenant Certification Statement form Owner/Tenant Hold Harmless letter AOR's Certificate of Professional Liability Insurance Chicago Energy Conservation Code statement on coversheet Structural Peer Review report (if applicable) Prototype Plans (if applicable) Excavation Certification form (if applicable) Aldermanic Acknowledgement Letter (optional) 	41



	Standard Plan Review-		
1999121	17 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
1999121		SPR Review for: 100561554 611 S WELLS ST	
	Project Information Checklist Ix	ems 0 Routing Slip	
	Application Number Architest First Architest Last Owner Email Applicant First Applicant Last Applicant Last Applicant Email Project Address Application Date Work Description	100561554 611 5 VELLS 97 HABOLD HUTCHITSON HUTCHITSON	
	Review Program Review Type APKEY HANSEN APNO # Project Administrator Review Cycle	ESCIA	
	Workflow/Activity Name C Activity Instructions Current User Logon Certified Correction Zoning Status	Click. Here. to. Start. SPR. Workflow. V3 / UploedConfirmation	
	Applicant Subscription	Description Applicant has not subscribed to milestone email notification.	
	REQUESTED REVIEW TYPE		
	Important: Please choose the appropriate pl	an review, Building & Zoning 🔽	
	SELF CERTIFICATION		
		No 💌	
	INVITE ADDITIONAL		
	*First Name:		
	"Last Name:		
	*Email Address:	Invite	
	EMAIL SUBSCRIPTION		
	After you have successfully uploa	uld like to receive email notifications on major milestones for this plan review process. Ided all required plans/documents please select (Upload Complete) button. Must be completed for rail, DOB will begin the review of your drawings within ten (10) business days from the date of your	
		completed plan submittal.	











No change to the permit program selected by the AOR

- The selected permit program (Standard Plan Review or Self-Cert) can not be changed once the permit application is created.
- DOB <u>will not change</u> the permit process selected by the AOR from Standard Plan Review to Self Cert or vice versa.
- A new building permit application must be created and a new building permit fee deposit (\$375) must be paid if the wrong permit process was selected. The incorrect permit application will be voided by DOB.

SC Registration

- Certificates of Completion with CEUs will be distributed on Wednesday to attendees who complete this class.
- Payment of the class fee is required before SC registration will be activated in the Department's computer system.



SC Contacts

Project eligibility confirmation via email (voluntary):

selfcert@cityofchicago.org

Procedural questions and preliminary meeting requests:

hal.hutchinson@cityofchicago.org

Hal Hutchinson, RA Deputy Commissioner City Hall Room 906

312-446-5624 work mobile

