FOR CITY USE	
AFFIDAVIT NO	

LIBERTY SQUARE LIMITED CITY OF CHICAGO ARTH ERS MA ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please print or type all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- Applicants: Any individual or entity (the "Applicant") making an application to the City 1. for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those of treholders that own 10% or more of that filing entity's stock must file EDSs on their

ACKNOWLEDGMENT OF POSSIBLE CREDIT and filing this EDS, the Undersigned ackno

entities or individuals named in this EDS, the

some or all of the entities or individuals na

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CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENE	RAL INFORMATION
Date t	his EDS is completed: September 11, 2003
A. "Unde	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. Fred L. Bonner for Liberty Square Limited Part
Under EDS r requir	: The Undersigned is the individual or entity submitting this EDS, whether the resigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, a observe whether the section you are completing applies only to Applicants.
N	Check here if the Undersigned is filing this EDS as an Applicant.
[]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
B.	Business address of the Undersigned: You E YIST STY-et Chicago, FL 6065)
C.	Telephone: 773 548.7234 Fax: 773.548.5894 Email: Fbowher @amenitech. NET
D.	Name of contact person: Fred L. Bouner
E:	Tax identification number (optional):
Ver. 6/23	202

F.	the "Matter") to which this EDS pertains. (Include project number and location if
	See attacked.
G.	Is the Matter a procurement?[] Yes
н.	If a procurement, Specification # and Contract #
<b>.</b> I.	If not a procurement:
	1. City Agency requesting EDS: Dept. of Housing
	2. City action requested (e.g. loan, grant, sale of property):  See عالم دادك
	3. If property involved, list property location:
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity:  [] Individual  [] Limited Liability Company  [] Business corporation  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No
	[] Yes [] No [] General partnership [] Other entity (please specify)  Limited partnership
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [] Yes  [] No  [] N/A
Ver. 6	· ·/23/03

## ATTACHMENT

TO

# ECONOMIC DISCLOSURE STATEMENT

OF

# LIBERTY SQUARE LIMITED PARTNERSHIP

# TYPE OF ACTION REQUESTED:

Approval by City Council for low income housing tax credits, purchase of City owned parcels and developer designation under the Midwest TIF.

# **Project Location:**

See attached legal description which lists addresses.

# Brief Project Description:

77 units of affordable housing units for families contained in one, two, three and four bedroom units. Most of the units are two bedrooms or larger. 68.8% of the units are set aside for households at or below 60% of area median income, 20.7% are set aside for households at or below 40% of area median income, and the remaining 10.5% are have no income restrictions.

2/14/01 AMENDED LIBERTY SQUARE DEVELOPMENT

(STREET ADDRESS NUMBERS SHOWN PER SITE PLAN AND ARE SUBJECT TO CHANGE)

#### SITE 1 \*

LOTS 7 AND 8 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 701-705 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-001, 16-14-307-002 AND 16-14-307-007

#### SITE 2 \*

LOTS 9 AND 10 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

#### ALSO.

THE SOUTH 50 FEET OF LOT 33 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 709-713 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-003 AND 16-14-307-015

#### SITE 3 \*

LOTS 11 AND 12 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

#### ALSO.

LOT 11, EXCEPT THE SOUTH 83.91 FEET THEREOF, IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 719-725 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-004 AND 16-14-307-005

#### SITE 4 \*

THE WEST 1/2 OF LOT 27, LOT 28 AND THE EAST 1/2 OF LOT 29 IN GOLDY'S SECOND ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

# (COMMONLY KNOWN AS 3713-3715 W. FLOURNOY AVE.)

P.I.N. 16-14-307-010 AND 16-14-307-011

## SITE 5 \*

LOTS 5 AND 6 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3710 W. LEXINGTON AVE.)

P.I.N. 16-14-307-021 AND 16-14-307-022

# SITE 6

LOTS 19, EXCEPT THE WEST 1/2 THEREOF, 20 AND 21 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3703-3707 W. LEXINGTON AVE.)

P.I.N. 16-14-311-015 AND 16-14-311-016

## SITE 7

LOT 1 IN RESUBDIVISION OF LOTS 25 TO 28 IN BLOCK 1 IN BETSY BOLVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 715-723 S. LAWNDALE AVE.)

P.I.N. 16-14-308-021

#### SITE 8

LOTS 18, 19 AND 20 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3645-3647 W. FLOURNOY AVE.)

P.I.N. 16-14-308-003 AND 16-14-308-004

#### SITE 9

LOTS 14 AND 15 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3633-3637 W, FLOURNOY AVE.)

P.I.N. 16-14-308-CC7 AND 16-14-308-CC8

# SITE 10

LOTS 12 AND 13 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3629-3631 W. FLOURNOY AVE.)

P.I.N. 16-14-308-009 AND 16-14-308-010

# SITE 11 \*

LOTS 44, 45 AND 46 IN BETSY BOILVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3604-3610 W. FLOURNOY AVE.)

P.I.N. 16-14-304-030, 16-14-304-031 AND 16-14-304-032

#### SITE 12

LOTS 1, 2 AND 3 IN SUBDIVISION OF LOTS 1 TO 5 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 700-704 S. CENTRAL PARK AVE.)

P.I.N. 16-14-308-041

#### SITE 13 \*

LOTS 26, EXCEPT-THE NORTH 20 FEET THEREOF, 27 AND 28 IN GEORGE K. SCHOENBERGER'S SUBDIVISION OF THE WEST 3/4 OF THE NORTH 40 RODS OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 721-725 S. CENTRAL PARK AVE.)

P.I.N. 16-14-404-008 AND 16-14-404-009

# SITE 14

LOTS A AND B IN SUBDIVISION OF LOTA IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 48, INCLUSIVE, IN BLOCK 16 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, ALSO OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 30 AND LOTS 32 TO 48, ALL INCLUSIVE, IN BLOCK 15 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD 'RINCIPAL MERIDIAN, LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN LATEROAD COMPANY; IN COOK COUNTY, ILLINOIS.

9/14

(COMMONLY KNOWN AS 749-751 S. CENTRAL PARK AVE.)

P.I.N. 16 14 408 022

# B. ORGANIZATION INFORMATION

- IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

registered on a natio	is a procurement and the Undersigned is a co onal securities exchange pursuant to the Secu following information concerning shareholders of the corporation's outstanding shares.	irities Exchange Act of 1934,
Name N/A	Business Address	Percentage Interest
are registered on a 1934, please provid	is not a procurement, and the Undersigned is a national securities exchange pursuant to the de the following information concerning shareh 0% of the corporation's outstanding shares.	e Securities Exchange Act of olders who own shares equal
Name	Business Address	Percentage Interest

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Name	Business Address	Percentage Inter
N/A-	<u> </u>	·
2. IF THE UNDER	RSIGNED IS A PARTNERSHIP OR JOIN	T VENTURE:
For general or limited p	partnerships or joint ventures: list below	the name, business addr
,	mership interest of each partner. For I	limited partnerships, indic
whether each partner i	s a general partner or a limited partner.	• .
Name	Business Address	Percentage Intere
B. ha C	ation last the St. A. c.	44 (1)
HOHIGA CONDORS	STAY W. Lexington, Clicago, I YOUE. 41st Street, Chicago,	101, (41412-6063)
H.I.C.A.	3724 W. LexineTaw. Clicago, I	1 60634 10
Fred Borney	YOOK. 4/st Street, chicago,	FL 60653 99.9
	· ·	
	SIGNED IS A LIMITED LIABILITY COMP ame, business address and percentage	
	ager. If there are no managers, write "no	
i) member and (ii) mana	T	<b>J ,  </b>
,		
ne company is manage	Duningen Address	Dorocotone latera
ne company is manage	Business Address	Percentage Intere
i) member and (ii) mana he company is manago lame	Business Address	Percentage Intere

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b. List below officers."	w the names and titles of all officers, if any. If t	there are no officers, write "no
Name	Title	
NA		<u> </u>
OTHER SIMILAR		
	w the name and business address of each in- property that is the subject of the trust.	dividual or legal entity holding
Name	Business Address	
NA		
	,	
	w the name, business address and percentag whose behalf title is held.	e of beneficial interest of each
Name	Business Address	Percentage Interest
NA		
provide the nam	UNDERSIGNED IS ANY OTHER LEGAL ENTI- ne, business address, and the percentage of in an ownership or other beneficial interest in the	nterest of all individuals or legal
Describe the en	ntity:	
NA		
	·	
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Name	Business Address	Percentage Interest
SECTION TWO:	USINESS RELATIONSHIPS WITH CITY	FLECTED OFFICIALS
A. DEFINITIO	IS AND DISCLOSURE REQUIREMENT	
The Understelected official in the control of	gned must indicate whether it had a "busir e 12 months before the date this EDS is si	ness relationship" with a City gned.
"business relation official, or his or he "financial interest," in the amount of \$2 (i) any ownership to shares of a corporate the value of or directly compensation paid benefit provided explain the compensation of (v) a insurance companemployment relation.	Chapter 2-156 of the Municipal Code of Chicaship" means any "contractual or other progressions, or of any entity in which an official with a person or entity which entitles an official 500 or more in a calendar year; but a "finantrough purchase at fair market value or inheriton, or any corporate subsidiary, parent or idends on such shares, if such shares at to the Securities Exchange Act of 1934, as to an official or employee for his office or equally to all residents of the City; (iv) a time or a endowment or insurance policy or annuity. A "contractual or other private business on ship of an official's spouse with an entiting or input relating to the relationship between	ivate business dealing" of an ial or his or her spouse has a all to compensation or payment icial interest" does not include: eritance of less than 1% of the affiliate thereof, regardless of the registered on a securities is amended, (ii) the authorized imployment; (iii) any economic remand deposit in a financial by contract purchased from an dealing" does not include any ty when such spouse has no
B. CERTIFIC	TION	
	dersigned had a "business relationship" wit the date this EDS is signed? No	h any City elected official in the
If yes, plea such relationship(	se identify below the name(s) of such City (s):	elected official(s) and describe

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# SECTION THREE: DISCLOSURE OF RETAINED PARTIES

# A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

## B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
whether retained			
or anticipat		<b>)</b>	
to be retain	See 1	Attached.	

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

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# MAY-24-04 13:04 FROM: LIBERTY SQUARE LIMITED PARTNERSHIP

# LIST OF RETAINED PARTIES

NAME	ADDRESS	REALTIONSHIP	FEES UNPAID
-MATHES, INC.	400 S. GREEN STREET CHICAGO, IL 60607	CONTRACTOR	11,470,935
C & BIEDERMAN	412 S. WELLS CHICAGO, IL 60605	ARCHITECTURAL DESIGN ARCHITECTURAL SUPERVISION ARCHITECTURAL REIMB.	231,890 77,297 26,770
E DETERMINED		INSPECTION SERVICES	6,000
EY & LARDNER	ONE IBM PLAZA 330 N. DEARBORN SUITE 3300 CHICAGO, IL 60611	PROJECT ATTORNEY TAX COUNSEL	75,000 10,000
NICK FEDDER & ERMAN	4520 EATS-WEST HIGHWAY SUITE 300 BETHESDA, MD 20814	ACCOUNTING AND COST CERTIFICATION	25,000
LIED REAL ESTATE LYSIS, INC.	53 WEST JACKSON BLVD. SUITE 860 CHICAGO, IL 60604	MARKET STUDY	9,000
IRONMENTAL IAGEMENT GROUP, INC.	2200 EAST DEVEON SUITE 279 DES PLAINES, IL 60018	. ENVIRONMENTAL AUDIT	5,900
RAISAL RESEARCH INSELORS, INC.	400 EAST RANDLOPH ST. SUITE 715 CHICAGO, IL 60601-7388	APPRAISAL	6,000
/ARD J. MOLLOY ASSOCIATES,LTD	1000 TOWER LANE BENSENVILLE, IL 60106	SURVEYS	25,000
3E DETERMINED		SOIL BORINGS	8,000
LA, PETTIGREW, ALLEN 'AYNE, INC.	222 SOUTH RIVERSIDE PLAZA SUITE 1616 CHICAGO, IL 60606	TIF DESIGNATION	2,500
3E DETERMINED		INSURANCE	60,000
E SERVICES, INC.	601 E. ROOSEVELT ROAD SUITE 100 WHEATON, IL 60187	TITLE AND RECORDING	25,000

# SECTION FOUR: CERTIFICATIONS

# I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such	
delinquencies, note them below:	
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.	
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:  NONE.	
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.	

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- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, , , (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph (C) and (D) above, provide an explanation:

NONE.	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# IL CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

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#### Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
  - The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

# III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records, making false statements; or receiving stolen property;

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- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - ξ the Undersigned;
  - ξ any party participating in the performance of the Matter ("an Applicable Party");
  - ξ any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - ξ any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

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- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

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# IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

emplo	ess is the providing of tax deferred, defined contribution, pension plans to public byees in accordance with Sections 403(b) and 457 of the Internal Revenue Code tional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. The l	CERTIFICATION  Undersigned certifies that the Undersigned [check one]
	is not
a "fin	ancial institution" as defined in Section 2-32-455(b) of the Municipal Code.
В.	If the Undersigned IS a financial institution, then the Undersigned pledges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
defin mear	Undersigned is unable to make this pledge because it or any of its affiliates (as ed in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the ning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages cessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those items, review the certification in Item V(4) and proceed to Part VI.

2. Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?
[] Yes
[] No

-	" <b>yes</b> " to Item V(1), provide the names ar rees having such interest and identify the	
Name	Business Address	Nature of Interest

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4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

above, the Und slave industry,	ndersigned verifier ersigned has foun or slaveholder in The Undersigned v	d records relating nsurance policie	g to investme es and/or th	nts or profits from	om slavery, the any slaves or
		/			
Lett The state of the state					

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# SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

# I. CERTIFICATION REGARDING LOBBYING

<ul> <li>A. List below the names of all indiv</li> </ul>	viduals registered under	the federal Lobbying	Disclosure
Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect			spect to the
Matter: [Begin list here, add sheets as necessary]:			·
NA			
			-

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/sflllin.pdf</a>, linked on the page <a href="http://www.whitehouse.gov/omb/grants/grants">http://www.whitehouse.gov/omb/grants/grants</a> forms.html.

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- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, andit does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, tocker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request

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C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

# NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

# III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	Have you dev	eloped and do you h	ave on file affirmative action programs p	oursuant to
	applicable fe	deral regulations? (S	ee 41 CFR Part 60-2.)	
	[]Yes	[] No	LINIA	
В.	Have you participated in any previous contracts or subcontracts subject to the			
	equal opports	unity clause?		
	[]Yes	[] No	[J <del>/</del> N/A	
C.	Have you file	d with the Joint Repor	ing Committee, the Director of the Office	of Federal
	Contract Con	npliance Programs, or	the Equal Employment Opportunity Con	mission all
	reports due under the applicable filing requirements?			
	[]Yes	[]No	LLAVA	

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="https://www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

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aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

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Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

# The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

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# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

(Print or type/name of individual or legal entity submitting this EDS)
By:  (Gign here)  By:
Print or type name of signatory:
Fred L. Bonner, Bonhew Corporation, a general partner in Liberty Square Limital Partnership
Title of signatory:
President
Subscribed to before me on [date] Lepter len 12/23 at Crox County,
Notary Public.
Commission expires: 8/15/04
OFFICIAL SEAL DIANE N. MARSHALL NOTARY PUBLIC, STATE OF ILLINOIS NOTARY PUBLIC STATE OF ILLINOIS

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

# RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Library Symuse him to Phylorish policy [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Liberty Square Limited Partnership Date (Print or type hame offindividual or legal entity submitting this refertification)	e: April 8, 2004
By: Bonhum Corporation, Its General Part	THEY
By: Fel L. Homen	
Print or type name of signatory:	
Fred L. Bonner	
Title of signatory:	
President	
Subscribed to before me on [date] April 8,2001	f, at Cook County,
Touth Indown Notary	Public.
Commission expires: $11\sqrt{13/64}$ .	OFFICIAL SEAL
Ver. 6/23/03	FAITH UNDER WOOD  NOTARY PUBLIC, STATE OF ILLINOIS  MY COMMISSION STATE OF ILLINOIS

FOR CITY USE
AFFIDAVIT NO

1

# H.T.C.A. TAC. CITY OF CHICAGO (LIBERTY SOLITE) ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

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CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENE	RAL INFORMATION
Date th	nis EDS is completed: Sept. 11, 2003
A. "Unde	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. H. I. C. A. INC.
Under EDS r requir	The Undersigned is the individual or entity submitting this EDS, whether the signed is an Applicant or is an entity holding an interest in the Applicant. This equires certain disclosures and certifications from Applicants that are not ed from entities holding an interest in the Applicant. When completing this EDS, e observe whether the section you are completing applies only to Applicants.
[]	Check here if the Undersigned is filing this EDS as an Applicant.
N	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:  Liberty Square Limited Partnership
В.	Also, please identify the Applicant in which this entity holds an interest:  Liberty Square Limited Paytwership  Business address of the Undersigned:  3724 W. Lexing 10 × Ave-  Chicago, TL 20624
C.	Telephone: 773.533.1680Fax: 773.533.7908 Email:
D.	Name of contact person: Sum Flowers
E:	Tax identification number (optional):

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):
G.	Is the Matter a procurement? [] Yes UNO
H.	If a procurement, Specification # and Contract #
1.	If not a procurement:
	1. City Agency requesting EDS: Dept. of Housing
	2. City action requested (e.g. loan, grant, sale of property):
	3. If property involved, list property location: See attached
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
Α.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity:  [] Individual  [] Limited Liability Company  [] Business corporation  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?
	[] General partnership [] Limited partnership [] Other entity (please specify)
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [] Yes  [] No  [] N/A

# **ATTACHMENT**

TO

# ECONOMIC DISCLOSURE STATEMENT

**OF** 

# LIBERTY SQUARE LIMITED PARTNERSHIP

# TYPE OF ACTION REQUESTED:

Approval by City Council for low income housing tax credits, purchase of City owned parcels and developer designation under the Midwest TIF.

# **Project Location:**

See attached legal description which lists addresses.

# **Brief Project Description:**

77 units of affordable housing units for families contained in one, two, three and four bedroom units. Most of the units are two bedrooms or larger. 68.8% of the units are set aside for households at or below 60% of area median income, 20.7% are set aside for households at or below 40% of area median income, and the remaining 10.5% are have no income restrictions.

# (STREET ADDRESS NUMBERS SHOWN PER SITE PLAN AND ARE SUBJECT TO CHANGE)

# SITE 1 \*

LOTS 7 AND 8 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 701-705 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-001, 16-14-307-002 AND 16-14-307-007

#### SITE 2 \*

LOTS 9 AND 10 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

## ALSO.

THE SOUTH 50 FEET OF LOT 33 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 709-713 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-003 AND 16-14-307-015

#### SITE 3 \*

LOTS 11 AND 12 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

# ALSO,

LOT 11, EXCEPT THE SOUTH 83.91 FEET THEREOF, IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN. IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 719-725 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-004 AND 16-14-307-005

#### SITE 4 \*

THE WEST 1/2 OF LOT 27, LOT 28 AND THE EAST 1/2 OF LOT 29 IN GOLDY'S SECOND ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3713-3715 W. FLOURNOY AVE.)

P.I.N. 16-14-307-010 AND 16-14-307-011

## SITE 5 \*

LOTS 5 AND 6 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3710 W. LEXINGTON AVE.)

P.I.N. 16-14-307-021 AND 16-14-307-022

#### SITE 6

LOTS 19, EXCEPT THE WEST 1/2 THEREOF, 20 AND 21 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3703-3707 W. LEXINGTON AVE.)

P.I.N. 16-14-311-015 AND 16-14-311-016

#### SITE 7

LOT 1 IN RESUBDIVISION OF LOTS 25 TO 28 IN BLOCK 1 IN BETSY BOLVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 715-723 S. LAWNDALE AVE.)

P.I.N. 16-14-308-021

#### SITE 8

LOTS 18, 19 AND 20 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3645-3647 W. FLOURNOY AVE.)

P.I.N. 16-14-308-003 AND 16-14-308-004

## SITE 9

LOTS 14 AND 15 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3633-3637 W. FLOURNOY AVE.)

# SITE 10

LOTS 12 AND 13 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3629-3631 W. FLOURNOY AVE.)

P.I.N. 16-14-308-009 AND 16-14-308-010

# SITE 11 \*

LOTS 44, 45 AND 46 IN BETSY BOILVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3604-3610 W. FLOURNOY AVE.)

P.I.N. 16-14-304-030, 16-14-304-031 AND 16-14-304-032

#### SITE 12

LOTS 1, 2 AND 3 IN SUBDIVISION OF LOTS 1 TO 5 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 700-704 S. CENTRAL PARK AVE.)

P.I.N. 16-14-308-041

#### SITE 13 \*

LOTS 26, EXCEPT THE NORTH 20 FEET THEREOF, 27 AND 28 IN GEORGE K SCHOENBERGER'S SUBDIVISION OF THE WEST 3/4 OF THE NORTH 40 RODS OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 721-725 S. CENTRAL PARK AVE.)

P.I.N. 16-14-404-008 AND 16-14-404-009

#### SITE 14

LOTS A AND B IN SUBDIVISION OF LOT IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 48, INCLUSIVE, IN BLOCK 18 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, ALSO OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 30 AND LOTS 32 TO 48, ALL INCLUSIVE, IN BLOCK 15 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN RAILROAD COMPANY, IN COOK COUNTY, ILLINOIS:

#### ORGANIZATION INFORMATION В.

a. List below the corporation. For no	ERSIGNED IS A CORPORATION:  e names and titles of all executive office ot-for-profit corporations, also list below a icate all members, if any, who are legal er members."	ny executive director of the
Name	Title	,
Secatto	ichel list.	
registered on a nation please provide the folin excess of 7.5% of	a procurement and the Undersigned is a contain a securities exchange pursuant to the Securities information concerning shareholder the corporation's outstanding shares.	urities Exchange Act of 1934 s who own shares equal to o
Name	Business Address	Percentage Interest
NA	V	
are registered on a n 1934, please provide	not a procurement, and the Undersigned is ational securities exchange pursuant to the following information concerning shares of the corporation's outstanding shares.	e Securities Exchange Act on a solution of the securities of the s
Name N/A	Business Address	Percentage Interest

DOB 5/23/1931

Lee E. Baker

# BOARD OF DIRECTORS OF H.I.C.A., INC. 2004 - 2005

SSN: 427-52-3578

n a describeda			
	752 Morris	Phone: 1708.547.8155	B260-5253-1147
	Hillside, IL 60162-1131	Work:708.544.1717	LB3639
	but to b	DOM: 050 05 0040	DOD 44 0040*0
Vice-President	Robin Dwyer	SSN: 226-25-0313	DOB 11/20/1978
	2600 N. Hampden Ct.	Phone: \$73,755,6115	D-600-731 <b>7-89</b> 30
	#513	Cell: 77\$.718.0142	none
	Chicago, IL 60614		
Secretary	Tamika Bowie-Ervin	SSN: 344-54-5599	DOB 5/4/1972
•	3721 W. Grenshaw	Phone: 773,265,8632	6158-0972-7288
	Chicago, IL 60624		попа
	Cition Parket		110110
Asst. Secretary	Eamestine (Tina) Turner	SSN: 340-64-9492	DOB 5/11/1984
•	4910 W. Walton	Phone: 773.638.1915	T-656-2006-4735
	Chicago, IL 60624		564 4244
Transurer	Janet Coleman	SSN: 349-48-2167	DOB 12/13/1955
110004145	4828 S. Lawler	Phone: 773,582,2699	C-456-4395-5954
	Chicago, IL 60638	7 11210. 7 10.002.2004	IAH 4031
	Chicago, it acco		ent 400:
Angt. Transurer	Mitchell Johnson	86N: 452-07-4824	DOB 8/11/1917
	3623 W. Lexington	Phone: 773,638,4018	
	Chicago, IL 60624	, , , , , , , , , , , , , , , , , , , ,	
Morrispra	•		
CBO / EXEC. DIR.	Samuel Flowers	6SN: 428-32-2764	DOB 9/17/1924
And i blimer But.	3724 W. Lexington	Phone: 773.826.5039	F-462-7882-4265
	Chicago, IL 60824	A CONTRACTOR ACCORDING	71982 AZ
	Almanda' ir com-		A About 1-18"

•	ot registered on a national securitie 134, list below the name, business a reholder.	• •
Name	Business Address	Percentage Interest
N/A		
For general or limited partnership	S A PARTNERSHIP OR JOINT VE is or joint ventures: list below the naterest of each partner. For limited I partner or a limited partner.	ame, business address
Name	Business Address	Percentage Interest
MA	<u> </u>	
a. List below the name, busin	S A LIMITED LIABILITY COMPANY ness address and percentage of ow ere are no managers, write "no mana	nership interest of each
Name	Business Address	Percentage Interest
NA		
•		

b. List below the nofficers."	ames and titles of all officers, if any.	If there are no officers, write "no
Name	Title	
NA		,
OTHER SIMILAR ENTI	RSIGNED IS A LAND TRUST, BUTY: ame and business address of each that is the subject of the trust.	,
Name	Business Address	
	ame, business address and percen	
Name	Business Address	Percentage Interest
NA		
provide the name, busin	SIGNED IS ANY OTHER LEGAL EN ness address, and the percentage of ership or other beneficial interest in	of interest of all individuals or legal
Describe the entity:		
NA		

Name	Business Address	Percentage Interest
SECTION TWO: BUSINE	ESS RELATIONSHIPS WITH CIT	TY ELECTED OFFICIALS
A. DEFINITIONS AND	DISCLOSURE REQUIREMEN	т .
-	nust indicate whether it had a "bus onths before the date this EDS is s	
"business relationship" is official, or his or her spous "financial interest," with a per in the amount of \$2,500 or in (i) any ownership through pushares of a corporation, or the value of or dividends exchange pursuant to the scompensation paid to an office benefit provided equally to a institution; or (v) an endow insurance company. A "compensation relationship of the complement relationship of the complement of the compensation of the complement relationship of the complement of the compensation of the complement of the compl	2-156 of the Municipal Code of Chameans any "contractual or other page, or of any entity in which an officerson or entity which entitles an officerson such shares, if such shares are such as a such shares, if such shares are such as a such shares, if such shares are such as a such shares of the City; (iv) a time of the city; (iv) a time of the city or annulant actual or other private business of an official's spouse with an entity out relating to the relationship between	private business dealing" of an icial or his or her spouse has a cial to compensation or payment notal interest" does not include: neritance of less than 1% of the praffiliate thereof, regardless of are registered on a securities as amended, (ii) the authorized employment; (iii) any economic or demand deposit in a financial ity contract purchased from an is dealing" does not include any tity when such spouse has no
B. CERTIFICATION		
<ol> <li>Has the Undersigne</li> <li>months before the date</li> <li>Yes</li> </ol>	ed had a "business relationship" wiethis EDS is signed?	ith any City elected official in the
If yes, please identi such relationship(s):	fy below the name(s) of such City	elected official(s) and describe

# SECTION THREE: DISCLOSURE OF RETAINED PARTIES

### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

### B. CERTIFICATION

BE RETAINED BY THE UNDERSIGNED.

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name	Business	Relationship to Undersigned	Fees (indicate whether
(indicate	Address	(attorney, lobbyist, etc.)	paid or estimated)
whether			
retained			
or anticipat	ted		
to be retain	ned)	1. 1. 1	1 to 5 0 HIC
HNC	HOINEY N	nay be hired	NOT TO great Allows
		.•	
			. •
[] CHECK HE	ERE IF NO SUCH IN	DIVIDUALS HAVE BEEN RETAINED BY THE	JNDERSIGNED OR ARE ANTICIPATED TO

# SECTION FOUR: CERTIFICATIONS

# I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:    None
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:
NO NE.
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, , (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

	able to make the dean explana	_	ed in Se	ction Four, pa	ıragraph l
 			•		
					<del></del>

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.* 

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.



- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
  - 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- 4. There are no Substantial Owners.

# III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - ξ the Undersigned;
  - ξ any party participating in the performance of the Matter ("an Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - ξ any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certif	y to any of the above statements in this Part III, the
	Undersigned must explain below:	

<u> </u>	N/A	·	·	
			·	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]
is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

B. If the Undersigned IS a financial institution, then the Undersigned pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Undersigned is unable to make this pledge because it or any of its a defined in Section 2-32-455(b) of the Municipal Code) is a predatory lend meaning of Chapter 2-32 of the Municipal Code, explain here (attach addition for the first processory):	er within the

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? []Yes MNO NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those items, review the certification in Item V(4) and proceed to Part VI. Unless sold pursuant to a process of competitive bidding, no City elected official or 2. employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V. Does the Matter involve a City Property Sale? []Yes [] No If you answered "yes" to Item V(1), provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name Business Address Nature of Interest

The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned

has found no records of investments or profits from slavery, the slave industry, or slaveholder

insurance policies and no records of names of any slaves or slaveholders.

\_\_\_\_\_2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

# SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS.

# I. CERTIFICATION REGARDING LOBBYING

Act of 199	5 who have n	•	ntacts on behalf of the Und	lersigned with respect to the
	3		N/A	
			· · · · · · · · · · · · · · · · · · ·	
				· ·

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/grants</a> forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

# NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

# III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.			ave on file affirmative ac ee 41 CF/R Part 60-2.)	tion programs pursuant to
	[]Yes	[] No	M N/A	
B.		rticipated in any previous relation of the contract of the con	ous contracts or subcon	tracts subject to the
	[]Yes	[] No	M N/A	
<b>C.</b>	Contract Con		the Equal Employment (	ctor of the Office of Federal Opportunity Commission all

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="https://www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

# The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and

Subscribed to before me on [date]

Statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

H.I.C.A.

Date: 0 4.08.04

Date: 0 4.

Notary Public.

Commission expires: 17 /13 /04

OFFICIAL SEAL
FAITH UNDERWOOD
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 11-13-2004

'er. 6/23/03

ľ	i
FOR CITY USE	
AFFIDAVIT NO.	
	-

# CITY OF CHICAGO (LIBERTY SQUARD) ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS:** By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

<u>GENE</u>	RAL INFORMATION
Date t	his EDS is completed: Sept. 11, 2003
A. "Unde	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. Fred L. Bonner for Bonheur (orporation)
Under EDS requir	The Undersigned is the individual or entity submitting this EDS, whether the rsigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, e observe whether the section you are completing applies only to Applicants.
[]	Check here if the Undersigned is filing this EDS as an Applicant.
W	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:  Liberty Square Limited Partnership
В.	Business address of the Undersigned:   YOUR YIST STRUT  SHIFE (0)  Chi (44), IL 60653
C.	Telephone: 773. 548.7234 Fax: 773.548.5854 Email: Fbowhenv@amnitoch. mat
D.	Name of contact person: Fred L. Bonney
E:	Tax identification number (optional):

F. ,	the "Matter") to which this EDS pertains. (Include project number and location if applicable):  \[ \sum_{e} attqched. \]
G.	Is the Matter a procurement? [] Yes [] No
H.	If a procurement, Specification # and Contract #
l.	If not a procurement:
	1. City Agency requesting EDS: Department of Housing
	2. City action requested (e.g. loan, grant, sale of property):
	3. If property involved, list property location: See attacked
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity:  [] Individual  [] Limited Liability Company  [] Business corporation  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No
	[] General partnership [] Other entity (please specify) [] Limited partnership
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [] Yes  [] No  [V N/A

### **ATTACHMENT**

TO

### ECONOMIC DISCLOSURE STATEMENT

**OF** 

# LIBERTY SQUARE LIMITED PARTNERSHIP

# TYPE OF ACTION REQUESTED:

Approval by City Council for low income housing tax credits, purchase of City owned parcels and developer designation under the Midwest TIF.

# Project Location:

See attached legal description which lists addresses.

# **Brief Project Description:**

77 units of affordable housing units for families contained in one, two, three and four bedroom units. Most of the units are two bedrooms or larger. 68.8% of the units are set aside for households at or below 60% of area median income, 20.7% are set aside for households at or below 40% of area median income, and the remaining 10.5% are have no income restrictions.

# (STREET ADDRESS NUMBERS SHOWN PER SITE PLAN AND ARE SUBJECT TO CHANGE)

### SITE 1 \*

LOTS 7 AND 8 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 701-705 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-001, 16-14-307-002 AND 16-14-307-007

### SITE 2 \*

LOTS 9 AND 10 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

### ALSO.

THE SOUTH 50 FEET OF LOT 33 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 709-713 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-003 AND 16-14-307-015

### SITE 3 \*

LOTS 11 AND 12 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

### ALSO.

LOT 11, EXCEPT THE SOUTH 83.91 FEET THEREOF, IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

[COMMONLY KNOWN AS 719-725 S. INDEPENDENCE AVE.]

P.I.N. 16-14-307-004 AND 16-14-307-005

### 31TE 4 \*

THE WEST 1/2 OF LOT 27, LOT 28 AND THE EAST 1/2 OF LOT 29 IN GOLDY'S SECOND DDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, ANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3713-3715 W. FLOURNOY AVE.)

P.I.N. 16-14-307-010 AND 16-14-307-011

### SITE 5 \*

LOTS 5 AND 6 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3710 W. LEXINGTON AVE.)

P.I.N. 16-14-307-021 AND 16-14-307-022

### SITE 6

LOTS 19, EXCEPT THE WEST 1/2 THEREOF, 20 AND 21 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3703-3707 W. LEXINGTON AVE.)

P.I.N. 16-14-311-015 AND 16-14-311-016

### SITE 7

LOT 1 IN RESUBDIVISION OF LOTS 25 TO 28 IN BLOCK 1 IN BETSY BOLVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 715-723 S. LAWNDALE AVE.)

P.I.N. 16-14-308-021

### SITE 8

LOTS 18, 19 AND 20 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3645-3647 W. FLOURNOY AVE.)

P.I.N. 16-14-308-003 AND 16-14-308-004

### SITE 9

LOTS 14 AND 15 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3633-3637 W. FLOURNOY AVE.)

#### SITE 10

LOTS 12 AND 13 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3629-3631 W. FLOURNOY AVE.)

P.I.N. 16-14-308-009 AND 16-14-308-010

### SITE 11 \*

LOTS 44, 45 AND 46 IN BETSY BOILVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3604-3610 W. FLOURNOY AVE.)

P.I.N. 16-14-304-030, 16-14-304-031 AND 16-14-304-032

### SITE 12

LOTS 1, 2 AND 3 IN SUBDIVISION OF LOTS 1 TO 5 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 700-704 S. CENTRAL PARK AVE.)

P.I.N. 16-14-308-041

### SITE 13 \*

LOTS 26, EXCEPT THE NORTH 20 FEET THEREOF, 27 AND 28 IN GEORGE K SCHOENBERGER'S SUBDIVISION OF THE WEST 3/4 OF THE NORTH 40 RODS OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 721-725 S. CENTRAL PARK AVE.)

P.I.N. 16-14-404-008 AND 16-14-404-009

#### SITE 14

LOTS A AND B IN SUBDIVISION OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 48, INCLUSIVE, IN BLOCK 18 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, ALSO OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 30 AND LOTS 32 TO 48, ALL INCLUSIVE, IN BLOCK 15 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN TAILROAD COMPANY, IN COOK COUNTY, ILLINOIS.

# B. ORGANIZATION INFORMATION

1.	٠.	IF "	THE	U١	NDER.	SIGN	IED IS	A	CORF	ORATIO	ON:
----	----	------	-----	----	-------	------	--------	---	------	--------	-----

a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name	Title	
FREO BONN	ER Direct	or, President, Treasure Secretary
	· and	Secretary
registered on a national splease provide the follow	procurement and the Undersigned is a securities exchange pursuant to the Sering information concerning sharehold corporation's outstanding shares.	ecurities Exchange Act of 1934,
Name	Business Address	Percentage Interest
NA		
are registered on a nation 1934, please provide the	ot a procurement, and the Undersigned onal securities exchange pursuant to following information concerning share of the corporation's outstanding share	the Securities Exchange Act of eholders who own shares equal
Name	Business Address	Percentage Interest
NA		
·		

	t of each shareholder.	
Name	Business Address	Percentage Interest
FRED L. BON	NER 400 E YIST STYLET Chicago, IL 60653	10072
	Chicago, IL 60653	
whether each partne	ownership interest of each partner. For lier is a general partner or a limited partner.	
Name	Business Address	Percentage Interest
NA		
	ERSIGNED IS A LIMITED LIABILITY COM	PANY:
a. List below the	e name, business address and percentage anager. If there are no managers, write "no	•
a. List below the	e name, business address and percentage anager. If there are no managers, write "no	•
a. List below the (i) member and (ii) m the company is man	e name, business address and percentage anager. If there are no managers, write "no laged.	managers," and indicate how

<ul> <li>b. List below the names officers."</li> </ul>	and titles of all officers, if any. If the	here are no officers, write "no
Name	Title	
OTHER SIMILAR ENTITY:	NED IS A LAND TRUST, BUSINg and business address of each indiginal is the subject of the trust.	
Name	Business Address	
b. List below the name, beneficiary on whose behalf	business address and percentage title is held.	e of beneficial interest of each
Name	Business Address	Percentage Interest
MA		
5. IF THE UNDERSIGNATION provide the name, business entities having an ownership Describe the entity:	ED IS ANY OTHER LEGAL ENTIT address, and the percentage of interest in the contract of the contract in the con	terest of all individuals or legal entity.

Name		Percentage Interest
SECTION TWO: BUSINESS RE	LATIONSHIPS WITH CITY ELE	CTED OFFICIALS
A. DEFINITIONS AND DISC	LOSURE REQUIREMENT	
The Undersigned must indelected official in the 12 months be	icate whether it had a "business re efore the date this EDS is signed.	elationship" with a City
2. Pursuant to Chapter 2-156 of "business relationship" means official, or his or her spouse, or of "financial interest," with a person or in the amount of \$2,500 or more in (i) any ownership through purchas shares of a corporation, or any conthe value of or dividends on successhange pursuant to the Securitic compensation paid to an official or benefit provided equally to all residinstitution; or (v) an endowment of insurance company. A "contracture employment relationship of an of discretion concerning or input relationship of an of discretion concerning or input relationship."	f any entity in which an official or hentity which entitles an official to coa calendar year; but a "financial interest eat fair market value or inheritance porate subsidiary, parent or affiliate hishares, if such shares are regress Exchange Act of 1934, as ame employee for his office or employeents of the City; (iv) a time or demain insurance policy or annuity control or other private business dealing ficial's spouse with an entity whe	ousiness dealing" of an his or her spouse has a impensation or payment erest" does not include: e of less than 1% of the te thereof, regardless of istered on a securities inded, (ii) the authorized ment; (iii) any economic and deposit in a financial ract purchased from an g" does not include any en such spouse has no
B. CERTIFICATION		
Has the Undersigned had a     months before the date this EI     [] Yes     [] No	a "business relationship" with any ( DS is signed?	City elected official in the
If yes, please identify below such relationship(s):	w the name(s) of such City elected	d official(s) and describe

# SECTION THREE: DISCLOSURE OF RETAINED PARTIES

### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

### B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Business te Address er	Relationship to Undersign (attorney, lobbyis	_	rees (indicate whether paid or estimate	d)
d cipated etained) 	IMA MANAGE I		NONE.	
CK HERE IF NO SUCH IN	DIVIDUALS HAVE BEEN RETAINE	D BY THE L	JNDERSIGNED OR ARE ANTICIPAT	
CK HERE IF NO SUCH IN		D BY THE L	JNDERSIGNED OR ARE	ANTICIPAT

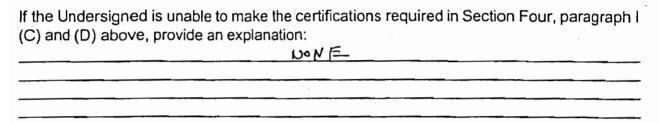
# SECTION FOUR: CERTIFICATIONS

# I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois
Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine,
fee, tax or other charge owed to the City. This includes all water charges, sewer charges,
license fees, parking tickets, property taxes or sales taxes. If there are any such
delinquencies, note them below:
NONE
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any
such violations, note them below:
No HE
If the letters "NA," the word "None," or no response appears on the lines above, it will be
conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, , , (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.



If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.* 

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.



- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

## III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - ξ the Undersigned;
  - ξ any party participating in the performance of the Matter ("an Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - ξ any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- 2. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:			
	N/A.			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

business is the providing of tax deferred, defined contribution, pension plans to publi employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### CERTIFICATION REGARDING INTEREST IN CITY BUSINESS <u>V.</u>

•	ords or terms that are defined in Chapter 2-156 of the Municipal Code have the same ings when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [] Yes  [] No
or (3) If you the in	If you answered "No" to Item $V(1)$ , you are not required to answer Items $V(2)$ below. Instead, review the certification in Item $V(4)$ and then proceed to Part VI. answered "Yes" to Item $V(1)$ , you must first respond to Item $V(2)$ and provide formation requested in Item $V(3)$ . After responding to those items, review the cation in Item $V(4)$ and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City o	If you answered " <b>yes</b> " to Item V(1), provide the names and business addresses of the officials or employees having such interest and identify the nature of such interest:  Business Address Nature of Interest

The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).
1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

#### CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS SECTION FIVE:

#### CERTIFICATION REGARDING LOBBYING <u>I.</u>

A. List below the names of all individuals registered under the federal Lobbying Disclosure			
Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the			
Matter: [Begin list here, add sheets as necessary]:			
N/A			

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

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- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

# NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	Have you de	veloped and do you h	ave on file affirmative action progr	ams pursuant to	
	applicable fe	deral regulations? (S	ee 41 CFR Part 60-2.)		
	[]Yes	[] No	I) N/A	•	
B	Have you participated in any previous contracts or subcontracts subject to the				
	equal opport	unity clause?			
	[]Yes	[] No	D/N/A		
C.	Have you file	d with the Joint Repor	ing Committee, the Director of the	Office of Federa	
	Contract Compliance Programs, or the Equal Employment Opportunity Commission al				
	reports due ι	reports due under the applicable filing requirements?			
	[]Yes	[] No	<b>I</b> ∕N/A		

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="https://www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.



BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:

- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

#### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Bowheur Corporation (Print or type name of individual or legal entity submitting this EDS)	Date: _	Sept.	1,200
By:  Tell L. Rome  (sign here)			
Print or type name of signatory:			
Fred L. Bonner			
Title of signatory:			
Subscribed to before me on [date]   [state].	Cork		County,
Notary Public.			
Commission expires: 8/15/2004.			
OFFICIAL SEAL  OFFICIAL SEAL  DIANE N. MARSHALL  NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 8-15-2004			

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Library Square Limited Parlacretics [identify the Matter]. Under penalty of perjury, the person signify below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Bonker Corpara Fier Date: April 1, 2004

(Print or type name of individual or legal entity submitting this recertification)

By: Lul L. Bonker

Title of signatory:

Pres dent

Subscribed to before me on [date] april 1, 2004, at Cook County, Slane: [state].

Malereum Notary Public.

Commission expires: 11 / 13 / 6 4

OFFICIAL SEAL
FAITH UNDERWOOD
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 11-13-2004

NEF Assignment Comp

FOR CITY USE
AFFIDAVIT NO

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." **An incomplete EDS will be returned and any City action will be interrupted.** 

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS:** By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENERAL INFURMATION				
Date this EDS is completed: 5 26 04				
A. Who is submitting this EDS? That individual or entity will be the "Undersigned" throughout this EDS. NEF Assignment Corporation.				
NOTE: The Undersigned is the individual or entity submitting this EDS, whether the Undersigned is an Applicant or is an entity holding an interest in the Applicant. This EDS requires certain disclosures and certifications from Applicants that are not required from entities holding an interest in the Applicant. When completing this EDS, please observe whether the section you are completing applies only to Applicants.				
[]	Check here if the Undersigned is filing this EDS as an Applicant.			
И	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.			
	Also, please identify the Applicant in which this entity holds an interest: <u>Liberty Square Limited Partnership</u>			
В.	Business address of the Undersigned: 120 S. Riverside Plaza 15th Floor Chicago, IL 60606			
C.	Telephone: (312) 360-0400 Fax: (312) 441-0484 Email: tflangan@nefinc.org			
D.	Name of contact person: Thomas Flanagan			
E:	Tax identification number (optional):			

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):  See Attached			
G.	Is the Matter a procurement? [] Yes [] No			
H.	If a procurement, Specification # N/A and Contract #			
l.	If not a procurement:			
	1. City Agency requesting EDS: <u>Department of Housing</u>			
	City action requested (e.g. loan, grant, sale of property):     See Attached			
If property involved, list property location:     See Attached				
SEC1	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS			
A.	NATURE OF ENTITY			
1.	Indicate whether the Undersigned is an individual or legal entity:  [] Individual [] Business corporation [] Sole proprietorship [] Wot-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] General partnership [] Other entity (please specify)			
	[] Limited partnership			
2.	State of incorporation or organization, if applicable:  Illinois			
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [] Yes  [] No  [] N/A			

В.	ORGANIZATION IN	FORMATION		
1. IF THE UNDERSIGNED IS A CORPORATION: a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no succeeding the corporation in t				
Name	е	Title		
See A	Attached Exhibit "A"			
regist pleas	tered on a national secu se provide the following	urement and the Undersigned is a curities exchange pursuant to the Sectinformation concerning shareholder poration's outstanding shares.	curities Exchange Act of 1934,	
Nam	е	Business Address	Percentage Interest	
N/A				
<b>are</b> ro 1934	egistered on a national , please provide the folk	procurement, and the Undersigned in securities exchange pursuant to the bowing information concerning shares a corporation's outstanding shares.	e Securities Exchange Act of nolders who own shares equal	
Nam	e	Business Address	Percentage Interest	
N/A_				

Ver. 6/23/03

the Securities Exchange of ownership interest of e	Act of 1934, list below the name, busi ach shareholder.	ness address and percentage
Name	Business Address	Percentage Interest
For general or limited parand percentage of owner	GNED IS A PARTNERSHIP OR JOIN tnerships or joint ventures: list belowership interest of each partner. For a general partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
N/A		
a. List below the nan	GNED IS A LIMITED LIABILITY COM ne, business address and percentage er. If there are no managers, write "no l.	of ownership interest of each
Name	Business Address	Percentage Interest
N/A		

For corporations that are not registered on a national securities exchange pursuant to

c.

I titles of all officers, if any	. If there are no officers, write "no
Title	
business address of eac	USINESS TRUST, ESTATE OR h individual or legal entity holding
Business Address	
is held.  Business Address	Percentage Interest
	NTITY, first describe the entity, then of interest of all individuals or legal the entity.
	6
	Title  O IS A LAND TRUST, Be business address of each e subject of the trust.  Business Address  iness address and percertis held.  Business Address  S ANY OTHER LEGAL Effects, and the percentage other beneficial interest in

Name		Business Address	Percentage Interest
N/A			(
SECT	ION TWO: BUSINESS	RELATIONSHIPS WITH CI	TY ELECTED OFFICIALS
A.	DEFINITIONS AND DIS	SCLOSURE REQUIREMEN	NT.
1. electe	•	indicate whether it had a "bu s before the date this EDS is	siness relationship" with a City signed.
official "finance in the a (i) any shares the val excha compete benefit institut insura emplo	ness relationship" mean I, or his or her spouse, of cial interest," with a person amount of \$2,500 or more townership through purch sof a corporation, or any alue of or dividends on so ange pursuant to the Secu- ensation paid to an official it provided equally to all re- tion; or (v) an endowment ance company. A "contract byment relationship of an	ns any "contractual or other of any entity in which an of or entity which entitles an office in a calendar year; but a "final hase at fair market value or incorporate subsidiary, parent such shares, if such shares writies Exchange Act of 1934, I or employee for his office or sidents of the City; (iv) a time of to insurance policy or annuctual or other private busines official's spouse with an en	hicago (the "Municipal Code"), a private business dealing" of an ficial or his or her spouse has a cial to compensation or payment ancial interest" does not include: theritance of less than 1% of the or affiliate thereof, regardless of are registered on a securities as amended, (ii) the authorized employment; (iii) any economic or demand deposit in a financial aity contract purchased from an as dealing" does not include any atity when such spouse has no ween that entity and the City.
В.	CERTIFICATION		
1. 12 mo	Has the Undersigned ha onths before the date this []Yes []Y	EDS is signed?	ith any City elected official in the
such r	If yes, please identify be elationship(s):	elow the name(s) of such City	elected official(s) and describe

## SECTION THREE: DISCLOSURE OF RETAINED PARTIES

#### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

#### **B.** CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

MICHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

#### **SECTION FOUR: CERTIFICATIONS**

### I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

license fees, parking tick delinquencies, note them b	pelow:	
	<u> </u>	
<u> </u>	<u> </u>	, , , , , , , , , , , , , , , , , , , ,
	<u></u>	· .
If the letters "NA," the wor	d "None," or no response appear	rs on the lines above, it will be
conclusively presumed that B. The Undersigned a	at the Undersigned certified to the a and its affiliates have not, in the or federal environmental law or reg	above statements. past five years, been found in

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragrap (C) and (D) above, provide an explanation:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.* 

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

#### Check one:

- No Substantial Owner has been declared in arrearage on any child support
  obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of
  competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- \_\_\_\_ 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- ✓ 4. There are no Substantial Owners.

#### III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
  governmental entity (federal, state or local) with commission of any of the
  offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - the Undersigned;
  - any party participating in the performance of the Matter ("an Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

N/A	 		
	 	· <del></del>	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

[Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A
· · · · · · · · · · · · · · · · · · ·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### <u>V.</u> **CERTIFICATION REGARDING INTEREST IN CITY BUSINESS**

-	ords or terms that are defined in Chapter 2-156 of the Municipal Code have the same ings when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [] Yes  [] No
or (3) If you the in	E: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City o Name	If you answered " <b>yes</b> " to Item V(1), provide the names and business addresses of the fficials or employees having such interest and identify the nature of such interest:

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

✓ 1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

### SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

### I. CERTIFICATION REGARDING LOBBYING

۹.	List below the names of all individuals registered under the federal Lobbying Disclosure
Act of	1995 who have made lobbying contacts on behalf of the Undersigned with respect to the
Matter	: [Begin list here, add sheets as necessary]:
N/A_	
_	

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/sflllin.pdf</a>, linked on the page <a href="http://www.whitehouse.gov/omb/grants/grants\_forms.html">http://www.whitehouse.gov/omb/grants/grants\_forms.html</a>.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

## NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

#### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	Have you developed and do you have on file affirmative action programs pur applicable federal regulations? (See 41 CFR Part 60-2.)			int to	
	applicable fe	deral regulations?(S	ee 41 CFR Part 60-2.)		
	[]Yes	[] No	[] N/A		
B.	Have you pa	Have you participated in any previous contracts or subcontracts subject to the			
	equal opport	unity clause?			
	[]Yes	[] No	[] N/A		
C.	Have you file	d with the Joint Report	ing Committee, the Director of the Office of Fed	deral	
	Contract Con	npliance Programs, or	the Equal Employment Opportunity Commission	on all	
	reports due ι	ınder the applicable fi	ling requirements?		
	[]Yes	[] No	[] N/A		

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

## SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

#### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

NEF Assignment Corporation	Date:5	26/04
(Print or type name of individual or legal entity submitting this EDS)		
By: (sign here)		
Print or type name of signatory:  James F. Rice		
Title of signatory:  Vice President		
Subscribed to before me on [date], at _	Cook	County,
Patricia J Sobezinski Notary Public.	4 6	
Commission expires: 2006.		
OFFICIAL SEAL PATRICIA J SOBCZYNSKI NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES:04/08/08		

#### ATTACHMENT

TO

#### ECONOMIC DISCLOSURE STATEMENT

OF

#### LIBERTY SQUARE LIMITED PARTNERSHIP

### TYPE OF ACTION REQUESTED:

Approval by City Council for low income housing tax credits, purchase of City owned parcels and developer designation under the Midwest TIF.

### Project Location:

See attached legal description which lists addresses.

#### **Brief Project Description:**

77 units of affordable housing units for families contained in one, two, three and four bedroom units. Most of the units are two bedrooms or larger. 68.8% of the units are set aside for households at or below 60% of area median income, 20.7% are set aside for households at or below 40% of area median income, and the remaining 10.5% are have no income restrictions.

MAY-17-2004 03:21PM FROM-PRAIRIE MORTGAGE COMPANY

2/14/01 AMENDED LIBERTY SQUARE DEVELOPMENT

(STREET ADDRESS NUMBERS SHOWN PER SITE PLAN AND ARE SUBJECT TO CHANGE)

#### SITE 1 \*

LOTS 7 AND 8 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 701-705 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-001, 16-14-307-002 AND 16-14-307-007

#### SITE 2 \*

LOTS 9 AND 10 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

## ALSO.

THE SOUTH 50 FEET OF LOT 33 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 709-713 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-003 AND 16-14-307-015

#### SITE 3 .

LOTS 11 AND 12 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

#### ALSO.

LOT 11, EXCEPT THE SOUTH 83.91 FEET THEREOF, IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 719-725 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-004 AND 16-14-307-005

# SITE 4"

THE WEST 1/2 OF LOT 27, LOT 28 AND THE EAST 1/2 OF LOT 29 IN GOLDY'S SECOND ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(CCMMONLY KNOWN AS 3713-3715 W. FLOURNOY AVE.)

P.I.N. 16-14-307-010 AND 16-14-307-011

# SITE 5

LOTS 5 AND 6 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

(COMMONLY KNOWN AS 3710 W. LEXINGTON AVE.)

P.I.N. 16-14-307-021 AND 16-14-307-022

# SITE 6

LOTS 19, EXCEPT THE WEST 1/2 THEREOF, 20 AND 21 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3703-3707 W. LEXINGTON AVE.)

P.I.N. 16-14-311-015 AND 16-14-311-016

#### SITE 7

LOT 1 IN RESUBDIVISION OF LOTS 25 TO 28 IN BLOCK 1 IN BETSY BOLVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 715-723 S. LAWNDALE AVE.)

P.I.N. 16-14-308-021

## SITE 8

LOTS 18, 19 AND 20 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3645-3647 W. FLOURNOY AVE.)

P.I.N. 16-14-308-003 AND 16-14-308-004

#### SITE 9

LOTS 14 AND 15 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3633-3637 W. FLOURNOY AVE.)

P.I.N. 16-14-308-007 AND 16-14-308-008

#### SITE 10

LOTS 12 AND 13 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

# (COMMONLY KNOWN AS 3629-3631 W. FLOURNOY AVE.)

P.I.N. 16-14-308-009 AND 16-14-308-010

#### SITE 11 \*

LOTS 44. 45 AND 46 IN BETSY BOILVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

# (COMMONLY KNOWN AS 3604-3610 W. FLOURNOY AVE.)

P.I.N. 16-14-304-030, 16-14-304-031 AND 16-14-304-032

#### SITE 12

LOTS 1, 2 AND 3 IN SUBDIVISION OF LOTS 1 TO 5 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 700-704 S. CENTRAL PARK AVE.)

P.I.N. 16-14-308-041

## SITE 13 \*

LOTS 26, EXCEPT THE NORTH 20 FEET THEREOF, 27 AND 28 IN GEORGE K. SCHOENBERGER'S SUBDIVISION OF THE WEST 3/4 OF THE NORTH 40 RODS OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

# (COMMONLY KNOWN AS 721-725 S. CENTRAL PARK AVE.)

P.I.N. 16-14-404-008 AND 16-14-404-009

#### SITE 14

LOTS A AND B IN SUBDIVISION OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 48, INCLUSIVE, IN BLOCK 16 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, ALSO OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 30 AND LOTS 32 TO 48, ALL INCLUSIVE, IN BLOCK 15 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN RAILROAD COMPANY, IN COOK COUNTY, ILLINOIS.

# (COMMONLY KNOWN AS 749-751 S. CENTRAL PARK AVE.)

P.I.N. 16-14-408-023

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# Exhibit A NEF ASSIGNMENT CORPORATION LIST OF OFFICERS, DIRECTORS AND MEMBERS CHICAGO ECONOMIC DISCLOSURE STATEMENT

Names And Addresses	Title
	Tiue
Directors & Officers:	President and Chief Everytive Officer
Joseph S. Hagan 120 South Riverside Plaza, 15 <sup>th</sup> Floor	President and Chief Executive Officer
,	
Chicago, Illinois 60606-3908	Disease and Conica Vice Described
Richard Gentry	Director and Senior Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, Illinois 60606-3908	The state of the s
Darrell R. Hubbard	Director
120 South Riverside Plaza, 15th Floor	
Chicago, Illinois 60606-3908	10 10 10 10
Karen Przypyszny	Director and Senior Vice President
120 South Riverside Plaza, 15th Floor	
Chicago, Illinois 60606-3908	
Judy Schneider	Vice President
120 South Riverside Plaza, 15th Floor	
Chicago, Illinois 60606-3908	
Jeff Mudrick	Vice President
1055 Wilshire Boulevard, Suite 1600	
Los Angeles, CA 90017	
Edward Barnett	Vice President
1835 K. Street, Suite 1100	
Washington, D.C. 60606-3908	
Deborah Burkart	Vice President
1055 Wilshire Boulevard, Suite 1600	r
Los Angeles, CA 90017	
M.A. Leonard	Vice President
2133 3 <sup>rd</sup> Avenue, Suite 116	
Seattle, WA 98121	
Anthony Lyons	Vice President
900 Chapel Street, 10 <sup>th</sup> Floor	
New Haven, CT 06510	
James Rice	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	Vice i resident
Chicago, Illinois 60606-3908	
<u> </u>	Vice President and Treasurer
Mark Siranovic	vice rresident and Treasurer
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, Illinois 60606-3908	W. B. H.
David Sliwicki	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, Illinois 60606-3908	
Charles Williams	Vice President
1835 K. Street, Suite 1100	
Washington, D.C. 60606-3908	

William E. Papaj	Secretary	
120 South Riverside Plaza, 15th Floor		
Chicago, Illinois 60606-3908		
Members:		
National Equity Fund, Inc.	Sole Voting Member	
120 South Riverside Plaza, 15 <sup>th</sup> Floor		
Chicago, Illinois 60606-3908		

Last update: April 28, 2004

	FOR CITY USE
	AFFIDAVIT NO
NEF T	
3-me	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS:** By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENE	ERAL INFORMATION 1	,	
Date t	this EDS is completed: 5/2	.6/04	
A. "Und	Who is submitting this EDS? That incersigned" throughout this EDS. National	•	
Unde EDS i requii	rsigned is an Applicant or is an entity requires certain disclosures and cert red from entities holding an interest in t	r entity submitting this EDS, whether the holding an interest in the Applicant. This ifications from Applicants that are not he Applicant. When completing this EDS, e completing applies only to Applicants.	
[]	Check here if the Undersigned is filing the	nis EDS as an Applicant.	
И	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.		
	Also, please identify the Applicant in whi Liberty Square Limited Partnership		
B.	Business address of the Undersigned:	120 S. Riverside Plaza 15th Floor Chicago, IL 60606	
C.	Telephone: (312) 360-0400 Fax: (312)	) 441-0484 Email: tflangan@nefinc.org	
D.	Name of contact person: Thomas Flan	agan	
E:	Tax identification number (optional):		
Ver. 6/23	3/03		

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):  See Attached
G.	Is the Matter a procurement? [] Yes   No
H.	If a procurement, Specification # <u>N/A</u> and Contract #
1.	If not a procurement:
	1. City Agency requesting EDS: Department of Housing
	2. City action requested (e.g. loan, grant, sale of property):  See Attached
	3. If property involved, list property location: See Attached
SEC.	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity:  [] Individual  [] Limited Liability Company  [] Business corporation  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes
	[] General partnership [] Other entity (please specify) [] Limited partnership
2.	State of incorporation or organization, if applicable:  Illinois
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [] Yes  [] No  [] N/A

# B. ORGANIZATION INFORMATION

- 1. IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name	Title	
See Attached Exhibit "A	TI T	
registered on a national please provide the follow	procurement and the Undersigned is a c securities exchange pursuant to the Sec wing information concerning shareholde e corporation's outstanding shares.	curities Exchange Act of 1934
Name	Business Address	Percentage Interest
N/A		
are registered on a nati 1934, please provide the	ot a procurement, and the Undersigned ional securities exchange pursuant to the following information concerning share of the corporation's outstanding shares	ne Securities Exchange Act o holders who own shares equa
Name	Business Address	Percentage Interest
N/A		

or ownership intere	est of each shareholder.	
Name	Business Address	Percentage Interest
N/A		
For general or limit and percentage of	DERSIGNED IS A PARTNERSHIP OR JOIN ed partnerships or joint ventures: list below fownership interest of each partner. For liner is a general partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
N/A		
a. List below th	DERSIGNED IS A LIMITED LIABILITY COMING name, business address and percentage manager. If there are no managers, write "no inaged.	of ownership interest of each
<ul><li>a. List below th</li><li>(i) member and (ii) r</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no	of ownership interest of each
<ul><li>a. List below the company is ma</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how
<ul><li>a. List below the (i) member and (ii) rethe company is managed.</li><li>Name</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how
<ul><li>a. List below the (i) member and (ii) rethe company is managed.</li><li>Name</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how
<ul><li>a. List below the (i) member and (ii) rethe company is managed.</li><li>Name</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how
<ul><li>a. List below the (i) member and (ii) rethe company is managed.</li><li>Name</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how
<ul><li>a. List below the (i) member and (ii) rethe company is managed.</li><li>Name</li></ul>	ne name, business address and percentage manager. If there are no managers, write "no naged.	of ownership interest of each managers," and indicate how

b. List below the r officers."	names and titles of all officers, if an	ny. If there are no officers, write "no
Name	Title	
4. IF THE UNDE OTHER SIMILAR ENT a. List below the	ITY:	BUSINESS TRUST, ESTATE OR
Name	Business Address	
	1	
	name, business address and perce	entage of beneficial interest of each
Name	Business Address	Percentage Interest
	10.70	· · · · · · · · · · · · · · · · · · ·
5. IF THE UNDER provide the name, bus entities having an own	SIGNED IS ANY OTHER LEGAL E	ENTITY, first describe the entity, then ended of interest of all individuals or legal notice the entity.
Describe the entity:		
N/A	<del></del>	

Name	Business Address Percentage Int	erest
N/A		
SECT	ON TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIA	<u>LS</u>
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "business relationship" with official in the 12 months before the date this EDS is signed.	a City
official "financin the (i) any share the value exchala comp benefinstitut insura emplo	Pursuant to Chapter 2-156 of the Municipal Code of Chicago (the "Municipal Coess relationship" means any "contractual or other private business dealing or his or her spouse, or of any entity in which an official or his or her spouse al interest," with a person or entity which entitles an official to compensation or paramount of \$2,500 or more in a calendar year; but a "financial interest" does not in ownership through purchase at fair market value or inheritance of less than 1% of a corporation, or any corporate subsidiary, parent or affiliate thereof, regard use of or dividends on such shares, if such shares are registered on a sec ge pursuant to the Securities Exchange Act of 1934, as amended, (ii) the authorisation paid to an official or employee for his office or employment; (iii) any ecoprovided equally to all residents of the City; (iv) a time or demand deposit in a firm on; or (v) an endowment or insurance policy or annuity contract purchased from the company. A "contractual or other private business dealing" does not include ment relationship of an official's spouse with an entity when such spouse hon concerning or input relating to the relationship between that entity and the Contract products and the concerning or input relating to the relationship between that entity and the Contract products are spouse.	has a syment aclude: of the less of curities acrized anomic ancial acride any has no
В.	CERTIFICATION	
1. 12 mo	Has the Undersigned had a "business relationship" with any City elected officianths before the date this EDS is signed? [] Yes  No	l in the
such	If yes, please identify below the name(s) of such City elected official(s) and delationship(s):	scribe

# SECTION THREE: DISCLOSURE OF RETAINED PARTIES

# A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

#### **B.** CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipat		Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
to be retain N/A	ea) 		N <sub>e</sub>

M CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

# **SECTION FOUR: CERTIFICATIONS**

# I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Fou	ır, paragraph l
(C) and (D) above, provide an explanation:	
N/A	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.* 

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.



- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
- 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- \_\_\_\_\_3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- \_\_\_\_\_\_4. There are no Substantial Owners.

# III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - · the Undersigned;
  - any party participating in the performance of the Matter ("an Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to
  any such agreement, or been convicted or adjudged guilty of agreement or
  collusion among bidders or prospective bidders, in restraint of freedom of
  competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

N/A	 	 
	•	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not  a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
<u>N/A</u>

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### **CERTIFICATION REGARDING INTEREST IN CITY BUSINESS** <u>v.</u>

Any words or terms that are defined in Chanter 2-156 of the Municipal Code have the same

-	ngs when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [] Yes  No
or (3) If you the in	: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [] Yes [] No
3. City o Name	If you answered " <b>yes</b> " to Item V(1), provide the names and business addresses of the fficials or employees having such interest and identify the nature of such interest:  Business Address  Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

✓ 1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

# SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

# I. CERTIFICATION REGARDING LOBBYING

Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to t Matter: [Begin list here, add sheets as necessary]:	เก
N/A	

List below the names of all individuals registered under the federal Lobbying Disclosure

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sfillin.pdf">http://www.whitehouse.gov/omb/grants/sfillin.pdf</a>, linked on the page <a href="http://www.whitehouse.gov/omb/grants/grants">http://www.whitehouse.gov/omb/grants/grants</a> forms.html.

Α.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

# NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

# III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.	nave you de	velopea ana ao you n	ave on file affirmative action programs pursuant	ιc
	applicable fe	deral regulations? (S	See 41 CFR Part 60-2.)	
	[]Yes	[] No	[] N/A	
B.	Have you participated in any previous contracts or subcontracts subject to the			
	equal opport	unity clause?		
	[]Yes	[] No	[] N/A	
C.	Have you file	d with the Joint Repor	ting Committee, the Director of the Office of Feder	ra
	Contract Cor	npliance Programs, or	the Equal Employment Opportunity Commission	al
	reports due under the applicable filing requirements?			
	[]Yes	[] No	[] N/A	

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="https://www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

# The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

National Equity Fund, Inc. (Print or type name of individual or legal entity submitting this EDS)	Date:	5/26/04
(First of type frame of individual of legal entity submitting this 203)		
By: (sign here)		
Print or type name of signatory:		
James E. Rice		
Title of signatory:		
Vice President		
	_Cook	County,
[state].		•
Patricia J. Sohenijnski Notary Public	<b>D</b> .	
Commission expires: (2006).		
PATRICIA J SOBCZYNSKI NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES:04/08/06		

## **ATTACHMENT**

TO

# ECONOMIC DISCLOSURE STATEMENT

OF

# LIBERTY SQUARE LIMITED PARTNERSHIP

# TYPE OF ACTION REQUESTED:

Approval by City Council for low income housing tax credits, purchase of City owned parcels and developer designation under the Midwest TIF.

# Project Location:

See attached legal description which lists addresses.

# **Brief Project Description:**

77 units of affordable housing units for families contained in one, two, three and four bedroom units. Most of the units are two bedrooms or larger. 68.8% of the units are set aside for households at or below 60% of area median income, 20.7% are set aside for households at or below 40% of area median income, and the remaining 10.5% are have no income restrictions.

2/14/01 AMENSED LIEERTY SQUARE DEVELOPMENT

(STREET ADDRESS NUMBERS SHOWN PER SITE PLAN AND ARE SUBJECT TO CHANGE)

#### SITE 1 \*

LOTS 7 AND 8 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 701-705 S. INDEPENDENCE AVE.)
P.I.N. 16-14-307-001, 16-14-307-002 AND 16-14-307-007

#### SITE 2 \*

LOTS 9 AND 10 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

# ALSO,

THE SOUTH 50 FEET OF LOT 33 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 709-713 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-003 AND 16-14-307-015

## SITE 3 .

LOTS 11 AND 12 IN THE SUBDIVISION OF THE WEST 1/2 OF THE NORTH 1/2 OF THE WEST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN,

# ALSO.

LOT 11, EXCEPT THE SOUTH 83.91 FEET THEREOF, IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 719-725 S. INDEPENDENCE AVE.)

P.I.N. 16-14-307-004 AND 16-14-307-005

#### SITE 4"

THE WEST 1/2 OF LOT 27, LOT 28 AND THE EAST 1/2 OF LOT 29 IN GOLDY'S SECOND ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(CCMMONLY KNOWN AS 3713-3715 W. FLOURNOY AVE.)

P.I.N. 16-14-307-010 AND 16-14-307-011

#### SITE 5

LOTS 5 AND 6 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14. TOWNSHIP 39. RANGE 13. EAST OF THE THIRD PRINCIPAL MERIDIAN IN COOK COUNTY, ILLINOIS

(COMMONLY KNOWN AS 3710 W. LEXINGTON AVE.)

P.I.N. 16-14-307-021 AND 16-14-307-022

#### SITE 6

LOTS 19 EXCEPT THE WEST 1/2 THEREOF, 20 AND 21 IN GOLDY'S ADDITION TO CHICAGO IN THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3703-3707 W. LEXINGTON AVE.)

\* P.I.N. 16-14-311-015 AND 16-14-311-016

#### SITE 7

LOT 1 IN RESUBDIVISION OF LOTS 25 TO 28 IN BLOCK 1 IN BETSY BOLVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 715-723 S. LAWNDALE AVE.)

P.I.N. 16-14-308-021

#### SITE 8

LOTS 18, 19 AND 20 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3645-3647 W. FLOURNOY AVE.)

P.I.N. 16-14-308-003 AND 16-14-308-004

#### SITE 9

LOTS 14 AND 15 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3633-3637 W, FLOURNOY AVE.)

MAY-17-2004 03:21PM

P.I.N. 15-14-308-007 AND 16-14-308-008

#### SITE 10

LOTS 12 AND 13 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14: TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3629-3631 W. FLOURNOY AVE.)

P.I.N. 16-14-308-009 AND 16-14-308-010

## SITE 11 \*

LOTS 44, 45 AND 46 IN BETSY BOILVIN'S SUBDIVISION OF THE NORTH 1/2 OF THE NORTHEAST 1/4 OF THE NORTHEAST 1/4 OF THE SOUTHWEST 1/4 OF SECTION 14. TOWNSHIP 39. RANGE 13. EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 3604-3610 W. FLOURNOY AVE.)

P.I.N. 16-14-304-030, 16-14-304-031 AND 16-14-304-032

## SITE 12

LOTS 1, 2 AND 3 IN SUBDIVISION OF LOTS 1 TO 5 IN BETSY BOLVIN'S SUBDIVISION OF 10 ACRES SOUTH AND ADJOINING THE NORTH 5 ACRES OF THE EAST 1/2 OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN. IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 700-704 S. CENTRAL PARK AVE.)

P.I.N. 16-14-308-041

## SITE 13 \*

LOTS 26, EXCEPT THE NORTH 20 FEET THEREOF, 27 AND 28 IN GEORGE K. SCHOENBERGER'S SUBDIVISION OF THE WEST 3/4 OF THE NORTH 40 RODS OF THE SOUTHEAST 1/4 OF SECTION 14. TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 721-725 S. CENTRAL PARK AVE.)

P.I.N. 16-14-404-00B AND 16-14-404-009

# SITE 14

LOTS A AND B IN SUBDIVISION OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 48, INCLUSIVE, IN BLOCK 16 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, ALSO OF LOT 1 IN HENRY E. VANCE'S SUBDIVISION OF LOTS 25 TO 30 AND LOTS 32 TO 48, ALL INCLUSIVE, IN BLOCK 15 OF E. A. CUMMINGS & COMPANIES CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST 1/4 OF SECTION 14, TOWNSHIP 39, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN RAILROAD COMPANY, IN COOK COUNTY, ILLINOIS.

(COMMONLY KNOWN AS 749-751 S. CENTRAL PARK AVE.)

P.I.N. 16-14-408-023

# Exhibit A NATIONAL EQUITY FUND, INC. LIST OF OFFICERS, DIRECTORS AND MEMBERS CHICAGO ECONOMIC DISCLOSURE STATEMENT

Name	Title	
Directors:		
Larry Dale	Chairman and Director	
c/o Newman and Associates, Inc.		
1801 California Street		
Denver, CO 80202		
Michael Rubinger	Vice Chairperson and Director	
c/o Local Initiative Support Corporation	•	
501 Seventh Avenue, 7th Floor		
New York, NY 10018		
Kenneth Bacon	Director	
c/o Fannie Mae		
3900 Wisconsin Avenue, NW		
Washington, DC 2016		
Phyllis Caldwell	Director	
c/o Bank of America		
700 Louisiana - 5th Floor		
Mail Code TX4 21305-15		
Houston, TX 77002		
Adrian Corbiere	Director	
c/o Freddie Mac		
8100 Jones Branch Drive		
Mail Stop – B4M		
McLean, VA 22102		
Andrew Ditton	Director	
c/o Citigroup		
850 Third Avenue, 13 <sup>th</sup> Floor		
New York, NY 10022		
Printice Gary	Director	
c/o Carleton Residential Properties	2.000	
5485 Belt Line Road		
Dallas, TX 75240		
Joseph S. Hagan	Director	
c/o National Equity Fund, Inc.	Director	
120 South Riverside Plaza, 15 <sup>th</sup> Floor	·	
Chicago, IL 60606		
Mike Mantle	Director	
49 Red Cedar Court	51100101	
Danville, CA 94506		
Herbert Morse	Director	
18 Porters Cove Road	Director	
Hingham, MA 02043		
J. Michael Pitchford	Director	
c/o Bank of America	Director	
201 North Tryon Street NC1-022-14-01		

Charlotte, NC 28255	
Elizabeth Pugh	Director
c/o Local Initiative Support Corporation	
501 Seventh Avenue, 7 <sup>th</sup> Floor	
New York, NY 10018	
Rev. Richard L. Tolliver	Director
C/o St. Edmund's Episcopal Church	
6105 S. Michigan Avenue	
Chicago, IL 60637	ni ni
Mark Willis	Director
c/o Chase Community Development Group	<i>'</i>
1 Chase Manhattan Plaza, 6 <sup>th</sup> Floor	
New York, NY 10081	
Officers:	
Joseph S. Hagan	President and Chief Executive Officer
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Richard Gentry	Senior Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Darrell R. Hubbard	Senior Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Karen Przypyszny	Senior Vice President
120 South Riverside Plaza, 15th Floor	
Chicago, IL 60606-3908	
Kevin D. Boes	Senior Vice President and Chief Financi
120 South Riverside Plaza, 15th Floor	Officer
Chicago, IL 60606-3908	
Mark Siranovic	Senior Vice President and Treasurer
120 South Riverside Plaza, 15th Floor	
Chicago, IL 60606-3908	
Edward Barnett	Vice President
1825 K Street, Suite 1100	
Washington, DC 20006	
Deborah Burkart	Vice President
1055 Wilshire Boulevard, Suite 1600	Vico President
Los Angeles, CA 90017	
Peter Harrison	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
M.A. Leonard	Vice President
2133 3 <sup>rd</sup> Avenue, Suite 116	/ roo i rosident
Seattle, WA 98121	
Mark Leitson	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	v ice i resident
Chicago, IL 60606-3908	
	Vice President
Anthony Lyons 900 Chapel Street, 10 <sup>th</sup> Floor	vice resident
New Haven, CT 06510	W. D. H
Jeff Mudrick	Vice President
1055 Wilshire Boulevard, Suite 1600	
Los Angeles, CA 90017	
Denise Notice-Scott	Vice President

501 Sweeth Assess 7th Floor	
501 Seventh Avenue, 7th Floor	
New York, NY 10018	Vice President
James Rice 120 South Riverside Plaza, 15 <sup>th</sup> Floor	Vice President
Chicago, IL 60606-3908	Vice President
Judy Schneider	vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	W. B. C. L.
David Sliwicki	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Edward Stone	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Charles Williams	Vice President
1825 K Street N.W., Suite 1100	
Washington, D.C. 20006	
William E. Papaj	Senior Vice President and Secretary
120 South Riverside Plaza, 15th Floor	
Chicago, IL 60606-3908	
Thomas Flanagan	Vice President
120 South Riverside Plaza, 15th Floor	
Chicago, IL 60606-3908	
Edward Lam	Vice President
120 South Riverside Plaza, 15 <sup>th</sup> Floor	
Chicago, IL 60606-3908	
Members:	
Local Initiatives Support Corporation	Sole Voting Member
501 Seventh Avenue, 7 <sup>th</sup> Floor	
New York, NY 10018	

Last update: March 22, 2004

# First Chicago Classing Corp

FOR CITY USE	
AFFIDAVIT NO.	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

<b>GENE</b>	RAL INFORMATION
Date ti	his EDS is completed:June , 2004
A. <b>"Unde</b>	Who is submitting this EDS? That individual or entity will be the rsigned throughout this EDS. First Chicago Leasing Corporation
Under EDS requir	The Undersigned is the individual or entity submitting this EDS, whether the signed is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, a observe whether the section you are completing applies only to Applicants.
[X]	Check here if the Undersigned is filing this EDS as an Applicant.
[]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
B.	Business address of the Undersigned: 55 West Monroe Street, 17 <sup>th</sup> Floor Chicago, IL 60670-0502
C.	Telephone: 312-336-2842 Fax: 312-732-3634 Email: mark mccann@bankone.com
D.	Name of contact person: Mark J. McCann
E:	Tax identification number (optional):

F.	the "Matter") to which this EDS pertains. (Include project number and location if applicable):  Development by Liberty Square Limited Partnership and its general partners,
	H.I.C.A. Inc. and Bonheur Development, of 66 new units of housing on various lots in the Lawndale neighborhood
G.	Is the Matter a procurement? [ ] Yes [X] No
H.	If a procurement, Specification # and Contract #
l.	If not a procurement:
	City Agency requesting EDS: <u>Department of Housing</u>
	City action requested (e.g. loan, grant, sale of property):     Sale of Illinois affordable housing tax credits
	3. If property involved, list property location:  a number of lots in the Lawndale neighborhood
SECT	ION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity:  [ ] Individual
	[ ] Yes [ ] No [ ] General partnership [ ] Other entity (please specify) [ ] Limited partnership
2.	State of incorporation of organization, if applicable:  Delaware
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [X] Yes  [ ] No  [ ] N/A

#### B. ORGANIZATION INFORMATION

- 1. IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Name	Titl	Title	
Se	ee Attachment A		
registered on a r	tter <b>is</b> a procurement and the Undersigned is national securities exchange pursuant to the	Securities Exchange Act of 1934	
	he following information concerning sharehologof the corporation's outstanding shares.	ders who own shares equal to o	
Name	Business Address	Percentage Interes	
	NA	· · · · · · · · · · · · · · · · · · ·	
are registered of 1934, please pro	tter <b>is not</b> a procurement, and the Undersign n a national securities exchange pursuant to ovide the following information concerning shaft 10% of the corporation's outstanding shares.	o the Securities Exchange Act of areholders who own shares equa	
Name	Business Address	Percentage Interes	
	NA		

	not registered on a national securities 1934, list below the name, business a reholder.				
Name B	usiness Address	Percentage Interest			
Banc One Capital Corporation	55 West Monroe Street, 17 <sup>th</sup> Floor Chicago, Illinois 60670-0502	100%			
For general or limited partnersh and percentage of ownership	2. IF THE UNDERSIGNED IS A PARTNERSHIP OR JOINT VENTURE: For general or limited partnerships or joint ventures: list below the name, business address and percentage of ownership interest of each partner. For limited partnerships, indicate whether each partner is a general partner or a limited partner.				
Name B	usiness Address	Percentage Interest			
a. List below the name, but	IS A LIMITED LIABILITY COMPANY: siness address and percentage of owr				
(i) member and (ii) manager. If the company is managed.	there are no managers, write "no mana	gers," and indicate how			
Name B	usiness Address	Percentage Interest			
		·			

b. List below officers."	w the names and titles of all officers, if a	ny. If there are no officers, write "no
Name	Title	
OTHER SIMILAR  a. List below	UNDERSIGNED IS A LAND TRUST, RENTITY: w the name and business address of eacroperty that is the subject of the trust.	
Name	Business Address	
	w the name, business address and percenters hose behalf title is held.	entage of beneficial interest of each
Name	Business Address	Percentage Interest
	-	
provide the nam	JNDERSIGNED IS ANY OTHER LEGAL Ene, business address, and the percentage nownership or other beneficial interest in t	of interest of all individuals or legal
Describe the enti	ity:	

Name	Business Address	Percentage Interest
SECT	TION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED	OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "business related official in the 12 months before the date this EDS is signed.	ationship" with a City
official "finan- in the (i) any share the vi- exchal compo- benef institut insura emplo	Pursuant to Chapter 2-156 of the Municipal Code of Chicago (the ness relationship" means any "contractual or other private bustl, or his or her spouse, or of any entity in which an official or his cial interest," with a person or entity which entitles an official to commount of \$2,500 or more in a calendar year; but a "financial interey ownership through purchase at fair market value or inheritance of a corporation, or any corporate subsidiary, parent or affiliate alue of or dividends on such shares, if such shares are registed and pursuant to the Securities Exchange Act of 1934, as amendensation paid to an official or employee for his office or employment it provided equally to all residents of the City; (iv) a time or demandation; or (v) an endowment or insurance policy or annuity contraction; or (v) an endowment or insurance policy or annuity contraction; or relationship of an official's spouse with an entity when etion concerning or input relating to the relationship between that entitle	siness dealing" of an or her spouse has a apensation or payment rest" does not include: of less than 1% of the thereof, regardless of tered on a securities ed, (ii) the authorized ent; (iii) any economic deposit in a financial of purchased from an does not include any such spouse has no
В.	CERTIFICATION	
1. 12 mo	Has the Undersigned had a "business relationship" with any City on the date this EDS is signed?  [X] Yes  [] No  See Attachment B	elected official in the
such	If yes, please identify below the name(s) of such City elected orelationship(s):	fficial(s) and describe

See Attachment B

# SECTION THREE: DISCLOSURE OF RETAINED PARTIES

#### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

#### B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained)	Business F Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
Sidley Austin	10 South Dear	born Attorney	Estimated: \$5,000
Brown & Wood	Chicago, Illino	ois 60603	

[ ] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

in paying a fine, fee, tax or other charge owed to the City

except for the possible delinquencies referred to below

# SECTION FOUR: CERTIFICATIONS

# I. CERTIFICATION OF COMPLIANCE

To the best of the Undersigned's knowledge after due inquiry, neither the Undersigned nor

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

Possible delinquencies related to (i) property mortgaged to the Undersigned or its, affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. A when Undersigned and xits yas finates x here inot, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:

To the best of the Undersigned's knowledge after due inquiry, the Undersigned's affiliates have not, in the past five years, been found after a judicial or administrative hearing to be in violation of any City of Chicago, state or federal environmental law or regulation, except for possible violations related to (i) property mortgaged to the Undersigned or its affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

To the best of the Undersigned's knowledge after due inquiry, the

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph I (C) and (D) above, provide an explanation:

_	See Attachi	ment B		
		_		
		_	 	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

#### Check one:

- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
  - 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- X 4. There are no Substantial Owners.

### **III. FURTHER CERTIFICATIONS**

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - 1. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
  governmental entity (federal, state or local) with commission of any of the
  offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - the Undersigned;
  - any party participating in the performance of the Matter (an "Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- 2. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (**Living** Wage Ordinance).
- C. The Undersigned understands and shall comply with (1)the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

 See Attachment B	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2 -32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [ ] Yes  [X] No  See Attachment B
or (3) If you the in	If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part V1. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [ ] Yes [ ] No
3. City of Name	If you answered <b>"yes"</b> to Item V(1), provide the names and business addresses of the ficials or employees having such interest and identify the nature of such interest:  Business Address  Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records

of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

# SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

### I. CERTIFICATION REGARDING LOBBYING

	List below the names of all individuals registered under the federal Lobbying Disclosure
Act of	1995 who have made lobbying contacts on behalf of the Undersigned with respect to the
Matter:	[Begin list here, add sheets as necessary]:

None	

[If no explanation appears or begins on the lines above, or if the letters "NA " or if the word 'None " appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL,

"Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/sflllin.pdf</a>, linked on the page

http://www.whitehouse.gov/omb/grants/grantsforms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

### NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

#### Ш. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

GOVE	PNMENTAL F	THICS AND	CAMDAI	SM CINIANO	CE ODDINANCE		
SECT	ON SIX:	NOTICE	AND	ACKNOV	VLEDGMENT	REGARDING	CITY
	[]Yes	[ ] No		[ ] N/A	See Attachn	nent B	
C.	•	ıpliance Progi	ams, or t	the Equal E	imployment Opp	of the Office of Foortunity Commissi	
	equal opportu	•	•	[ ] N/A	See Attachn	·	
B.	Have you part	ticipated in ar	ny previou	us contracts	s or subcontracts	s subject to the	
	[ ] Yes	[ ] No	(	[ ] N/A	See Attachn	nent B	
Α.	applicable fed	•	•			on programs purs	suant to

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312)744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- [X] BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

#### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

FIRST CHICAGO LEASING CORPORATION (Print or type name of individual or legal entity submitting this EDS)	Date:	June .	2004
By:  Mark & McCauc  (sign here)			
Print of type name of signatory:			
Mark J. McCann			
Title of signatory:			
Director			
Subscribed to before me on [date] fline 17 <sup>44</sup> , at	Cook		County,
OFFICIAL SEAL JERIANNE S LAUDEN			

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/14/05

# ATTACHMENT A

#### TO

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### FILED BY

# FIRST CHICAGO LEASING CORPORATION

(as an Applicant)

#### **DIRECTORS**

Hennessy, Paul. C. Kusack, Jr., William P. Lauder, Norma J. Pereiro, Francisco J. Schabes, David H.

#### **EXECUTIVE OFFICERS**

Schabes, David H. Chairman of the Board Szott, Jerome G. Senior Vice President Buchanan, Mit C. Managing Director Capouch, Steven P. Managing Director Eber, John M. Managing Director Eligator, James N. Managing Director Gargula, Paul A. Managing Director Kusack, Jr., William P. Managing Director Lorenz, Mary Kay Managing Director McCarthy, Patrick J. Managing Director Moore, Maurice E. Managing Director Nagatani, Jean F. Managing Director Nash, Patrick J. Managing Director Pereiro, Francisco J. Managing Director Stevens, Christine Managing Director Stonitsch, Aloysius T. Managing Director

Long, Jr., Robert A. Secretary Wulf, Clark J. Treasurer

# ATTACHMENT B

#### TO

# CITY OF CHICAGO

# ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

# FIRST CHICAGO LEASING CORPORATION (as an Applicant)

#### SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

#### **B. CERTIFICATION**

The Undersigned has knowledge of a "business relationship" during the 12 months prior to the date of execution of the foregoing Economic Disclosure Statement and Affidavit between Banc One Building Corporation, an indirect subsidiary of BANK ONE CORPORATION (the publicly owned entity that indirectly owns 100% of the Undersigned), and the law firm of Klafter and Burke. Klafter and Burke has provided real estate tax protest legal services on behalf of Banc One Building Corporation. Alderman Edward M. Burke is a partner of the firm of Klafter and Burke.

#### SECTION FOUR: CERTIFICATIONS

#### I. CERTIFICATE OF COMPLIANCE

D. The Undersigned cannot (and does not) make the certification required in Section Four, paragraph I.D. because the Undersigned does not have and will not have any control over the hiring of contractors/subcontractors hired or to be hired in connection with the Matter.

#### III. FURTHER CERTIFICATIONS.

E. The Undersigned certifies the accuracy of the statements contained in Section Four, paragraph III.A. only as to itself. The Undersigned certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to the principals (officers, directors, managers and executive directors) of the Undersigned. With respect to Section Four, paragraph III.A.5., the Undersigned may have been found liable in civil proceeding(s) within the five years preceding the date of this EDS instituted by the City or by the federal government, any state, or any other unit of local government, including City code violations. The Undersigned certifies that none of these judgments, individually or in the aggregate, would have a material adverse effect on its or the Applicant's financial condition or the ability of the Applicant to perform under its contract with the City.

The Undersigned certifies the accuracy of the statements contained in Section Four, paragraphs III.B. and III.D. only as to itself. The Undersigned also certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to any Affiliated Entity or any responsible official of the Undersigned or any Affiliated Entity or any other official, agent or employee of the Undersigned or any Affiliated Entity acting in such capacity pursuant to the direction or authorization of a responsible official of the Undersigned or any Affiliated Entity.

The Undersigned does not make any certification whatsoever with respect to any Applicable Party other than the Undersigned. The Undersigned also certifies that it has not engaged any sub-contractor with respect to the Matter.

#### V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

- 1. To the best of the Undersigned's knowledge, no official or employee of the City of Chicago has a financial interest in his or her own name or in the name of any other person in the Matter.
- 4. The Undersigned cannot (and does not) make the certification required in Section Four, paragraph V.4. because the Undersigned does not and will not have control over all means of acquiring a financial interest in the Matter.

#### SECTION FIVE: CERTIFICATIONS FOR FEDERAL-FUNDED MATTERS

#### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

- A. The Undersigned has not itself developed and does not have on file affirmative action programs pursuant to federal regulations, but it participates in affirmative action programs developed and filed by BANK ONE CORPORATION (the publicly owned entity that indirectly owns 100% of the Undersigned) under 41 CFR 60-2.
- B. To the best of the Undersigned's knowledge, the Undersigned has not previously participated in contracts or subcontracts subject to the equal opportunity clause, but its affiliates have done so.
- C. The Undersigned does not file with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission, but BANK ONE CORPORATION (the publicly owned entity that indirectly owns 100% of the Undersigned) has filed all reports to such agencies due under the applicable filing requirements.



# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS**: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

1

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

<u>PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.</u>

GENE	RAL INFORMATION				
Date t	his EDS is completed:June \( \bigcap_1 \), 2004				
A. "Unde	A. Who is submitting this EDS? That individual or entity will be the  'Undersigned" throughout this EDS. Banc One Capital Corporation				
Undei EDS requir	The Undersigned is the individual or entity submitting this EDS, whether the rsigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS are observe whether the section you are completing applies only to Applicants.				
[]	Check here if the Undersigned is filing this EDS as an Applicant.				
[X]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.				
	Also, please identify the Applicant in which this entity holds an interest:  First Chicago Leasing Corporation				
B.	Business address of the Undersigned: 1 Bank One Plaza Chicago, IL 60670				
C.	Telephone: 312-336-2842 Fax: 312-732-3634 Email: mark mccann@bankone.com				
D.	Name of contact person: Mark J. McCann				
E:	Tax identification number (optional):				

r.	the "Matter") to which this EDS pertains. (Include project number and location applicable):  Development by Liberty Square Limited Partnership and its general partners,  H.I.C.A. Inc. and Bonheur Development, of 66 new units of housing on various lots in the Lawndale neighborhood		
	in the Lawndaic neighborhood		
G.	Is the Matter a procurement? [ ] Yes [X] No		
H.	If a procurement, Specification # and Contract #		
I.	If not a procurement:		
	City Agency requesting EDS: <u>Department of Housing</u>		
	City action requested (e.g. loan, grant, sale of property):     Sale of Illinois affordable housing tax credits		
	3. If property involved, list property location:  a number of lots in the Lawndale neighboorhood		
SEC1	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS		
A.	NATURE OF ENTITY		
1.	Indicate whether the Undersigned is an individual or legal entity:  [ ] Individual		
	[ ] Limited partnership		
2.	State of incorporation of organization, if applicable:  Delaware		
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity?  [X] Yes  [ ] No  [ ] N/A		

В.	ORGANIZATION INFORM	ATION	
corpo	oration. For not-for-profit c	nd titles of all executive or orporations, also list below	officers and all directors of the w any executive director of the al entities. If there are no such
Name	е	Title	е
	See Attachment A		
regist pleas	tered on a national securities be provide the following information cess of 7.5% of the corporation	exchange pursuant to the smatter concerning sharehold	s a corporation whose shares <b>are</b> Securities Exchange Act of 1934 ders who own shares equal to o  Percentage Interes
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, s. samage interes
	NA		
<b>are</b> re 1934,	egistered on a national secu	rities exchange pursuant to information concerning sha	ed is a corporation whose shares the Securities Exchange Act of areholders who own shares equa
Name	e Bu	siness Address	Percentage Interes
	NA		

c. For corporations that <b>are not</b> registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, list below the name, business address and percentage of ownership interest of each shareholder.				
Name	Business Address	Percentage Interest		
Banc One Financial Corpor	ation 1 Bank One Plaza Chicago, Illinois 60	100%		
For general or limited par and percentage of owner		list below the name, business address ner. For limited partnerships, indicate		
Name	Business Address	Percentage Interest		
a. List below the nam		TY COMPANY: ercentage of ownership interest of each s, write "no managers," and indicate how		
Name	Business Address	Percentage Interest		

b. List below officers."	v the names and titles of all officers, if a	nny. If there are no officers, write "no
Name	Title	
		<del></del>
OTHER SIMILAR		
	w the name and business address of e property that is the subject of the trust.	ach individual or legal entity holding
Name	Business Address	
	· · · · · · · · · · · · · · · · · · ·	
-		
	w the name, business address and perc hose behalf title is held.	entage of beneficial interest of each
Name	Business Address	Percentage Interest
provide the name	JNDERSIGNED IS ANY OTHER LEGAL I be, business address, and the percentage in ownership or other beneficial interest in	e of interest of all individuals or legal
Describe the enti	itv:	

Name	Business Address	Percentage Interes
SEC1	ION TWO: BUSINESS RELATIONSHIPS WITH CITY ELE	ECTED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "busined official in the 12 months before the date this EDS is signed.	
official "finan in the (i) any share the v excha comp benef institu insura emplo	Pursuant to Chapter 2-156 of the Municipal Code of Chicaness relationship" means any "contractual or other prival, or his or her spouse, or of any entity in which an official cial interest," with a person or entity which entitles an official amount of \$2,500 or more in a calendar year; but a "financial ownership through purchase at fair market value or inherity of a corporation, or any corporate subsidiary, parent or a calue of or dividends on such shares, if such shares are inge pursuant to the Securities Exchange Act of 1934, as a censation paid to an official or employee for his office or emit provided equally to all residents of the City; (iv) a time or distinct or (v) an endowment or insurance policy or annuity of the company. A "contractual or other private business desyment relationship of an official's spouse with an entity of the concerning or input relating to the relationship between the	or his or her spouse has a to compensation or paymental interest" does not include ance of less than 1% of the ffiliate thereof, regardless of registered on a securities amended, (ii) the authorized ployment; (iii) any economic lemand deposit in a financial contract purchased from an aling" does not include any when such spouse has no
B.	CERTIFICATION	
1. 12 mo	Has the Undersigned had a "business relationship" with an onths before the date this EDS is signed?  [X] Yes  [ ] No  See Attachment B	ny City elected official in the
such	If yes, please identify below the name(s) of such City ele relationship(s):  See Attachment B	ected official(s) and describe

#### SECTION THREE: DISCLOSURE OF RETAINED PARTIES

#### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

#### B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)	

[X] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

in paying a fine, fee, tax or other charge owed to the City

except for the possible delinquencies referred to below

#### SECTION FOUR: CERTIFICATIONS

### I. CERTIFICATION OF COMPLIANCE

To the best of the Undersigned's knowledge after due inquiry, neither the Undersigned nor

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

Possible delinquencies related to (i) property mortgaged to the Undersigned or its, affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

has

B. Athex Undersigned and xits xaffiliates x have unot, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:

To the best of the Undersigned's knowledge after due inquiry, the Undersigned's affiliates have not, in the past five years, been found after a judicial or administrative hearing to be in violation of any City of Chicago, state or federal environmental law or regulation, except for possible violations related to (i) property mortgaged to the Undersigned or its affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

To the best of the Undersigned's knowledge after due inquiry, the

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph (C) and (D) above, provide an explanation:								
	<u> </u>							
		-						

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. <u>CHILD SUPPORT OBLIGATIONS – CERTIFICATION REGARDING COURT-</u> ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

#### Check one:

- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- X 4. There are no Substantial Owners.

### **III. FURTHER CERTIFICATIONS**

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - 1. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- 4. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - the Undersigned;
  - any party participating in the performance of the Matter (an "Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1)the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

 	See A	<u>Attach</u>	ment B					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### **CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION** <u>IV.</u>

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank

holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is  X is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2 -32 of the Municipal Code, explain here (attach additional pages if necessary):
·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [ ] Yes  [X] No  See Attachment B
or (3) If you the in	: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part V1. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [ ] Yes [ ] No
3. City of Name	If you answered "yes" to Item V(1), provide the names and business addresses of the ficials or employees having such interest and identify the nature of such interest:  Business Address  Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

records:

Ver. 6/23/03

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records
of the Undersigned and any and all predecessor entities for records of investments or profits
from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned
has found no records of investments or profits from slavery, the slave industry, or slaveholder
insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a)
above, the Undersigned has found records relating to investments or profits from slavery, the
slave industry, or slaveholder insurance policies and/or the names of any slaves or

slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such

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### SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

### I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of all individuals registered u	under the federal Lobbying Disclosure
Act of	f 1995 who have made lobbying contacts on behalf of	of the Undersigned with respect to the
Matter:	r: [Begin list here, add sheets as necessary]:	

None	•
•	

[If no explanation appears or begins on the lines above, or if the letters "NA " or if the word 'None " appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL,

"Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/sflllin.pdf</a>, linked on the page

http://www.whitehouse.gov/omb/grants/grantsforms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

# NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

		==:::::::::::::::::::::::::::::::::::::				
SECT	ION SIX:	NOTICE	AND	ACKNOWLEDGMENT	REGARDING	CITY
	[ ] Yes	[ ] No		[ ] N/A		
C.	Contract Co	ompliance Prog	grams, or	ting Committee, the Director the Equal Employment Oping requirements?		
В.		articipated in a rtunity clause? [] No		ous contracts or subcontrac	ts subject to the	
<b>4.</b>				have on file affirmative ac e 41 CFR Part 60-2.) [] N/A	tion programs purs	suant to

GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="https://www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312)744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- [X] BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

BANC ONE CAPITAL CORPORATION (Print or type name of individual or legal entity submitting this EDS)	Date:	June 17, 2004
By:  Alexander  (sign here)	-	
Print of type name of signatory:		
Francisco J. Pereiro	•	
Title of signatory:		
Managing Director		
Subscribed to before me on [date] Sund / Junais [state].  Commission expires:	7+4, at <u>Cook</u> Notary Public.	County,

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OFFICIAL SEAL
JERIANNE S LAUDEN
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRED: 07/14/05

## ATTACHMENT A

### TO

### CITY OF CHICAGO

## ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

### BANC ONE CAPITAL CORPORATION

(as an Entity Holding an Interest in an Applicant)

### **DIRECTORS**

Cashin, Jr., Richard M. Scharf, Charles W.

### **EXECUTIVE OFFICERS**

Scharf, Charles W. Chairman of the Board

Cashin, Jr., Richard M. President

Hillenbrand, Eric M. Senior Vice President Lauder, Norma J. Senior Vice President

**Assistant Treasurer** 

Senior Vice President Teska, Constance T. Buchanan, Mit C. **Managing Director** Dugan, Timothy A. Managing Director Eber, John M. Managing Director Eligator, James N. Managing Director Gargula, Paul A. Managing Director Holway, Jeffrey V. Managing Director Kusack, Jr., William P. Managing Director

Lorenz, Mary Kay
Managing Director
McCarthy, Patrick J.
Moore, Maurice E.
Managing Director
Managing Director
Managing Director
Managing Director

Nash, Patrick J. Managing Director Pereiro, Francisco J. Managing Director Stevens, Christine Managing Director

Stonitsch, Aloysius T. Managing Director

### ATTACHMENT B

#### TO

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

### BANC ONE CAPITAL CORPORATION

(as an Entity Holding an Interest in an Applicant)

### SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

### **B. CERTIFICATION**

The Undersigned has knowledge of a "business relationship" during the 12 months prior to the date of execution of the foregoing Economic Disclosure Statement and Affidavit between Banc One Building Corporation, an indirect subsidiary of BANK ONE CORPORATION (the publicly owned entity that indirectly owns 100% of the Undersigned), and the law firm of Klafter and Burke. Klafter and Burke has provided real estate tax protest legal services on behalf of Banc One Building Corporation. Alderman Edward M. Burke is a partner of the firm of Klafter and Burke.

### **SECTION FOUR: CERTIFICATIONS**

### III. FURTHER CERTIFICATIONS.

E. The Undersigned certifies the accuracy of the statements contained in Section Four, paragraph III.A. only as to itself. The Undersigned certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to the principals (officers, directors, managers and executive directors) of the Undersigned. With respect to Section Four, paragraph III.A.5., the Undersigned may have been found liable in civil proceeding(s) within the five years preceding the date of this EDS instituted by the City or by the federal government, any state, or any other unit of local government, including City code violations. The Undersigned certifies that none of these judgments, individually or in the aggregate, would have a material adverse effect on its or the Applicant's financial condition or the ability of the Applicant to perform under its contract with the City.

The Undersigned certifies the accuracy of the statements contained in Section Four, paragraphs III.B. and III.D. only as to itself. The Undersigned also certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to any Affiliated Entity or any responsible official of the Undersigned or any Affiliated Entity or any other official, agent or employee of the Undersigned or any Affiliated Entity acting in such capacity pursuant to the direction or authorization of a responsible official of the Undersigned or any Affiliated Entity.

The Undersigned does not make any certification whatsoever with respect to any Applicable Party other than the Undersigned. The Undersigned also certifies that it has not engaged any sub-contractor with respect to the Matter.

### V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

- 1. To the best of the Undersigned's knowledge, no official or employee of the City of Chicago has a financial interest in his or her own name or in the name of any other person in the Matter.
- 4. The Undersigned cannot (and does not) make the certification required in Section Four, paragraph V.4. because the Undersigned does not and will not have control over all means of acquiring a financial interest in the Matter.

Ver. 6/23/03 25



FOR CITY USE	
AFFIDAVIT NO.	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. **However**, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS**: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

<u>PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.</u>

GENE	RAL INFORMATION			
Date t	his EDS is completed: June 1/1, 2004			
	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS.  Banc One Financial Corporation			
Under EDS requir	The Undersigned is the individual or entity submitting this EDS, whether the resigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS e observe whether the section you are completing applies only to Applicants.			
[]	Check here if the Undersigned is filing this EDS as an Applicant.			
[X]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.			
	Also, please identify the Applicant in which this entity holds an interest:  First Chicago Leasing Corporation			
B.	Business address of the Undersigned: 1 Bank One Plaza Chicago, IL 60670			
C.	Telephone: 312-336-2842 Fax: 312-732-3634 Email: mark mccann@bankone.com			
D.	Name of contact person: Mark J. McCann			
E:	Tax identification number (optional):			

F.	the "Matter") to which this EDS pertains. (Include project number and location if applicable):  Development by Liberty Square Limited Partnership and its general partners,						
	H.I.C.A. Inc. and Bonheur Development, of 66 new units of housing on various lots in the Lawndale neighborhood						
G.	Is the Matter a procurement? [ ] Yes	s [X] No					
H.	If a procurement, Specification # Contract #	and 					
I.	If not a procurement:						
	1. City Agency requesting E	DS: Department of Housing					
		g. loan, grant, sale of property):  able housing tax credits					
	3. If property involved, list	roperty location: e Lawndale neighboorhood					
SECT	ION ONE: DISCLOSURE OF OWNE	RSHIP INTERESTS					
A.	NATURE OF ENTITY						
1.	Indicate whether the Undersigned is [ ] Individual [X] Business corporation [ ] Sole proprietorship	<ul> <li>[ ] Limited Liability Company</li> <li>[ ] Joint venture</li> <li>[ ] Not-for-profit corporation</li> <li>(Is the not-for-profit corporation also a 501 (c)(3))?</li> </ul>					
	[ ] General partnership [ ] Limited partnership	[ ] Yes [ ] No [ ] Other entity (please specify)					
2.	State of incorporation of organizatio  Delaware	n, if applicable:					
3.	For legal entities not organized in the do business in the State of Illinois as [X] Yes [] No [] N/A	•					

### B. ORGANIZATION INFORMATION

IF THE UNDERSIGNED IS A CORPORATION:

1.

corporation. For	the names and titles of all executive of not-for-profit corporations, also list below indicate all members, if any, who are lega to members."	v any executive director of the
Name	Title	e
See	Attachment A	
registered on a na please provide the	er <b>is</b> a procurement and the Undersigned is ational securities exchange pursuant to the second information concerning sharehold of the corporation's outstanding shares.	Securities Exchange Act of 1934
Name	Business Address	Percentage Interes
	NA	
are registered on 1934, please prov	er <b>is not</b> a procurement, and the Undersigne a national securities exchange pursuant to ride the following information concerning sha 10% of the corporation's outstanding shares.	the Securities Exchange Act or reholders who own shares equa
Name	Business Address	Percentage Interes
	NA	

	For corporations that <b>are not</b> registered on a national securities Exchange Act of 1934, list below the name, busing the rest of each shareholder.	
Name	Business Address	Percentage Interest
BANK	K ONE CORPORATION 1 Bank One Plaza Chicago, Illinois 60670	100%
	Cilicago, minois 00070	
and p	IF THE UNDERSIGNED IS A PARTNERSHIP OR JOINT \ general or limited partnerships or joint ventures: list below percentage of ownership interest of each partner. For her each partner is a general partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
` '	IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPA List below the name, business address and percentage of the sember and (ii) manager. If there are no managers, write "no ompany is managed.  Business Address	of ownership interest of each

b. List to	elow the names and titles of all officers, if any. If there are no officers, write "no
Name	Title
	· · · · · · · · · · · · · · · · · · ·
OTHER SIM	HE UNDERSIGNED IS A LAND TRUST, BUSINESS TRUST, ESTATE OR LAR ENTITY: elow the name and business address of each individual or legal entity holding he property that is the subject of the trust.
Name	Business Address
	elow the name, business address and percentage of beneficial interest of each n whose behalf title is held.
Name	Business Address Percentage Interest
provide the	E UNDERSIGNED IS ANY OTHER LEGAL ENTITY, first describe the entity, then name, business address, and the percentage of interest of all individuals or legal g an ownership or other beneficial interest in the entity.
Describe the	entity:

Name	Business Address	Percentage Interest
SECT	ION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTE	ED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "business r d official in the 12 months before the date this EDS is signed.	elationship" with a City
officia "financin the (i) any shares the value excha competionstitut insura emplo	Pursuant to Chapter 2-156 of the Municipal Code of Chicago (these relationship" means any "contractual or other private be I, or his or her spouse, or of any entity in which an official or hocial interest," with a person or entity which entitles an official to common of \$2,500 or more in a calendar year; but a "financial into yownership through purchase at fair market value or inheritance of a corporation, or any corporate subsidiary, parent or affiliate alue of or dividends on such shares, if such shares are regarge pursuant to the Securities Exchange Act of 1934, as american paid to an official or employee for his office or employed it provided equally to all residents of the City; (iv) a time or demantation; or (v) an endowment or insurance policy or annuity contraction; or (v) an endowment or other private business dealing by ment relationship of an official's spouse with an entity when the tion concerning or input relating to the relationship between that entity of the relationship between that entity of the relationship between that entity when the relationship of the relationship between that entity of the relationship between that entity of the relationship between that entity the relationship between the relatio	ousiness dealing" of an his or her spouse has a compensation or payment terest" does not include: a of less than 1% of the re thereof, regardless of pistered on a securities inded, (ii) the authorized ment; (iii) any economic and deposit in a financial ract purchased from an 1" does not include any in such spouse has no
B.	CERTIFICATION	
1. 12 mc	Has the Undersigned had a "business relationship" with any Conths before the date this EDS is signed?  [X] Yes  [] No  See Attachment B	ity elected official in the
such i	If yes, please identify below the name(s) of such City elected relationship(s):	official(s) and describe

See Attachment B

### SECTION THREE: DISCLOSURE OF RETAINED PARTIES

### A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

### B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

[X] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

in paying a fine, fee, tax or other charge owed to the City

except for the possible delinquencies referred to below

### SECTION FOUR: CERTIFICATIONS

### I. CERTIFICATION OF COMPLIANCE

To the best of the Undersigned's knowledge after due inquiry, neither the Undersigned nor

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

Possible delinquencies related to (i) property mortgaged to the Undersigned or its, affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. A XXX Undersigned and xits xaffiliates x here not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:

To the best of the Undersigned's knowledge after due inquiry, the Undersigned's affiliates have not, in the past five years, been found after a judicial or administrative hearing to be in violation of any City of Chicago, state or federal environmental law or regulation, except for possible violations related to (i) property mortgaged to the Undersigned or its affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

To the best of the Undersigned's knowledge after due inquiry, the

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to (C) and (D) above, provide an	certifications	required	in Section	Four,	paragraph	l
						_
		-				_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

### Check one:

- 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- X 4. There are no Substantial Owners.

### III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - 1. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- 5. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - · the Undersigned;
  - any party participating in the performance of the Matter (an "Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (**Living Wage Ordinance**).
- C. The Undersigned understands and shall comply with (1)the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

See A	Attachment B	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

Ver. 6/23/03 13

### IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. OLIVIII IOATION
The Undersigned certifies that the Undersigned [check one]
is
X is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

CEPTIFICATION

### B. If the Undersigned IS a financial institution, then the Undersigned pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

the Undersigned is unable to make this pledge because it or any of its affiliates (as
efined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the
neaning of Chapter 2 -32 of the Municipal Code, explain here (attach additional pages
necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

meanii	ngs when used in this Part V.		
1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or name or in the name of any other person in the Matter?  [ ] Yes  [X] No  See Attachment B	her own	
or (3) If you the in	: If you answered "No" to Item V(1), you are not required to answer Ite below. Instead, review the certification in Item V(4) and then proceed to answered "Yes" to Item V(1), you must first respond to Item V(2) and formation requested in Item V(3). After responding to those items, revication in Item V(4) and proceed to Part VI.	Part V1. provide	
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.		
	Does the Matter involve a City Property Sale? [ ] Yes [ ] No		
3. City of Name	If you answered <b>"yes"</b> to Item V(1), provide the names and business address ficials or employees having such interest and identify the nature of such interest:  Business Address  Nature of Interest		

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records
of the Undersigned and any and all predecessor entities for records of investments or profits
from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned
has found no records of investments or profits from slavery, the slave industry, or slaveholder
insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a)
above, the Undersigned has found records relating to investments or profits from slavery, the
slave industry, or slaveholder insurance policies and/or the names of any slaves or
slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such
records:
<u> </u>

#### **SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS**

#### I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of all individuals registered under the federal Lobbying Disclosure
Act of	1995 who have made lobbying contacts on behalf of the Undersigned with respect to the
Matter:	[Begin list here, add sheets as necessary]:

None		
,		

[If no explanation appears or begins on the lines above, or if the letters "NA " or if the word 'None " appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.1

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement. or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL.

"Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page

http://www.whitehouse.gov/omb/grants/grantsforms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

## NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

001/		ETUO AND		ION FINIANCE CORNINANO				
SECTION SIX:		NOTICE	AND	ACKNOWLEDGMENT	REGARDING	CITY		
	[]Yes	[ ] No		[ ] N/A				
C.	Contract C	ompliance Pro under the app	grams, or	ting Committee, the Director the Equal Employment Oping requirements?				
<b>.</b>	• •	rtunity clause?	• •	[ ] N/A				
В.	Have you participated in any previous contracts or subcontracts subject to the							
	applicable t	federal regulat [ ] No	ions? (Se	e 41 CFR Part 60-2.) []N/A				
A.	applicable federal regulations? (See 41 CFR Part 60-2.)							

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY
GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at <a href="www.cityofchicago.org/Ethics/">www.cityofchicago.org/Ethics/</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312)744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- [X] BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# <u>SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE</u>

### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

BANC ONE FINANCIAL CORPORATION		Date:	June /7, 2004
(Print or type name of individual or legal entity submitting this EDS)			
By: Abenaa M. Redud (sign here)			
Print of type name of signatory:			
Abenaa M. Redus			
Title of signatory:	1		
Secretary			
Subscribed to before me on [date] John John John John John John John John	月光 , at <u>Coo</u>	<u>K</u>	County,

### ATTACHMENT A

### TO

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

### BANC ONE FINANCIAL CORPORATION

(as an Entity Holding an Interest in an Applicant)

### **DIRECTORS**

Miller, Heidi G White, Randy A.

### **EXECUTIVE OFFICERS**

Miller, Heidi G

Chairman of the Board

President

Schabes, David H.

Senior Vice President

Redus, Abenaaa M.

Secretary

White, Randy A.

Treasurer

### ATTACHMENT B

### TO

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

## BANC ONE FINANCIAL CORPORATION

(as an Entity Holding an Interest in an Applicant)

### SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

### **B. CERTIFICATION**

The Undersigned has knowledge of a "business relationship" during the 12 months prior to the date of execution of the foregoing Economic Disclosure Statement and Affidavit between Banc One Building Corporation, an indirect subsidiary of BANK ONE CORPORATION (the publicly owned entity that owns 100% of the Undersigned), and the law firm of Klafter and Burke. Klafter and Burke has provided real estate tax protest legal services on behalf of Banc One Building Corporation. Alderman Edward M. Burke is a partner of the firm of Klafter and Burke.

### SECTION FOUR: CERTIFICATIONS

### III. FURTHER CERTIFICATIONS.

E. The Undersigned certifies the accuracy of the statements contained in Section Four, paragraph III.A. only as to itself. The Undersigned certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to the principals (officers, directors, managers and executive directors) of the Undersigned. With respect to Section Four, paragraph III.A.5., the Undersigned may have been found liable in civil proceeding(s) within the five years preceding the date of this EDS instituted by the City or by the federal government, any state, or any other unit of local government, including City code violations. The Undersigned certifies that none of these judgments, individually or in the aggregate, would have a material adverse effect on its or the Applicant's financial condition or the ability of the Applicant to perform under its contract with the City.

The Undersigned certifies the accuracy of the statements contained in Section Four, paragraphs III.B. and III.D. only as to itself. The Undersigned also certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to any Affiliated Entity or any responsible official of the Undersigned or any Affiliated Entity or any other official, agent or employee of the Undersigned or any Affiliated Entity acting in such capacity pursuant to the direction or authorization of a responsible official of the Undersigned or any Affiliated Entity.

The Undersigned does not make any certification whatsoever with respect to any Applicable Party other than the Undersigned. The Undersigned also certifies that it has not engaged any sub-contractor with respect to the Matter.

### V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

- 1. To the best of the Undersigned's knowledge, no official or employee of the City of Chicago has a financial interest in his or her own name or in the name of any other person in the Matter.
- 4. The Undersigned cannot (and does not) make the certification required in Section Four, paragraph V.4. because the Undersigned does not and will not have control over all means of acquiring a financial interest in the Matter.

Ver. 6/23/03 25



FOR CITY USE
AFFIDAVIT NO

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. <u>Entities holding an interest in the Applicant</u>: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

**ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS:** By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

<u>PUBLIC DISCLOSURE</u>: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

<u>GENE</u>	RAL INFORMATION	
Date th	his EDS is completed:	June 17, 2004
A. <b>"Unde</b>	Who is submitting this EDS? That is ersigned" throughout this EDS.	•
Under EDS requir	rsigned is an Applicant or is an en requires certain disclosures and red from entities holding an interes	tal or entity submitting this EDS, whether the tity holding an interest in the Applicant. This certifications from Applicants that are not tin the Applicant. When completing this EDS, re completing applies only to Applicants.
[]	Check here if the Undersigned is filing	g this EDS as an Applicant.
[X]	Check here if the Undersigned is filing Applicant.	g as an entity holding an interest in an
	Also, please identify the Applicant in First Chicago Leasing Corpora	
B.	Business address of the Undersigned	: 1 Bank One Plaza Chicago, IL 60670
C.	Telephone: <u>312-336-2842</u> Fax: <u>1</u>	312-732-3634 Email: mark mccann@bankone.com
D.	Name of contact person:	Mark J. McCann
E:	Tax identification number (optional):	

Γ.	the "Matter") to which this EDS pertains. (Include project number and location if applicable):  Development by Liberty Square Limited Partnership and its general partners,  H.I.C.A. Inc. and Bonheur Development, of 66 new units of housing on various lots						
	in the Lawndale neighborhood	nent, of 66 new units of housing on various lots					
G.	Is the Matter a procurement? [ ] Yes	[X] No					
H.	If a procurement, Specification #						
1.	If not a procurement:						
	1. City Agency requesting EDS:	Department of Housing					
	City action requested (e.g. loan     Sale of Illinois affordable ho						
	3. If property involved, list property a number of lots in the Law	y location:					
SECT	TION ONE: DISCLOSURE OF OWNERSHI	P INTERESTS					
A.	NATURE OF ENTITY						
1.	[X] Business corporation [ ] J [ ] Sole proprietorship [ ] N (Is the	imited Liability Company oint venture lot-for-profit corporation e not-for-profit corporation also a 501 (c)(3))?					
	• •	es [ ] No Other entity (please specify)					
2.	State of incorporation of organization, if ap  Delaware	oplicable:					
3.	For legal entities not organized in the Stat do business in the State of Illinois as a for [X] Yes [ ] No [ ] N/A	e of Illinois: Is the organization authorized to eign entity?					

### **B. ORGANIZATION INFORMATION**

- 1. IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."

Title	9
Attachment A	
ional securities exchange pursuant to the standard following information concerning sharehold	Securities Exchange Act of 1934,
Business Address	Percentage Interest
NA	
a national securities exchange pursuant to le the following information concerning sha	the Securities Exchange Act of archolders who own shares equal
Business Address	Percentage Interest
None	
	NA  is not a procurement, and the Undersigner national securities exchange pursuant to the the following information concerning shadow of the corporation's outstanding shares.  Business Address

	ns that <b>are not</b> registered on a national angle Act of 1934, list below the name, but the shareholder.	
Name	Business Address	Percentage Interest
<del>- :</del>		
For general or limited and percentage of o	RSIGNED IS A PARTNERSHIP OR JOIN partnerships or joint ventures: list belownership interest of each partner. For is a general partner or a limited partner.	ow the name, business address
Name	Business Address	Percentage Interest
-		
a. List below the	RSIGNED IS A LIMITED LIABILITY COM name, business address and percentagenager. If there are no managers, write 'ged.	ge of ownership interest of each
Name	Business Address	Percentage Interest

b. List below officers."	w the names and titles of all officers, if a	nny. If there are no officers, write "no
Name	Title	
OTHER SIMILAL a. List belo	UNDERSIGNED IS A LAND TRUST, RENTITY: w the name and business address of e property that is the subject of the trust.	
Name	Business Address	
	w the name, business address and percentage whose behalf title is held.	centage of beneficial interest of each
Name	Business Address	Percentage Interest
		· · · · · · · · · · · · · · · · · · ·
provide the nam	JNDERSIGNED IS ANY OTHER LEGAL in the percentage in ownership or other beneficial interest in	e of interest of all individuals or legal
Describe the en	tity:	
-		

Name	Business Address	Percentage Interest
SECT	TION TWO: BUSINESS RELATIONSHIPS WITH CITY ELEC	CTED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "businesed official in the 12 months before the date this EDS is signed.	ss relationship" with a City
official "finan in the (i) any share the vi excha complete institut insura emple	Pursuant to Chapter 2-156 of the Municipal Code of Chicagoness relationship" means any "contractual or other privated, or his or her spouse, or of any entity in which an official to acial interest," with a person or entity which entitles an official to amount of \$2,500 or more in a calendar year; but a "financial yownership through purchase at fair market value or inheritates of a corporation, or any corporate subsidiary, parent or afficulte of or dividends on such shares, if such shares are ange pursuant to the Securities Exchange Act of 1934, as a tensation paid to an official or employee for his office or emplifit provided equally to all residents of the City; (iv) a time or detain, or (v) an endowment or insurance policy or annuity cance company. A "contractual or other private business deadyment relationship of an official's spouse with an entity vertion concerning or input relating to the relationship between the	e business dealing" of an or his or her spouse has a compensation or payment il interest" does not include: ince of less than 1% of the filiate thereof, regardless of registered on a securities mended, (ii) the authorized ployment; (iii) any economic emand deposit in a financial ontract purchased from an aling" does not include any when such spouse has no
В.	CERTIFICATION	
1. 12 ma	Has the Undersigned had a "business relationship" with an onths before the date this EDS is signed?  [X] Yes  [ ] No  See Attachment B	y City elected official in the
such	If yes, please identify below the name(s) of such City electrolationship(s):  See Attachment B	cted official(s) and describe

### SECTION THREE: DISCLOSURE OF RETAINED PARTIES

### A. DEFINITION'S AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

### B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

[X] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

in paying a fine, fee, tax or other charge owed to the City

except for the possible delinquencies referred to below

### **SECTION FOUR: CERTIFICATIONS**

### I. CERTIFICATION OF COMPLIANCE

To the best of the Undersigned's knowledge after due inquiry, neither the Undersigned nor

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue ADX ACCENTION THIS includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:

Possible delinquencies related to (i) property mortgaged to the Undersigned or its, affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

[has]

B. \(\times\) \(\times

To the best of the Undersigned's knowledge after due inquiry, the Undersigned's affiliates have not, in the past five years, been found after a judicial or administrative hearing to be in violation of any City of Chicago, state or federal environmental law or regulation, except for possible violations related to (i) property mortgaged to the Undersigned or its affiliates, (ii) property owned by the Undersigned or its affiliates and leased to others, (iii) foreclosed property now owned by the Undersigned or its affiliates, and (iv) property owned or held by the Undersigned or its affiliates as a fiduciary or nominee

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

To the best of the Undersigned's knowledge after due inquiry, the

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is (C) and (D) above, pro		required in	Section F	our, paragi	raph I
		_			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS – CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

### Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
  - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- X 4. There are no Substantial Owners.

### III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - the Undersigned;
  - any party participating in the performance of the Matter (an "Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1)the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

	See Atta	achmer	ıt B	_				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not  is not is is is					
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.					
B. If the Undersigned IS a financial institution, then the Undersigned pledges:					
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."					
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2 -32 of the Municipal Code, explain here (attach additional pages if necessary):					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1.	In accordance with Section 2-156-110 of the Municipal Code:  Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?  [ ] Yes  [X] No  See Attachment B
or (3) If you the in	: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part V1. answered "Yes" to Item V(1), you must first respond to Item V(2) and provide formation requested in Item V(3). After responding to those items, review the cation in Item V(4) and proceed to Part VI.
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
	Does the Matter involve a City Property Sale? [ ] Yes [ ] No
3. City of Name	If you answered <b>"yes"</b> to Item V(1), provide the names and business addresses of the ficials or employees having such interest and identify the nature of such interest:  Business Address  Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

- \_\_\_\_1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
- X 2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

See	Attachmer	nt C	_			

### SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

### I. CERTIFICATION REGARDING LOBBYING

A.	List below the	names of all	individuals r	registered	under the	e federal	Lobbying	Disclosure
Act of	1995 who have	e made lobbyir	ng contacts	on behalf	of the Un	idersigne	d with resp	pect to the
Matter:	: [Begin list her	e, add sheets	as necessa	ry]:				

		None			
 	•	•		 	 

[If no explanation appears or begins on the lines above, or if the letters "NA " or if the word 'None " appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL,

"Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <a href="http://www.whitehouse.gov/omb/grants/sflllin.pdf">http://www.whitehouse.gov/omb/grants/sflllin.pdf</a>, linked on the page <a href="http://www.whitehouse.gov/omb/grants/grantsforms.html">http://www.whitehouse.gov/omb/grants/grantsforms.html</a>.

Ver. 6/23/03 17

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

### NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

### CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY III.

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the **Undersigned is the Applicant.**)

SECT	ION SIX:	NOTICE	AND	<u>ACKNOWLEDGMENT</u>	REGARDING	CITY
	[ ] Yes	[ ] No		[ ] N/A		
C.	Contract Co	mpliance Prog	grams, or	ing Committee, the Director the Equal Employment Oping requirements?		
Б.		tunity clause?	• .	[ ] N/A	is subject to the	
В.	Have you be	articipated in a	ny provio	us contracts or subcontrac	to cubicat to the	
	applicable fe	•	•	≥ 41 CFR Part 60-2.) [] N/A	alon programs pare	
Α.	Have you d	eveloped and	do vou r	nave on tile attirmative ac	tion programs burs	uant to

# **GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES**

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312)744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- [X] BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

# SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

BANK ONE CORPORATION (Print or type name of individual or legal entity submitting this EDS)	Date: <u>June / 7 2004</u>
By: Authity (sign here)	
Print of type name of signatory:	
Randy A. White	•
Title of signatory:	
Treasurer	
Subscribed to before me on [date] June 17  [state].  Arrange A. Jauden  Commission expires:	<i>300</i> √, at <i>Coo</i> ← County,  Notary Public.
OFFICIAL SEAL JERIANNE S LAUDEN NOTARY PUBLIC, STATE OF ILLINOIS	

22

MY COMMISSION EXPIRES:07/14/05

### ATTACHMENT A

### TO

### CITY OF CHICAGO

# ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

### BANK ONE CORPORATION

(as an Entity Holding an Interest in an Applicant)

### **DIRECTORS**

Bryan, John H.

Burke, Stephen B.

Crown, James S.

Dimon, James

Fay, O.P., Maureen A.

Hall, John R.

Jackson, Jr., Laban P.

Kessler, John W.

Lipp, Robert I.

Manoogian, Richard A.

Novak, David C.

Rogers, Jr., John W.

Stratton, Jr., Frederick P.

### **EXECUTIVE OFFICERS**

Dimon, James Chairman of the Board &

Chief Executive Officer

Adams, Austin A. Executive Vice President

Bammann, Linda Executive Vice President,

Chief Risk Management Officer

Boshart III, James S. Executive Vice President

Campbell, William I. Executive Vice President Cerrone, Stephen Executive Vice President

Donovan, David E. Executive Vice President

Guggenheimer, Joan Executive Vice President,

Chief Legal Officer & Secretary

Kundert, David J. Executive Vice President

Mandelbaum, Jay Executive Vice President

Miller, Heidi G. Executive Vice President,

Chief Financial Officer

Miller, Tyree B. Executive Vice President

Scharf, Charles W. Executive Vice President

Bessey, Brian A. Senior Vice President

Cavanaugh, Michael J. Senior Vice President

LeBrun, Charles J. Senior Vice President

Rigg, Jeffrey T. Chief Auditor

Moore, Melissa J. Controller,

Chief Accounting Officer

White, Randy A. Treasurer

### ATTACHMENT B

### TO

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY

### BANK ONE CORPORATION

(as an Entity Holding an Interest in an Applicant)

### SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

### **B. CERTIFICATION**

The Undersigned has knowledge of a "business relationship" during the 12 months prior to the date of execution of the foregoing Economic Disclosure Statement and Affidavit between Banc One Building Corporation, an indirect subsidiary of the Undersigned, and the law firm of Klafter and Burke. Klafter and Burke has provided real estate tax protest legal services on behalf of Banc One Building Corporation. Alderman Edward M. Burke is a partner of the firm of Klafter and Burke.

### SECTION FOUR: CERTIFICATIONS

### III. FURTHER CERTIFICATIONS.

E. The Undersigned certifies the accuracy of the statements contained in Section Four, paragraph III.A. only as to itself. The Undersigned certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to the principals (officers, directors, managers and executive directors) of the Undersigned. With respect to Section Four, paragraph III.A.5., the Undersigned may have been found liable in civil proceeding(s) within the five years preceding the date of this EDS instituted by the City or by the federal government, any state, or any other unit of local government, including City code violations. The Undersigned certifies that none of these judgments, individually or in the aggregate, would have a material adverse effect on its or the Applicant's financial condition or the ability of the Applicant to perform under its contract with the City.

The Undersigned certifies the accuracy of the statements contained in Section Four, paragraphs III.B. and III.D. only as to itself. The Undersigned also certifies that to the best of the Undersigned's knowledge after due inquiry such statements are accurate with respect to any Affiliated Entity or any responsible official of the Undersigned or any Affiliated Entity or any other official, agent or employee of the Undersigned or any Affiliated Entity acting in such capacity pursuant to the direction or authorization of a responsible official of the Undersigned or any Affiliated Entity.

The Undersigned does not make any certification whatsoever with respect to any Applicable Party other than the Undersigned. The Undersigned also certifies that it has not engaged any sub-contractor with respect to the Matter.

### V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

- 1. To the best of the Undersigned's knowledge, no official or employee of the City of Chicago has a financial interest in his or her own name or in the name of any other person in the Matter.
- 4. The Undersigned cannot (and does not) make the certification required in Section Four, paragraph V.4. because the Undersigned does not and will not have control over all means of acquiring a financial interest in the Matter.

ar of 6/22/04

# ATTACHMENT C TO CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT FILED BY BANK ONE CORPORATION (as an Entity Holding an Interest in an Applicant)

### SECTION FOUR: CERTIFICATIONS

### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS.

Section Four, VI. (page 16) of the foregoing EDS requires a Certification Regarding Slavery Era Business. With respect to this certification, the Undersigned has checked paragraph No. 2 which states as follows:

X 2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

Bank One has completed a search of its records in its Chicago locations and has not located any records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders. In addition, Bank One retained historical researchers to research additional records located in Louisiana, as further described below. Because Bank One believes those researchers may locate records related to slavery during that investigation, it has checked paragraph 2 on page 16 of the City of Chicago Economic Disclosure Statement and Affidavit

Bank One further states that it owns entities that may have predecessors which conducted business in states where slavery was practiced during the slavery era and that some of those entities may have conducted business in those areas prior to 1866. More specifically, Citizens Bank (formed 1833) and Canal and Banking Company (formed in 1831) did business in Louisiana during this era, and probably did business with persons or entities that employed slaves. Bank One believes that (i) Canal and Banking Company subsequently changed its name to Canal Commercial Trust & Savings Bank, (ii) Citizens Bank merged into Canal Commercial Trust & Savings Bank in 1924, (iii) Canal Commercial Trust & Savings Bank changed its name to Canal Bank & Trust Company in 1926, (iv) Canal Bank & Trust Company existed until 1933 when it went out of business, (v) shortly thereafter, The National Bank of Commerce in New Orleans opened in the same location as Canal Bank & Trust Company, and (vi) The National Bank of Commerce in New Orleans changed its name to First National Bank of Commerce in 1971.

As a result, Bank One believes that Canal and Banking Company and Citizens Bank may be predecessors of First National Bank of Commerce, but has not been able to locate documents to establish this fact. Bank One's researchers are checking United States banking records of Depression era banks to determine if any records can be located in those archives to verify the relationship between Canal and Banking Company and First National Bank of Commerce, but have not located any such records to date.

First National Bank of Commerce was merged into Bank One Louisiana, N.A. on November 16, 1998, and Bank One Louisiana, N.A. was merged into Bank One, NA (main office Chicago) on February 8, 2001. Bank One has not completed a search of the records of Canal and Banking Company and Citizens Bank because it does not control those records and because the persons believed to be the custodians of those records have not made all of those records available to the historical researchers engaged by Bank One.

Using both internal and external resources, and searching third party records, Bank One is continuing to research the history and records of Canal and Banking Company, Citizens Bank and other possible entities acquired by Bank One to determine whether these entities did business with persons or entities that employed slaves. If Bank One discovers records that indicate it or its predecessors made investments in or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders, it will supplement the EDS.