(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in co [identify the Matter]. Under penalty of per- he/she is authorized to execute this EDS warrants that all certifications and statemen	jury, the person s recertification on	behalf of the	ne Undersig	ned, (2)
are true, accurate and complete as of the d	late furnished to t	he City and	continue to	be true,
accurate and complete as of the date acknowledgments.	or this recent	ilcation, as	id (3) feat	arms its
SP 550 Adams, LLC (Print of type name of Individual or legal antity submitting this	Date:	November	9, 2004	<i>,</i>
(Print of type name or shousdown or legal arms, and mitting one	recercincation)			
By: U an HM	· ·		·	
(sign here)		a.		
Print or type name of signatory:				4.
John M. Gilb				•
Title of signatory:				
Secretary/Treasurer	·			
Commission expires: Harch 3, 300	<i>Notary</i> Publi		geles c	County,
Ver. 6/23/03				23
	_			

Commission # 1468335 Votary Public - California Los Angeles County

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

SP 550 Adams, LLC	Date: <u>October 15,200</u>
(Print or type name of individual or legal entity submitting this EDS)	
By:	
Print or type name of signatory:	
4 4 9	
JOHN M. GILB	
Title of signatory:	
SECRETARY / TREASURER	
Subscribed to before me on [date] October 15 California [state].	
N O M O A	, 45.10.
Commission expires: Libruary 4, 200?	VERA B. BABB Commission # 1398102 Notary Public - California Los Angeles County My Comm. Expires Feb 4, 2007

[Richard Ellis Group]

SP 550 Adams LLC (DelAWARE)

FOR CITY USE	
AFFIDAVIT NO	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an Interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

Date	this EDS is completed: October	15,2004
A. "Und	Who is submitting this EDS? That inddersigned" throughout this EDS. <u>SP 550</u>	
Unde EDS requ	ersigned is an Applicant or is an entity he requires certain disclosures and cert ired from entities holding an interest in t	entity submitting this EDS, whether the nolding an interest in the Applicant. This ifications from Applicants that are not ne Applicant. When completing this EDS, a completing applies only to Applicants.
[]	Check here if the Undersigned is filing th	is EDS as an Applicant.
[k]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.	
	Also, please identify the Applicant in which	ch this entity holds an interest:
В.	Business address of the Undersigned:	865 S. Figueroa Street
- •	.	Suite 3500 Los Angeles, CA 90017-2543
C.	Telephone: (213) 683-4243 Fax: (213)	683-4336 Email: jgilb@cbreinvestors.com
D.	Name of contact person: John M. Gill	lb
E:	Tax identification number (optional):	

GENERAL INFORMATION

	applicable):	EDS pertains. (Include project number and location ment Financing Redevelopment Agreement for the W. Adams Street
G.	Is the Matter a procurement?	[]Yes []No
Н.	If a procurement, Specification	on#and
	If not a procurement:	
	City Agency requesting	g EDS: Department of Planning and Redevelopmen
		e.g. loan, grant, sale of property): crement Financing Redevelopment Agreement
	3. If property involved, list 550 W. Adams St	
<u>SEC</u>	TION ONE: DISCLOSURE OF	OWNERSHIP INTERESTS
٨.	NATURE OF ENTITY	
		ned is an individual or legal entity:
	[] Individual	[4] Limited Liability Company
	Business corporationSole proprietorship	
	[] Sole proprietorship	[] Not-for-profit corporation(Is the not-for-profit corporation also a 501(c)(3))?
		[] Yes [] No
	·	
	[] General partnership Limited partnership	[] Other entity (please specify)
-		[] Other entity (please specify)

^{*} Application for qualification to do business in the State of Illinois as a foreign entity was filed on October 7, 2004.

B. ORGANIZATION INFORMATION

 IF THE UNDERSIGNED IS A CORPORATION: a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no succeeding the corporation of the corporation		
Name	Title	
registered on a nation please provide the fo	s a procurement and the Undersigned is a contain a securities exchange pursuant to the Securities information concerning shareholder the corporation's outstanding shares.	urities Exchange Act of 1934
Name	Business Address	Percentage Interest
are registered on a n 1934, please provide	not a procurement, and the Undersigned is actional securities exchange pursuant to the the following information concerning shareh % of the corporation's outstanding shares.	e Securities Exchange Act of colders who own shares equal
Name	Business Address	Percentage Interest

c. For corporations that are not registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, list below the name, business address and percentage of ownership interest of each shareholder.		
Name	Business Address	Percentage Interest
and pe	IF THE UNDERSIGNED IS A PARTNERSHIP OF neral or limited partnerships or joint ventures: list ercentage of ownership interest of each partner. er each partner is a general partner or a limited partner.	below the name, business address For limited partnerships, indicate
Name	Business Address	Percentage Interest
a. (i) men	IF THE UNDERSIGNED IS A LIMITED LIABILITY List below the name, business address and perceibber and (ii) manager. If there are no managers, wrimpany is managed.	ntage of ownership interest of each
Name	Business Address	Percentage Interest
865	ichard Ellis Strategic Partners III, L.P. S. Figueroa St., Suite 3500 Angeles, CA 90017-2543	No Managers (single member LLC)

b. List below the officers."	e names and titles of all officers, if any. If ther	re are no officers, write "no
Name	Title	
See Exhbiit A		
OTHER SIMILAR EN		
	e name and business address of each individently that is the subject of the trust.	dual or legal entity holding
Name	Business Address	
	name, business address and percentage of	beneficial interest of each
beneficiary on whose	e behalf title is held.	
Name	Business Address	Percentage Interest
provide the name, bu	RSIGNED IS ANY OTHER LEGAL ENTITY, firstness address, and the percentage of interest nership or other beneficial interest in the entit	st of all individuals or legal
Describe the entity:		

Exhibit A - Response to Question 3(b) on Page 6 of the Economic Disclosure Statement

CLASS A:

Name Office

Vance G. Maddocks President

John M. Gilb Treasurer and Secretary

Philip G. Hench Vice President

Michael E. Burrichter Vice President

Mark Zikakis Vice President

CLASS B:

Todd M. Anderson Assistant Vice President

Ming Lee Assistant Vice President

Joe Gomez Assistant Vice President

Name	Business Address Percentage Interest
-	
SECTI	N TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
A.	EFINITIONS AND DISCLOSURE REQUIREMENT
	ne Undersigned must indicate whether it had a "business relationship" with a City official in the 12 months before the date this EDS is signed.
"busin official, "financi in the a (i) any o shares the vall exchan competite institution institution insurant employ	ursuant to Chapter 2-156 of the Municipal Code of Chicago (the "Municipal Code"), a se relationship" means any "contractual or other private business dealing" of an or his or her spouse, or of any entity in which an official or his or her spouse has a interest," with a person or entity which entitles an official to compensation or payment count of \$2,500 or more in a calendar year; but a "financial interest" does not include: wherehip through purchase at fair market value or inheritance of less than 1% of the facorporation, or any corporate subsidiary, parent or affiliate thereof, regardless of of or dividends on such shares, if such shares are registered on a securities expursuant to the Securities Exchange Act of 1934, as amended, (ii) the authorized sation paid to an official or employee for his office or employment; (iii) any economic rovided equally to all residents of the City; (iv) a time or demand deposit in a financial are; or (v) an endowment or insurance policy or annuity contract purchased from an ecompany. A "contractual or other private business dealing" does not include any tent relationship of an official's spouse with an entity when such spouse has no inconcerning or input relating to the relationship between that entity and the City.
В.	ERTIFICATION
12 mon	as the Undersigned had a "business relationship" with any City elected official in the as before the date this EDS is signed? Yes No
	yes, please identify below the name(s) of such City elected official(s) and describe tionship(s):

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipat to be retain	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

[3] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below: None
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below: None
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragrap (C) and (D) above, provide an explanation:		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
 - 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- X 4. There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:			
	<u> </u>			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

įzadii	actival destinations that so tourist in coolien 2 of 100(s) of the Matheiper code.
A. The U	CERTIFICATION Undersigned certifies that the Undersigned [check one] is is is not
a "fina	ancial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B.	If the Undersigned IS a financial institution, then the Undersigned pledges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
define meani	Undersigned is unable to make this pledge because it or any of its affiliates (as ed in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the ing of Chapter 2-32 of the Municipal Code, explain here (attach additional pages essary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

mean	ings when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? [] Yes [] No
or (3) If you the in	E: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City of Name	If you answered "yes" to Item V(1), provide the names and business addresses of the ficials or employees having such interest and identify the nature of such interest: Business Address Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

iorth in that paragraph (2).
X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A. Act of		s of all individuals registe Hobbying contacts on be		, <u>,</u>
		• •	_	ined with respect to the
Matte	er: [Begin list here, ad	d sheets as necessary]	:	
	į guri			
	<u></u>			
	•	-		

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.			ave on file affirmative action programs purs see 41 CFR Part 60-2.)	uant to
	[]Yes	[]No	[]N/A	
B.	Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?			
	[]Yes	[] No	[] N/A	
C.	Have you filed with the Joint Reporting Committee, the Director of the Office of Federa Contract Compliance Programs, or the Equal Employment Opportunity Commission al reports due under the applicable filing requirements?			
	[]Yes	[] No	[] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 550 West Adams [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

acknowledgments.	· · · ·
CB Richard Ellis Strategic Partners III, L.P.Date: (Print or type name of Individual or legal entity submitting this recentification)	November 9, 2004
By: M M Mh	
Print or type name of signatory: John M. Gilb	
Title of signatory: Secretary/Treasurer	
Subscribed to before me on [date] Thremous 9,3004, as (state). Subscribed to before me on [date] Superbus 9,3004, as (state). Subscribed to before me on [date] Superbus 9,3004, as (state). Subscribed to before me on [date] Superbus 9,3004, as (state) Superbus 9,3004, as (state) Subscribed to before me on [date] Superbus 9,3004, as (state) Subscribed to before me on [date] Superbus 9,3004, as (state) Subscribed to before me on [date] Superbus 9,3004, as (state) Subscribed to before me on [date] Superbus 9,3004, as (state) Superbus 9,300	Hos angeles County, ic.

Ver. 6/23/03

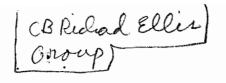


23

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

CB Richard Ellis Strategic Partners III, L.P. (Print or type name of individual or legal entity submitting this EDS)	Date: October 15, 2004
By: C13 RICITARY ELUS PARTNEMS (Sign here)	III, uc
Print or type name of signatory:	
Title of signatory: SECRETARY / THEASULER	
Subscribed to before me on [date] October 15,2004, Colfornia [state]. Vera B. Babb Notary Pu Commission expires: Letman 4,2007.	5



CB Red Strategie t (Odaharl	Parl Elles authors III, La P.
Ź	FOR CITY USE AFFIDAVIT NO

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

1

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENERAL INFORMATION

Date t	his EDS is completed: October 15,3	2004	
A. Who is submitting this EDS? That individual or entity will be the "Undersigned" throughout this EDS. CB Richard Ellis Strategic Partners III, L.P.			
NOTE: The Undersigned is the individual or entity submitting this EDS, whether the Undersigned is an Applicant or is an entity holding an interest in the Applicant. This EDS requires certain disclosures and certifications from Applicants that are not required from entities holding an interest in the Applicant. When completing this EDS, please observe whether the section you are completing applies only to Applicants.			
[]	Check here if the Undersigned is filing this	EDS as an Applicant.	
Ŕ	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.		
	Also, please identify the Applicant in which 550 Adams LLC	this entity holds an interest:	
B.	Business address of the Undersigned:	865 S. Figueroa Street	
	-	Suite 3500 Los Angeles, CA 90017-2543	
C.	Telephone: (213) 683-4243 Fax: (213)	683-4336 Email: jgilb@cbreinvestors.com	
D.	Name of contact person: John M. Gill	<u> </u>	
E:	Tax identification number (optional):		

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable): Approval of a Tax Increment Financing Redevelopment Agreement for the property located at 550 W. Adams Street		
G.	Is the Matter a procurement? [] Yes [] No		
H.	If a procurement, Specification # and Contract #		
l.	If not a procurement:		
	 City Agency requesting EDS: Department of Planning and Redevelopment City action requested (e.g. loan, grant, sale of property): Approval of a Tax Increment Financing Redevelopment Agreement If property involved, list property location: 550 W. Adams Street 		
SEC1	TON ONE: DISCLOSURE OF OWNERSHIP INTERESTS		
A.	NATURE OF ENTITY		
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Limited Liability Company [] Business corporation [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] General partnership [] Other entity (please specify)		
	Limited partnership		
2.	State of incorporation or organization, if applicable: Delaware		
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] N/A		

Ver. 6/23/03

^{*} See companion EDS filed by SP550 Adams, LLC

B. ORGANIZATION INFORMATION

a. List below the	names and titles of all executive office	
	-for-profit corporations, also list below a cate all members, if any, who are legal e tembers."	
Name	Title	
·		
registered on a nationa please provide the folk	a procurement and the Undersigned is a coal securities exchange pursuant to the Security information concerning shareholder the corporation's outstanding shares.	urities Exchange Act of 1934,
Name	Business Address	Percentage Interest
are registered on a na 1934, please provide t	not a procurement, and the Undersigned is ational securities exchange pursuant to the he following information concerning shareh of the corporation's outstanding shares.	e Securities Exchange Act of olders who own shares equal
Name	Business Address	Percentage Interest

	ns that are not registered on a national senge Act of 1934, list below the name, busing of each shareholder.	
Name	Business Address	Percentage Interest
For general or limited and percentage of o	RSIGNED IS A PARTNERSHIP OR JOIN I partnerships or joint ventures: list below wnership interest of each partner. For l r is a general partner or a limited partner.	the name, business address
Name See Exhibit A	Business Address	Percentage Interest
· · · · · ·		
a. List below the	RSIGNED IS A LIMITED LIABILITY COM name, business address and percentage anager. If there are no managers, write "no aged.	of ownership interest of each
Name	Business Address	Percentage Interest

Exhibit A - Response to Question 2 on Page 5 of the Economic Disclosure Statement

Name and Address	Percentage Interest
General Partner:	
CB Richard Ellis Partners III, L.L.C. 865 Figueroa Street, Suite 3500 Los Angeles, CA 90017	0.1004%
Limited Partners:	
Command Investment Company, Inc. P.O. Box 3600 Abu Dhabi United Arab Emirates	10.0402%
New York State Teachers' Retirement System 10 Corporate Woods Drive Albany, New York 12211-2395	10.0402%
Public School Teachers' Pension and Retirement Fund of Chicago 55 West Wacker Drive, 13th Floor Chicago, IL 60601	7.0281%
Kodak Retirement Income Plan 343 State Street Rochester, New York 14650-2049	6.0241%
Qwest Pension Trust 7800 E. Orchard Road, Suite 290 Englewood, Colorado 80111	6.0241%
Toyota Motor Sales U.S.A., Inc. Pension Plan 19001 South Western Avenue Mail Stop G315 Torrance, CA 90509-2991	6.0241%
Ohio Police and Fire Pension Fund 140 East Town Street, 7th Floor Columbus, Ohio 43215-5164 Attn: Robert U. Cheuvront, Senior Investment Officer	6.0241%
Public Employees' Retirement Association of Colorado 1300 Logan Street Denver, Colorado 80203	5.0201%
Houston Municipal Employees Pension System 1111 Bagby, Suite 2450 Houston, Texas 77002-2503	5.0201%
Kansas Public Employees Retirement System 611 South Kansas Avenue Topeka, Kansas 66603-38	5.0201%

Name and Address	Percentage Interest
Los Angeles City Employees' Retirement System 360 East Second Street, 8th Floor Los Angeles, CA 90012-4207 Attn: Wayne Ige, Chief Investment Officer	5.0201%
General Board of Pension and Health Benefits of The United Methodist Church, Incorporated in Missouri 1201 Davis Street Evanston, Illinois 60201	5.0201%
School Employees Retirement Board of Ohio 300 East Broad Street Columbus, Ohio 43215 Attn: Robert G. Cowman, Director of Investments	5.0201%
Allstate Insurance Company 3075 Sanders Road, Suite G3B Northbrook, IL 60062	3.0120%
Allstate Plans Master Trust 3075 Sanders Road, Suite G3B Northbrook, IL 60062	1.0040%
Immofinanz USA Real Estate Inc. 2711 Centerville Road, Suite 400 Wilmington, Delaware 19808	4.0161%
University of Illinois Foundation 1500 W. Third Street, Suite 410 Cleveland, Ohio 44113-1453	1.2048%
San Bernardino County Employees Retirement Association 348 West Hospitality Lane San Bernardino, CA	2.0080%
CB Richard Ellis Partners III, L.L.C. 865 Figueroa Street, Suite 3500 Los Angeles, CA 90017	1.4779%
CB Richard Ellis Strategic Investors III, L.L.C. 865 Figueroa Street, Suite 3500 Los Angeles, CA 90017	0.4297%
City of Flint Employees' Retirement System City Hall 1101 S. Saginaw Street Flint, MI 48502	1.2048%
Austin Police Retirement System 2520 South IH 35, Suite 205 Austin, Texas 78704	1.0040%
Cultural Institutions Pension Plan 1500 W. Third Street, Suite 410 Cleveland, Ohio 44113-1453	1.0040%

Name and Address	Percentage Interest	
Graphic Communications International Union Supplemental Retirement and Disability Fund 1500 W. Third Street, Suite 410 Cleveland, Ohio 44113-1453	1.0040%	
CK Blandin Residuary Trust 90 South Seventh Street, Suite 5300 Minneapolis, MN 55402	0.6024%	
Wells Fargo Master & Pension Trust Sixth and Marquette Minneapolis, MN 55479	0.5020%	
Blandin Foundation 90 South Seventh Street, Suite 5300 Minneapolis, MN 55402	0.1004%	
Total	100.00000%	

b. office	List below the names and titles of all officers, if any. If there are no officers, write "no rs."
Name	e Title
a.	IF THE UNDERSIGNED IS A LAND TRUST, BUSINESS TRUST, ESTATE OR ER SIMILAR ENTITY: List below the name and business address of each individual or legal entity holding title to the property that is the subject of the trust.
Name	Business Address
b. bene	List below the name, business address and percentage of beneficial interest of each ficiary on whose behalf title is held.
Name	Business Address Percentage Interest
•	IF THE UNDERSIGNED IS ANY OTHER LEGAL ENTITY, first describe the entity, then de the name, business address, and the percentage of interest of all individuals or legal es having an ownership or other beneficial interest in the entity.
Desc	ribe the entity:
	·

Name	Business Address	Percentage Interest
SECT	TION TWO: BUSINESS RELATIONSHIPS WITH CITY ELE	CTED OFFICIALS
Α.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "business red official in the 12 months before the date this EDS is signed.	
officia "financi in the (i) any share: the va excha compo benefi institu insura emplo	Pursuant to Chapter 2-156 of the Municipal Code of Chicago (iness relationship" means any "contractual or other private tall, or his or her spouse, or of any entity in which an official or licial interest," with a person or entity which entitles an official to common of \$2,500 or more in a calendar year; but a "financial into yownership through purchase at fair market value or inheritances of a corporation, or any corporate subsidiary, parent or affiliate alue of or dividends on such shares, if such shares are regange pursuant to the Securities Exchange Act of 1934, as amendensation paid to an official or employee for his office or employed it provided equally to all residents of the City; (iv) a time or demandation; or (v) an endowment or insurance policy or annuity contraction company. A "contractual or other private business dealing by ment relationship of an official's spouse with an entity whe etion concerning or input relating to the relationship between the	business dealing" of an his or her spouse has a ampensation or payment terest" does not include: te of less than 1% of the te thereof, regardless of listered on a securities inded, (ii) the authorized ment; (iii) any economic and deposit in a financial ract purchased from an g" does not include any en such spouse has no
B.	CERTIFICATION	
1. 12 mc	Has the Undersigned had a "business relationship" with any Conths before the date this EDS is signed? [] Yes [] No	City elected official in the
such r	If yes, please identify below the name(s) of such City elected relationship(s):	official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated		Relation	onship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate w paid or es	
to be retained	d)				
Mayer, Brow	n, Rowe & l	Maw LLP	(Attorneys)	\$15,000	(estimated)
190 S. LaSa	lle Street			***************************************	
Chicago, IL	60603				

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below: None
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:
HONE
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragrap (C) and (D) above, provide an explanation:				aragraph

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
- 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- 4. There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default;
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity:
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to
 any such agreement, or been convicted or adjudged guilty of agreement or
 collusion among bidders or prospective bidders, in restraint of freedom of
 competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

CERTIFICATION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

The Undersigned certifies that the Undersigned [check one]isis not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

CERTIFICATION REGARDING INTEREST IN CITY BUSINESS <u>v.</u>

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

mear	nings when used	in this Part V.		,
1.	Does any offici	with Section 2-156-110 all or employee of the name of any other pers	City have a fina	ncial interest in his or her own
or (3) If you the in) below. Instead u answered "Ye nformation requ	l, review the certificat s" to Item V(1), you r	tion in Item V(4) must first respo After respondin	equired to answer Items V(2)) and then proceed to Part VI. ond to Item V(2) and provide ng to those Items, review the
2.	employee shall other person in taxes or asses (collectively, "C	have a financial intere the purchase of any pro sments, or (iii) is sold to tity Property Sale"). Co	st in his or her or operty that (i) belo by virtue of legal ompensation for	ding, no City elected official or wn name or in the name of any ongs to the City, or (ii) is sold for I process at the suit of the City property taken pursuant to the ncial interest within the meaning
	Does the Matte	er involve a City Proper	ty Sale?	
3. City o	officials or employ		est and identify t	and business addresses of the he nature of such interest: Nature of Interest
		`		

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X_1. The Undersigned verifies that (a) the Undersigned has been been been been been been been bee	records of investments or profits plicies, and (b) the Undersigned the slave industry, or slaveholder
2. The Undersigned verifies that, as a result of condabove, the Undersigned has found records relating to invest slave industry, or slaveholder insurance policies and/or slaveholders. The Undersigned verifies that the following correcords:	ments or profits from slavery, the the names of any slaves or
	·

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A: Act of	List below the name 1995 who have made		•		, ,	
Matter: [Begin list here, add sheets as necessary]:						
						_
		- , , , , , , , , , , , , , , , , , , ,				

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.			ave on file affirmative action programs pursuant See 41 CFR Part 60-2.)	to
	[]Yes	[] No	[] N/A	
B.		rticipated in any previ unity clause?	ous contracts or subcontracts subject to the	
	[]Yes	[] No	[] N/A	
C.	Contract Con		ing Committee, the Director of the Office of Feder the Equal Employment Opportunity Commission a ling requirements? [] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with
Print or type name of individual or legal entity submitting this recertification) By: (sign fiere)
Print or type name of signatory:
Stated D. FIFIELD
Title of signatory:
Manach nomber
Subscribed to before me on [date] 1 8 2004, at Cook County, I Cook County, Subscribed to before me on [date] 1 8 2004, at Cook County, County, Cook Cook County, Cook Cook Cook Cook Cook Cook Cook Coo
Ver. 6/23/03 FUBLIC FIRM LYN KRUSE STATE OF COMMISSION EXPIRES 11/20/07 23

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

FIFIELD 550 CLASS A	s member uc	Date: 9	122/04
(Print or type name of individual or legal entity submitting the	is EDS)		
By: (sign hele)	7		
Print or type name of signatory:			
STEVEN A. FIFIELD			
Title of signatory:			
MANAGINA MEMPEN			
Subscribed to before me on [date]	9/22/04 , at_	COCK	County,
Commission expires: $\frac{g}{2}/05$	Notary Public.		
Commission expires: $\frac{\partial/\lambda/\partial S}{\partial S}$	*		
	OFFICIAL TATHEVE A. HU LOTARY FUCLIO STA COMMISSION EXF	TE OF ILLINOIS	



FOR CITY USE
AFFIDAVIT NO

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

Date	this EDS is completed: SEPTEMBER 22, 2004
A. "U nd	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. FIFIELD 550 CLASS B Member UC
Unde EDS requi	E: The Undersigned is the individual or entity submitting this EDS, whether the resigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, se observe whether the section you are completing applies only to Applicants.
,	Check here if the Undersigned is filing this EDS as an Applicant.
M	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
B.	Business address of the Undersigned: 20 N. WACKER DR. #3200 CHICAGO, IL. 60606 CFO FIFIELD REALTY COMP.
C.	Telephone: 312 - 855-1600 Fax: 32-855-1719 Email: ROWN @ FIFIELD CO. COM
D.	Name of contact person:
E:	Tax identification number (optional):

GENERAL INFORMATION

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):
	A LORPORATE NEAR QUARTERS RELOCATION
G.	Is the Matter a procurement? [] Yes
H.	If a procurement, Specification # and Contract #
I.	If not a procurement:
	1. City Agency requesting EDS: DEPARTMENT OF PLANNING & DEVELOPMENT
	2. City action requested (e.g. loan, grant, sale of property): **RERVEST FOR TAX INCREMENT FINANCING**
	3. If property involved, list property location: 550 West Adams (552,562 W. ADAMS /136 S. CLINTON)
SECT	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
<u>3201</u>	TON ONE. BIOGEOGRE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No
	[] General partnership [] Cother entity (please specify) [] Limited partnership
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A

Ver. 6/23/03

3

B. ORGANIZATION INFORMATION

IF THE UNDERSIGNED IS A CORPORATION:
 List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name

Title

b(1). If the Matter **is** a procurement and the Undersigned is a corporation whose shares **are** registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, please provide the following information concerning shareholders who own shares equal to or in excess of 7.5% of the corporation's outstanding shares.

Business Address

N/A	<u>-</u>
	_
b(2). If the Matter is not a procurement, and the Undersigned is a corporation whose share registered on a national securities exchange pursuant to the Securities Exchange Act	
1934, please provide the following information concerning shareholders who own shares equ	

Name	Business Address	Percentage Interest
NIA		

to or in excess of 10% of the corporation's outstanding shares.

Name

Percentage Interest

2. IF THE UNDERSIGNED IS A PARTNERSHIP OR JOINT VENTURE: For general or limited partnerships or joint ventures: list below the name, business and percentage of ownership interest of each partner. For limited partnerships, whether each partner is a general partner or a limited partner. Name Business Address Percentage N A 3. IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: a. List below the name, business address and percentage of ownership interes (i) member and (ii) manager. If there are no managers, write "no managers," and indict the company is managed. Name Business Address Percentage Manager: Steven D. Fireld Zo N. Wacku #3zoo Manager Chicago, PL 60606 Central Pacific Trust 20 N. Wacku #3zoo 52-17% Chicago, FL 60606 Central Pacific Trust 20 N. Wackur #3zoo 52-17% Chicago, FL 60606 Central Pacific Trust 20 N. Wackur #3zoo 52-17% Chicago, FL 60606	address indicate Interest
2. IF THE UNDERSIGNED IS A PARTNERSHIP OR JOINT VENTURE: For general or limited partnerships or joint ventures: list below the name, business and percentage of ownership interest of each partner. For limited partnerships, whether each partner is a general partner or a limited partner. Name Business Address Percentage N A 3. IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: a. List below the name, business address and percentage of ownership interes (i) member and (ii) manager. If there are no managers, write "no managers," and indicate company is managed. Name Business Address Percentage III Manager: Steven D. Fifield 20 N. Wacku #3200 Manager Chicago, PL 60606 Except a under Chicago, PL 60606 Class A: Steven D. Fifield 20 N. Wacku #3200 52-17% Chicago, PL 60606 Central Pacific Trust 1400 70 N. Wackur #3200 47-83%	address indicate Interest
2. IF THE UNDERSIGNED IS A PARTNERSHIP OR JOINT VENTURE: For general or limited partnerships or joint ventures: list below the name, business and percentage of ownership interest of each partner. For limited partnerships, whether each partner is a general partner or a limited partner. Name Business Address Percentage N A Business Address Percentage of ownership interes and percentage of ownership interes on managers, write "no managers," and indicate company is managed. Business Address Percentage Business Address Percentage Manager = Steven D. Fifield 20 N. Wacku #3200 Manager Chicago, FL 60606 Central Pacific Trust - Lass 70 N. Wacku #3200 52-17% Chicago, FL 60606 Central Pacific Trust - Lass 70 N. Wackur #3200 52-17% Chicago, FL 60606 Central Pacific Trust - Lass 70 N. Wackur #3200 47.83%	address indicate Interest
For general or limited partnerships or joint ventures: list below the name, business and percentage of ownership interest of each partner. For limited partnerships, whether each partner is a general partner or a limited partner. Name Business Address Percentage N A Business Address Percentage N A Business Address Percentage If THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: List below the name, business address and percentage of ownership interes i) member and (ii) manager. If there are no managers, write "no managers," and indicate company is managed. Name Business Address Percentage II Wanager = Steven D. Fixeld 20 N. Wacker #3200 Manager Chicago, Fl. 60606 except a under Chicago, Fl. 60606 Class A = Steven P. Fixeld 20 N. Wacker #3200 52-17% Chicago, Fl. 60606 Central Pacific Trust 1900 70 N. Wacker #3200 47-8376	Interest
IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: List below the name, business address and percentage of ownership interes: member and (ii) manager. If there are no managers, write "no managers," and indicate company is managed. Business Address Percentage I Wanager = Steven D. Fifteld Zo N. Wacker #3200 Manager Chicago, FL 60606 Class A = Steven D. Fifteld Zo N. Wacker #3200 52-17% Chicago, FL 60606 Central Pacific Trust - Lass Za N. Wacker #3206 47.83%	at of each
3. IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: a. List below the name, business address and percentage of ownership interes (i) member and (ii) manager. If there are no managers, write "no managers," and indicate company is managed. Name Business Address Percentage I Manager = Steven D. Fixeld Zo N. Wacker #3200 Manager Chicago, Pt. 60606 except a under C Class A = Steven D. Fixeld Zo N. Wacker #3200 52-1790 Chicago, Ft. 60606 Central Pacific Trust - 1000 70 N. Wacker #3200 47.8370	t of each
3. IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY: a. List below the name, business address and percentage of ownership interes (i) member and (ii) manager. If there are no managers, write "no managers," and indicate company is managed. Name Business Address Percentage I Manager = Steven D. Fireld Zo N. Wacker #3200 Manager Chicago, Pt. 60606 except a under C Class A = Steven D. Fireld Zo N. Wacker #3200 52-1790 Chicago, Ft. 60606 Central Pacific Trust 1400 74 N. Wacker #3200 47.8370	t of each
(i) member and (ii) manager. If there are no managers, write "no managers," and indicate the company is managed. Name Business Address Percentage I Manager = Steven D. Fifield Zo N. Wacker #3200 Chicago, Pl 60606 Class A = Steven D. Fifield Zo N. Wacker #3200 Chicago, Fl 60606 Central Pacific Trust Zo N. Wacker #3200 Chicago, Fl 60606 Central Pacific Trust Zo N. Wacker #3200 Chicago, Fl 60606 Central Pacific Trust Zo N. Wacker #3200 Central Pacific Trust Zo N. Wacker #3200 Control Pacific Trust Zo N. Wacker #3200 Central Pacific Trust Zo N. Wacker #3200 Control Pacific Trust Zo N. Wacker #3200 Central Pacific Trust Zo N. Wacker #3200 Control Pacific Trust	
Manager = Steven D. Fifield 20 N. Wacker #3200 Manager Chicago, PL 60606 except a under C Class A = Steven D. Fifield 20 N. Wacker #3200 52-17% Chicago, Ti 60606 Central Pacific Trust 1000 72 N. Wacker #3200 47.83%	
Class A: Steven D. Fifield zo N. Wacker #3200 52-17% Chicago, IL 60606 Central Pacific Trust - 1000 70 N. Wacker #3200 47.83%	nterest
Class A: Steven D. Fifield zo N. Wacker #3200 52-17% Chicago, IL 60606 Central Pacific Trust - 1002 Za N. Wacker #3200 47.83%	has no
Class A: steven D. Fifield zo N. Wacken #3200 52-17% Chicago, IL 60606 Central Pacific Trust 7200 47.83%	15 Stat Lass A
Central Pacific Trust 72 N. Maricer #3200 47.83%	
Central Pacific Trust -1000 70 N. Macicer #3200 47.83%	
Chi chiqu, + = 000	
class B: Business Address for all = 20 N, wacker #30	200 606
Randy A. Fifield 18.53% Richard Blum 14.81%	
ver. 6/23/03 Tom Saletta 7.41% Ver. 6/23/03 Tom Saletta 7.41% Richard F. Cavenaugh, Trustee Richard F. Cavenaugh, Thistee Richard F. Cavenaugh 14.81%	Class
of L6 Inst altill 111111	inter
Michael Doyle 1.85%. Michael Pepper 7.41%	Class integ 5
Michael Pepper 7.41%. Doug Grover 3.70%. Fifield Legacy Trust 31.48%. Fifield Legacy Trust 31.48%. Member	inter 5

b. Lis officers."	st below the names a	nd titles of all officers, if any. If	there are no officers, write "no
Name		Title	
No	officers	·	
OTHER S a. Lis	IMILAR ENTITY: It below the name an	ED IS A LAND TRUST, BUSI d business address of each in the subject of the trust.	
Name		Business Address	
N/.	A		
	st below the name, bury on whose behalf tit	siness address and percentag le is held.	e of beneficial interest of each
Name		Business Address	Percentage Interest
N/A			
provide the entities ha	ne name, business ad aving an ownership o the entity:	DIS ANY OTHER LEGAL ENTIT dress, and the percentage of in r other beneficial interest in the	terest of all individuals or legal

Ver. 6/23/03

Fifield 550 Class B Member LLC

Name)	Business Address	Percentage Interest
	N/O		
			·
SECT	FION TWO: BUSINESS	RELATIONSHIPS WITH CIT	Y ELECTED OFFICIALS
A.	DEFINITIONS AND D	ISCLOSURE REQUIREMENT	•
1. electe	•	t indicate whether it had a "busi hs before the date this EDS is s	
officia "financin the (i) any share the va excha compo benefi institu insura emplo	iness relationship" medal, or his or her spouse, acial interest," with a personamount of \$2,500 or more yownership through purces of a corporation, or any alue of or dividends on ange pursuant to the Section paid to an officifit provided equally to all rution; or (v) an endowmed ance company. A "contrapyment relationship of a	ans any "contractual or other pror of any entity in which an officion or entity which entitles an official re in a calendar year; but a "financhase at fair market value or inher corporate subsidiary, parent or such shares, if such shares a curities Exchange Act of 1934, as all or employee for his office or entity or insurance policy or annuity actual or other private business on official's spouse with an entity relating to the relationship between	rivate business dealing" of an itial or his or her spouse has a lat to compensation or payment cial interest" does not include eritance of less than 1% of the affiliate thereof, regardless of re registered on a securities amended, (ii) the authorized imployment; (iii) any economic demand deposit in a financial y contract purchased from an dealing" does not include any y when such spouse has no
B.	CERTIFICATION		
1. 12 mc	onths before the date thi	ad a "business relationship" with s EDS is signed? No	n any City elected official in the
such r	If yes, please identify brelationship(s):	elow the name(s) of such City e	elected official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

BERETAINED BY THE UNDERSIGNED.

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO

Ver. 6/23/03

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinoi Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine fee, tax or other charge owed to the City. This includes all water charges, sewer charges license fees, parking tickets, property taxes or sales taxes. If there are any such		
delinquencies, note them below:	_	
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.	_ e	
B. The Undersigned and its affiliates have not, in the past five years, been found it violation of any City, state or federal environmental law or regulation. If there have been are such violations, note them below:		
	_	
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.	е	

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph				
(C) and (D) above, prov	vide an explanation:			
N/A				
,				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
- 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the
	Undersigned must explain below:
	VA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

•	ional definitions may be found in Section 2-32-455(b) of the Municipal Code.
	CERTIFICATION Indersigned certifies that the Undersigned [check one] is is is not incial institution" as defined in Section 2-32-455(b) of the Municipal Code.
В.	If the Undersigned IS a financial institution, then the Undersigned pledges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
define meani	Undersigned is unable to make this pledge because it or any of its affiliates (as ed in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the ing of Chapter 2-32 of the Municipal Code, explain here (attach additional pages essary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?
[] Yes
[] No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those items, review the certification in Item V(4) and proceed to Part VI.

2. Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?

[] Yes

[] No

•	yes" to Item V(1), provide the names a es having such interest and identify the		
Name	Business Address	Nature of Interest	
		•	

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of al		~			
Act of	1995 who have made lobb	ying contacts of	on behalf of the	Undersigned w	ith respect to	o the
Matte	r: [Begin list here, add she	ets as necess	sary]:			
	NA					
		•				

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.	Have you de	veloped and do you h	ave on file affirmative action programs	pursuant to		
	applicable fe	ederal regulations? (S	See 41 CFR/Part 60-2.)			
	[]Yes	[] No	ÐÍN/A			
В.	Have you participated in any previous contracts or subcontracts subject to th					
	equal opport	unity clause?				
	[]Yes	[] No	[ŊN/A			
C.	Have you file	d with the Joint Repor	ing Committee, the Director of the Offic	e of Federal		
	Contract Cor	npliance Programs, or	the Equal Employment Opportunity Cor	mmission all		
	reports due i	reports due under the applicable filing requirements?				
	[]Yes	[] No	//N/A			
			\sim			

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.



BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:

- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with AX INCREMENT INVANCION
lidentify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its
acknowledgments.
(Print or type name of individual or legal entity submitting this recertification)
By: / Sulling (sign here)
Print or type name of signatory:
RICHARO F. CAVENAUGY
Title of signatory:
Trusco
Subscribed to before me on [date] <u>November 87</u> , at <u>Cook</u> County,
Englyn Ruse Notary Public. Commission expires: 11/20/07
Commission expires: $11/20/07$.
Ver. 6/23/03 "OFFICIAL SEAL" PUBLIC FRIKA LYN KRUSE STATE OF COMMISSION EXPIRES 11/20/07

. 1

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

LG TRUST U/A/6 JULY 7,1994	Date: <u>9/22/04</u>
(Print or type name of individual or legal entity submitting this EDS)	
By:	
(sign here)	
Print or type name of signatory:	
RICHARD F. CAVENHUGH	
Title of signatory:	
Trustee	
Subscribed to before me on [date]	_, atCounty,
Kuthun A Hulchean Notary Pi	ublic.
Commission expires: $8/2/05$.	

OFFICIAL SEAL

KATHRYN A. HUTCHESON

NOTARY PUBLIC, STATE OF ILLINOIS

MY COMMISSION EXPIRES 8-2-2005

LG Trust

FOR CITY USE
AFFIDAVIT NO

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." **An incomplete EDS will be returned and any City action will be interrupted.**

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

FROM : LIBMAN PRO

GENERAL INFORMATION

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

Date t	nis EDS is completed: SEPTEMBEN 22, 2009				
A. "Unde	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. 26 TROST W/A/D July 7,1994				
Under EDS r requir	The Undersigned is the individual or entity submitting this EDS, whether the signed is an Applicant or is an entity holding an interest in the Applicant. This equires certain disclosures and certifications from Applicants that are not ed from entities holding an interest in the Applicant. When completing this EDS, e observe whether the section you are completing applies only to Applicants.				
11	Check here if the Undersigned is filing this EDS as an Applicant.				
DV	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.				
	Also, please identify the Applicant in which this entity holds an interest:				
В,	Business address of the Undersigned: \[\begin{align*} \text{\aligned} \text{N. NALKER OR \(\frac{4}{3200}\) \\ \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \text{\aligned} \qu				
C.	Telephone: 312-855-1600 Fax: 312-855-17/9 Email: ROLUM PIPIEL OCO. COM				
D.	Name of contact person: RICNARA BLUM				
E:	Tax identification number (optional):				
Ver. 6/23	703 2				

PAGE:011 R=95%

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):				
	TAX INCREMENT FINANCING ASSISTANCE FOR A CORPORATE NEARQUARTERS RELOCATION				
G.	Is the Matter a procurement? [] Yes [>No				
H.	If a procurement, Specification # and Contract #				
l.	If not a procurement:				
	1. City Agency requesting EDS: NEPARIMENT OF PLANNING & NEVELOPMEN				
	2. City action requested (e.g. loan, grant, sale of property): **REQUEST FUR TAX INCREMENT FINANCING **PROPERTY OF THE PROPERTY OF THE PROPE				
	3. If property involved, list property location: 552,562 W. AAAAS / 136 S. CLINTON (550 W. Adams				
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS				
A.	NATURE OF ENTITY				
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No				
	[] General partnership [] Limited partnership [] Trust				
2.	State of incorporation or organization, if applicable:				
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] NO				

В. ORGANIZATION INFORMATION

1.

IF THE UNDERSIGNED IS A CORPORATION: List below the names and titles of all executive officers and all directors of orporation. For not-for-profit corporations, also list below any executive director of orporation, and indicate all members, if any, who are legal entities. If there are no snembers, write "no members."		
Name	Title	
N/A		
registered on a nati please provide the	is a procurement and the Undersigned is a colional securities exchange pursuant to the Secul following information concerning shareholders of the corporation's outstanding shares.	rities Exchange Act of 1934
Name	Business Address	Percentage Interest
N/A		
are registered on a 1934, please provide	is not a procurement, and the Undersigned is a national securities exchange pursuant to the de the following information concerning shareholow of the corporation's outstanding shares.	Securities Exchange Act of
Name N/A	Business Address	Percentage Interest

•	ge Act of 1934, list below the name, busing each shareholder.	ų.
Name	Business Address	Percentage Interest
N/A	·,	
For general or limited and percentage of over	RSIGNED IS A PARTNERSHIP OR JOIN partnerships or joint ventures: list below wnership interest of each partner. For is a general partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
N/A		
a. List below the r	RSIGNED IS A LIMITED LIABILITY COM name, business address and percentage nager. If there are no managers, write "no ged.	of ownership interest of each
Name N/A	Business Address	Percentage Interest

b. List below the nam officers."	nes and titles of all officers, if any	. If there are no officers, write "no
Name	Title	
N/A	· · · · · · · · · · · · · · · · · · ·	
OTHER SIMILAR ENTITY a. List below the nar	.	USINESS TRUST, ESTATE OR
Name	Business Address	
Ficher d.F. C.	avenaugh Chicag	Wacker #3200 a FL 60606
b. List below the nan beneficiary on whose bel	•	tage of beneficial interest of each
Name	Business Address	Percentage Interest
MINKA Richard F	= Zo N. Wack	TL 60606
Cave	enaugh Chicago,	IL 60606
provide the name, busine		ITITY, first describe the entity, then of interest of all individuals or legal the entity.
Describe the entity:		
NIA		

Name		Business Address	Percentage Interest
N	1/h		
		.	
SECT	ION TWO: BUSINESS I	RELATIONSHIPS WITH CITY E	LECTED OFFICIALS
A.	DEFINITIONS AND DIS	CLOSURE REQUIREMENT	
1. electe		ndicate whether it had a "busines before the date this EDS is signe	
official "financi in the a (i) any shares the va exchai compe benefit institut insurar employ	ness relationship" mean , or his or her spouse, or sial interest," with a person amount of \$2,500 or more ownership through purch s of a corporation, or any of lue of or dividends on s nge pursuant to the Secur ensation paid to an official t provided equally to all restion; or (v) an endowment ince company. A "contractyment relationship of an	6 of the Municipal Code of Chicagos any "contractual or other privated and any entity in which an official to or entity which entitles an official to in a calendar year; but a "financial ase at fair market value or inheritatorporate subsidiary, parent or affiliated shares, if such shares are interested to the contraction of the City; (iv) a time or design or employee for his office or employee for his office or employee for insurance policy or annuity control of the contract of the control of the contract of the contract of the control of t	te business dealing" of an or his or her spouse has a compensation or payment interest" does not include ance of less than 1% of the iliate thereof, regardless or registered on a securities mended, (ii) the authorized loyment; (iii) any economic mand deposit in a financial potract purchased from an aling" does not include any then such spouse has no
В.	CERTIFICATION		
1. 12 mo	Has the Undersigned had nths before the date this [] Yes		y City elected official in the
such re	If yes, please identify bel elationship(s):	ow the name(s) of such City elec	ted official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements. B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph (C) and (D) above, provide an explanation:				
N/A				
			_	
	-			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.



- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
 - There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- 4. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:
 M/h

77 . 77		 	 			
					_	
-	-					
16 10 1-44	(() () () () () ()	 	 	41 15	1	:4 :III b

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION The Undersigned certifies that the Undersigned [check one] is is not a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. B. If the Undersigned IS a financial institution, then the Undersigned pledges: "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none

of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Undersigned is unable to make this plades because it or any of its offiliates (as

efined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the eaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages	
necessary):	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

CERTIFICATION REGARDING INTEREST IN CITY BUSINESS <u>V.</u>

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

meani	ngs when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? [] Yes [] No
or (3) If you the in	: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City o Name	If you answered " yes " to Item V(1), provide the names and business addresses of the fficials or employees having such interest and identify the nature of such interest: Business Address Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of all individuals registered under the federal Lobbying Disclosure
Act o	f 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the
Matte	er: [Begin list here, add sheets as necessary]:
	N/A

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

 A. Have you developed and do you have on file affirmative action. 				ns pursuant to	
	applicable	federal regulations? (S	ee 41 CFR Part 60-2.)		
	[]Yes	[] No	[X N/A		
B.	Have you participated in any previous contracts or subcontracts subject to the				
	equal oppo	rtunity clause?	/		
	[]Yes	[] No	PJ/N/A		
C.	Have you fi	led with the Joint Report	ng Committee, the Director of the Off	fice of Federal	
	Contract Compliance Programs, or the Equal Employment Opportunity Commission all				
	reports due under the applicable filing requirements?				
	[]Yes	[] No	D}√\/A		

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156and2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with
[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2)
warrants that all certifications and statements contained in the Undersigned's original EDS
are true, accurate and complete as of the date furnished to the City and continue to be true,
accurate and complete as of the date of this recertification, and (3) reaffirms its
acknowledgments.
FHUND LEARCY TRUET Date: 11/8/2004
(Print or type name of individual or legal entity submitting this recertification)
By: Janu O Falla
(sign bere)
Print or type name of signatory:
Sheven A FIFIELD
Title of signatory:
TAUSTEE
Subscribed to before me on [date] 11 8 2004, at Cook County, Life 1005 [state].
Commission expires: 1/20/07.
Ver. 6/23/03

8000299

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

FIFIELD LEGACY TRUST		Date:	9/22/0	y
By: (skin viers)	S)	_		
Print or type name of signatory:				
STEVEN A FIFIELD				
Title of signatory:				
TRUSTEE				
Subscribed to before me on [date]9/	/ad/oy_, at Notary Public.	, , , , , , , , , , , , , , , , , , ,	C00 K	. County,
Commission expires: 8/2/00				

Fifield Legacy Trust

FOR CITY USE	
AFFIDAVIT NO	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

SCINE	ERAL INFORMATION					
Date t	this EDS is completed: SEPTEMISER 22, 2004					
A. Who is submitting this EDS? That individual or entity will be the "Undersigned" throughout this EDS. FIFIERD LEGACY TRUST						
Unde EDS requi	E: The Undersigned is the individual or entity submitting this EDS, whether the rsigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, se observe whether the section you are completing applies only to Applicants.					
]	Check here if the Undersigned is filing this EDS as an Applicant.					
y/	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.					
	Also, please identify the Applicant in which this entity holds an interest:					
В.	Business address of the Undersigned: 20 N. WACKER DR 4 3200 CHICAGO, IL. 60606 C/O FIFIELD REALTY CORP.					
C.	Telephone: 312-855-1600 Fax: 312-855-1719 Email: RBLUMC FIFIELOCO.COM					
D.	Name of contact person: RICHARO BLUM					
Ε:	Tax identification number (optional):					

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if					
	applicable): TAX INCREMENT FINANCING ASSISTANCE FOR					
	A CORPORATE HEADQUARTERS RELOCATION					
G.	Is the Matter a procurement? [] Yes [] No					
H.	If a procurement, Specification # and Contract #					
I.	If not a procurement:					
	1. City Agency requesting EDS: DEPARTMENT OF PLANNING & NEVEROPMENT					
	2. City action requested (e.g. loan, grant, sale of property): **REQUEST FOR TAX INCREMENT FINANCINA**					
	3. If property involved, list property location: / 50, 552, 562 N. ANMS //36 S. CLINTON					
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS					
Α.	NATURE OF ENTITY					
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No					
	[] General partnership (Differentity (please specify) [] Limited partnership — Twst————————————————————————————————————					
2.	State of incorporation or organization, if applicable:					
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A					

Ver. 6/23/03

B. ORGANIZATION INFORMATION

- 1. IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name	Title		
NA			
registered on a nation	a procurement and the Undersigned is a call securities exchange pursuant to the Se owing information concerning shareholds the corporation's outstanding shares.	curities Exchange Act of 1934	
Name <i>N</i> /4	Business Address	Percentage Interest	
are registered on a na 1934, please provide t	not a procurement, and the Undersigned ational securities exchange pursuant to the following information concerning share of the corporation's outstanding shares Business Address	he Securities Exchange Act o holders who own shares equa	

c. For corporations that are not registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, list below the name, business address and percentage of ownership interest of each shareholder.					
Name N/#	Business Address	Percentage Interest			
For general or limited partners and percentage of ownership		w the name, business address r limited partnerships, indicate			
Name	Business Address	Percentage Interest			
a. List below the name, b(i) member and (ii) manager.	•	MPANY: ge of ownership interest of each no managers," and indicate how			
the company is managed. Name ////	Business Address	Percentage Interest			

b. List be officers."	elow the names and titles of all off	icers, if any. If there	e are no officers, write "no
Name	Title		
N/A	· · · · · · · · · · · · · · · · · · ·		
OTHER SIMII a. List be	IE UNDERSIGNED IS A LAND LAR ENTITY: elow the name and business add ne property that is the subject of th	ress of each individ	
Name	Business A	.ddress	
	Trustee: Steven D. Fifield	20 N. Waster Chicago, Di	- #3200 60606
	elow the name, business address n whose behalf title is held.	and percentage of t	peneficial interest of each
Name All As	Business A See Exhibit A at		Percentage Interest
provide the na	•	ercentage of interes I interest in the entity	st of all individuals or legal
_ N			

EXHIBIT A

Fifield Legacy Trust Beneficiaries:

Business Address for all:

20 North Wacker Drive, #3200

Chicago, Illinois 60606

Christopher Steven Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary: Christopher Steven Fifield

Lara Lynn Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary: Lara Lynn Fifield

Alexa Mackenzie Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary: Alexa Mackenzie Fifield

Samantha Sinclair Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary:

Samantha Sinclair Fifield

Nicole Taylor Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary: Samantha Sinclair Fifield

Catherine Spencer Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary: Catherine Spencer Fifield

Jonathon Ryan Fifield Exemption Trust

Trustee:

Randy A. Fifield

Beneficiary:

Jonathon Ryan Fifield

Beneficial interest split evenly among beneficiaries

Name	•	Business Address	Percentage Interest
1	V/P		
-			
SECT	ION TWO: BUSINE	SS RELATIONSHIPS WITH CIT	Y ELECTED OFFICIALS
A.	DEFINITIONS AND	DISCLOSURE REQUIREMENT	
1. electe	•	ust indicate whether it had a "businths before the date this EDS is s	•
officia "financi in the (i) any share: the va excha compo benefi institu insura emplo	ness relationship" made, or his or her spouse cial interest," with a per amount of \$2,500 or made of a corporation, or a calue of or dividends of ange pursuant to the Sensation paid to an offit provided equally to a tion; or (v) an endown ance company. A "corpoyment relationship of	2-156 of the Municipal Code of Chineans any "contractual or other piet, or of any entity in which an officience in a calendar year; but a "finant urchase at fair market value or inhors corporate subsidiary, parent or such shares, if such shares a ecurities Exchange Act of 1934, a icial or employee for his office or ell residents of the City; (iv) a time or nent or insurance policy or annuit stractual or other private business an official's spouse with an entitut relating to the relationship between	rivate business dealing" of an cial or his or her spouse has a al to compensation or payment ricial interest" does not include: eritance of less than 1% of the affiliate thereof, regardless of the registered on a securities is amended, (ii) the authorized imployment; (iii) any economic in demand deposit in a financial y contract purchased from an dealing" does not include any they when such spouse has no
B.	CERTIFICATION		
1. 12 mc	onths before the date t	I had a "business relationship" with his EDS is signed? NO	n any City elected official in the
such r	If yes, please identify relationship(s):	below the name(s) of such City of	elected official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipate to be retaine	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such
delinquencies, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragra			ion Four, paragraph I
(C) and (D) above, pro	vide an explanation:	•	., ,
N/K			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.



- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- 2. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:
	N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION

The Undersigned certifies that the Undersigned [check one]
______ is
_____ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

B. If the Undersigned IS a financial institution, then the Undersigned pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):	
in noocoodiy).	
	_
	_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

<u>V.</u> CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

mean	ings when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? [] Yes [] No
or (3) If you the in	E: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City o Name	If you answered " yes " to Item V(1), provide the names and business addresses of the fficials or employees having such interest and identify the nature of such interest: Business Address Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set
forth in that paragraph (2).
1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of all individuals registered under the federal Lobbying Disclosure
Act (of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the
Mat	er: [Begin list here, add sheets as necessary]:
	NA

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	Have you de	veloped and do you h	ave on file affirmative action programs pursuant	t to
	applicable fe	deral regulations? (See 41 CFR Part 60-2.)	
	[]Yes	[] No	[X]N/A	
B.	Have you par	rticipated in any previ	ous contracts or subcontracts subject to the	
	equal opportu	unity clause?		
	[]Yes	. [] No	n/A	
C.	Have you file	d with the Joint Repor	ting Committee, the Director of the Office of Fede	ral
			the Equal Employment Opportunity Commission	all
	reports due u	nder the applicable f	ling requirements?	
	[]Yes	[] No	[/] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with	14x INCREMENT TINANCING
[identify the Matter]. Under penalty of perjury, the person s	signing below: (1) warrants that
he/she is authorized to execute this EDS recertification on warrants that all certifications and statements contained in t	,
are true, accurate and complete as of the date furnished to t	•
accurate and complete as of the date of this recerti	· · · · · · · · · · · · · · · · · · ·
acknowledgments.	
Print or type name of individual or legal entity submitting this recertification)	11/8/2004
(Print or type name of individual or legal entity submitting this recertification)	
By: FIELD MANAGEN GARAGES (Sign here)	
si: Stelle & these	
(sign here)	
Print or type name of signatory:	
STEVEN A FINERD	
Title of signatory:	
MOMBER	
	4
Subscribed to before me on [date] 1 8 200 \(\frac{1}{200} \), a \(\frac{1}{200} \) [state].	tCooKCounty,
Commission expires: 1/20/07.	ic.
Commission expires: 11/20/07.	-
Ver. 6/23/03	23

8000300

23

14x Licoproso tinneina

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

550 ANAMS LLC	Date:	9/2	2/04
(Print or type name of individual or legal entity submitting this EDS) BY: FRC 550 LLC By: FIFIE FOR MAN ALER FR (sign here)			
Print or type name of signatory: STEVEN D FIFTERD			
Title of signatory: MANAGEN			
Subscribed to before me on [date] $\frac{9/22/67}{\text{LLL/NO/5}}$, at		C00K	County,
OFFICIAL SS KATHRYN A. HUTCHESON NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 8-2-2005			

Ver. 6/23/03

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

FAC 550 LLC (Print or type name of individual or legal entity submitting this EDS)	Date: 9/22/04
By: FIFIELD MANAGER LACT OY: (sign here)	
Print or type name of signatory:	
STEVEN D. FIFIELD	
Title of signatory:	
MEMBEN	
Subscribed to before me on [date] 9/22/04 **TLLINOIS** [state].	, atCooK County,
Valley A Holelize Notar	y Public.
Commission expires: $\frac{\partial}{\partial z}$	
	OFFICIAL SEAL KATHRYN A. HUTCHESON NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 8-2-2005

FRC 550 LLC

FOR CITY USE
AFFIDAVIT NO

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." **An incomplete EDS will be returned and any City action will be interrupted.**

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENE	RAL INFORMATION
Date t	his EDS is completed: September 22, 2004
A. "Unde	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS.
Under EDS r requir	: The Undersigned is the individual or entity submitting this EDS, whether the reigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, a observe whether the section you are completing applies only to Applicants.
[]	Check here if the Undersigned is filing this EDS as an Applicant.
ß	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
В.	Business address of the Undersigned: 20 N. WACKEN Q1 43200 CHICARO, ZL. GOGOB CJO FIFTELD REALTY CONF.
C.	Telephone: 312-855-1600 Fax: 312-855-1719 Email: RBLUMOFIFIELD CO. COM
D.	Name of contact person: RICHARD BLUM
E:	Tax identification number (optional):

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):				
	TAX INCREMENT FINANCING 455157ANCE FIN				
	A CORPORATE NEARQUARTERS RELOCATION				
G.	Is the Matter a procurement? [] Yes				
H.	If a procurement, Specification # and Contract #				
I.	If not a procurement:				
	1. City Agency requesting EDS: DEPARIMENT OF PLANNING & DEVELOP NEW				
	2. City action requested (e.g. loan, grant, sale of property): **RERVEST FOR TAX INCREMENT FINANCING**				
	3. If property involved, list property location: 552, 562 W. ADAMS / 36 S. CLINTON (550 Adams L				
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS				
A.	NATURE OF ENTITY				
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No				
	[] General partnership [] Other entity (please specify) [] Limited partnership				
2.	State of incorporation or organization, if applicable:				
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] No				

Ver. 6/23/03

B. ORGANIZATION INFORMATION

IF THE UNDERSIGNED IS A CORPORATION:
 a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name	Title	
N/18		
registered on a national please provide the follow	procurement and the Undersigned is a constitution of the Securities exchange pursuant to the Security information concerning shareholds are corporation's outstanding shares.	curities Exchange Act of 1934,
Name N/N	Business Address	Percentage Interest
b(2). If the Matter is rare registered on a na 1934, please provide the	ot a procurement, and the Undersigned tional securities exchange pursuant to the following information concerning share of the corporation's outstanding shares	is a corporation whose shares he Securities Exchange Act of holders who own shares equal
Name <i>N</i> /A	Business Address	Percentage Interest

of ownership interest	ige Act of 1934, list below the name, busin of each shareholder.	ecurities exchange pursuant to ness address and percentage
Name N / A	Business Address	Percentage Interest
For general or limited and percentage of over	RSIGNED IS A PARTNERSHIP OR JOIN partnerships or joint ventures: list below vnership interest of each partner. For I is a general partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
a. List below the r (i) member and (ii) mar the company is manag	RSIGNED IS A LIMITED LIABILITY COMI name, business address and percentage nager. If there are no managers, write "no	PANY: of ownership interest of each
a. List below the r (i) member and (ii) mar the company is mana Name	RSIGNED IS A LIMITED LIABILITY COMI name, business address and percentage nager. If there are no managers, write "no ged. Business Address	PANY: of ownership interest of each managers," and indicate how
a. List below the r (i) member and (ii) mar the company is mana Name	RSIGNED IS A LIMITED LIABILITY COMI name, business address and percentage nager. If there are no managers, write "no ged. Business Address	PANY: of ownership interest of each managers," and indicate how Percentage Interest Manager
a. List below the r	RSIGNED IS A LIMITED LIABILITY COMI name, business address and percentage nager. If there are no managers, write "no ged. Business Address	PANY: of ownership interest of each managers," and indicate how Percentage Interest Manager #3200 Has ho in
a. List below the r (i) member and (ii) man the company is mana Name Manager Fifield Manager Class A Member	RSIGNED IS A LIMITED LIABILITY COMP name, business address and percentage nager. If there are no managers, write "no ged. Business Address C. LLC 20 N. Wacker Drive Chi ca go FL 6 96 0 EY = Thia Fund F. LLC #3200	PANY: of ownership interest of each managers," and indicate how Percentage Interest Manager #3200 Has no în
a. List below the r (i) member and (ii) mar the company is manage Name Manager: Fifield Manager Class A member Zo N. Wacker Chicago, DL Class B Mem	RSIGNED IS A LIMITED LIABILITY COMP name, business address and percentage nager. If there are no managers, write "no ged. Business Address C. LLC 20 N. Wacker Drive Chi cago FL 6060 Chi cago FL 6060 #3200 60606 ber: O Class B Member LLC	PANY: of ownership interest of each managers," and indicate how Percentage Interest #3200 #as no in b

b. List below the name officers."	s and titles of all officers, if any. If t	there are no officers, write "no
Name	Title	
No officers	<u> </u>	
OTHER SIMILAR ENTITY:	and business address of each inc t is the subject of the trust.	·
Name	Business Address	
NIA		
Name	Business Address	Percentage Interest
N A	· · · · · · · · · · · · · · · · · · ·	
provide the name, business	NED IS ANY OTHER LEGAL ENTIT address, and the percentage of in p or other beneficial interest in the	terest of all individuals or legal

Ver. 6/23/03

6

Name	е	Business Address	Percentage Interest
	NB		
		· · · · · · · · · · · · · · · · · · ·	
SEC	TION TWO: BUSINES	S RELATIONSHIPS WITH CIT	Y ELECTED OFFICIALS
Α.	DEFINITIONS AND I	DISCLOSURE REQUIREMENT	
1. elect	_	st indicate whether it had a "busi ths before the date this EDS is si	
official "finar in the (i) an share the vexchall complete institutions of the complete institutions of	iness relationship" me al, or his or her spouse, acial interest," with a pers amount of \$2,500 or mo y ownership through pur es of a corporation, or ar ralue of or dividends or ange pursuant to the Se bensation paid to an office fit provided equally to all ation; or (v) an endowm ance company. A "contoyment relationship of a	re-156 of the Municipal Code of Chice ans any "contractual or other properties or of any entity in which an official or entity which entitles an official or ein a calendar year; but a "finant rehase at fair market value or inher year parent or a such shares, if such shares a curities Exchange Act of 1934, as cial or employee for his office or entity or insurance policy or annuity ractual or other private business an official's spouse with an entity trelating to the relationship between	rivate business dealing" of an cial or his or her spouse has a cal to compensation or payment icial interest" does not include: eritance of less than 1% of the affiliate thereof, regardless of re registered on a securities is amended, (ii) the authorized imployment; (iii) any economic demand deposit in a financial y contract purchased from an dealing" does not include any y when such spouse has no
В.	CERTIFICATION		
1. 12 m	onths before the date th	had a "business relationship" with his EDS is signed? No	ı any City elected official in the
such	If yes, please identify relationship(s):	below the name(s) of such City e	elected official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipate to be retaine	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)	

CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illino Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine fee, tax or other charge owed to the City. This includes all water charges, sewer charges license fees, parking tickets, property taxes or sales taxes. If there are any sucdelinquencies, note them below:			
/*/M			
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.			
B. The Undersigned and its affiliates have not, in the past five years, been fou violation of any City, state or federal environmental law or regulation. If there have been such violations, note them below:			
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.			

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, parag				
(C) and (D) above, provide an explanation:				
NIA		_		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:			
	NIT			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION The Undersigned certifies that the Undersigned [check one] is is not			
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.			
B. If the Undersigned IS a financial institution, then the Undersigned pledges:			
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."			
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? [**)**/No []Yes NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those items, review the certification in Item V(4) and proceed to Part VI. 2. Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V. Does the Matter involve a City Property Sale?
[] Yes
[] No If you answered "yes" to Item V(1), provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Nature of Interest Name **Business Address**

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).
1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

 List below the names of all individuals registered under the federal Lobbying Disclosur 	
Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the	ne
Matter: [Begin list here, add sheets as necessary]:	
N/A	

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

۹.	Have you de	veloped and do you h	ave on file affirmative action	n programs pursuant to
	applicable fe	ederal regulations? (S	See 41 CFR/Part 60-2.)	
	[]Yes	[] No	<u>[</u>]/N/A	
3.	Have you pa	rticipated in any previ	ous contracts or subcontrac	ts subject to the
	equal opport	unity clause?		
	[]Yes	[] No	/) N/A	
С.	Have you file	d with the Joint Repor	ing Committee, the Director	of the Office of Federal
	Contract Cor	mpliance Programs, or	the Equal Employment Opp	ortunity Commission all
	reports due under the applicable filing requirements?			
	[]Yes	[] No	() {N/A	
			U	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

Contract Summary Sheet

Contract (PO) Number: 9683

Specification Number: 39737

Name of Contractor: 550 ADAMS LLC

City Department: PLANNING & DEVELOPMENT

Title of Contract: Redevelopment Agreement

Dollar Amount of Contract (or maximum compensation if a Term Agreement) (DUR):

PO Start Date: 11/8/2004

\$9,750,000.00 **PO End Date:** 11/8/2014

Brief Description of Work: Redevelopment Agreement

Procurement Services Contact Person: THOMAS DZIEDZIC

Vendor Number: 50091721

Submission Date:

AUG 3 1 2005

550 ADAMS LLC REDEVELOPMENT AGREEMENT TABLE OF CONTENTS

	PAGE
SECTION 1.	RECITALS4
SECTION 2.	DEFINITIONS
SECTION 3.	THE PROJECT
3.01.	The Project
3.02	Plans and Specifications
3.03	Project Budget
3.04	Change Orders
3.05.	DPD Approval
3.06	Other Approvals
3.07	Progress Reports
3.07A	Inspecting Architect
3.08	<u>Barricades</u>
3.09	Signs, Public Relations, Landscaping
3.10	<u>Utility Connections</u>
3.11	<u>Permit Fees</u>
3.12	Accessibility for Disabled Persons
SECTION 4.	FINANCING
4.01	Total Project Cost and Sources of Funds
4.02	<u>City Note</u>
4.03	Reimbursement for TIF-Funded Interest Costs
4.04	Treatment of Prior Expenditures
4.05	Cost Overruns
	CONDITIONS PRECEDENT
5.01	Project Budgets
5.02	Plans and Specifications
5.03	Other Governmental Approvals
5.04	<u>Financing</u>
5.05	<u>Title</u>
5.06	Evidence of Clear Title
5.07	<u>Survey</u>
5.08	Insurance
5.09	Opinion of Developer's Counsel
5.10	Evidence of Prior Expenditures

550 ADAMS LLC REDEVELOPMENT AGREEMENT TABLE OF CONTENTS

	PA .	IGE
SECTION 1.	RECITALS	4
SECTION 2.	DEFINITIONS	5
SECTION 3.	THE PROJECT	. 14
3.01.	The Project	
3.02	Plans and Specifications	
3.03	Project Budget	
3.04	Change Orders	
3.05.	DPD Approval	
3.06	Other Approvals	
3.07	Progress Reports	. 16
3.07A	Inspecting Architect	. 17
3.08	Barricades	
3.09	Signs, Public Relations, Landscaping	. 17
3.10	Utility Connections	
3.11	Permit Fees	. 18
3.12	Accessibility for Disabled Persons	. 18
SECTION 4	FINANCING	10
4.01	Total Project Cost and Sources of Funds	
4.01	City Note	
4.02	Reimbursement for TIF-Funded Interest Costs	-
4.03	Treatment of Prior Expenditures	
4.04	Cost Overruns	
4.03	Cost Overruits	. 24
SECTION 5.	CONDITIONS PRECEDENT	. 24
5.01	Project Budgets	. 24
5.02	Plans and Specifications	. 24
5.03	Other Governmental Approvals	. 24
5.04	Financing	. 24
5.05	<u>Title</u>	. 25
5.06	Evidence of Clear Title	. 25
5.07	Survey	
5.08	<u>Insurance</u>	. 25
5.09	Opinion of Developer's Counsel	
5.10	Evidence of Prior Expenditures	. 26

5.11	Financial Statements	. 26
5.12	Documentation	
5.13	Environmental	. 26
5.14	Corporate Documents	. 26
5.15	Litigation	. 26
5.16	USG Lease	. 26
5.17	Payment and Performance Bonds	. 27
5.18.	Bankruptcy-Related Conditions	. 27
5.19	Conditions Precedent to City Payments	
SECTION 6.	AGREEMENTS WITH CONTRACTORS	
6.01	Bid Requirement for General Contractor and Subcontractors	. 29
6.02	Construction Contracts	. 29
6.03	Performance and Payment Bonds	
6.04	Employment Opportunity	
6.05	Other Provisions	. 30
		•
	COMPLETION OF CONSTRUCTION	
7.01	Certificates of Completion	
7.02	Effect of Issuance of Section 7.01 Certificate	
7.03	Final Certificate	
7.04	Continuing Requirements	
7.05	Failure to Complete	
7.06	Notice of Expiration of Term of Agreement	. 32
SECTION 8	COVENANTS/REPRESENTATIONS/WARRANTIES OF THE	
SECTION 6.	DEVELOPER	32
8.01	General	
8.02	Covenant to Redevelop	
8.03	Redevelopment Plan	
8.04	Use of City Funds	
8.05	Other Bonds	
8.06	Employment Opportunity	
8.07	Employment Profile	
8.08	Prevailing Wage	
8.09	Arms-Length Transactions	
8.10	Conflict of Interest	
8.11	Disclosure of Interest	
8.12	Financial Statements	
8.13	Insurance	
8.14	Non-Governmental Charges	
8.15	Developer's Liabilities	
8 16	Compliance with Laws	37

8.17	Recording and Filing	
8.18	Real Estate Provisions	. 38
8.19	USG Lease Representations, Warranties and Covenants	. 39
8.20	Survival of Covenants	. 40
	THE REPORT OF THE PROPERTY OF	40
	COVENANTS/REPRESENTATIONS/WARRANTIES OF CITY	. 40
9.01	General Covenants	. 40
9.02	Survival of Covenants	. 40
SECTION 10.	DEVELOPER'S EMPLOYMENT OBLIGATIONS	. 40
10.01	Employment Opportunity	. 41
10.02	City Resident Construction Worker Employment Requirement	. 42
10.03	Developer's MBE/WBE Commitment	. 44
SECTION 11.	ENVIRONMENTAL MATTERS	. 45
		4.0
SECTION 12.	INSURANCE	. 40
SECTION 13.	INDEMNIFICATION	. 50
SECTION 14.	MAINTAINING RECORDS/RIGHT TO INSPECT	. 50
	Books and Records	
	Inspection Rights	
SECTION 15	DEFAULT AND REMEDIES	. 51
	Developer Events of Default	
	Curative Period	
15.03	City Remedies	
	Remedies Not Penal In Nature	
	MORTGAGING OF THE PROJECT	
SECTION 10.	WORTO/YOUNG OF THE TROJECT	
SECTION 17.	NOTICE	. 55
SECTION 18.	ADDITIONAL PROVISIONS	
18.01	Amendment	
18.02	Entire Agreement	
18.03	Limitation of Liability	. 57
18.04	Further Assurances	
18.05	<u>Waiver</u>	. 57
18.06	Remedies Cumulative	
18.07	<u>Disclaimer</u>	
18.08	Headings	. 57
18.09	Counterparts	. 57

USG RDA R3.wpd iv

18.10	Severability	7
18.11	<u>Conflict</u>	7
18.12	Governing Law	7
18.13	Form of Documents	8
18.14	<u>Approval</u>	8
18.15	Assignment	8
18.16	Binding Effect	8
18.17	Force Majeure5	8
18.18	<u>Exhibits</u>	8
18.19	Business Economic Support Act	8
18.20	Business Relationships	9
18.21	No Third Party Beneficiary59	9
18.22	Construction of Words	9

550 ADAMS LLC REDEVELOPMENT AGREEMENT LIST OF EXHIBITS

Exhibit A	* Redevelopment Area Legal Description
-----------	--

Exhibit B * Property Legal Description

Exhibit C Canal/Congress Redevelopment Plan

Exhibit D Notice of Proposed Approved Successor

Exhibit E * Project Budget

Exhibit E-1 * Base Building Improvements Budget

Exhibit E-2 * USG Improvements Budget

Exhibit F Form of Building Construction Contract

Exhibit G Form of City Note

Exhibit H Form of Notice of Proposed Transfer/Refinancing

Exhibit I Permitted Liens

Exhibit J TIF-Funded Costs

Exhibit K City Note Requisition Form

Exhibit L Estimated TIF-Funded Interest Costs

Exhibit M TIF-Funded Interest Costs Requisition Form

Exhibit N Approved Prior Expenditures

Exhibit O Opinion of Developer's or USG's Counsel

Exhibit P-1 * Building MBE/WBE Budget

Exhibit P-2 * USG MBE/WBE Project Budget

(An asterisk (*) indicates which exhibits are to be recorded.)

MHETE AT U