

Affidavits and Certifications

City of Chicago
Richard M. Daley, Mayor

Department of Planning and Development
J. F. Boyle, Jr., Commissioner

8000469

DEPARTMENT OF PLANNING AND DEVELOPMENT
121 NORTH LASALLE STREET, ROOM 1000
CHICAGO, IL 60602
(312) 744-9220

PRELIMINARY APPLICATION
AND
ECONOMIC DISCLOSURE STATEMENT

Pursuant to Chapter 26.1 of the Municipal Code, the following information is required to be disclosed prior to any City Agency, Department or City Council action. Please fill out the statement completely, with all information current as of the attestation date. Every question must be answered. If a question is not applicable, answer with "N.A."

An incomplete or improperly attested Disclosure Statement will be returned and any anticipated City action shall be interrupted.

I. GENERAL INFORMATION

- A. Applicant: Republic Aluminum Inc.
- B. City Agency Receiving Application: Department of Planning & Development
- C. Type of Action Requested: CDBG Loan & TIF
- D. Project Location: Goose Island, bounded by North Branch Canal, Weed, Cherry, Hickory and Evergreen Streets
- E. Brief Project Description: 290,000 square foot window manufacturing facility.
- F. Total Project Cost: \$17,376,402
- G. Loan Amount/Grant Amount/Level of Assistance Requested: \$10,000,000 CDBG,
\$6,000,000 TIF


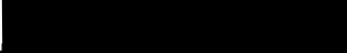
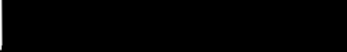
H. Purpose of Loan/Grant Assistance: Infrastructure Cost
Environmental
Acquisition

II. **APPLICANT INFORMATION**

- A. The Applicant is a(n):
1. Individual _____
 2. Corporation _____
 3. General Partnerships _____
 4. Limited Partnership _____
 5. Association, Joint or Common Venture _____
 6. Land Trust, Business Trust, Estate _____

B. FOR A CORPORATION, CORPORATE PARTNER, CORPORATE SHAREHOLDER OR CORPORATE BENEFICIARY:

1. If the applicant is a corporation having fewer than 100 shareholders indicate or attach hereto a list of the names and addresses of all shareholders and the percentage of interest of each therein; identify the officers and the directors of such corporation.

William Spiel, am.		Chicago, IL 60611
Ronald Spielman,		o, IL 60614
Richard Gillman,		ago, IL 60614

2. If the applicant is a corporation having 100 shareholders or more indicate or attach hereto a list of the names and addresses of all shareholders owning shares equal to or in excess of 3% of the proportionate ownership interest and the percentage of interest of each therein; identify the officers and the directors of such corporation.

Richard Gillman	13%	Executive Vice President - Director
Ron Spielman	55%	President - Director
William Spielman	30%	Chairman of Board - Director
Mirian Spielman		- Director

3. The applicant is incorporated under the laws of the state of Ill. and authorized to do business in the state of Ill.. Its principal office is located at 1725 W Diversey Chicago, IL 60614.

Note: Any corporation required by law to file a statement providing substantially the information required above, with any other governmental agency may, in lieu of the above, file

a duplicate of such statement or sufficient parts thereof to provide the required information, with the approval of the Corporation Counsel.

C. FOR A PARTNERSHIP, ASSOCIATION, JOINT OR COMMON VENTURE:

1. If the applicant is a partnership, association or joint or common venture, indicate below the name and address of each such partner, associate, or person and the percentage of interest of each therein.

D. FOR A LAND TRUST, BUSINESS TRUST, ESTATE OR OTHER:

1. If the applicant is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held, including the name, address and percentage of interest of each beneficiary.

Note: Whenever a stock or beneficial interest is held by a corporation or other legal entity, such share-holder or beneficiary shall also make disclosure as required above for corporations or other legal entities. Attach any additional information required to this statement.

III. OTHER PROJECT INFORMATION

- A. Title to the subject property is presently held by:

CMC HEARTLAND PARTNERS
(Name and address)

If title held in a land trust, list below the name, address and percentage of interest of each beneficiary:

Real Estate Tax Index Number(s): 17-05-205-008
17-05-205-020
17-05-205-025

B. Applicant Attorney:

Name: Samuel J. Polsky
Address: Polsky & Riordan Ltd., 205 N. Michigan, #3909, Chgo. 60601
Telephone: 312-540-0200

C. Bond Counsel:

Name: _____
Address: _____
Telephone: _____

D. Primary Permanent Lender:

Name: American National Bank
Address: 33 North LaSalle, Chicago, Illinois
Contact Person: Art Murray
Telephone: 312-661-9343

E. Loan Participant or Additional Lender (if any):

Name: _____
Address: _____
Contact Person: _____
Telephone: _____

F. Interim/Construction Lender:

Name: _____
Address: _____
Contact Person: _____
Telephone: _____

G. Architect:

Name: _____

Address: _____

Telephone: _____

H. General Contractor:

Name: _____ Creative Construction

Address: _____ 400 W. Huron Chicago, IL 60610

Telephone: _____ 312-266-9800

I. Surety, Letter of Credit or Credit Instrument Obligor:

Name: _____

Address: _____

Contact Person: _____

Telephone: _____

J. Consultant:

Name: _____

Address: _____

Contact Person: _____

Telephone: _____

Role in Project: _____

IV. ADDITIONAL APPLICANT INFORMATION

- A. Has the applicant or a member of the applicant
- a. ever been a defendant in any suits or legal actions? Yes X No
 - b. ever had any debts discharged, satisfied or settled under the Bankruptcy Act? Yes No X
 - c. ever had a judgment against him? Yes X No
 - d. ever been a party to a foreclosure, a deed in lieu of foreclosure, a loan default or loan "workout" situation? Yes No X

Note: If the answer to any of the above questions is "Yes" please attach a separate schedule explaining the circumstances, parties involved and resolution or status.

B. Applicant Credit References

Please list below the names of banks, finance companies or other creditors where credit has been obtained.

Name	Address	Contact Person
American National Bank	33 N. LaSalle St. Chicago, IL 60690	John McKinnon
See Attached List		
Name	Address	Contact Person
Name	Address	Contact Person

V. ADDITIONAL SCHEDULES REQUIRED TO BE ATTACHED OF THIS STATEMENT.

- A. Proposed Project Budget
- B. Proposed Sources and Uses of Funds
- C. Applicant's Track Record With Same or Similar Projects
- D. Applicant's Corporate and/or Personal Financial Statements, as Applicable

Note: Pursuant to section 26.1-3 of the Municipal Code of the City of Chicago, the Corporation Counsel of the City of Chicago may require any such additional information from any applicant to achieve full disclosure relevant to the request for action by the City Council or other City agency. Pursuant to Section 26.1-2 of the Municipal Code of the City of Chicago any material change in the information required above must be provided by supplementing this statement at any time up to the time the City Council or other City agency takes action on the application.

Page 7: Question IV A. a.

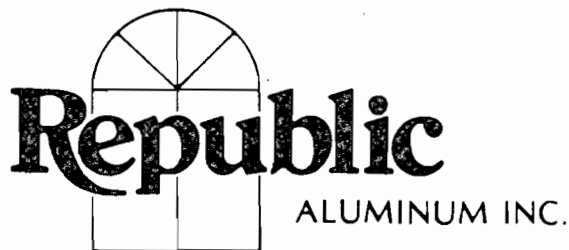
Suits by customers for product performance & suits by supplier/vendors for defective equipment/supplies.

Page 7. Question IV A. c.

Suits settled through dismissal in court or by settlement of amount asked for in initial suit. All cases have been settled/dismissed.

Page 7: Question V C.

In 1983, Republic closed on a \$1,000,000.00 Industrial Revenue Bond. The balance currently owed is \$180,000.00. This balance will be paid in full in 1998.



... just give us an opening.

REPUBLIC ALUMINUM, INC.
TRADE REFERENCES

BANK: American National Bank
33 N. LaSalle Dr.
Chicago, IL 60602
PH: (312) 661-5207
ATTN:
Account No. 4046919

Trade References

Guardian Industries
14600 Romine Rd.
Carleton, MI 48117
PH: (313) 962-2252

Astro Shapes
65 Main St.
Struthers, OH 44471
PH: (216) 755-1414

Ashland Products
10910 S. Langley
Chicago, IL 60628
PH: (312) 568-6500]

Caldwell Manufacturing Co.
2605 Manitou Rd.
Rochester, NY
PH: (716) 352-3790

Truth
700 W. Bridge St.
Owatonna, MN 55060
PH: (507) 451-5620

McMaster-Carr
P.O. Box 4355
Chicago, IL 60680
PH: (708) 834-9600

L.B. Plastics, Inc.
Highway 150 - Plaza Dr.
Mooresville, NC 28115
PH: (704) 663-1543

Hygrade Metal Molding
540 Smith St.
Farmingdale, NY 11735
PH: (516) 293-8797

PPG Industries, Inc.
2800 W. Higgins Rd., Ste. 255
Hoffman Estates, IL 60195
PH: (708) 884-1840

Custom Window Extrusion, Inc.
1 Contact Place
Delmont, PA 15626
PH: (412) 468-4553

Veka, Inc.
100 Veka Dr.
Fombell, PA 16123
PH: (800) 654-5589

Keymark Corporation
Fonda, New York 12068
PH: (518) 853-3421

1725 W. DIVERSEY PKWY. • CHICAGO, IL 60614-1009

PHONE: (312) 525-6000 • FAX: (312) 525-1373

SWORN STATEMENT AND ATTESTATION

State of ILLINOIS)
County of COOK) SS:

The undersigned, having been duly sworn, states that (he) (she) is authorized to make this affidavit in behalf of the applicant, that the information disclosed in this preliminary application and economic disclosure statement and any accompanying schedules is true and complete to the best of (his) (her) knowledge, and that the applicant has withheld no disclosure as to economic interest in the undertaking for which this application is made, nor reserved any information, date or plan as to the intended use or purpose for which it seeks action by the City Council or pertinent City agency.

Ronald Spelman
Signature of Person Making Statement
Name: RONALD SPELMAN
Address: [REDACTED] CHICAGO, ILL
Telephone: [REDACTED]
Relationship to Applicant: PRESIDENT

Subscribed to before me this 15TH day of JUNE, 1996 A.D.



Elizabeth M. Ryan
Notary Public
Commission Expires: 5 JULY 99

AFFIDAVIT

State of Illinois)
) SS
County of Cook)

The undersigned affiant, being first duly sworn, on oath says, and also covenants with and warrants to the City of Chicago.

That this instrument is made to induce, and in consideration of the City of Chicago's consummation of a business loan to affiant.

That all water taxes, property taxes and sales taxes, except the current bill, have been paid in reference to the premises which is the subject matter of the application and agreement.

That affiant is not in default or in the arrears on any outstanding commercial loans or water taxes, property taxes, sales taxes or assessments owed to the City of Chicago personally or by any partnership, corporation, joint venture, or land trust in which the affiant has at least a 5% beneficial interest.

That since the initial date of application, affiant has not done or suffered to be done anything that could in any way affect the title to the premises which is the subject of the application and no proceedings have been filed by or against affiant, nor has any judgment or decree been rendered against affiant, nor is there any judgment note or other instrument that can result in a judgment or decree against affiant within the five days from the date hereof.

That in the event of breach of any of the covenants or warranties stated herein, the entire loan balance shall become immediately due and payable to the City of Chicago.

BY: _____

(Type Name)

BY: Ronald Feichman

(Type Name)

ATTEST:

REPUBLIC ALUMINUM, INC.
(Company Name)

BY: _____

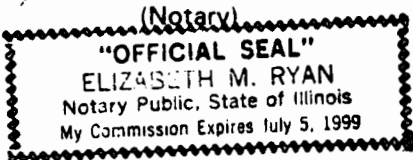
BY: M. Wossem

ITS: _____

ITS: CONTROLLER

Subscribed and sworn to before me this 19th day of JANUARY 1996.

Elizabeth M. Ryan My Commission Expires 5 JULY 1999.



CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements.

The undersigned certifies, to the best of his or her knowledge and belief, that:

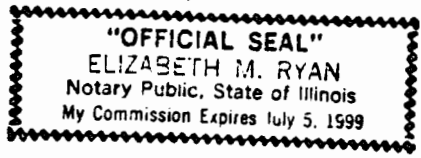
(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an Officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization: REPUBLIC ALUMINUM, INC. Date: 1/19/96
Representative: Ronald Spitzer Title: PRESIDENT
Notary: Elizabeth M. Ryan Expiration Date: 5 JULY 99



ETHICS CERTIFICATION

State of Illinois)
) SS
 County of Cook)

This certificate, dated _____, is being delivered by RONALD SPIELMAN
 _____, both individually and on behalf of REPUBLIC ALUMINUM (collectively referred
 to as "Borrower"), to the City of Chicago ("City") in connection with City approval of a \$ _____
 loan to Borrower through the City's _____ Program.

The Borrower hereby certifies as follows:

1. Neither the Borrower or any official, agent or employee of the Borrower in the last three (3) years has: (a) been convicted of bribery or attempting to bribe a public officer or employee of the City, the State of Illinois or any agency of the federal government or of any state or local government in the United States, in that officer's or employee's official capacity; or (b) been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price, or otherwise; or (c) made an admission of guilt of such conduct described in (a) or (b) above which is a matter of record, but has not been prosecuted for such conduct.

2. The Borrower shall comply with the applicable requirements of the Governmental Ethics Ordinance of the City, Chapter 2-156 of the Municipal Code of the City.

3. The Borrower is not delinquent in any tax administered by the Illinois Department of Revenue or, if delinquent, the Borrower is contesting liability for any such tax in compliance with an agreement with the Department of Revenue for the payment of any such tax.

4. The Borrower understands and will comply with all the provisions of Chapter 2-56 of the Municipal Code of the City (Office of the Inspector General).

BY: _____

 (Type Name)

BY: Ronald Spielman

 (Type Name)

ATTEST:

REPUBLIC ALUMINUM, Inc.

 (Company Name)

BY: _____

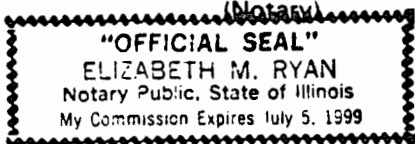
BY: MW

ITS: _____

ITS: Contractor

Subscribed and sworn to before me this 15TH day of JANUARY 19 96.

Elizabeth M. Ryan My Commission Expires 5 JULY 19 95.



Affidavits and Certifications

City of Chicago
Richard M. Daley, Mayor

Department of Planning and Development
J. F. Boyle, Jr., Commissioner

8000470

DEPARTMENT OF PLANNING AND DEVELOPMENT
121 NORTH LASALLE STREET, ROOM 1000
CHICAGO, IL 60602
(312) 744-9220

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- F. Total Project Cost: \$17,376,402
- G. Loan Amount/Grant Amount/Level of Assistance Requested: \$10,000,000 CDBG,
\$6,000,000 TIF

H. Purpose of Loan/Grant Assistance: Infrastructure Cost
Environmental
Acquisition

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- A. The Applicant is a(n):
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 3. General Partnerships _____
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 5. Association, Joint or Common Venture _____
 6. Land Trust, Business Trust, Estate _____

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1. If the applicant is a corporation having fewer than 100 shareholders indicate or attach hereto a list of the names and addresses of all shareholders and the percentage of interest of each therein; identify the officers and the directors of such corporation.

William Spiel, am. 132 E. Delaware #6006 Chicago, IL 60611

Ronald Spielman, 1924 N. Orchard Chicago, IL 60614

Richard Gillman, 1835 N. Cleveland Chicago, IL 60614

- If the applicant is a corporation having 100 shareholders or more indicate or attach hereto a list of the names and addresses of all shareholders owning shares equal to or in excess of 3% of the proportionate ownership interest and the percentage of interest of each therein; identify the officers and the directors of such corporation.

Richard Gillman 13% Executive Vice President - Director

Ron Spielman 55% President - Director

William Spielman 30% Chairman of Board - Director

Mirian Spielman - Director

3. The applicant is incorporated under the laws of the state of Ill. and authorized to do business in the state of Ill.. Its principal office is located at 1725 W Diversey Chicago, IL 60614.

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- A. Title to the subject property is presently held by:

CMC HEARTLAND PARTNERS
(Name and address)

If title held in a land trust, list below the name, address and percentage of interest of each beneficiary:

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17-05-205-020
17-05-205-025

B. Applicant Attorney:

Name: Samuel J. Polsky
Address: Polsky & Riordan Ltd., 205 N. Michigan, #3909, Chgo. 60601
Telephone: 312-540-0200

C. Bond Counsel:

Name: _____
Address: _____
Telephone: _____

D. Primary Permanent Lender:

Name: American National Bank
Address: 33 North LaSalle, Chicago, Illinois
Contact Person: Art Murray
Telephone: 312-661-9343

E. Loan Participant or Additional Lender (if any):

Name: _____
Address: _____
Contact Person: _____
Telephone: _____

F. Interim/Construction Lender:

Name: _____
Address: _____
Contact Person: _____
Telephone: _____

G. Architect:

Name: _____

Address: _____

Telephone: _____

H. General Contractor:

Name: Creative Construction

Address: 400 W Huron Chicago, IL 60610

Telephone: 312-266-9800

I. Surety, Letter of Credit or Credit Instrument Obligor:

Name: _____

Address: _____

Contact Person: _____

Telephone: _____

J. Consultant:

Name: _____

Address: _____

Contact Person: _____

Telephone: _____

Role in Project: _____

IV. ADDITIONAL APPLICANT INFORMATION

- A. Has the applicant or a member of the applicant
- a. ever been a defendant in any suits or legal actions? Yes X No
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Please list below the names of banks, finance companies or other creditors where credit has been obtained.

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V. ADDITIONAL SCHEDULES REQUIRED TO BE ATTACHED OF THIS STATEMENT.

- A. Proposed Project Budget
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- C. Applicant's Track Record With Same or Similar Projects
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Page 7: Question IV A. a.

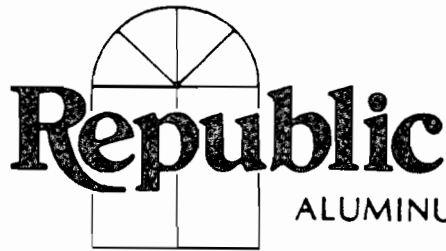
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Page 7: Question V C.

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ALUMINUM INC.

... just give us an opening.

REPUBLIC ALUMINUM, INC.
TRADE REFERENCES

BANK: American National Bank
33 N. LaSalle Dr.
Chicago, IL 60602
PH: (312) 661-5207
ATTN:
Account No. 4046919

Trade References

Guardian Industries
14600 Romine Rd.
Carleton, MI 48117
PH: (313) 962-2252

Astro Shapes
65 Main St.
Struthers, OH 44471
PH: (216) 755-1414

Ashland Products
10910 S. Langley
Chicago, IL 60628
PH: (312) 568-6500]

Caldwell Manufacturing Co.
2605 Manitou Rd.
Rochester, NY
PH: (716) 352-3790

Truth
700 W. Bridge St.
Owatonna, MN 55060
PH: (507) 451-5620

McMaster-Carr
P.O. Box 4355
Chicago, IL 60680
PH: (708) 834-9600

L.B. Plastics, Inc.
Highway 150 - Plaza Dr.
 Mooresville, NC 28115
PH: (704) 663-1543

Hygrade Metal Molding
540 Smith St.
Farmingdale, NY 11735
PH: (516) 293-8797

PPG Industries, Inc.
2800 W. Higgins Rd., Ste. 255
Hoffman Estates, IL 60195
PH: (708) 884-1840

Custom Window Extrusion, Inc.
1 Contact Place
Delmont, PA 15626
PH: (412) 468-4553

Veka, Inc.
100 Veka Dr.
Fombell, PA 16123
PH: (800) 654-5589

Keymark Corporation
Fonda, New York 12068
PH: (518) 853-3421

1725 W. DIVERSEY PKWY. • CHICAGO, IL 60614-1009

PHONE: (312) 525-6000 • FAX: (312) 525-1373

SWORN STATEMENT AND ATTESTATION

State of ILLINOIS)
County of COOK) SS:

The undersigned, having been duly sworn, states that (he) (she) is authorized to make this affidavit in behalf of the applicant, that the information disclosed in this preliminary application and economic disclosure statement and any accompanying schedules is true and complete to the best of (his) (her) knowledge, and that the applicant has withheld no disclosure as to economic interest in the undertaking for which this application is made, nor reserved any information, date or plan as to the intended use or purpose for which it seeks action by the City Council or pertinent City agency.

Ronald Spelman
Signature of Person Making Statement
Name: RONALD SPELMAN
Address: 1924 N. ORCHARA CHICAGO, ILL
Telephone: 312-625-6000
Relationship to Applicant: PRESIDENT

Subscribed to before me this 15TH day of JANUARY A.D. 1996.



Elizabeth M. Ryan
Notary Public
Commission Expires: 5 JULY 99

AFFIDAVIT

State of Illinois)
) SS
County of Cook)

The undersigned affiant, being first duly sworn, on oath says, and also covenants with and warrants to the City of Chicago.

That this instrument is made to induce, and in consideration of the City of Chicago's consummation of a business loan to affiant.

That all water taxes, property taxes and sales taxes, except the current bill, have been paid in reference to the premises which is the subject matter of the application and agreement.

That affiant is not in default or in the arrears on any outstanding commercial loans or water taxes, property taxes, sales taxes or assessments owed to the City of Chicago personally or by any partnership, corporation, joint venture, or land trust in which the affiant has at least a 5% beneficial interest.

That since the initial date of application, affiant has not done or suffered to be done anything that could in any way affect the title to the premises which is the subject of the application and no proceedings have been filed by or against affiant, nor has any judgment or decree been rendered against affiant, nor is there any judgment note or other instrument that can result in a judgment or decree against affiant within the five days from the date hereof.

That in the event of breach of any of the covenants or warranties stated herein, the entire loan balance shall become immediately due and payable to the City of Chicago.

BY: _____

(Type Name)

BY: Ronald Seeman

(Type Name)

ATTEST:

REPUBLIC ALUMINUM, INC.
(Company Name)

BY: _____

BY: M. Wossein

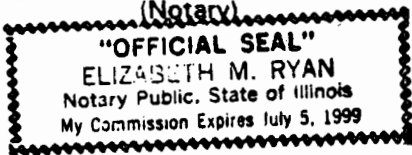
ITS: _____

ITS: CONTROLLER

Subscribed and sworn to before me this 19th day of JANUARY 1991.

Elizabeth M. Ryan My Commission Expires 5 JULY 1999.

(Notary)



CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements.

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an Officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization: REPUBLIC ALUMINUM, INC. Date: 1/19/96
Representative: Ronald Spelman Title: PRESIDENT
Notary: Elizabeth M. Ryan Expiration Date: 5 JULY 99



ETHICS CERTIFICATION

State of Illinois)
) SS
County of Cook)

This certificate, dated _____, is being delivered by RONALD SPIELMAN
_____, both individually and on behalf of REPUBLIC ALUMINUM (collectively referred
to as "Borrower"), to the City of Chicago ("City") in connection with City approval of a \$ _____
loan to Borrower through the City's _____ Program.

The Borrower hereby certifies as follows:

1. Neither the Borrower or any official, agent or employee of the Borrower in the last three (3) years has: (a) been convicted of bribery or attempting to bribe a public officer or employee of the City, the State of Illinois or any agency of the federal government or of any state or local government in the United States, in that officer's or employee's official capacity; or (b) been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price, or otherwise; or (c) made an admission of guilt of such conduct described in (a) or (b) above which is a matter of record, but has not been prosecuted for such conduct.
2. The Borrower shall comply with the applicable requirements of the Governmental Ethics Ordinance of the City, Chapter 2-156 of the Municipal Code of the City.
3. The Borrower is not delinquent in any tax administered by the Illinois Department of Revenue or, if delinquent, the Borrower is contesting liability for any such tax in compliance with an agreement with the Department of Revenue for the payment of any such tax.
4. The Borrower understands and will comply with all the provisions of Chapter 2-56 of the Municipal Code of the City (Office of the Inspector General).

BY: _____

(Type Name)

BY: Ronald Spielman

(Type Name)

ATTEST:

REPUBLIC ALUMINUM, INC.

(Company Name)

BY: _____

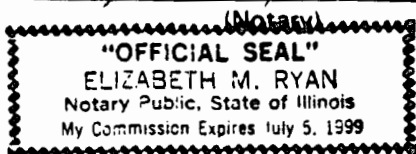
BY: MWesa

ITS: _____

ITS: Controller

Subscribed and sworn to before me this 19th day of JANUARY 19 96.

Elizabeth M. Ryan My Commission Expires 5 JULY 19 99.



CITY OF CHICAGO

ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

Pursuant to Chapter 2-154 of the Municipal Code of Chicago (the "Municipal Code"), the following information is required to be disclosed prior to any City agency, department or City Council action. Please fully complete each statement, with all information current as of the attestation date. Every question must be answered. If a question is not applicable, answer with "N.A." An incomplete EDS shall be returned and any City action shall be interrupted.

Please clearly print or type all responses.

WHO MUST FILE:

- 1. The Undersigned: Any individual or entity (the "Undersigned") making an application to the City of Chicago (the "City") for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Undersigned: Whenever an ownership interest in the undersigned (such as shares of stock of the Undersigned or a limited partnership interest in the Undersigned, for example) is held or owned by a legal entity (such as a corporation or partnership, for example) rather than an individual, each such legal entity must also file an EDS on its own behalf. If the original Undersigned is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only legal entities that own 10 percent or more of the Undersigned's stock must file EDS's on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to update this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction. If you need extra space to fully answer a question, you may insert additional pages.

I. GENERAL INFORMATION

- A. Exact legal name of Undersigned: REPUBLIC WINDOWS + DOORS, INC.
- B. Business address: 930 W. EVERGREEN CHICAGO, ILL 60622
- C. Telephone: 312-932-8000
- D. Fax: 312-932-8550
- E. Name of contact person: RONALD SPIEZMAN
- F. Project Information. (1) City agency requesting EDS: DEPT. OF PLANNING + DEVELOPMENT - FINANCE DIV
 (2) City action requested (e.g., loan, grant, sale of property): "TIF" SUBSIDY IN THE AMOUNT OF \$3,099,963.67
 (3) property location: 930 W. EVERGREEN; (4) project description: 370,000 sq. ft. WINDOW MFG. FACILITY

II. DISCLOSURE OF OWNERSHIP INTERESTS

A. GENERAL INFORMATION

1. Indicate whether the Undersigned is an individual or legal entity and, if a legal entity, indicate the type of entity below:

- Individual
- Business corporation
- Not-for-profit corporation
- General partnership
- Limited partnership
- Limited liability company

8000471

- Joint venture
- Sole proprietorship
- Other entity please specify: _____

3. State of incorporation or organization, if applicable:

ILLINOIS

4. For corporations, limited partnerships and limited liability companies not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?

Yes No

5. ORGANIZATION INFORMATION*

1. FOR CORPORATIONS:

a. List below the names and titles of the executive officers and directors of the corporation.

Name	Title
<u>RONALA SPIELMAN</u>	<u>PRESIDENT - DIRECTOR</u>
<u>RICHARD GILLMAN</u>	<u>EXECUTIVE VICE PRESIDENT - DIRECTOR</u>
<u>MIRIAM SPIELMAN</u>	<u>DIRECTOR</u>

b. For companies whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, please provide the following information concerning shareholders who own shares equal to or in excess of 10 percent of the company's outstanding shares:

Name	Business Address	Percentage Interest
<u>N/A</u>		

c. For companies that are not publicly traded pursuant to the Securities Exchange Act of 1934, list below the name, business address and percentage of ownership interest of each shareholder.

Name	Business Address	Percentage Interest
<u>N/A</u>		

*City ordinance requires that, whenever stock or beneficial interest is held by a corporation or other legal entity, the shareholder or other entity must make the disclosure as indicated herein.

3. For not-for-profit corporations, list below the officers and any paid executive of the corporation (if the not-for-profit has members who are legal entities, also list the members).

Name

Address

N/A

4. FOR PARTNERSHIPS:

For general or limited partnerships: list below the name, business address and percentage of ownership interest of each partner. For limited partnerships, indicate whether each partner is a general partner or a limited partner.

Name

Business Address

Percentage Interest

N/A

5. FOR LIMITED LIABILITY COMPANIES:

a. List below the names and titles of the executive officers, if any, of the limited liability company. If there are no officers, write "no officers."

Name

Title

N/A

b. List below the name, business address and percentage of ownership interest of each (i) member and (ii) manager. If there are no managers, write "no managers."

Name

Business Address

Percentage Interest

RONALD SPIELMAN

930 W. EVERGREEN

83%

RICH GILLMAN

930 W. EVERGREEN

17%

6. FOR LAND TRUSTS, BUSINESS TRUSTS OR ESTATES:

a. List below the name of each individual or legal entity holding legal title to the property that is the subject of the trust:

N/A

List below the name, business address and percentage of beneficial interest of each beneficiary or whose behalf title is held:

Name	Business Address	Percentage Interest
N/A		

III. CERTIFICATION OF COMPLIANCE

A. The Undersigned entity has not, in the past five years, been found in violation of any city, state or federal environmental law or regulation. If there have been any such violations, note them below:

RECEIVED CITY OF CHICAGO ORDINANCE CITATION ON 10/3/97 ALLEGING VIOLATION OF 7-28-450 + 7-28-080, 11-4-1500 AND 11-4-1520, ALL OF WHICH WERE VOLUNTARILY DISMISSED BY THE CITY ON 2/25/98

B. The Undersigned entity is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor is the entity delinquent in paying any fine, fee, tax or other charge owed to the city. This includes all water charges, sewer charges, property taxes or sales taxes. If there are any such delinquencies, note them below:

C. The Undersigned entity hereby certifies that (1) any contractors/subcontractors retained in connection with the city project have not, in the past five years, been found in violation of any city, state or federal environmental law or regulation, (2) the Undersigned will not, without the city's prior written consent, use any contractors/subcontractors who have committed such violations, and (3) the Undersigned will not use any facility on the U.S. EPA's List of Violating Facilities in connection with the project for the duration of time that the facility remains on the list.

If the Undersigned is unable to so certify, provide an explanation: CANNOT ATTEST SINCE CONTRACTORS WERE ALREADY HIRED AND PROJECT COMPLETE UPON RECEIPT OF THIS AND ALL PREVIOUS "EOS" FORMS REQUESTED!

IV. CHILD SUPPORT OBLIGATIONS

A. CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any person who owns or holds a 10 percent or more interest in the Affiant.

If the Affiant's response below is #1 or #2, then all of the Affiant's Substantial Owners must remain in compliance with any such child support obligations until the transaction is completed. Failure of the Affiant's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County or by another Illinois court of competent jurisdiction.
2. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however,

have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.

3. _____ The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both a) and (b).
4. _____ There are no Substantial Owners.

V. CERTIFICATION

A. The Undersigned and its principals (officers, directors, partners, members):

1. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
2. have not within a five-year period preceding the date hereof been convicted of a criminal offense or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (2) above; and
4. have not within a three-year period preceding the date hereof had one or more public transactions (federal, state or local) terminated for cause or default.
- E. have not, within a five-year period preceding the date hereof, been convicted, or found liable in a civil proceeding, in any criminal or civil action instituted by the city or by the federal government, any state, or any other unit of local government.

B. The Undersigned, or any party to be used in the performance of the Project (an "Applicable Party"), or any Affiliated Entity (meaning an entity that, directly or indirectly, has the legal authority to control the undersigned) of either the Undersigned or any Applicable Party, or any responsible official thereof, or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official thereof, has not, during the three years prior to the date hereof or, with respect to an Applicable Party or any Affiliated Entity thereof, during the three years prior to the date of such Applicable Party's contract in connection with the Project:

1. bribed or attempted to bribe, or been convicted of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
2. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
3. made an admission of such conduct described in (1) or (2) above which is a matter of record, but has not been prosecuted for such conduct.

C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

D. Neither the Undersigned nor any employee, official, agent or partner of the Undersigned is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3, as amended, supplemented and restated from time to time; (2) bid-rotating in violation of 720 ILCS

§ 832-4, as amended, supplemented and restated from time to time; or (3) any similar offense of any state or of the United States of America which contains the same elements as the offense of bid-rigging or bid-rotaing.

E. If the Undersigned is unable to certify to any of the above statements in this Section, the Undersigned shall explain below:

CANNOT ATTEST TO B.1.2.3. WITH RESPECT TO ANY PARTY USED IN THE PERFORMANCE OF THIS PROJECT" SINCE CONTRACTORS WERE HIRED AND PROJECT COMPLETED BEFORE RECEIPT OF THIS "EDS." WE WILL ATTEST TO A & B ABOVE WITH RESPECT TO ALL SUBSTANTIAL OWNERS OF REPUBLIC!

[If no explanation appears or begins on the lines above, it shall be conclusively presumed that the Undersigned certifies to each of the above statements.]

VI. RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. Pursuant to Executive Order 97-1, every City contract and lease must be accompanied by a statement disclosing certain information about attorneys, lobbyists, accountants, consultants, subcontractors and other persons whom the Undersigned has retained or expects to retain in connection with obtaining the contract or lease. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.
2. "Lobbyist" means any person (i) who, on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.
3. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant or other person retained or anticipated to be retained by the Undersigned in connection with obtaining the City assistance to which this EDS pertains is listed below:

Name	Business Address	Relationship (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
SAM POLSKY	205 N. MICHIGAN	ATTORNEY	\$150,000 APPROX.
WILLIAM SINGER	200 E. RANDOLPH	ATTORNEY	50,000 APPROX.
KAREN PREVA	190 S. LA SALLE	ATTORNEY	25,000 APPROX.

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED: _____

VII. BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

A. DEFINITIONS AND DISCLOSURE REQUIREMENT

1. Pursuant to an ordinance approved by the City Council on December 2, 1998, the Undersigned must indicate whether it had a "business relationship" with a City elected official in the 12 months prior to the date of execution of this EDS.
2. A "business relationship" means any "contractual or other private business dealing" of an official, or his or her spouse, or of any entity in which an official or his or her spouse has a "financial interest," with a person or entity which entitles an official to compensation or payment in the amount of \$2,500 or more in a calendar year; provided, however, a "financial interest" shall not include: (i) any

ownership through purchase at fair market value or inheritance of less than one percent of the shares of a corporation, or any corporate subsidiary, parent or affiliate thereof, regardless of the value of or dividends on such shares. If such shares are registered on a securities exchange pursuant to the Securities Exchange Act of 1934, as amended; (ii) the authorized compensation paid to an official or employee for his office or employment; (iii) any economic benefit provided equally to all residents of the City; (iv) a time or demand deposit in a financial institution; (v) an endowment or insurance policy or annuity contract purchased from an insurance company. A "contractual or other private business dealing" shall not include any employment relationship of an official's spouse with an entity when such spouse has no discretion concerning or input relating to the relationship between that entity and the City.

3. CERTIFICATION

1. Has the Undersigned had a "business relationship" with any City elected official in the 12 months prior to the date of execution of this EDS?

[] Yes [] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

ED BURKE - LAW FIRM OF KLARTER AND BURKE (SPECIFICALLY STEVEN GORNY) WITH REGARD TO PROPERTY TAX MATTERS.

VIII. CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications contained in this EDS shall become part of any contract awarded to the Undersigned by the City in connection with the City assistance to which this EDS pertains, and are a material inducement to the City's execution of such contract or other action with respect to which this EDS is being executed and delivered on behalf of the Undersigned. Furthermore, the Undersigned shall comply with the certifications contained herein during the term and/or performance of the contract or completion of the transaction.
- B. If the City determines that any information provided herein is false, incomplete or inaccurate, the City may terminate the transaction, terminate the Undersigned's participation in the transaction, and/or decline to allow the Undersigned to participate in other contracts or transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted herein.

REPUBLIC WINDOWS + DOORS, INC.

Print or type name of individual or legal entity)

By: Ronald Spielman
(sign here)

Title of signatory: PRESIDENT

Print or type name of signatory: RONALD SPIELMAN

Date: 8/30, 2000

Subscribed to before me this 29th day of August, 2000 at Cook County, Illinois.

Diane A. Gomez
Notary Public

Commission expires: 7/25/2002



(Do not write below this line except to recertify prior to submission to City Council or on the date of closing.)

RECERTIFICATION

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Undersigned hereby represents, under penalty of perjury, that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date hereof.

(Print or type name of individual or legal entity)

By: _____
(sign here)

Title of signatory: _____

Print or type
name of signatory: _____

Date: _____, 200__

Subscribed to before me this ____ day of _____,
200__ at Cook County, Illinois.

Notary Public

Commission expires: _____

**CERTIFICATE OF CURRENT & PROJECTED
EMPLOYMENT DATA FOR**

STATE OF ILLINOIS)
COUNTY OF COOK)

REPUBLIC WINDOWS & DOORS, INC.

CITY OF CHICAGO
DEPARTMENT OF PLANNING AND DEVELOPMENT

PROJECT COMPLETE

JOB CLASSIFICATION	EXISTING EMPLOYMENT		YEAR 1 NUMBER OF PROJECTED NEW EMPLOYEES		YEAR 2 NUMBER OF PROJECTED NEW EMPLOYEES		STARTING WAGE	WAGES AFTER FULLY TRAINED	ANTICIPATED TRAINING PROVIDED (Describe Briefly)
	TOTAL M=Male F=Female	MINORITY M=Male F=Female	MONTHS 1-6	MONTHS 7-12	MONTHS 13-18	MONTHS 19-24			
Officers and Managers	M 24 F 20	M 3 F 10							<i>VOCATIONAL ENGLISH AS A SECOND LANGUAGE - ON THE JOB TRAINING MATH SKILLS ENHANCEMENT SUPERVISOR TRAINING</i>
Professionals	M 0 F 0	M 0 F 0							
Technicians	M 20 F 0	M 12 F							
Sales Workers	M 25 F	M 0 F 0							
Office and Clerical	M 32 F 35	M 18 F 22							
Crafts Persons (Skilled)	M 45 F 5	M 22 F 2							
Operatives (Semi-skilled)	M 390 F 90	M 354 F 80							
Laborers (Unskilled)	M 5 F	M 3 F 0							
Service Workers	M 18 F 2	M 6 F 2							
Other	M F	M F							
TOTAL	M 550 F 161	M F							

This 30th Day of AUGUST 2008 I subscribed and sworn to before me VERIFICATION PRESIDENT The (Owner/President)
Under penalty of perjury, I certify that I am REPUBLIC WINDOWS, that I am authorized to execute this certificate, that I have
Personal knowledge of the employment data contained in this certificate and that the employment data is true.

(Seal)

Dianeh Gomez 8/29/00
Signature Date

"OFFICIAL SEAL"
DIANE A. GOMEZ
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 7/25/2002



CITY OF CHICAGO
Department of Planning and Development
PRINCIPAL PROFILE SHEET

Company: REPUBLIC WINDOWS & DOORS, INC.

Form must be filled out **COMPLETELY** for individuals and entities owning any interest in order for application to be processed.
 For TRUSTS or other entities owning an interest in the borrower, please provide complete information on trustee and beneficiary on a separate sheet.

Name: RONALD SPIELMAN
 Home Address: [REDACTED]
 Telephone: ([REDACTED])
 Social Security Number: [REDACTED]
 Date of Birth: [REDACTED]
 Driver's License Number: [REDACTED]
 License Plate Number: [REDACTED]
 Percentage Owned: 83 %

Name: RICH GILLMAN
 Home Address: [REDACTED]
 Telephone: ([REDACTED])
 Social Security Number: [REDACTED]
 Date of Birth: [REDACTED]
 Driver's License Number: [REDACTED]
 License Plate Number: [REDACTED]
 Percentage Owned: 47 %

Name: MIRIAM SPIELMAN
 Home Address: [REDACTED] 0611
 Telephone: ([REDACTED])
 Social Security Number: [REDACTED] 02
 Date of Birth: [REDACTED]
 Driver's License Number: [REDACTED] 5888
 License Plate Number: [REDACTED]
 Percentage Owned: 0 %

Name: _____
 Home Address: _____
 Telephone: (_____)
 Social Security Number: _____
 Date of Birth: _____
 Driver's License Number: _____
 License Plate Number: _____
 Percentage Owned: _____

CITY OF CHICAGO
DEPARTMENT OF PLANNING & DEVELOPMENT

ECONOMIC DISCLOSURE STATEMENT & AFFIDAVIT
(Economic Development/Housing Transactions)

Pursuant to Chapter 2-154 of the Municipal Code of Chicago (the "Municipal Code"), the following information is required to be disclosed prior to any City agency, department or City Council action. Please fully complete each statement, with all information current as of the attestation date. Every question must be answered. If a question is not applicable, answer with "N.A." *An incomplete EDS shall be returned and any City action shall be interrupted.*

Please print or type all responses clearly and legibly. If you need additional space for a response, attach extra pages. Please indicate the question to which you are responding on any extra pages you attach.

Please note that this Economic Disclosure Statement and Affidavit (the "EDS") requires you to obtain various certifications from certain other parties before they may perform any work in connection with the project. The terms of the required certifications are set forth below in Sections V, VII, VIII, IX and X.

WHO MUST FILE:

1. The Applicant: Any individual or entity (the "Applicant") making an application to the City of Chicago (the "City") for action requiring City Council or other City agency approval must file this EDS. For example, with respect to a City loan or grant, the individual or entity applying for the loan or grant is the "Applicant."
2. Entities holding an interest in the Applicant: Whenever an ownership interest in the Applicant (such as shares of stock of the Applicant or a limited partnership interest in the Applicant, for example) is held or owned by a legal entity (such as a corporation or partnership, for example) rather than an individual, each such legal entity must also file an EDS on its own behalf. If the Applicant is a not-for-profit corporation with members who elect the board of directors, those members who are legal entities and not individuals must also file EDS's on their own behalf. (Individuals who have ownership interests in the Applicant or who are members of a not-for-profit Applicant are not required to file an EDS on their own behalf.) However, if the Applicant is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only legal entities that own 10 percent or more of the Applicant's stock must file EDS's on their own behalf. A legal entity that holds an ownership interest in the Applicant and that is required to file an EDS on its own behalf shall be referred to hereinafter as a "First-Tier Related Entity."

3. Entities holding direct or indirect interest in a First-Tier Related Entity: The same rules described in (2) above also apply to owners of First-Tier Related Entities, owners of such owners, and so on.

The individual or legal entity completing this EDS shall be referred to as the "undersigned" throughout this EDS. If the party completing this EDS is not an individual but is a legal entity (such as, for example, a corporation or partnership), the person signing this EDS on behalf of such party shall be referred to as the "signatory of the undersigned."

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the undersigned acknowledges and agrees, on behalf of itself and the individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the individuals named in this EDS.

INFORMATION TO BE KEPT CURRENT: All disclosures must be current as of the date upon which the application is presented to the City Council or other City agency, and shall be maintained current until such time as the City Council or City agency shall take action on the application. This requires (i) the submission of this EDS at the time the initial application is made; and (ii) a recertification of this EDS (a) at the time the related ordinance, if any, is submitted to the City Council if such submission is more than 60 days following the original execution of this EDS; and (b) upon the closing of the related transaction.

RE-CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-execute this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

I. GENERAL INFORMATION

A. Exact legal name of undersigned: REPUBLIC WINDOWS & DOORS, INC

B. Business address: 930 W. EVERGREEN

CHICAGO, IL 60622

C. Telephone: 312.932.8000

D. Fax: 312.932.8550

E. Name of contact person: RONALD SPIELMAN/ PRESIDENT

F. City agency receiving this EDS: DEPT. OF PLANNING AND DEVELOPMENT

G. Type of action requested: CDBG LOAN AND TIF

H. Project location: 930 W EVERGREEN

CHICAGO, IL 60622

I. Brief project description: 370,000 SQ FT.

WINDOW MANUFACTURING FACILITY

J. Description and purpose of requested City assistance: _____

INFRASTRUCTURE COSTS, ENVIRONMENTAL COSTS,

ACQUISITION COSTS, BUILDING COSTS

II. DISCLOSURE OF OWNERSHIP INTERESTS

A. GENERAL INFORMATION

1. Indicate whether the undersigned is an individual or legal entity and, if a legal entity, indicate the type of entity below:

- Individual
- Business corporation
- Not-for-profit corporation
- General partnership
- Limited partnership
- Limited liability company
- Joint venture
- Sole proprietorship

Other entity (please specify) _____

2. State of incorporation or organization, if applicable:

ILLINOIS

3. For corporations, limited partnerships and limited liability companies not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?

Yes No

B. ORGANIZATION INFORMATION

1. FOR CORPORATIONS:

a. List below the names and titles of the executive officers and directors of the corporation.

Name	Title
RONALD SPIELMAN	PRESIDENT - DIRECTOR
RICHARD GILLMAN	EXECUTIVE VICE PRESIDENT - DIRECTOR
MIRIAM SPIELMAN	DIRECTOR

b. For business corporations with 100 or more shareholders, list below the name, business address and percentage of ownership interest of each shareholder owning shares equal to or in excess of 7.5 percent of the total issued and outstanding shares.

Name	Business Address	Percentage Interest
------	------------------	---------------------

c. For business corporations with fewer than 100 shareholders, list below the name, business address and percentage of ownership interest of each shareholder.

Name	Business Address	Percentage Interest
RONALD SPIELMAN	930 W. EVERGREEN - CHICAGO	62%
MIRIAM SPIELMAN	132 E DELAWARE - CHICAGO	23%
RICHARD GILLMAN	930 W EVERGREEN - CHICAGO	15%

d. For not-for-profit corporations, list below the name, business address and percentage of control of each member. If there are no members, write "no members."

Name	Business Address	Percentage Control

2. FOR PARTNERSHIPS:

For general or limited partnerships: list below the name, business address and percentage of ownership interest of each partner. For limited partnerships, indicate whether each partner is a general partner or a limited partner.

Name	Business Address	Percentage Interest

3. FOR LIMITED LIABILITY COMPANIES:

- a. List below the names and titles of the executive officers, if any, of the limited liability company. If there are no officers, write "no officers."

Name	Title
------	-------

- b. List below the name, business address and percentage of ownership interest of each (i) member and (ii) manager. If there are no managers, write "no managers."

Name	Business Address	Percentage Interest
------	------------------	---------------------

4. FOR LAND TRUSTS, BUSINESS TRUSTS OR ESTATES:

- a. List below the name of each individual or legal entity holding legal title to the property that is the subject of the trust:

-
- b. List below the name, business address and percentage of beneficial interest of each beneficiary on whose behalf title is held:

Name	Business Address	Percentage Interest
------	------------------	---------------------

5. OTHER OWNERSHIP INTERESTS

- a. Is any ownership interest in the undersigned, as described in (1)(b)-(d), (2), 3(b) or (4)(b) above, held by one or more agents or one or more nominees on behalf of another individual or legal entity?

Yes No

If so, list below the name, business address and percentage of ownership interest of each principal (whether an individual or legal entity) for whom such agent(s) or nominee(s) are holding their ownership interest(s) in the undersigned, and identify each principal's agent or nominee.

Principal's

Name	Address	Percentage Interest	Agent/Nominee
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- b. Is any ownership interest in the undersigned, as described in (1)(b)-(d), (2), 3(b) or (4)(b) above, constructively controlled (other than through an agent or nominee) by another individual or legal entity?

Yes No

If so, list below (i) the name of each individual or legal entity whose ownership interest is constructively controlled, (ii) the name, business address and percentage of ownership interest of each individual or legal entity possessing such control, and (iii) the means by which such control is or may be exercised.

III. OTHER PROJECT INFORMATION

- A. List below the name and business address of each individual or legal entity currently holding legal title to the property for which City assistance is being requested (the "Property"):

- B. If title to the Property is held in a land trust, list below the name, business address and percentage of interest of each beneficiary. If all of this information has already been provided in Section II above, indicate that below and do not repeat it here:

C. Real estate tax index number(s) for the Property:

17-05-205-008

17-05-205-028

17 05-205-020

D. Have all water charges, sewer charges, property taxes and sales taxes, due and payable on or prior to the date hereof and concerning the Property, been paid as of the date of this EDS?

Yes No

If no, describe below the kind and dollar amount of such charges or taxes and indicate by what date full payment shall be made. Failure to make full payment may halt any requested City action.

IV. ADDITIONAL INFORMATION

Has the undersigned or any member, partner, beneficiary or owner of the undersigned:

A. ever been a defendant in any civil or criminal suits or legal actions?

Yes No

B. ever had any debts discharged, satisfied or settled under the Bankruptcy Act?

Yes No

C. ever had a judgment entered against him/her/it?

Yes No

D. ever been a party to a foreclosure, a deed in

lieu of foreclosure, a loan default or loan "workout" situation?

Yes No

NOTE: If the answer to any of the above questions is "yes," attach a separate schedule explaining the circumstances, parties involved and resolution or status. A specific description must be provided for each case.

V. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the undersigned nor any "Affiliated Entity" (as defined below) of the undersigned has, during a period of five years prior to the date hereof:

- (1) violated or engaged in any conduct which violated Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other "Environmental Restriction" (as defined below);
- (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from the City, the State of Illinois, the federal government, any state or political subdivision thereof, or any agency, court or body of the federal government or any state or political subdivision thereof, exercising executive, legislative, judicial, regulatory or administrative functions, relating to a violation or alleged violation of Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction; or
- (3) been subject to any fine or penalty of any nature for failure to comply with Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction.

B. If the undersigned is unable to certify to any of the above statements in this Section V, the undersigned shall identify all exceptions and indicate whether any such exceptions occurred within the City or otherwise pertain to the City:

Received City of Chicago Ordinance Citation on 10/3/97 alleging
Violation of 7-28-450 and 7 -28-080, 11-4-1500 and 11-4-1520. all
of which were voluntarily dismissed by the city on 2/25/98.

[If no explanation appears or begins on the lines above, it shall be conclusively presumed that the undersigned certifies to each of the above statements.]

- C. The undersigned covenants and agrees that the undersigned shall:
- (1) prior to completion of the project to which this EDS pertains (the "Project"), not violate any provision of Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction;
 - (2) not use any facility on the United States Environmental Protection Agency's List of Violating Facilities (the "List") in connection with the Project for the duration of time that the facility remains on the List; and
 - (3) immediately notify any federal agency which is awarding funds in connection with the Project if a facility that the undersigned intends to use is on the List or if the undersigned knows that any such facility has been recommended to be placed on the List.

* D. The undersigned has obtained certifications in form and substance equal to Section V(A)-(B) of this EDS from all contractors or subcontractors that the undersigned presently intends to use in connection with the Project. As to contractors or subcontractors to be used in connection with the Project who are not yet known to the undersigned, the undersigned shall obtain certifications in form and substance equal to Section V(A)-(B) of this EDS from all such parties prior to using them in connection with the Project.

* E. The undersigned shall not, without the prior written consent of the City, use any contractor or subcontractor in connection with the Project if the undersigned, based on information contained in such party's certification or any other information known or obtained by the undersigned, has reason to believe that such contractor or subcontractor has, within the preceding five years, been in violation of any Environmental Restriction, received notice of any claim relating to a violation of an Environmental Restriction, or been subject to any fine or penalty for a violation of an Environmental Restriction.

* F. Further, the undersigned shall not, without the prior written consent of the City, use as a contractor or subcontractor in connection with the Project any person or entity from which the undersigned is unable to obtain certifications in form and substance equal to Section V(A)-(B) of this EDS or which the undersigned has reason to believe cannot provide truthful certifications.

* G. The undersigned shall maintain for the duration of the requested City assistance all certifications of all contractors and subcontractors required by Section V(D) above, and shall make such certifications promptly available to the City upon request.

*Cannot attest to D, E, F and G since contractors were already hired and project virtually complete upon receipt of this new EDS.

H. Definitions:

- (1) Entities are "affiliated" if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership identity of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.
- (2) "Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants, including but not limited to: (a) the Comprehensive Environmental Response, Compensation and Liability Act (42 U.S.C. § 9601 *et seq.*); (b) the Hazardous Materials Transportation Act (49 U.S.C. § 1801 *et seq.*); (c) the Resource Conservation and Recovery Act of 1976 (42 U.S.C. § 6901 *et seq.*); (d) the Clean Water Act (33 U.S.C. § 1251 *et seq.*); (e) the Clean Air Act (42 U.S.C. § 7401 *et seq.*); (f) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 *et seq.*); (g) the Safe Drinking Water Act (42 U.S.C. § 300f *et seq.*); (h) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 *et seq.*); (i) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 *et seq.*); and (j) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

VI. CHILD SUPPORT OBLIGATIONS

For purposes of this Section VI, "Substantial Owner" means any individual who owns or holds a 10 percent or more "Percentage of Interest" (as defined below) in the undersigned. If the undersigned is an individual or sole proprietorship, the "Substantial Owner" means that individual or sole proprietor. "Percentage of Interest" includes direct, indirect and beneficial interests in the undersigned. "Indirect or beneficial interest" means that an interest in the undersigned is held by a corporation, joint venture, trust, partnership, association, estate or other legal entity, in which the individual holds an interest, or by agent(s) or nominee(s) on behalf of an individual or entity. For example, if Corporation B owns a 20 percent interest in the undersigned, and an individual has a 50 percent percentage of interest in Corporation B, then such individual indirectly has a 10 percent percentage of interest in the undersigned and is a Substantial Owner. If Corporation B is held by another entity, then this analysis similarly must be applied to that

next entity (and so forth to any additional levels of ownership) to determine whether any individuals indirectly hold a 10 percent or more interest in the undersigned.

If the undersigned's response below is (A) or (B), than all of the undersigned's Substantial Owners must remain in compliance with any such child support obligations (i) throughout the term of the requested City assistance to which this EDS pertains, or (ii) until completion of the undersigned's obligations to the City in connection with the Project, whichever is later. Failure of the undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either (A) or (B) below constitutes an event of default.

Check one:

- A. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County or by another Illinois court of competent jurisdiction.
- B. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on their child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- C. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on their child support obligations and: (i) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (ii) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (i) and (ii).
- D. There are no Substantial Owners.

VII. CERTIFICATION

The signatory of the undersigned, being first duly sworn, on oath hereby certifies, deposes and says, under penalty of perjury, as follows:

- A. The signatory is authorized to execute this EDS on behalf of the undersigned; the information disclosed herein is true and complete to the

best of his/her knowledge; no disclosures as to economic interest in the Project have been withheld; and no information has been reserved as to the intended use or purpose for which the undersigned (or a related entity) seeks action by the City Council or pertinent City agency.

- B. Except as described in Section III(D) hereof, if applicable, the undersigned is (a) not in default or in arrears on any outstanding commercial loans, water charges, sewer charges, property taxes, sales taxes or other fines, fees, taxes, assessments or charges owed to the City, personally or by any partnership, corporation, joint venture or land trust in which the undersigned has at least a five percent beneficial interest; and (b) not delinquent in the payment of any tax administered by the Illinois Department of Revenue, or if delinquent, the undersigned is contesting, in accordance with the procedures established by the appropriate revenue act, its liability for such tax or the amount of such tax, or the undersigned has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
- C. Since the initial date of application, the undersigned has not done or suffered to be done anything that could in any way adversely affect the title to the Property and, except as described herein, no proceedings have been filed by or against the undersigned, nor has any judgment or decree been rendered against the undersigned, nor is there any judgment note or other instrument that can result in a judgment or decree against the undersigned within five days from the date thereof.
- D. The undersigned has either paid in full or settled all outstanding parking violation complaints issued to any vehicle owned or controlled by the undersigned personally, or by any partnership, corporation, joint venture or land trust in which the undersigned has control or an ownership interest exceeding five percent in such entity.
- E. The undersigned and its principals:
 - (1) are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - (2) have not within a three-year period preceding the date hereof been convicted of a criminal offense or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or

destruction of records; making false statements; or receiving stolen property;

- (3) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (b) above; and
- (4) have not within a three-year period preceding the date hereof had one or more public transactions (federal, state or local) terminated for cause or default.

* F. The undersigned, or any party to be used in the performance of the Project (an "Applicable Party"), or any Affiliated Entity of either the undersigned or any Applicable Party, or any responsible official thereof, or any other official, agent or employee of the undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official thereof, has not, during the three years prior to the date hereof or, with respect to an Applicable Party or any Affiliated Entity thereof, during the three years prior to the date of such Applicable Party's contract in connection with the Project:

- (1) bribed or attempted to bribe, or been convicted of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- (2) agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- (3) made an admission of such conduct described in (1) or (2) above which is a matter of record, but has not been prosecuted for such conduct.

G. The undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

H. Neither the undersigned nor any employee, official, agent or partner of the undersigned is barred from contracting with any unit of state or local

government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3, as amended, supplemented and restated from time to time; (2) bid-rotating in violation of 720 ILCS 5/33E-4, as amended, supplemented and restated from time to time; or (3) any similar offense of any state or of the United States of America which contains the same elements as the offense of bid-rigging or bid-rotating.

- I. If the undersigned is unable to certify to any of the above statements in this Section VII, the undersigned shall explain below:

*Cannot attest to "F" with respect to "any party to be used in the performance of the project" since contractors were hired and project virtually complete upon receipt of this new EDS. We will attest to "F" with respect to all
[If no explanation appears or begins on the lines above, it shall be ^{"Substantial Owners" of Rep-} conclusively presumed that the undersigned certifies to each of the above ^{public.} statements.]

VIII. APPLICABLE PARTIES

- A. The undersigned has obtained certifications in form and substance equal to Section VII(E)-(I) of this EDS from all Applicable Parties that the undersigned presently intends to use in connection with the Project. As to Applicable Parties to be used in connection with the Project who are not yet known to the undersigned, the undersigned shall obtain certifications in form and substance equal to Section VII(E)-(I) of this EDS from all such Applicable Parties prior to using them in connection with the Project.
- B. The undersigned shall not, without the prior written consent of the City, use any Applicable Party in connection with the Project if the undersigned, based on information contained in such Applicable Party's certification or any other information known or obtained by the undersigned, has reason to believe that:
- (1) during the three years prior to the date of such Applicable Party's contract in connection with the Project, such Applicable Party, such Applicable Party's Affiliated Entity, or any official, agent or employee of such Applicable Party or Affiliated Entity has engaged in, been convicted of, or made an admission of guilt of any of the conduct listed in Section VII(F) above;
 - (2) such Applicable Party or any official, agent, partner or employee of such Applicable Party is barred from contracting with any unit of

state or local government as a result of engaging in or being convicted of bid-rigging, bid-rotating, or any similar offense of any state or of the United States of America which contains the same elements as bid-rigging or bid-rotating; or

(3) any of the circumstances described in Section VII(H) above applies to such Applicable Party or its principals.

C. Further, the undersigned shall not, without the prior written consent of the City, use in connection with the Project any person or entity from which the undersigned is unable to obtain certifications in form and substance equal to Section VII(E)-(I) of this EDS or which the undersigned has reason to believe cannot provide truthful certifications.

D. For all Applicable Parties, the undersigned shall maintain for the duration of the requested City assistance all certifications of all Applicable Parties required by Section VIII(A) above, and the undersigned shall make such certifications promptly available to the City upon request.

*Cannot comply with Section VIII since this was not a requirement when project was started and was not presented as a request until project was virtually complete.

IX. RESTRICTION ON LOBBYING

A. List below the names of all persons registered under the Lobbying Disclosure Act of 1995, 2 U.S.C. § 1601 *et seq.* (the "Disclosure Act"), who have made lobbying contacts on behalf of the undersigned with respect to the transaction to which this EDS pertains (the "Transaction"). If there are no such persons, write "none."

NONE

B. The undersigned certifies that it has not and shall not expend any Federal appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, as defined by applicable Federal law, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement. Accordingly, the undersigned has not used any Federal appropriated funds to pay any

person listed in Section IX(A) above for his/her lobbying activities in connection with the Transaction.

- C. The undersigned shall submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affect the accuracy of the statements and information set forth in paragraphs (A) and (B) above.
- D. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the Transaction, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- E. Either (1) the undersigned is not an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986; or (2) the undersigned is an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and shall not engage in "lobbying activities," as defined in the Disclosure Act.
- F. The undersigned shall obtain certifications equal in form and substance to paragraphs (A) through (E) above from all contractors and subcontractors prior to the award of any contract/subcontract with such parties in connection with the Transaction. The undersigned shall maintain all such certifications of such parties for the duration of the Transaction and shall make such certifications promptly available to the City upon request.

*Cannot comply with A thru F for reasons previously described.

X. NONSEGREGATED FACILITIES

- A. The undersigned certifies that it does not and shall not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and shall not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The undersigned agrees that a breach of this certification is a violation of the Equal Opportunity clause.
- B. "Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion or national origin because of habit, local custom or otherwise.

- * C. The undersigned further agrees that it shall obtain or cause to be obtained identical certifications from proposed contractors or subcontractors in connection with the Project before the award of contracts or subcontracts under which the contractor/subcontractor will be subject to the equal opportunity clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the equal opportunity clause. See 41 C.F.R. Part 60 for further information regarding the equal opportunity clause.
- * D. The undersigned shall forward or cause to be forwarded the following notice to proposed contractors and subcontractors:

NOTICE TO PROSPECTIVE CONTRACTORS/SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

A Certification of Nonsegregated Facilities must be submitted before the award of a contract/subcontract under which the contractor/subcontractor will be subject to the Equal Opportunity clause. The certifications may be submitted either for each contract/subcontract, or for all contracts/subcontracts during a period (e.g., quarterly, semiannually or annually).

Cannot comply with Section X (C and D) for reasons previously described.

XI. EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require that the undersigned and proposed contractors/subcontractors submit the following information with their bids or in writing at the outset of negotiations: - Cannot comply for reasons previously described.

- A. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 C.F.R. Part 60-2.)

Yes No

- B. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

- C. If the answer to (B) is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of these organizations?

Yes No

XII. RETAINED PARTIES

A. Definitions and Disclosure Requirements

1. Pursuant to Executive Order 97-1, every City contract and lease must be accompanied by a statement disclosing certain information about attorneys, lobbyists, accountants, consultants, subcontractors and other persons whom the undersigned has retained or expects to retain in connection with the contract or lease. In particular, the undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the amount of the fees paid or estimated to be paid. The undersigned is not required to disclose employees who are paid solely through the undersigned's regular payroll.

2. "Lobbyist" means any person (i) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

3. If the undersigned is uncertain whether a disclosure is required under this Section XII, the undersigned must either ask the City whether disclosure is required or make the disclosure.

B. Certification

Each and every attorney, lobbyist, accountant, consultant, subcontractor or other person retained or anticipated to be retained by the undersigned with respect to or in connection with the City assistance to which this EDS pertains is listed below:

Name	Business Address	Relationship (attorney, lobbyist, contractor, etc.)	Fees (indicate whether paid or estimated)
X Sam Polsky	205 N. Michigan Ave., Chicago	Attorney	\$100,000 approx.
William Singer	200 E. Randolph Dr., Chicago	Attorney	\$ 50,000 approx.
Karen Prena	190 S. LaSalle St., Chicago	Attorney	\$ 25,000 approx.

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED: _____

XIII. CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The undersigned understands and agrees that:

A. The certifications contained in this EDS shall become part of any contract awarded to the undersigned by the City in connection with the City assistance to which this EDS pertains, and are a material inducement to the City's execution of such contract or other action with respect to which this EDS is being executed and delivered on behalf of the undersigned. Furthermore, the undersigned shall comply with the certifications contained herein during the term and/or performance of the contract or completion of the Transaction. Cannot comply for reasons previously described.


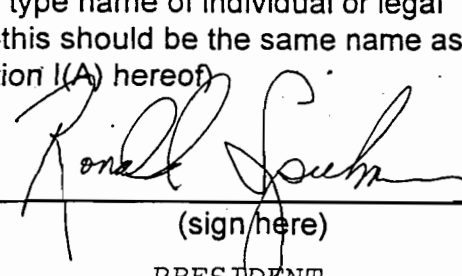
B. If the City determines that any information provided herein is false, incomplete or inaccurate, the City may terminate the Transaction, terminate the undersigned's participation in the Transaction, and/or decline to allow the undersigned to participate in other contracts or transactions with the City.

C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS.

REPUBLIC WINDOWS AND DOORS, INC.

(Print or type name of individual or legal entity--this should be the same name as given in Section I(A) hereof)

By: _____



(sign here)

Title of signatory: _____

PRESIDENT

Print or type

name of signatory: RONALD SPIELMAN

Date: JUNE 24, 1998

Subscribed to before me this 26th day of June,

199 8 at Cook County, Illinois.

Betty Rivera
Notary Public

Commission expires:



(Do not write below this line except to recertify prior to submission to City Council or on the date of closing.)

RECERTIFICATION

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby represents, under penalty of perjury, that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date hereof.

(Print or type name of individual or legal entity--this should be the same name as given in Section I(A) hereof)

By: _____
(sign here)

Title of signatory: _____

Print or type name of signatory: _____

Date: _____, 199__

Subscribed to before me this ____ day of _____,
199__ at Cook County, Illinois.

Notary Public

Commission expires: _____



June 24, 1998

Addendum to Economic Disclosure Statement & Affidavit

IV. Additional Information:

Has the undersigned or any member, partner, beneficiary or owner of the undersigned; yes was answered to parts A. & C.

Explanation:

Over the course of 33 years in business Republic has been involved in a few lawsuits with vendors over problems with purchases of what we perceived were bad materials or some other issue. To my recollection none were in excess of \$5000 and no civil suits with judgments remain open at this time.

930 West Evergreen Avenue, Chicago, IL 60622
Phone: (312)932-8000 Fax: (312)932-8050

(South)	2550 W. Addison	(City Hall)
9059 S. Cottage Grove	121 N. LaSalle St.	
8AM-5PM (M-F)	8AM-5PM (M-F)	Room 107
Hearing Hours:	Hearing Hours:	Payment & Inquiries Only:
8AM-4PM (M-F)	8AM-4PM (M-F)	8AM-5PM (M-F)

respondence must be addressed to the above P.O. Box *

CITY OF CHICAGO 01
0025 ED89 CK 06/12/98 11:59
LICENSE PLATE : 4839CXB ST: IL

TICKETS	AMOUNT PAID	BALANCE DUE
A400021253	15.00	.00
A500133715	15.00	.00
A600117288	15.00	.00
A800251714	15.00	.00
A800253156	15.00	.00
A800253772	15.00	.00
A800255219	15.00	.00
A800257164	15.00	.00
A800259795	15.00	.00
A800803374	15.00	.00

PREVIOUS BALANCE 150.00
AMOUNT PAID 150.00
TOTAL BALANCE DUE .00

AL INFORMATION SERVICES CHIU
ORCEMENT MANAGEMENT SYSTEM PAGE 01 OF
BY LICENSE PLATE NUMBER NIXIE: N
SOURCE: SRC DT:
TYP: TRK MAKE: OWN: CL
BOOT:
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AMT	BALANCE	S	LAST	LAST
FINE	PEN	PAID	DUE	T PDATE NDATE
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999
15.00	0.00	0.00	15.00	000000 051999

1=DEF INF, 6=DET, 7=BWD, 8=FWD, 9=BOOT, 10=ACT#, 11=I
13M, 17=PT SM, 18=RSTR, 19=PT NM, 21=AAPJ, 22=

2433-0920-6222 ©1996, Moore Business Forms, Inc. All rights reserved. 221

CITY OF CHICAGO 01
 0023 ED89 CK 06/12/98 11:43

(South)
 9059 S. Cottage Grove
 8AM-5PM (M-F)
 Hearing Hours:
 8AM-4PM (M-F)

TICKETS	AMOUNT PAID	BALANCE DUE
0024081883	100.00	.00
A400429242	50.00	.00
A500693535	25.00	.00
S200146354	50.00	.00
0017703555	50.00	.00
A700368122	25.00	.00
S100946416	50.00	.00
9012202738	60.00	.00
0025366795	30.00	.00
0015654639	50.00	.00
0025706173	15.00	15.00
0022173859	30.00	.00
9022201636	20.00	.00
9004425869	40.00	.00
9004425904	40.00	.00
9010007706	30.00	.00
9040509642	30.00	.00
0014400172	40.00	.00
0016041298	50.00	.00
0016350535	50.00	.00
0025266763	30.00	.00
S200136225	50.00	.00
0000737496	50.00	.00
9003684690	50.00	.00
9010143822	60.00	.00
9020803651	25.00	.00
9002345958	50.00	.00
0019250537	50.00	.00
0019446534	50.00	.00
0019450567	50.00	.00
0020316022	60.00	.00
0025915667	30.00	.00
0018179117	50.00	.00
0018201969	60.00	.00
0018285690	50.00	.00
0025136237	50.00	.00
0025138256	25.00	.00
0025140045	50.00	.00

TOTAL AMOUNT PAID 1,675.00

and correspondence must be addressed to the above P.O. Box

MUNICIPAL INFORMATION SERVICES
 ENFORCEMENT MANAGEMENT SYSTEM
 ORDER BY LICENSE PLATE NUMBER

CHIUT

PAGE 01 OF

NIXIE: N

SRC DT:

OWN: CL

SOURCE:

DT: TYP: PAS MAKE:

BOOT:

BOOT ACTIVITY:

TICKET DISTRIBUTION F AMT: 50.00 TOW:

TOT O/S PD OPD SUSP P AMT: 50.00 STOR:

1 1 0 0 0 G TOT: 100.00 TOT:

N	FINE	PEN	PAID	AMT	BALANCE S	LAST	LAST
	50.00	50.00	0.00	100.00	L	000000	022591

AY

,5=DEF INF,6=DET,7=BWD,8=FWD,9=BOOT,10=ACT#,11=I
 SM,17=PT SM,18=RSTR,19=PT NM, 21=AAPJ,2:

217, (309)

CITY OF CHICAGO 01
0024 ED89 CK 06/12/98 11:57
LICENSE PLATE: 9808APB ST: IL

(South)
9059 S. Cottage Grove
8AM-5PM (M-F)
Hearing Hours:
8AM-4PM (M-F)

8AM-4PM (M-F)
Hearing Hours:
8AM-4PM (M-F)

TICKETS	AMOUNT PAID	BALANCE DUE
0006214473	50.00	.00
0014261805	30.00	.00
0014372552	30.00	.00
0015113139	50.00	.00
0016000566	50.00	.00
0017344565	40.00	.00
9004072247	30.00	.00
9004088862	30.00	.00
9004101932	30.00	.00
9004171221	30.00	.00

ts and correspondence must be addressed to the above P.O. Box

PREVIOUS BALANCE 370.00
AMOUNT PAID 370.00
TOTAL BALANCE DUE .00

MUNICIPAL INFORMATION SERVICES
ENFORCEMENT MANAGEMENT SYSTEM
QUERY BY LICENSE PLATE NUMBER

CHIU
PAGE 01 OF

NIXIE: Y
SRC DT:
OWN: CL

DT: TYP: TRK MAKE: SOURCE:
BOOT ACTIVITY: BOOT ELIGIBLE: BOOT:
TICKET DISTRIBUTION F AMT: 255.00 TOW:
TOT O/S PD OPD SUSP P AMT: 255.00 STOR:
14 14 0 0 0 G TOT: 510.00 TOT:

DN	FINE	FEN	PAID	BALANCE DUE	S	LAST	LAST
E	25.00	25.00	0.00	50.00	M	000000	03209
A	15.00	15.00	0.00	30.00	L	000000	03209
A	15.00	15.00	0.00	30.00	L	000000	03209
B	25.00	25.00	0.00	50.00	L	000000	03209
B	25.00	25.00	0.00	50.00	L	000000	04109
A	20.00	20.00	0.00	40.00	L	000000	04249
A	15.00	15.00	0.00	30.00	L	000000	03209
A	15.00	15.00	0.00	30.00	L	000000	03209
A	15.00	15.00	0.00	30.00	L	000000	03209
A	15.00	15.00	0.00	30.00	L	000000	03209

PFKEY
5=DEF INF, 6=DET, 7=BWD, 8=FWD, 9=BOOT, 10=ACT#, 11=...
SM, 17=PT SM, 18=RSTR, 19=PT NM, 21=AAFJ, 2...

CITY OF CHICAGO - PARKING
004731 OLDTRTS 05/27/98 2,140.00 2,140.00 .00 2,140.00
Check Total 2,140.00



1725 W. Diversey Pkwy. Chicago, IL 60614

AMERICAN NATIONAL BANK
AND TRUST COMPANY OF CHICAGO

2-77710

0066949

NO.

DATE

AMOUNT

TWO THOUSAND ONE HUNDRED FORTY AND 00/100 DOLLARS

05/11/98

\$***2,140.00

PAY
TO THE
ORDER
OF

CITY OF CHICAGO - PARKING
DEPARTMENT OF REVENUE
P.O. BOX 88292
CHICAGO IL 60680-7292

REPUBLIC WINDOWS & DOORS, INC

⑈066949⑈ ⑆078000770⑆ 04046989⑈

321 N. CLARK
A I
JUN 11 1998
By 66949

2454PFB	\$ 0.00	\$ 30.00	\$ 30.00
4475DFB	\$ 50.00	\$ 0.00	\$ 50.00
4839CXB	\$ 0.00	\$ 150.00	\$ 150.00
4894DGB	\$ 0.00	\$ 30.00	\$ 30.00
8348JVB	\$ 0.00	\$ 50.00	\$ 0.00
9808APB	\$ 510.00	\$ 0.00	\$ 510.00
9899JVB	\$ 140.00	\$ 0.00	\$ 140.00
APK431	\$ 0.00	\$ 30.00	\$ 30.00
MM1111	\$ 0.00	\$ 30.00	\$ 30.00
RBG19	\$ 160.00	\$ 0.00	\$ 160.00
RSVP4	\$ 0.00	\$ 25.00	\$ 25.00
XHH800	\$ 50.00	\$ 0.00	\$ 50.00
6031LRB	\$ 210.00	\$ 30.00	\$ 240.00
6691HEB	\$ 160.00	\$ 0.00	\$ 160.00
8195NCB	\$ 0.00	\$ 75.00	\$ 75.00
Parking Total	\$1,590.00	\$ 550.00	\$2,140.00



Please Recycle!





City of Chicago
Richard M. Daley, Mayor

Department of Revenue

P.O. Box 5067
Chicago, Illinois 60680-5067
(312) 747-PARK

<http://www.ci.chi.il.us>

Republic Window & Doors inc.
1725 West Diversey Parkway
Chicago, Il 60614

May 27, 1998

Mr. Ron Spielman,

At your request, I am attaching the detailed information regarding liabilities with the city of Chicago. There is a hold on the business license for false alarms fees in the amount of \$550.00 (\$100.00 of which is still contestable) and a outstanding building inspection in the amount of \$60.00. Republic Aluminum has not been filing the Non-Titled Personal Property Use Tax. I have enclosed some information regarding this tax. Currently, there are no past due water department liabilities for the individuals or the company. The personal plates of the officers have no past due liabilities for parking tickets. I have itemized the total parking ticket liabilities in two categories, final determination and open status tickets. All tickets in final determination must be paid but I would suggest resolving all of the outstanding issues.

*RWM # 107
Bea Miller Gomez
Wanner
Vickon*

POSTED

DATE JUN 10 1998

CATCH 6050 1955

ACCT # 6360 1850

VENDOR 12021

4781

321 N. CLARK

Business Liabilities:

JUN 11 1998
By 66949

License Plate	Final Det.	Open Status	Total
B161439	\$ 100.00	\$ 0.00	\$ 100.00
GP5736	\$ 50.00	\$ 75.00	\$ 125.00
KRG705	\$ 50.00	\$ 0.00	\$ 50.00
MXP732	\$ 50.00	\$ 25.00	\$ 75.00
NAP370	\$ 60.00	\$ 0.00	\$ 60.00
2454PFB	\$ 0.00	\$ 30.00	\$ 30.00
4475DFB	\$ 50.00	\$ 0.00	\$ 50.00
4839CXB	\$ 0.00	\$ 150.00	\$ 150.00
4894DGB	\$ 0.00	\$ 30.00	\$ 30.00
8348JVB	\$ 0.00	\$ 50.00	\$ 0.00
9808APB	\$ 510.00	\$ 0.00	\$ 510.00
9899JVB	\$ 140.00	\$ 0.00	\$ 140.00
APK431	\$ 0.00	\$ 30.00	\$ 30.00
MM1111	\$ 0.00	\$ 30.00	\$ 30.00
RBG19	\$ 160.00	\$ 0.00	\$ 160.00
RSVP4	\$ 0.00	\$ 25.00	\$ 25.00
XHH800	\$ 50.00	\$ 0.00	\$ 50.00
6031LRB	\$ 210.00	\$ 30.00	\$ 240.00
6691HEB	\$ 160.00	\$ 0.00	\$ 160.00
8195NCB	\$ 0.00	\$ 75.00	\$ 75.00
Parking Total	\$1,590.00	\$ 550.00	\$2,140.00



Please Recycle!



CHICAGO DEPARTMENT OF REVENUE
ACCOUNTS RECEIVABLE DIVISION - WARRANTS UNIT
333 S. State Street
DePaul Center, Suite LL30
Chicago, Illinois 60604-3977
(312) 747-3790

5/27/1998

REPUBLIC WINDOW & DOORS, INC.

1725 W DIVERSEY PKWY.
CHICAGO IL 60614

Dear Sir/Madam:

Chicago Department of Revenue billing records show the following unpaid inspectional or privilege fees for the properties listed below. These fees are the personal responsibility of any and all persons who owned the property during the calendar year of the inspection or privilege.

Name	Premise Location	Insp Date	Type Number	Amount
REPUBLIC ALUMINUM	1725 W DIVERSEY AV	10/03/96	B1 616101	\$ 60.00
TOTAL:				\$ 60.00

Please pay the amount due immediately at the above address. Make your check or money order payable to the City of Chicago. Please return a copy of this document with your payment.

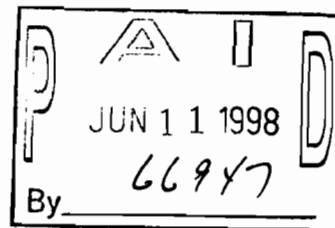
If you believe your business has already paid or is not subject to any of the fees listed above, please submit a written explanation with the appropriate supporting documents to the address listed above, or call (312) 747-3790. Failure to respond timely may result in the Department taking further enforcement action.

Sincerely,

Chicago Department of Revenue

PLEASE RETURN THIS COPY WITH YOUR PAYMENT

DATE JUN 11 1998
MATCH _____
ACCT # 7540
VENDOR 12068
4783




REPUBLIC WINDOWS & DOORS, INC.
CHICAGO, IL 60614

0066947

CITY OF CHICAGO						
004783	616101	05/27/98	60.00	60.00	.00	60.00
				Check Total		60.00

ORIGINAL DOCUMENT IS PRINTED ON CHEMICAL REACTIVE PAPER & HAS A MICROPRINTED BORDER






1725 W. Diversey Pkwy. Chicago, IL 60614

AMERICAN NATIONAL BANK
AND TRUST COMPANY OF CHICAGO

2-77710

0066947
NO.

	DATE	AMOUNT		
SIXTY AND 00/100 DOLLARS	06/11/98	\$*****60.00		
<table border="0" style="width: 100%;"> <tr> <td style="width: 30%; vertical-align: top;"> <p>PAY TO THE ORDER OF</p> <p>CITY OF CHICAGO DEPARTMENT OF REVENUE-H&C UNIT 333 S. STATE STREET-SUITE 6600 CHICAGO IL 60604-3977</p> </td> <td style="width: 70%; vertical-align: top; text-align: right;"> <p>REPUBLIC WINDOWS & DOORS, INC</p>  </td> </tr> </table>			<p>PAY TO THE ORDER OF</p> <p>CITY OF CHICAGO DEPARTMENT OF REVENUE-H&C UNIT 333 S. STATE STREET-SUITE 6600 CHICAGO IL 60604-3977</p>	<p>REPUBLIC WINDOWS & DOORS, INC</p> 
<p>PAY TO THE ORDER OF</p> <p>CITY OF CHICAGO DEPARTMENT OF REVENUE-H&C UNIT 333 S. STATE STREET-SUITE 6600 CHICAGO IL 60604-3977</p>	<p>REPUBLIC WINDOWS & DOORS, INC</p> 			

⑈066947⑈ ⑆071000770⑆ 04046919⑈

On 6/12/98, I received the above check, in the amount of \$60.00 to pay RT BI-616101.

[Signature]
6/2/98

REPUBLIC WINDOWS & DOORS, INC.
CHICAGO, IL 60614

0066947

CITY OF CHICAGO					
04783 615101	05/27/98	60.00	60.00	.00	60.00
			Check Total		60.00



1725 W. Diversey Pkwy, Chicago, IL 60614

AMERICAN NATIONAL BANK
AND TRUST COMPANY OF CHICAGO

2-77710

0066947

NO.

SIXTY AND 00/100 DOLLARS

DATE

AMOUNT

06/11/98

\$*****60.00

PAY
TO THE
ORDER
OF

CITY OF CHICAGO
DEPARTMENT OF REVENUE-WFC UNIT
333 S. STATE STREET-SUITE 6630
CHICAGO IL 60604-3377

REPUBLIC WINDOWS & DOORS, INC

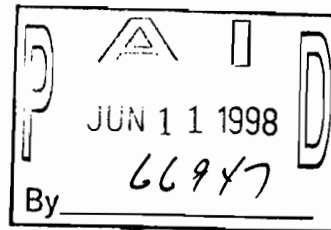
NON-NEGOTIABLE

⑆066947⑆ ⑆071000770⑆ 04046969⑆

Chicago Department of Revenue

PLEASE RETURN THIS COPY WITH YOUR PAYMENT

DATED JUN 11 1998
 DATE _____
 BATCH _____
 ACCT # 7540
 VENDOR 12068
4783





CITY OF CHICAGO
 Department of Revenue
 City Hall, Room 107

DEPOSIT SLIP/RECEIPT

Receipt No. _____

Date: 6-12-48

Dept: Revenue

FALSE Alarm

CODE	FUND	DEPT.	ORGN.	ACCT.	DOLLARS			CENTS	REFERENCE
<u>2934</u>	<u>100</u>	<u>29</u>	<u>3041</u>	<u>2711</u>	<u>1</u>	<u>1</u>	<u>5150</u>	<u>010</u>	<u>T 02799164</u>

Teller Use Only Drev 795120-001 0066448
0066594

Description: _____

Maker's Name: (if check over \$10,000) _____ Check No: _____

Contact Person (print) _____ Phone No. _____



CITY OF CHICAGO DEPARTMENT OF REVENUE
NOTICE OF VIOLATION

FALSE BURGLAR ALARM/FAILURE TO DISPLAY OR MAINTAIN ALARM PERMIT

Date of this Notice: 05/24/1998

Permit #: P002796

Date of violation for which fine is imposed: 05/12/1998

Mailing address:

LARRY MANASCO
RE: REPUBLIC ALUMINUM INC
1725 W DIVERSEY AVE
CHICAGO IL 60614-1009

Name of burglar alarm user/alarm location:

REPUBLIC ALUMINUM INC
1725 W DIVERSEY AV
1ST FL
CHICAGO IL 60614

Recently, the City of Chicago issued a Notice of False Burglar Alarm to the location listed above. The City of Chicago has determined that a fine is due, for one or more of the following reasons: 1) The burglar alarm user has incurred more than five false burglar alarms in a calendar year; 2) the burglar alarm user failed to display a burglar alarm permit; or 3) the burglar alarm user failed to maintain the posted burglar alarm permit by allowing it to expire. These violations are itemized below. If you wish to contest any of these violations, you may file a written request for a hearing. Complete the lower portion of this notice, detach and mail or hand-deliver to the Department of Revenue. Requests for hearing must be postmarked or received by the Department no later than 14 days after the Date of this Notice as shown above. You will be notified by postcard of the date and time of your hearing. At the hearing you may contest any or all of the violations listed below:

Ticket #	Date	Time	Violation Type	Permit Visible?	Fine
00992934	05/12/1998	05:55 AM	FA		\$ 50.00

POSTED _____
 DATE JUN 11 1998
 CATCH _____
 ASST # 7540
 VENDOR 12071

P A I D
 JUN 11 1998
 Other unpaid false alarm fees and fines not itemized on this notice:
 By 66948

\$ 500.00
 \$ 550.00
 Total balance due for False Burglar Alarm program:

* FA = False Alarm FD = Failure to Display Alarm Permit FM = Failure to Maintain Alarm Permit

(SEE OTHER SIDE FOR ADDITIONAL INFORMATION)
KEEP THIS PORTION FOR YOUR RECORDS

2210000000000002 0000000009929342 000000000027963 00000050005



DETACH THIS PORTION AND MAIL OR HAND DELIVER
CITY OF CHICAGO DEPARTMENT OF REVENUE
NOTICE OF VIOLATION

FALSE BURGLAR ALARM/FAILURE TO DISPLAY OR MAINTAIN ALARM PERMIT

Date of this Notice: 05/24/1998

Ticket #: 00992934

Date of violation for which fine is imposed: 05/12/1998

Permit #: P002796

If you wish to contest any or all of the violations listed above, check this box: HEARING REQUESTED

If you are making a payment, indicate the amount of your payment in this box: AMOUNT ENCLOSED

Your payment will be applied to the ticket number listed above unless you specify otherwise. Excess payments will be applied to your total unpaid balance. Do not mail cash. Make check or money order payable to "City of Chicago". Include your Permit # on your check. If you want to apply for a permit, or if you have questions about your account or this notice, call the False Alarm Hotline at (312) 747-1138.

Mail this portion with payment to: OR Hand deliver to any of the following Department of Revenue locations:

CHICAGO DEPARTMENT OF REVENUE
P.O. BOX 4956

- 1. 121 N. LaSalle St. (City Hall) Room 107
- 2. 10 S. Kedzie Ave.
- 3. 10 S. Kedzie Ave.
- 4. 2550 W. Addison St.

CITY OF CHICAGO
 03821 BP002796 05/02/98 500.00 500.00 .00 500.00
 Permit # P062796
 Check Total 500.00



1725 W. Diversey Pkwy. Chicago, IL 60614

AMERICAN NATIONAL BANK
 AND TRUST COMPANY OF CHICAGO
 2-77710

0066594
 NO

FIVE HUNDRED AND 00/100 DOLLARS

DATE	AMOUNT
05/01/98	\$*****500.00
	REPUBLIC WINDOWS & DOORS, INC

PAY TO THE ORDER OF
 CITY OF CHICAGO
 DEPARTMENT OF REVENUE
 P.O. BOX 4956
 CHICAGO IL 60680-4956

Permit P062796

⑈066594⑈ ⑆07⑆000770⑆ 04046969⑈

(SEE OTHER SIDE FOR ADDITIONAL INFORMATION)
 KEEP THIS PORTION FOR YOUR RECORDS

2210000000000002 0000000035922104 0000000000027963 00000050005



DETACH THIS PORTION AND MAIL OR HAND DELIVER
 CITY OF CHICAGO DEPARTMENT OF REVENUE
 NOTICE OF DEFAULT DETERMINATION OF LIABILITY
 FALSE BURGLAR ALARM PROGRAM

Date of Determination: 05/02/1998

Ticket #: 03592210
 Permit #: P002796

If you are making a payment, indicate the amount of your payment in this box: AMOUNT ENCLOSED

Your payment will be applied to the ticket number listed above unless you specify otherwise. Excess payments will be applied to your total unpaid balance. Do not mail cash. Make check or money order payable to "City of Chicago". Include your Permit # on your check. If you want to apply for a permit, or if you have questions about your account or this notice, call the False Alarm Hotline at (312) 747-1138.

Mail this portion with payment to: **CHICAGO DEPARTMENT OF REVENUE**
 P.O. BOX 4956
 CHICAGO, IL 60680-4956

OR Hand deliver to any of the following Department of Revenue locations.

1. 121 N. LaSalle St. (City Hall), Room 107	3. 10 S. Kedzie Ave.
2. 321 N. LaSalle St.(First Floor)	5. 9059 S. Cottage Grove Ave.
	4. 2550 W. Addison St.

DR2M 01/95

CITY OF CHICAGO
04782 992934 05/12/98 50.00 50.00 .00 50.00
Check Total 50.00



1725 W. Diversy Pkwy, Chicago, IL 60614

AMERICAN NATIONAL BANK
AND TRUST COMPANY OF CHICAGO

2-77710

0066948

NO.

DATE

AMOUNT

FIFTY AND 00/100 DOLLARS

05/11/98

*****50.00

PAY TO THE ORDER OF
CITY OF CHICAGO
DEPARTMENT OF REVENUE
P.O. BOX 4956
CHICAGO IL 60690-4956

REPUBLIC WINDOWS & DOORS INC

⑈066948⑈ ⑆071000770⑆ 04046969⑈

(SEE OTHER SIDE FOR ADDITIONAL INFORMATION)

KEEP THIS PORTION FOR YOUR RECORDS

2210000000000002 0000000009929342 0000000000027963 00000050005



DETACH THIS PORTION AND MAIL OR HAND DELIVER

CITY OF CHICAGO DEPARTMENT OF REVENUE
NOTICE OF VIOLATION

FALSE BURGLAR ALARM/FAILURE TO DISPLAY OR MAINTAIN ALARM PERMIT

Date of this Notice: 05/24/1998

Ticket #: 00992934

Date of violation for which fine is imposed: 05/12/1998

Permit #: P002796

If you wish to contest any or all of the violations listed above, check this box: HEARING REQUESTED

If you are making a payment, indicate the amount of your payment in this box: AMOUNT ENCLOSED

Your payment will be applied to the ticket number listed above unless you specify otherwise. Excess payments will be applied to your total unpaid balance. Do not mail cash. Make check or money order payable to "City of Chicago". Include your Permit # on your check. If you want to apply for a permit, or if you have questions about your account or this notice, call the False Alarm Hotline at (312) 747-1138.

Mail this portion with payment to:

OR Hand deliver to any of the following Department of Revenue locations:

CHICAGO DEPARTMENT OF REVENUE
P.O. BOX 4956

1. 121 N. LaSalle St.
(City Hall) Room 107

3. 10 S. Kedzie Ave.
4. 2550 W. Addison St.

Affidavits and Certifications



City of Chicago
Richard M. Daley, Mayor

Department of Planning and Development
Christopher R. Hill, Commissioner

Finance Division
Mohammed M. Elahi, Deputy Commissioner

8000474

CITY OF CHICAGO
DEPARTMENT OF PLANNING & DEVELOPMENT

ECONOMIC DISCLOSURE STATEMENT & AFFIDAVIT
(Economic Development/Housing Transactions)

Pursuant to Chapter 2-154 of the Municipal Code of Chicago (the "Municipal Code"), the following information is required to be disclosed prior to any City agency, department or City Council action. Please fully complete each statement, with all information current as of the attestation date. Every question must be answered. If a question is not applicable, answer with "N.A." *An incomplete EDS shall be returned and any City action shall be interrupted.*

Please print or type all responses clearly and legibly. If you need additional space for a response, attach extra pages. Please indicate the question to which you are responding on any extra pages you attach.

Please note that this Economic Disclosure Statement and Affidavit (the "EDS") requires you to obtain various certifications from certain other parties before they may perform any work in connection with the project. The terms of the required certifications are set forth below in Sections V, VII, VIII, IX and X.

WHO MUST FILE:

1. **The Applicant:** Any individual or entity (the "Applicant") making an application to the City of Chicago (the "City") for action requiring City Council or other City agency approval must file this EDS. For example, with respect to a City loan or grant, the individual or entity applying for the loan or grant is the "Applicant."
2. **Entities holding an interest in the Applicant:** Whenever an ownership interest in the Applicant (such as shares of stock of the Applicant or a limited partnership interest in the Applicant, for example) is held or owned by a legal entity (such as a corporation or partnership, for example) rather than an individual, each such legal entity must also file an EDS on its own behalf. If the Applicant is a not-for-profit corporation with members who elect the board of directors, those members who are legal entities and not individuals must also file EDS's on their own behalf. (Individuals who have ownership interests in the Applicant or who are members of a not-for-profit Applicant are not required to file an EDS on their own behalf.) However, if the Applicant is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only legal entities that own 10 percent or more of the Applicant's stock must file EDS's on their own behalf. A legal entity that holds an ownership interest in the Applicant and that is required to file an EDS on its own behalf shall be referred to hereinafter as a "First-Tier Related Entity."
3. **Entities holding direct or indirect interest in a First-Tier Related Entity:** The same rules described in (2) above also apply to owners of First-Tier Related Entities, owners of such owners, and so on.

The individual or legal entity completing this EDS shall be referred to as the "undersigned" throughout this EDS. If the party completing this EDS is not an individual but is a legal entity (such as, for example, a corporation or partnership), the person signing this EDS on behalf of such party shall be referred to as the "signatory of the undersigned."

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the undersigned acknowledges and agrees, on behalf of itself and the individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the individuals named in this EDS.

INFORMATION TO BE KEPT CURRENT: All disclosures must be current as of the date upon which the application is presented to the City Council or other City agency, and shall be maintained current until such time as the City Council or City agency shall take action on the application. This requires (i) the submission of this EDS at the time the initial application is made; and (ii) a recertification of this EDS (a) at the time the related ordinance, if any, is submitted to the City Council if such submission is more than 60 days following the original execution of this EDS; and (b) upon the closing of the related transaction.

RE-CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-execute this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

I. GENERAL INFORMATION

A. Exact legal name of undersigned: REPUBLIC WINDOWS & DOORS, INC.

B. Business Address: 930 W. EVERGREEN
CHICAGO, ILL 60622

C. Telephone: (312) 932-8000 D. Facsimile (312) 932-8550

E. Name of contact person: RONALD SPIELMAN

F. City agency Receiving this EDS: Department of Planning and Development,
Finance Division

G. Type of action requested: COBG LOAN AND TIF

H. Project location: 930 W. EVERGREEN

CHICAGO, ILL. 60622

I. Brief project description: 370,000 Sq. Ft.

WINDOW MANUFACTURING FACILITY

J. Description and purpose of requested City assistance: _____

INFRASTRUCTURE COSTS, ENVIRONMENTAL COSTS

ACQUISITION COSTS, BUILDING COSTS

II. DISCLOSURE OF OWNERSHIP INTERESTS

A. GENERAL INFORMATION

1. Indicate whether the undersigned is an individual or legal entity and, if a legal entity, indicate the type of entity below:

- Individual
- Business corporation
- Not-for-profit corporation
- General partnership
- Limited partnership
- Limited liability company
- Joint venture
- Sole proprietorship
- Other entity (please specify) _____

2. State of incorporation or organization, if applicable:

ILLINOIS

3. For corporations, limited partnerships and limited liability companies not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?

Yes No

B. ORGANIZATION INFORMATION

1. FOR CORPORATIONS:

a. List below the names and titles of the executive officers and directors of the corporation.

Name	Title
RONALA SPIELMAN	PRESIDENT - DIRECTOR
RICHARD GILLMAN	EXECUTIVE VICE PRESIDENT - DIRECTOR
MIRIAM SPIELMAN	DIRECTOR

b.. For business corporations with 100 or more shareholders, list below the name, business address and percentage of ownership interest of each shareholder owning shares equal to or in excess of 7.5 percent of the total issued and outstanding shares.

Name	Business Address	% Interest

c. For business corporations with fewer than 100 shareholders, list below the name, business address and percentage of ownership interest of each shareholder.

Name	Business Address	% Interest
RONALD SPIELMAN	930 W. EVERGREEN - CHICAGO	73%
MIRIAM SPIELMAN	132 E. DELAWARE - CHICAGO	12%
RICHARD GILMAN	930 W. EVERGREEN - CHICAGO	15%

d. For not-for-profit corporations, list below the name, business address and percentage of control of each member. If there are no members, write "no members."

Name	Business Address	% Control

2. FOR PARTNERSHIPS:

For general or limited partnerships: list below the name, business address and percentage of ownership interest of each partner. For limited partnerships, indicate whether each partner is a general partner or a limited partner.

Name	Business Address	% Interest

3. FOR LIMITED LIABILITY COMPANIES:

a. List below the names and titles of the executive officers, if any, of the limited liability company. If there are no officers, write "no officers."

Name	Title
_____	_____
_____	_____
_____	_____
_____	_____

b. List below the name, business address and percentage of ownership interest of each (i) member and (ii) manager. If there are no managers, write "no managers."

Name	Business Address	% Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. FOR LAND TRUSTS, BUSINESS TRUSTS OR ESTATES:

a. List below the name of each individual or legal entity holding legal title to the property that is the subject of the trust:

b. List below the name, business address and percentage of beneficial interest of each beneficiary on whose behalf title is held:

Name	Business Address	% Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. OTHER OWNERSHIP INTERESTS

a. Is any ownership interest in the undersigned, as described in (1)(b)-(d), (2), 3(b) or (4)(b) above, held by one or more agents or one or more nominees on behalf of another individual or legal entity?

Yes No

If so, list below the name, business address and percentage of ownership interest of each principal (whether an individual or legal entity) for whom such agent(s) or nominee(s) are holding their ownership interest(s) in the undersigned, and identify each principal's agent or nominee.

Principal's

Name	Business Address	% Interest	Agent/Nominee
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

b. Is any ownership interest in the undersigned, as described in (1)(b)-(d), (2), 3(b) or (4)(b) above, constructively controlled (other than through an agent or nominee) by another individual or legal entity?

Yes No

D. Have all water charges, sewer charges, property taxes and sales taxes, due and payable on or prior to the date hereof and concerning the Property, been paid as of the date of this EDS?

Yes No

If no, describe below the kind and dollar amount of such charges or taxes and indicate by what date full payment shall be made. Failure to make full payment may halt any requested City action.

IV. ADDITIONAL INFORMATION

Has the undersigned or any member, partner, beneficiary or owner of the undersigned:

A. ever been a defendant in any civil or criminal suits or legal actions?

Yes No

B. ever had any debts discharged, satisfied or settled under the Bankruptcy Act?

Yes No

C. ever had a judgment entered against him/her/it?

Yes No

D. ever been a party to a foreclosure, a deed in lieu of foreclosure, a loan default or loan "workout" situation?

Yes No

NOTE: If the answer to any of the above questions is "yes," attach a separate schedule explaining the circumstances, parties involved and resolution or status. A specific description must be provided for each case.

V. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the undersigned nor any "Affiliated Entity" (as defined below) of the undersigned has, during a period of five years prior to the date hereof:

- (1) violated or engaged in any conduct which violated Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other "Environmental Restriction" (as defined below);
- (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from the City, the State of Illinois, the federal government, any state or political subdivision thereof, or any agency, court or body of the federal government or any state or political subdivision thereof, exercising executive, legislative, judicial, regulatory or administrative functions, relating to a violation or alleged violation of Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction; or
- (3) been subject to any fine or penalty of any nature for failure to comply with Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction.

B. If the undersigned is unable to certify to any of the above statements in this Section V, the undersigned shall identify all exceptions and indicate whether any such exceptions occurred within the City or otherwise pertain to the City:

RECEIVED CITY OF CHICAGO ORDINANCE CITATION ON 10/3/97 ALLEGING
VIOLATION OF 7-28-440 AND 7-28-080, 11-4-1500 AND 11-4-1520, ALL
OF WHICH WERE VOLUNTARILY DISMISSED BY THE CITY ON 2/25/98

[If no explanation appears or begins on the lines above, it shall be conclusively presumed that the undersigned certifies to each of the above statements.]

C. The undersigned covenants and agrees that the undersigned shall:

- (1) prior to completion of the project to which this EDS pertains (the "Project"), not violate any provision of Sections 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapters 7-28 or 11-4 of the Municipal Code or any other Environmental Restriction;
- (2) not use any facility on the United States Environmental Protection Agency's List of Violating Facilities (the "List") in connection with the Project for the duration of time that the facility remains on the List; and

(3) immediately notify any federal agency which is awarding funds in connection with the Project if a facility that the undersigned intends to use is on the List or if the undersigned knows that any such facility has been recommended to be placed on the List.

* D. The undersigned has obtained certifications in form and substance equal to Section V(A)-(B) of this EDS from all contractors or subcontractors that the undersigned presently intends to use in connection with the Project. As to contractors or subcontractors to be used in connection with the Project who are not yet known to the undersigned, the undersigned shall obtain certifications in form and substance equal to Section V(A)-(B) of this EDS from all such parties prior to using them in connection with the Project.

* E. The undersigned shall not, without the prior written consent of the City, use any contractor or subcontractor in connection with the Project if the undersigned, based on information contained in such party's certification or any other information known or obtained by the undersigned, has reason to believe that such contractor or subcontractor has, within the preceding five years, been in violation of any Environmental Restriction, received notice of any claim relating to a violation of an Environmental Restriction, or been subject to any fine or penalty for a violation of an Environmental Restriction.

* F. Further, the undersigned shall not, without the prior written consent of the City, use as a contractor or subcontractor in connection with the Project any person or entity from which the undersigned is unable to obtain certifications in form and substance equal to Section V(A)-(B) of this EDS or which the undersigned has reason to believe cannot provide truthful certifications.

* G. The undersigned shall maintain for the duration of the requested City assistance all certifications of all contractors and subcontractors required by Section V(D) above, and shall make such certifications promptly available to the City upon request.

H. Definitions:

(1) Entities are "affiliated" if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership identity of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.

(2) "Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of

* CANNOT ATTEST TO D, E, F, & G, SINCE CONTRACTORS WERE ALREADY HIRED AND PROJECT VIRTUALLY COMPLETE UPON RECEIPT OF THIS "NEW" EDS.

hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants, including but not limited to: (a) the Comprehensive Environmental Response, Compensation and Liability Act (42 U.S.C. § 9601 *et seq.*); (b) the Hazardous Materials Transportation Act (49 U.S.C. § 1801 *et seq.*); (c) the Resource Conservation and Recovery Act of 1976 (42 U.S.C. § 6901 *et seq.*); (d) the Clean Water Act (33 U.S.C. § 1251 *et seq.*); (e) the Clean Air Act (42 U.S.C. § 7401 *et seq.*); (f) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 *et seq.*); (g) the Safe Drinking Water Act (42 U.S.C. § 300f *et seq.*); (h) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 *et seq.*); (i) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 *et seq.*); and (j) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

VI. CHILD SUPPORT OBLIGATIONS

For purposes of this Section VI, "Substantial Owner" means any individual who owns or holds a 10 percent or more "Percentage of Interest" (as defined below) in the undersigned. If the undersigned is an individual or sole proprietorship, the "Substantial Owner" means that individual or sole proprietor. "Percentage of Interest" includes direct, indirect and beneficial interests in the undersigned. "Indirect or beneficial interest" means that an interest in the undersigned is held by a corporation, joint venture, trust, partnership, association, estate or other legal entity, in which the individual holds an interest, or by agent(s) or nominee(s) on behalf of an individual or entity. For example, if Corporation B owns a 20 percent interest in the undersigned, and an individual has a 50 percent percentage of interest in Corporation B, then such individual indirectly has a 10 percent percentage of interest in the undersigned and is a Substantial Owner. If Corporation B is held by another entity, then this analysis similarly must be applied to that next entity (and so forth to any additional levels of ownership) to determine whether any individuals indirectly hold a 10 percent or more interest in the undersigned.

If the undersigned's response below is (A) or (B), than all of the undersigned's Substantial Owners must remain in compliance with any such child support obligations (i) throughout the term of the requested City assistance to which this EDS pertains, or (ii) until completion of the undersigned's obligations to the City in connection with the Project, whichever is later. Failure of the undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either (A) or (B) below constitutes an event of default.

Check one:

- A. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County or by another Illinois court of competent jurisdiction.
- B. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on their child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- C. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on their child support obligations and: (i) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (ii) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (i) and (ii).
- D. There are no Substantial Owners.

VII. CERTIFICATION

The signatory of the undersigned, being first duly sworn, on oath hereby certifies, deposes and says, under penalty of perjury, as follows:

- A. The signatory is authorized to execute this EDS on behalf of the undersigned; the information disclosed herein is true and complete to the best of his/her knowledge; no disclosures as to economic interest in the Project have been withheld; and no information has been reserved as to the intended use or purpose for which the undersigned (or a related entity) seeks action by the City Council or pertinent City agency.
- B. Except as described in Section III(D) hereof, if applicable, the undersigned is (a) not in default or in arrears on any outstanding commercial loans, water charges, sewer charges, property taxes, sales taxes or other fines, fees, taxes, assessments or charges owed to the City, personally or by any partnership, corporation, joint venture or land trust in which the undersigned has at least a five percent beneficial interest; and (b) not delinquent in the payment of any tax administered by the Illinois Department of Revenue, or if delinquent, the undersigned is contesting, in accordance with the procedures

established by the appropriate revenue act, its liability for such tax or the amount of such tax, or the undersigned has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

- C. Since the initial date of application, the undersigned has not done or suffered to be done anything that could in any way adversely affect the title to the Property and, except as described herein, no proceedings have been filed by or against the undersigned, nor has any judgment or decree been rendered against the undersigned, nor is there any judgment note or other instrument that can result in a judgment or decree against the undersigned within five days from the date thereof.
- D. The undersigned has either paid in full or settled all outstanding parking violation complaints issued to any vehicle owned or controlled by the undersigned personally, or by any partnership, corporation, joint venture or land trust in which the undersigned has control or an ownership interest exceeding five percent in such entity.
- E. The undersigned and its principals:
- (1) are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - (2) have not within a three-year period preceding the date hereof been convicted of a criminal offense or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - (3) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (b) above; and
 - (4) have not within a three-year period preceding the date hereof had one or more public transactions (federal, state or local) terminated for cause or default.
- * F. The undersigned, or any party to be used in the performance of the Project (an "Applicable Party"), or any Affiliated Entity of either the undersigned or any Applicable Party, or any responsible official thereof, or any other official,

agent or employee of the undersigned. any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official thereof, has not, during the three years prior to the date hereof or, with respect to an Applicable Party or any Affiliated Entity thereof, during the three years prior to the date of such Applicable Party's contract in connection with the Project:

- (1) bribed or attempted to bribe, or been convicted of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- (2) agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- (3) made an admission of such conduct described in (1) or (2) above which is a matter of record, but has not been prosecuted for such conduct.

G. The undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

H. Neither the undersigned nor any employee, official, agent or partner of the undersigned is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3, as amended, supplemented and restated from time to time; (2) bid-rotating in violation of 720 ILCS 5/33E-4, as amended, supplemented and restated from time to time; or (3) any similar offense of any state or of the United States of America which contains the same elements as the offense of bid-rigging or bid-rotating.

** CANNOT ATTEST TO "F" WITH RESPECT TO "ANY PARTY TO BE USED IN THE PERFORMANCE OF THE PROJECT" SINCE CONTRACTORS WERE HIRED AND PROJECT VIRTUALLY COMPLETE UPON RECEIPT OF THIS NEW EAS. WE WILL ATTEST TO "F" WITH RESPECT TO ALL "SUBSTANTIAL OWNERS OF REPUBLIC."*

- I. If the undersigned is unable to certify to any of the above statements in this Section VII, the undersigned shall explain below:

See prior page!

[If no explanation appears or begins on the lines above, it shall be conclusively presumed that the undersigned certifies to each of the above statements.]

VIII. APPLICABLE PARTIES

- † A. The undersigned has obtained certifications in form and substance equal to Section VII(E)-(I) of this EDS from all Applicable Parties that the undersigned presently intends to use in connection with the Project. As to Applicable Parties to be used in connection with the Project who are not yet known to the undersigned, the undersigned shall obtain certifications in form and substance equal to Section VII(E)-(I) of this EDS from all such Applicable Parties prior to using them in connection with the Project.
- * B. The undersigned shall not, without the prior written consent of the City, use any Applicable Party in connection with the Project if the undersigned, based on information contained in such Applicable Party's certification or any other information known or obtained by the undersigned, has reason to believe that:
- (1) during the three years prior to the date of such Applicable Party's contract in connection with the Project, such Applicable Party, such Applicable Party's Affiliated Entity, or any official, agent or employee of such Applicable Party or Affiliated Entity has engaged in, been convicted of, or made an admission of guilt of any of the conduct listed in Section VII(F) above;
 - (2) such Applicable Party or any official, agent, partner or employee of such Applicable Party is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging, bid-rotating, or any similar offense of any state or of the United States of America which contains the same elements as bid-rigging or bid-rotating; or
 - (3) any of the circumstances described in Section VII(H) above applies to such Applicable Party or its principals.

- C. Further, the undersigned shall not, without the prior written consent of the City, use in connection with the Project any person or entity from which the undersigned is unable to obtain certifications in form and substance equal to Section VI(E)-(I) of this EDS or which the undersigned has reason to believe cannot provide truthful certifications.
- D. For all Applicable Parties, the undersigned shall maintain for the duration of the requested City assistance all certifications of all Applicable Parties required by Section VIII(A) above, and the undersigned shall make such certifications promptly available to the City upon request.

** CANNOT COMPLY WITH SECTION VIII SINCE THIS WAS NOT REQUIREMENT WHEN PROJECT WAS STARTED AND WAS NOT PRESENTED AS A REQUEST UNTIL PROJECT WAS VIRTUALLY COMPLETE!*

IX. RESTRICTION ON LOBBYING

- A. List below the names of all persons registered under the Lobbying Disclosure Act of 1995, 2 U.S.C. § 1601 *et seq.* (the "Disclosure Act"), who have made lobbying contacts on behalf of the undersigned with respect to the transaction to which this EDS pertains (the "Transaction"). If there are no such persons, write "none."

NONE

- B. The undersigned certifies that it has not and shall not expend any Federal appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, as defined by applicable Federal law, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement. Accordingly, the undersigned has not used any Federal appropriated funds to pay any person listed in Section IX(A) above for his/her lobbying activities in connection with the Transaction.
- C. The undersigned shall submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affect the accuracy of the statements and information set forth in paragraphs (A) and (B) above.

- D. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the Transaction, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- E. Either (1) the undersigned is not an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986; or (2) the undersigned is an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and shall not engage in "lobbying activities," as defined in the Disclosure Act.
- F. The undersigned shall obtain certifications equal in form and substance to paragraphs (A) through (E) above from all contractors and subcontractors prior to the award of any contract/subcontract with such parties in connection with the Transaction. The undersigned shall maintain all such certifications of such parties for the duration of the Transaction and shall make such certifications promptly available to the City upon request.

CANNOT COMPLY WITH A-F FOR REASONS PREVIOUSLY DESCRIBED

X. NONSEGREGATED FACILITIES

- A. The undersigned certifies that it does not and shall not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and shall not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The undersigned agrees that a breach of this certification is a violation of the Equal Opportunity clause.
- B. "Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion or national origin because of habit, local custom or otherwise.
- C. The undersigned further agrees that it shall obtain or cause to be obtained identical certifications from proposed contractors or subcontractors in connection with the Project before the award of contracts or subcontracts under which the contractor/subcontractor will be subject to the equal opportunity clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the equal opportunity clause. See 41 C.F.R. Part 60 for further information regarding the equal opportunity clause.

- D. The undersigned shall forward or cause to be forwarded the following notice to proposed contractors and subcontractors:

NOTICE TO PROSPECTIVE CONTRACTORS/SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

A Certification of Nonsegregated Facilities must be submitted before the award of a contract/subcontract under which the contractor/subcontractor will be subject to the Equal Opportunity clause. The certifications may be submitted either for each contract/subcontract or for all contracts/subcontracts during a period (e.g., quarterly, semiannually or annually).

CANNOT COMPLY WITH SECTION X - C, D FOR REASONS PREVIOUSLY DESCRIBED...

XI. EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require that the undersigned and proposed contractors/subcontractors submit the following information with their bids or in writing at the outset of negotiations:

- A. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 C.F.R. Part 60-2.)

Yes No

CANNOT COMPLY FOR REASONS PREVIOUSLY DESCRIBED...

- B. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

- C. If the answer to (B) is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of these organizations?

Yes No

XII. RETAINED PARTIES

A. Definitions and Disclosure Requirements

1. Pursuant to Executive Order 97-1, every City contract and lease must be accompanied by a statement disclosing certain information about attorneys, lobbyists, accountants, consultants, subcontractors and other persons whom the undersigned has retained or expects to retain in connection with the contract or lease. In particular, the undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the amount of the fees paid or estimated to be paid. The undersigned is not required to disclose employees who are paid solely through the undersigned's regular payroll.

2. "Lobbyist" means any person (i) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

3. If the undersigned is uncertain whether a disclosure is required under this Section XII, the undersigned must either ask the City whether disclosure is required or make the disclosure.

B. Certification

Each and every attorney, lobbyist, accountant, consultant, subcontractor or other person retained or anticipated to be retained by the undersigned with respect to or in connection with the City assistance to which this EDS pertains is listed below:

Name	Business Address	Relationship (indicate (attorney, lobbyist, contractor, etc.))	Fees (whether paid or estimated)
<u>SAM POLSKY</u>	<u>205 N. MICHIGAN AVE.</u>	<u>ATTORNEY</u>	<u>\$ 100,000 APPROX</u>
<u>WILLIAM SINGER</u>	<u>200 E. RANDOLPH</u>	<u>ATTORNEY</u>	<u>\$ 50,000 APPROX</u>
<u>KAREN PRENA</u>	<u>190 S. LA SALLE</u>	<u>ATTORNEY</u>	<u>\$ 25,000 APPROX.</u>
_____	_____	_____	_____

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED: _____

XIII. CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The undersigned understands and agrees that:

A. The certifications contained in this EDS shall become part of any contract awarded to the undersigned by the City in connection with the City assistance to which this EDS pertains, and are a material inducement to the City's execution of such contract or other action with respect to which this EDS is being executed and delivered on behalf of the undersigned. Furthermore, the undersigned shall comply with the certifications contained herein during the term and/or performance of the contract or completion of the Transaction.

CANNOT COMPLY FOR REASONS PREVIOUSLY DESCRIBED. RS

B. If the City determines that any information provided herein is false, incomplete or inaccurate, the City may terminate the Transaction, terminate the undersigned's participation in the Transaction, and/or decline to allow the undersigned to participate in other contracts or transactions with the City.

C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS.

REPUBLIC WINDOWS & DOORS, INC.

(Print or type name of individual or legal entity--this should be the same name as given in Section 1(A) hereof)

By: Ronald Spiel
(sign here)

Title of signatory: PRES.

Print or type name of signatory: RONALD SPIELMAN

Date: 1/28, 1999

Subscribed to before me this 28th day of January.

1999 at Cook County, Illinois.

Betty Rivera
Notary Public

Commission expires:



(Do not write below this line except to recertify prior to submission to City Council or on the date of closing.)

RECERTIFICATION

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby represents, under penalty of perjury, that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date hereof.

REPUBLIC WINDOWS & DOORS, INC

(Print or type name of individual or legal entity--this should be the same name as given in Section 1(A) hereof)

By: Ronald Spiel
(sign here)

Title of signatory: RONALD SPIELMAN PRES.

Print or type name of signatory: RONALD SPIELMAN

Date: 1/28 1999

Subscribed to before me this 28th day of January, 1999 at Cook County, Illinois.

Betty Rivera
Notary Public

Commission expires:





January 26, 1999

Addendum to Economic Disclosure Statement & Affidavit

IV. Additional Information:

**Has the undersigned or any member, partner, beneficiary or owner
Of the undersigned: yes was answered to parts A. & C.**

Explanation:

Over the course of 33 years in business Republic has been involved in a few lawsuits with vendors over problems with purchases of what we perceived were bad materials or some other issue. To my recollection none were in excess of \$5000 and no civil suits with judgments remain open at this time.

**930 West Evergreen Avenue, Chicago, IL 60622
Phone: (312)932-8000 Fax: (312)932-8050**



CITY OF CHICAGO
Department of Planning and Development
PRINCIPAL PROFILE SHEET

Company: REPUBLIC WINDOWS & DOORS, INC.

Form must be filled out **COMPLETELY** for individuals and entities owning any interest in order for application to be processed.
 For TRUSTS or other entities owning an interest in the borrower, please provide complete information on trustee and beneficiary on a separate sheet.

Name: RONALD SPIELMAN

Home Address: [REDACTED]

Telephone: (3) [REDACTED]

Social Security Number: [REDACTED]

Date of Birth: [REDACTED]

Driver's License Number: [REDACTED]

License Plate Number: [REDACTED]

Percentage Owned: 73%

Name: RICH GILLMAN

Home Address: [REDACTED]

Telephone: (3) [REDACTED]

Social Security Number: [REDACTED]

Date of Birth: [REDACTED]

Driver's License Number: [REDACTED]

License Plate Number: [REDACTED]

Percentage Owned: 15%

Name: MIRIAM SPIELMAN

Home Address: [REDACTED]

Telephone: (3) [REDACTED]

Social Security Number: [REDACTED]

Date of Birth: [REDACTED]

Driver's License Number: [REDACTED]

License Plate Number: [REDACTED]

Percentage Owned: 12%

Name: _____

Home Address: _____

Telephone: (_____) _____

Social Security Number: _____

Date of Birth: _____

Driver's License Number: _____

License Plate Number: _____

Percentage Owned: _____

**CERTIFICATE OF CURRENT & PROJECTED
EMPLOYMENT DATA FOR**

STATE OF ILLINOIS)
COUNTY OF COOK)

REPUBLIC WINDOWS & DOORS, INC.

CITY OF CHICAGO

DEPARTMENT OF PLANNING AND DEVELOPMENT

JOB CLASSIFICATION	EXISTING EMPLOYMENT		YEAR 1 NUMBER OF PROJECTED NEW EMPLOYEES		YEAR 2 NUMBER OF PROJECTED NEW EMPLOYEES		STARTING WAGE	WAGES AFTER FULLY TRAINED	ANTICIPATED TRAINING PROVIDED (Describe Briefly)
	TOTAL M=Male F=Female	MINORITY M=Male F=Female	MONTHS 1-6	MONTHS 7-12	MONTHS 13-18	MONTHS 19-24			
Officers and Managers	M 45	M 3						SEE EXHIBITS HRF AND HRG	
	F 22	F 8							
Professionals	M 0	M 0							
	F 0	F 0							
Technicians	M 16	M 11					"	" " "	
	F 0	F 0							
Sales Workers	M 14	M 0					"	" " "	
	F 0	F 0							
Office and Clerical	M 6	M 3					"	" " "	
	F 25	F 12							
Crafts Persons (Skilled)	M 37	M 20					"	" " "	
	F 2	F 2							
Operatives (Semi-skilled)	M 327	M 302					"	" " "	
	F 79	F 79							
Laborers (Unskilled)	M 5	M 4							
	F 0	F 0							
Service Workers	M 6	M 5							
	F 3	F 3							
Other	M	M							
	F	F							
TOTAL	M 456	M 348					"	" " "	
	F 131	F 104							

Subscribed and sworn to before me
this 28th Day of January, 1999.

(Seal) Betty Rivera
Notary

VERIFICATION:

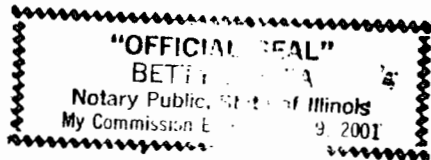
Under penalty of perjury, I certify that I am PRESIDENT The (Owner/President)
of REPUBLIC WINDOWS, that I am authorized to execute this certificate, that I have
Personal knowledge of the employment data contained in this certificate and that the employment data is true.

Signature

Ronald J. Paul

Date

1/28/99





Revised Exhibit HRF

	<u>Starting Wages</u>	<u>Wages After Training</u>
Officers and Managers	\$30 K Annual	\$30 K Annual
Technicians	\$20 K Annual	\$20 K Annual
Sales	\$40 K Annual	\$40 K Annual plus incentive...
Office and Clerical	\$8 to \$10 per hr.	\$8 to \$15 per hr.
Craft	\$12 to \$14 per hr.	\$12 to \$14 per hr.
Operatives (Semi-skilled)	\$5.50 to \$6.00/hr.	\$7 to \$10 per hr.

**CERTIFICATE OF CURRENT & PROJECTED
EMPLOYMENT DATA FOR**

REPUBLIC WINDOWS + DOORS, INC.

STATE OF ILLINOIS)
COUNTY OF COOK)

CITY OF CHICAGO

DEPARTMENT OF PLANNING AND DEVELOPMENT

YEAR 1 *SEE ORIGINAL CERTIFICATE SUBMITTED 8/5/96

JOB CLASSIFICATION	EXISTING EMPLOYMENT		YEAR 1 NUMBER OF PROJECTED NEW EMPLOYEES		YEAR 2 NUMBER OF PROJECTED NEW EMPLOYEES		STARTING WAGE	WAGES AFTER FULLY TRAINED	ANTICIPATED TRAINING PROVIDED (Describe Briefly)				
	TOTAL M=Male F=Female	MINORITY M=Male F=Female	MONTHS 1-6	MONTHS 7-12	MONTHS 13-18	MONTHS 19-24							
Officers and Managers	M 46 F 7	M 21 F 2			3	3			SEE EXHIBITS HRF AND HRG				
Professionals	M F	M F											
Technicians	M 5 F	M 2 F			2	1		"	"	"	"		
Sales Workers	M 11 F	M 0 F			1	1		"	"	"	"		
Office and Clerical	M 24 F 33	M 8 F 15			2	2		"	"	"	"		
Crafts Persons (Skilled)	M 21 F 0	M 9 F -			2	2		"	"	"	"		
Operatives (Semi-skilled)	M 335 F 66	M 325 F 64			20	20		"	"	"	"		
Laborers (Unskilled)	M 15 F	M 2 F											
Service Workers	M F	M F											
Other	M 15 F 1	M 12 F 1											
TOTAL	M 472 F 107	M 379 F 82			30	29		"	"	"	"		

Subscribed and sworn to before me
this 28th Day of May, 1998.

(Seal) Betty Rivera
Notary



VERIFICATION:

Under penalty of perjury, I certify that I am RONALA SPIELMAN The (Owner/President) PRESIDENT
of REPUBLIC WINDOWS, INC. that I am authorized to execute this certificate, that I have
Personal knowledge of the employment data contained in this certificate and that the employment data is true.

Signature

Ronala Spielman

Date

5/28/98

**CERTIFICATE OF CURRENT & PROJECTED
EMPLOYMENT DATA FOR**

Republic Aluminum, Inc.

STATE OF ILLINOIS)
COUNTY OF COOK)

CITY OF C

DEPARTMENT OF PLANNING AND DEVEL

JOB CLASSIFICATION	EXISTING EMPLOYMENT		YEAR 1 NUMBER OF PROJECTED NEW EMPLOYEES		YEAR 2 NUMBER OF PROJECTED NEW EMPLOYEES		STARTING WAGE	WAGES AFTER FULLY TRAINED	ANTICIPATED TRAINING PROVIDED (Describe Briefly)
	TOTAL M=Male F=Female	MINORITY M=Male F=Female	MONTHS 1-6	MONTHS 7-12	MONTHS 13-18	MONTHS 19-24			
Officers and Managers	M 39 F 8	M 16 F 2	1		2	1	See Exhibits	HRF and HRG	
Professionals	M F	M F							
Technicians	M 5 F	M 3 F	1		1		See Exhibits	HRF and HRG	
Sales Workers	M 13 F	M F		1		2	See Exhibits	HRF and HRG	
Office and Clerical	M 23 F 33	M 7 F 13	1	1	1	1	See Exhibits	HRF and HRG	
Crafts Persons (Skilled)	M 10 F	M 8 F	2	1	1	1	See Exhibits	HRF and HRG	
Operatives (Semi-skilled)	M 230 F 47	M 224 F 46	63	32	48	41	See Exhibits	HRF and HRG	
Laborers (Unskilled)	M F	M F							
Service Workers	M F	M F							
Other	M F	M F							
TOTAL	M 320 F 88	M 258 F 61	68	35	53	46			

Subscribed and sworn to before me
this 5th Day of September 1996
(Seal) [Signature]
Notary

VERIFICATION:
Under penalty of perjury, I certify that I am President The (Owner/President)
of Republic Aluminum, Inc. that I am authorized to execute this certificate, that I have
Personal knowledge of the employment data contained in this certificate and that the employment data is true

[Signature]
Signature

August 5, 1996
Date

"OFFICIAL SEAL"
SAMUEL J. POLSKY
Public, State of Illinois
Expires June 9, 1997