



Delegate Agency Solicitation #8074 (RFP)

DPD Local Industrial Retention Initiative (LIRI)

Specification Number:1223899

Required for use by: DEPT OF PLANNING & DEVELOPMENT

Bid/Proposal Submittal Date and Time: 12:00 PM Central Time, 20-JUL-2021

Deadline for Questions: 05:00 PM Central Time, 09-JUL-2021

Buyer: MCCLARN, GRAYLEN

Email Address: Graylen.McClarn@cityofchicago.org

Phone Number: 3127449745

Pre-Solicitation Conference Date and Time: 10:00 AM Central Time, 07-JUL-2021

Pre-Solicitation Conference Location:

<https://cityofchicago-org.zoom.us/j/89499298889?pwd=UklGOHJlStlUXphTDZpb3ozejR3dz09> Meeting ID
- 894 9929 8889 Passcode - 183410 Call-in - 312.626.6799 US (Chicago)

Site Visit Date & Time: N/A

Site Visit Location: N/A

Please submit your response to:

<http://www.cityofchicago.org/eProcurement>
iSupplier vendor portal registration is required.
Allow 3 business days to complete registration.

LORI E. LIGHTFOOT
MAYOR

Maurice D. Cox
Commissioner

Specification Number: 1223899

Type of Funding:

Title: DPD Local Industrial Retention Initiative (LIRI)

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1 Header Information

1.1 General Information

Title	DPD Local Industrial Retention Initiative (LIRI)		
Description	DPD Local Industrial Retention Initiative (LIRI)		
Preview Date	Not Specified	Open Date	21-JUN-2021 09:00:00
Close Date	12:00 PM Central Time, 20-JUL-2021	Award Date	Not Specified
Time Zone	Central Time	Buyer	MCCLARN, GRAYLEN
Quote Style	Blind	Email	Graylen.McClarn@cityofchicago.org
Event	Delegate Agency	Outcome	Delegate Agency Blanket Agreement

1.2 Terms

Effective Start Date	Not Specified	Effective End Date	Not Specified
Ship-To Address	054-2005 PLANNING & DEVELOPMENT 30 N LASALLE 2ND FL Chicago, IL 60602 United States	Bill-To Address	054-2005 PLANNING & DEVELOPMENT 30 N LASALLE 2ND FL Chicago, IL 60602 United States
Payment Terms	IMMEDIATE	Carrier	
FOB		Freight Terms	
Currency	USD (US Dollar)	Price Precision	Any
Total Agreement Amount (USD)	Not Specified	Minimum Release Amount (USD)	Not Specified

1.3 Requirements

Contact
First Name Provide your answer below
Last Name Provide your answer below
Telephone Number Provide your answer below

Contact
E-mail Address Provide your answer below
Contact Type Provide your answer below
Organization Information
Legal Organization Name Provide your answer below
Address Provide your answer below
City Provide your answer below
State Provide your answer below

Organization Information
Zip Provide your answer below
County Provide your answer below
Organization Telephone Number Provide your answer below
Federal Employer Identification Number Provide your answer below
DUNS Number Provide your answer below
Head of Agency Name Provide your answer below

Organization Information
Head of Agency Title Provide your answer below
Head of Agency Contact Telephone Provide your answer below
Head of Agency E-mail Contact Provide your answer below
Website Address Provide your answer below
Year Org. Established Provide your answer below
Did you attach the following in your Admin. section?*Liability Insurance*Board Member Identification*IRS Determination Letter*SAM Certificate*Certificate of Good Standing*Bylaws and Articles of Incorporation*Financial Statement Circle one from the response values below: Yes No
Organization Overview
What is the mission of your organization and what are its major accomplishments in the last 12 months?

Organization Overview

.....
Provide your answer below

Please provide a narrative overview of your agency. The narrative should, at a minimum, address the following items: the agency's philosophy; services or programs provided; the number of years that the agency has provided these services and current demographics regarding service area(s) including client populations served and geographic delivery area.

.....
Provide your answer below

Please attach your agency's organizational chart. An organizational chart must be provided that describes the agency's administrative framework and staff positions, indicating where the proposed project will fit into the organizational structure and identify any staff positions of shared responsibility.

.....
Provide your answer below

Monitoring

Please describe how you will measure the project's performance, collect data and track or verify outcomes? Discuss who will be responsible for monitoring and reporting program progress and their specific qualifications.

.....
Provide your answer below

Please describe how your organization will monitor program expenditures and ensure that appropriate fiscal controls and records are in place.

.....
Provide your answer below

Program Summary

Referring to the Program Requirements section of the RFP, describe the work your agency will perform, including the activities to be undertaken or the services to be provided, the goals and the anticipated results

<p>Program Summary</p> <p>and the implementation schedule. Make sure to address the required core elements identified in the Program Description section of the RFP, including providing business outreach and counseling, marketing City programs, helping businesses use City programs, and identifying infrastructure and development opportunities.</p> <p>.....</p> <p>Provide your answer below</p>
<p>Briefly describe the agency's existing staff positions and qualifications, its capacity to carry out the activity and state whether the agency has a personnel policy manual, affirmative action plan and grievance procedures.</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please describe how you propose to coordinate services with other community agencies.</p> <p>.....</p> <p>Provide your answer below</p>
<p>Auditing Requirements</p> <p>What is your agency's fiscal year?</p> <p>.....</p> <p>Provide your answer below</p>
<p>When do you intend to conduct an audit of this contract?</p> <p>.....</p> <p>Provide your answer below</p>
<p>Will your audit be annual (once a year) or biennial (once every two years)?</p> <p>.....</p> <p>Circle one from the response values below:</p> <p>Annual</p>

Auditing Requirements
<p>Biennial</p> <p>Does your agency anticipate receiving funding from any Federal or State agency for this program during 2022?</p> <p>.....</p> <p>Circle one from the response values below:</p> <p>No</p> <p>Yes</p>
<p>Please identify the Federal or State sources that will be funding your agency and the associated dollar values.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
Additional Locations
<p>Does your organization operate additional sites outside of your corporate location?</p> <p>.....</p> <p>Circle one from the response values below:</p> <p>Yes</p> <p>No</p>
Geographic Area(s) Served
<p>Please provide the street number for your site 1 address.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please identify the street direction associated with your site street address.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please provide the street name.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>

Geographic Area(s) Served
<p>Please provide the city.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please provide the zip code.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>In which ward is this site located?</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please provide the street number for your site 2 address.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please identify the street direction associated with your site 2 street address.</p> <p>.....</p> <p>Type Optional</p> <p>.....</p> <p>Circle one from the response values below:</p>

Geographic Area(s) Served
N. S. E. W.
Please provide the street name for site 2 Type Optional Provide your answer below
Please provide the city for site 2. Type Optional Provide your answer below
Please provide the zip code for site 2. Type Optional Provide your answer below
In which ward is site 2 located? Type Optional Provide your answer below
Please provide any additional wards outside of your corporate or site locations your organization provide services? Type Optional Provide your answer below

Geographic Area(s) Served
Work Program
<p>Following these instructions, please complete and attach the Work Program Form provided.</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please detail your strategy to source leads, develop new relationships, identify prospective projects, and lead projects to completion to achieve outcomes.</p> <p>.....</p> <p>Provide your answer below</p>
<p>If your agency currently receives funding for this program model, please provide your performance outcomes over the last 12 month period. If your agency currently does not receive funding for this program model, please describe other outcomes that indicate your ability to achieve economic development outcomes as a LIRI agency.</p> <p>.....</p> <p>Provide your answer below</p>
Budget Information
<p>Requested Grant Amount</p> <p>.....</p> <p>Value Type Numeric Value only</p> <p>.....</p> <p>Provide your answer below</p>
<p>Please provide the total amount (including funding from other sources) that you plan to devote to this program.</p> <p>.....</p> <p>Provide your answer below</p>

Budget Information
Using these instructions, please complete and attach the budget forms provided. Provide your answer below
Please describe your financial capacity (cash-flow) to expend funds prior to reimbursement. Provide your answer below
Please describe your plans to use other funding on this program. In this section, only describe funds that are secured. Provide the sources of funds, amount of funds and how these funds will be used. Provide your answer below
Please describe your plans to seek new funding to supplement City funding. Describe the sources to which you will apply, the amounts sought and the proposed use of those funds. Provide your answer below
Please describe your donated goods and services. Estimate the value of these services and describe how you arrive at these amounts. Provide your answer below
Please explain why you consider your program costs to be reasonable.

Budget Information Provide your answer below
Service Provision What is the agency's proposed service boundary for LIRI program contract activities? List specific street boundaries. Provide your answer below
How many industrial businesses are in your proposed LIRI contract service area or industry sector? Please enter the number of industrial businesses in your proposed service area. Provide your answer below
How many industrial jobs are in your proposed LIRI contract service area or industry sector? Please enter the number of industrial jobs. Provide your answer below
Describe your service area or industry sector. What are its characteristics, trends, assets, and challenges? What are the linkages between manufacturing and residents in your neighborhood? What are the trends around local hiring at manufacturing companies? What makes your area ideal for the LIRI program? Provide your answer below
What are your strategies in addressing issues identified in the Sector Profile section? What does your organization do to impact economic development and job connectivity in your neighborhood? What results do you expect and how will you measure impact?What makes your agency ideal for the LIRI program? Provide your answer below

Service Provision
Organizational Capacity
For member-based agencies, please list the number of members your organization had in 2021 and your membership target for 2022. Provide your answer below
Please complete the attached form to provide a complete list and chart of all of your agency's funding sources for 2021 and 2022 (projected). Provide your answer below
Please complete the attached 2021 Cost Allocation Plan for your entire agency. Provide your answer below
Please complete the attached 2022 Cost Allocation Plan (projected) for your entire agency. Provide your answer below
City of Chicago Compliance Acknowledgement
Do you acknowledge the Compliance with Laws, Statutes, Ordinances and Executive Orders for the City of Chicago? Circle one from the response values below: Yes No
Conflict of Interest
Did you complete and attach the Conflict of Interest Questionnaire? Circle one from the response values below:

Conflict of Interest
Yes
No

1.4 Attachments

Name	Data Type	Description
ATTACHMENT 01: RFP	File	

1.5 Response Rules

- Solicitation is restricted to invited suppliers
- Suppliers are allowed to respond to selected lines
- Suppliers are allowed to provide multiple responses
- Buyer may close the solicitation before the Close Date
- Buyer may manually extend the solicitation while it is open

2 Price Schedule

2.1 Line Information

Display Rank As **No indicator displayed**
 Ranking **Price Only**
 Cost Factors **None**

Line	Item, Rev / Job	Target Quantity	Unit	Unit Price	Amount
1	0005 - Personnel	1	USD		
2	0044 - Fringe Benefits	1	USD		
3	0100 - Operating/Technical	1	USD		
4	0140 - Professional and Technical Services	1	USD		
5	0200 - Travel	1	USD		
6	0300 - Materials and Supplies	1	USD		
7	0400 - Equipment	1	USD		
8	0801 - Indirect	1	USD		
9	0999 - Other	1	USD		

2.2 Line Details

2.2.1 Line 1 0005 - Personnel

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.2 Line 2 0044 - Fringe Benefits

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.3 Line 3 0100 - Operating/Technical

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.4 Line 4 0140 - Professional and Technical Services

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.5 Line 5 0200 - Travel

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.6 Line 6 0300 - Materials and Supplies

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.7 Line 7 0400 - Equipment

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.8 Line 8 0801 - Indirect

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

2.2.9 Line 9 0999 - Other

Category	94855.DA.	Start Price (USD)	Not Specified
Shopping Category	Not Specified	Target Price (USD)	Not Specified
Minimum Release Amount (USD)	Not Specified		
Estimated Total Amount (USD)	Not Specified		

CITY OF CHICAGO



**REQUEST FOR PROPOSALS (RFP) FOR
Local Industrial Retention Initiative (LIRI)**

RFQ#8074

ISSUED BY:

CITY OF CHICAGO DEPARTMENT OF PLANNING AND DEVELOPMENT

All proposals must be submitted via the eProcurement system:

<http://www.cityofchicago.org/eprocurement>

Questions concerning the RFP should be directed to:

Nora Curry

Program Director

Department of Planning and Development

121 N. LaSalle Street, Room 1003

Chicago, IL 60602

312-744-1867

Nora.Curry@cityofchicago.org

**LORI E. LIGHTFOOT
MAYOR**

**MAURICE D. COX
COMMISSIONER**

DPD Program: Local Industrial Retention Initiative (LIRI)

DEPARTMENT OVERVIEW

The Department of Planning and Development (DPD) promotes the comprehensive growth and sustainability of the City of Chicago and its neighborhoods. The department also oversees the City's zoning and land use policies and employs a variety of resources to encourage business and real estate development, historic preservation, accessible waterfronts, walkable neighborhoods, and related community improvements. DPD's economic development initiatives include a wide spectrum of financial assistance programs, business development efforts, and related quality-of-life endeavors for local neighborhoods.

Under Mayor Lori Lightfoot, the Department of Planning and Development (DPD) leads INVEST South/West, an unprecedented equitable investment development strategy to revitalize 10 historic neighborhoods on Chicago's South and West sides, and We Will Chicago, a three-year, citywide planning initiative that will encourage neighborhood growth and vibrancy while addressing social and economic inequities that impair Chicago's legacy as a global city.

The Local Industrial Retention Initiative (LIRI) program will support these initiatives by cultivating engagement between industrial corridor companies and INVEST South/West communities or other appropriate underserved communities to provide more opportunities for job placement and growth. These deliverables will be built into the LIRI work plans to build the infrastructure to institutionalize equity by setting expectations, tracking progress, and measuring impact.

PROGRAM OVERVIEW

DPD seeks not for profit organizations as delegate agency partners for the LIRI program. LIRI agencies provide assistance to industrial businesses primarily in the City's 26 Industrial Corridors with the purpose of retaining and expanding those businesses and strengthening the Industrial Corridors. LIRI agencies assess business needs, identify resources, provide project support, and act as counselors to resolve a variety business issues. LIRI agencies also promote City programs and initiatives, identify real estate opportunities, and advocate for infrastructure investments that lead to continued Industrial Corridor development. These interventions result in completed projects, job retention and creation, leveraging public and private financing, and neighborhood development. Examples of how agencies help businesses include:

- identifying new locations and securing funding for expansion including City economic incentive programs such as SBIF, TIF, and tax incentives;
- connecting businesses to workforce development resources to find and train employees;
- obtaining permits and business licenses; and
- promoting Industrial Corridors and the industrial sector.

PROPOSED CONTRACT REQUIREMENTS

Annual LIRI program activity may include:

- Engaging industrial businesses, assessing needs, and supporting projects;
- Marketing City programs and initiatives to businesses and helping them use the programs;
- Providing lists of needed infrastructure improvements and available real estate in LIRI service area;
- Creating an Industrial Business Alliance in LIRI service area;
- Surveying industrial businesses to assess critical needs;

- Marketing available manufacturing jobs and host annual job fair event with job placement agency;
- Providing two (2) technical assistance trainings to area businesses;
- Programming a beautification activity for vacant real estate in LIRI service area;
- Organizing programs related to Manufacturing Day, Women’s History Month, Black History Month, National Hispanic Heritage Month or similar events;
- Providing opportunities for the City to communicate with LIRI service area businesses including hosting community meetings and sharing City notices;
- When applicable, aggressively marketing TIF programs and redevelopment sites in TIF areas to prospective businesses, developers, and investors; and
- Responding to DPD requests and assignments as needed.

PERFORMANCE MEASUREMENT

Agency performance on LIRI contracts will be evaluated on factors including the following:

- Work plan fulfillment and contract compliance including meeting reporting deadlines;
- Number of reported engagements that identify business needs and lead to business solutions and sustainability;
- Number of new businesses or businesses retained or expanded because of LIRI assistance;
- Number of projects utilizing City programs;
- Number of jobs created and retained;
- Amount of public and private funds invested; and
- Number of industrial businesses with that hire and train employees from local or INVEST South/West communities.

APPLICANT SELECTION CRITERIA

Applications will be reviewed on a 100-point scale based on the following criteria:

Points	Criteria
30	Organizational Capacity
30	Proposed Program
20	Reasonable Costs, Budget Justification, and Leverage of Funds
10	Program Quality and Impact
10	Overall Responsiveness

To determine scores, evaluators will review:

1. Staff assigned to the LIRI project;
2. The Board of Directors composition - **Board members should reflect the demographical makeup of the community. The composition of board representatives should embody local industrial business owners, residents, property owners, and community leaders;**
3. Experience with economic development projects;
4. Experience working with industrial businesses;
5. Reasonable implementation costs and justification for amount of funding requested;
6. The agency’s fiscal stability including its sources of operating revenue;
7. Demonstrated ability to meet contract compliance and work plan requirements;

8. Justification for the contract, including an identified need for the contract and the applicant’s organizational capacity to fulfill the need;
9. Responsiveness to the LIRI Program expectations; and
10. Responsiveness to this application including timeliness, quality, and completeness.

REQUIRED DOCUMENTATION

All applicants shall provide the documentation listed below, which will be available on the LIRI program’s iSupplier RFP application site. Documents must be provided in Excel format.

1. Proposed 2022 LIRI Work Plan using DPD’s template.
2. Agency’s complete list of funding sources for 2021 and 2022 (proposed)
3. Agency’s 2021 and 2022 (proposed) Cost Allocation Plan showing the proposed LIRI funding and all other fund sources and allocations for your entire organization.

ANTICIPATED TERM OF CONTRACT AND FUNDING SOURCE

The term of contracts executed under this RFP will be for two consecutive one-year periods. The first year funding award will cover the period of January 1, 2022 to December 31, 2022. Based on performance and availability of funds, the contract may be extended for an additional year, from January 1, 2023 to December 31, 2023. Additional extensions may be granted, not to exceed one additional year. Programs may be funded with a combination of Corporate and Tax Increment Financing (TIF) funds. If so, specific reporting regarding TIF marketing activities will be required. Final awards are contingent on the availability of funds from the various sources identified above.

PRIOR YEAR STATISTICS FOR THIS PROGRAM

Applications received:	11
Projects funded:	10
Range of funding:	\$15,302-\$119,911
Total funding:	\$741,223

PROGRAM CONTACT

Fariduddin Muhammad, Coordinator of Economic Development I
 Phone: 312.744.0352
 Email: fariduddin.muhammady@cityofchicago.org
 DPD Website: cityofchicago.org/DPD

OBTAINING THE RFP SOLICITATION DOCUMENTS

Documents may be downloaded from the website of the Department of Procurement Services at the following URL: cityofchicago.org/eProcurement. Click on “Current Bids and Solicitation Opportunities”, then “eProcurement Bid and RFP solicitation Opportunities”. Respondents are solely responsible for obtaining all RFP Solicitation Documents including Clarifications and Addenda’s. In order to receive Notice of Clarifications and Addenda, Respondents must log-in to iSupplier, search for the RFP solicitation number, review, and accept the disclaimer. The City accepts no responsibility for the timely delivery of materials or failure of the Respondent to submit required information, as identified in this RFP. The City will not accept Proposals that are not received by deadline of this RFP.

KEY TARGET DATES

RFP Issuance: June 21, 2021
 Virtual RFP technical assistance training: July 7, 2021
 RFP Application open: June 21, 2021

COMPLIANCE WITH LAWS, STATUTES, ORDINANCES, AND EXECUTIVE ORDERS

Grant awards will not be final until the City and the respondent have fully negotiated and executed a grant agreement. All payments under grant agreements are subject to annual appropriation and availability of funds. The City assumes no liability for costs incurred in responding to this RFP or for costs incurred by the respondent in anticipation of a grant agreement. As a condition of a grant award, Respondents must comply with the following and with each provision of the grant agreement:

i. Conflict of Interest Clause: No member of the governing body of the City of Chicago or other unit of government and no other officer, employee, or agent of the City of Chicago or other government unit who exercises any functions or responsibilities in connection with the carrying out of the project shall have any personal interest, direct or indirect, in the grant agreement.

The respondent covenants that he/she presently has no interest, and shall not acquire any interest, direct, or indirect, in the project to which the grant agreement pertains which would conflict in any manner or degree with the performance of his/her work hereunder. The respondent further covenants that in the performance of the grant agreement no person having any such interest shall be employed.

ii. Governmental Ethics Ordinance, Chapter 2-156: All Respondents agree to comply with the Governmental Ethics Ordinance, Chapter 2-156 which includes the following provisions: a) a representation by the respondent that he/she has not procured the grant agreement in violation of this order; and b) a provision that any grant agreement which the respondent has negotiated, entered into, or performed in violation of any of the provisions of this Ordinance shall be voidable by the City.

iii. Successful Respondents shall establish procedures and policies to promote a Drug-free Workplace. The successful respondent shall notify employees of its policy for maintaining a drug-free workplace, and the penalties that may be imposed for drug abuse violations occurring in the workplace. The successful respondent shall notify the City if any of its employees are convicted of a criminal offense in the workplace no later than ten days after such conviction.

iv. Business Relationships with Elected Officials - Pursuant to Section 2-156-030(b) of the Municipal Code of Chicago, as amended (the "Municipal Code") it is illegal for any elected official of the City, or any person acting at the direction of such official, to contact, either orally or in writing, any other City official or employee with respect to any matter involving any person with whom the elected official has a business relationship, or to participate in any discussion in any City Council committee hearing or in any City Council meeting or to vote on any matter involving the person with whom an elected official has a business relationship. Violation of Section 2-156-030(b) by any elected official with respect to the grant agreement shall be grounds for termination of the grant agreement. The term business relationship is defined as set forth in Section 2-156-080 of the Municipal Code.

Section 2-156-080 defines a "business relationship" as any contractual or other private business dealing of an official, or his or her spouse or domestic partner, or of any entity in which an official or his or her spouse or domestic partner has a financial interest, with a person or entity which entitles an official to compensation or payment in the amount of \$2,500 or more in a calendar year; provided, however, a financial interest shall not include: (i) any ownership through purchase at fair market value or inheritance of less than one percent of the share of a corporation, or any corporate subsidiary, parent or affiliate thereof, regardless of the value of or dividends on such shares, if such shares are registered on a securities exchange pursuant to the Securities Exchange Act of 1934, as amended; (ii) the authorized compensation paid to an official or employee for his office or employment; (iii) any economic benefit provided equally

to all residents of the City; (iv) a time or demand deposit in a financial institution; or (v) an endowment or insurance policy or annuity contract purchased from an insurance company. A “contractual or other private business dealing” shall not include any employment relationship of an official’s spouse or domestic partner with an entity when such spouse or domestic partner has no discretion concerning or input relating to the relationship between that entity and the City.

v. Compliance with Federal, State of Illinois and City of Chicago regulations, ordinances, policies, procedures, rules, executive orders and requirements, including Disclosure of Ownership Interests Ordinance (Chapter 2-154 of the Municipal Code); the State of Illinois - Certification Affidavit Statute (Illinois Criminal Code); State Tax Delinquencies (65ILCS 5/11-42.1-1); Governmental Ethics Ordinance (Chapter 2-156 of the Municipal Code); Office of the Inspector General Ordinance (Chapter 2-56 of the Municipal Code); Child Support Arrearage Ordinance (Section 2-92-380 of the Municipal Code); and Landscape Ordinance (Chapters 32 and 194A of the Municipal Code).

vi. If selected for grant award, Respondents are required to (a) execute the Economic Disclosure Statement and Affidavit, and (b) indemnify the City as described in the grant agreement between the City and the successful Respondents.

vii. Prohibition on Certain Contributions, Mayoral Executive Order 2011-4. Neither you nor any person or entity who directly or indirectly has an ownership or beneficial interest in you of more than 7.5% ("Owners"), spouses and domestic partners of such Owners, your Subcontractors, any person or entity who directly or indirectly has an ownership or beneficial interest in any Subcontractor of more than 7.5% ("Sub-owners") and spouses and domestic partners of such Sub-owners (you and all the other preceding classes of persons and entities are together, the "Identified Parties"), shall make a contribution of any amount to the Mayor of the City of Chicago (the "Mayor") or to his political fundraising committee during (i) the bid or other solicitation process for the grant agreement or Other Contract, including while the grant agreement or Other Contract is executory, (ii) the term of the grant agreement or any Other Contract between City and you, and/or (iii) any period in which an extension of the grant agreement or Other Contract with the City is being sought or negotiated.

You represent and warrant that since the date of public advertisement of the specification, request for qualifications, request for proposals or request for information (or any combination of those requests) or, if not competitively procured, from the date the City approached you or the date you approached the City, as applicable, regarding the formulation of the grant agreement, no Identified Parties have made a contribution of any amount to the Mayor or to his political fundraising committee.

You shall not: (a) coerce, compel or intimidate your employees to make a contribution of any amount to the Mayor or to the Mayor’s political fundraising committee; (b) reimburse your employees for a contribution of any amount made to the Mayor or to the Mayor’s political fundraising committee; or (c) bundle or solicit others to bundle contributions to the Mayor or to his political fundraising committee.

The Identified Parties must not engage in any conduct whatsoever designed to intentionally violate this provision or Mayoral Executive Order No. 2011-4 or to entice, direct or solicit others to intentionally violate this provision or Mayoral Executive Order No. 2011-4.

Violation of, non-compliance with, misrepresentation with respect to, or breach of any covenant or warranty under this provision or violation of Mayoral Executive Order No. 2011-4 constitutes a breach and default under the grant agreement, and under any Other Contract for which no opportunity to cure will be granted. Such breach and default entitles the City to all remedies (including without limitation

termination for default) under the grant agreement, under any Other Contract, at law and in equity. This provision amends any Other Contract and supersedes any inconsistent provision contained therein.

If you violate this provision or Mayoral Executive Order No. 2011-4 prior to award of the Agreement resulting from this specification, the Commissioner may reject your bid.

For purposes of this provision:

"Other Contract" means any agreement entered into between you and the City that is (i) formed under the authority of Municipal Code Ch. 2-92; (ii) for the purchase, sale or lease of real or personal property; or (iii) for materials, supplies, equipment or services which are approved and/or authorized by the City Council.

"Contribution" means a "political contribution" as defined in Municipal Code Ch. 2-156, as amended.

"Political fundraising committee" means a "political fundraising committee" as defined in Municipal Code Ch. 2-156, as amended.

viii. (a) The City is subject to the June 24, 2011 "City of Chicago Hiring Plan" (the "2011 City Hiring Plan") entered in *Shakman v. Democratic Organization of Cook County*, Case No 69 C 2145 (United States District Court for the Northern District of Illinois). Among other things, the 2011 City Hiring Plan prohibits the City from hiring persons as governmental employees in non-exempt positions on the basis of political reasons or factors.

(b) You are aware that City policy prohibits City employees from directing any individual to apply for a position with you, either as an employee or as a subcontractor, and from directing you to hire an individual as an employee or as a subcontractor. Accordingly, you must follow your own hiring and contracting procedures, without being influenced by City employees. Any and all personnel provided by you under the grant agreement are employees or subcontractors of you, not employees of the City of Chicago. The grant agreement is not intended to and does not constitute, create, give R.I.S.E to, or otherwise recognize an employer-employee relationship of any kind between the City and any personnel provided by you.

(c) You will not condition, base, or knowingly prejudice or affect any term or aspect of the employment of any personnel provided under the grant agreement, or offer employment to any individual to provide services under the grant agreement, based upon or because of any political reason or factor, including, without limitation, any individual's political affiliation, membership in a political organization or party, political support or activity, political financial contributions, promises of such political support, activity or financial contributions, or such individual's political sponsorship or recommendation. For purposes of the grant agreement, a political organization or party is an identifiable group or entity that has as its primary purpose the support of or opposition to candidates for elected public office. Individual political activities are the activities of individual persons in support of or in opposition to political organizations or parties or candidates for elected public office.

(d) In the event of any communication to you by a City employee or City official in violation of paragraph (b) above or advocating a violation of paragraph (c) above, you will, as soon as is reasonably practicable, report such communication to the Hiring Oversight Section of the City's Office of the Inspector General ("IGO Hiring Oversight"), and also to the head of the Department. You will also cooperate with any inquiries by IGO Hiring Oversight related to this Agreement.