FOR CITY USE
AFFIDAVIT NO

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

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CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GEN	ERAL INFORMATION
Date	this EDS is completed: November 22, 2004
A. "Und	Who is submitting this EDS? That individual or entity will be the lersigned" throughout this EDS. Canal/Taylor Central, LLC
Unde EDS requi	E: The Undersigned is the individual or entity submitting this EDS, whether the ersigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not ired from entities holding an interest in the Applicant. When completing this EDS, see observe whether the section you are completing applies only to Applicants.
[]	Check here if the Undersigned is filing this EDS as an Applicant.
[]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
В.	Business address of the Undersigned: 71 South Wacker
	<u>Chicago, II. 60606</u>
C.	Telephone: 312-704-1066 Fax: 312-704-1071 Email: jsweeney@jpsinterests.com
D.	Name of contact person: John P. Sweeney
E:	Applied For Tax identification number (optional):

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable): Reconstruction of Taylor Street Viaduct involving TIF financing
G.	Is the Matter a procurement? [] Yes [¾No
H.	If a procurement, Specification # and Contract #
l.	If not a procurement:
	1. City Agency requesting EDS: Department of Planning
	2. City action requested (e.g. loan, grant, sale of property): Approval relative to TIF financing
	 If property involved, list property location: Taylor Street from Canal St. to 320 +/-feet east of Canal
SECT	ION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [*] Yes [*] No [*] N/A

B. ORGANIZATION INFORMATION

1. IF THE UNDERSIGNED IS A CORPORATION: a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entities. If there are no such members, write "no members."		
Name	Title	е
N/A		
registered on a r please provide t	tter is a procurement and the Undersigned is national securities exchange pursuant to the she following information concerning shareho % of the corporation's outstanding shares.	Securities Exchange Act of 1934,
Name N/A	Business Address	Percentage Interest
are registered of 1934, please pro	ter is not a procurement, and the Undersignon on a national securities exchange pursuant to ovide the following information concerning sha of 10% of the corporation's outstanding shar	o the Securities Exchange Act of areholders who own shares equal
Name	Business Address	Percentage Interest
N/A		

the Securities Exchange Act of ownership interest of each	of 1934, list below the name, busin shareholder.	ness address and percentage
Name	Business Address	Percentage Interest
N/A		
For general or limited partne and percentage of ownersh	ED IS A PARTNERSHIP OR JOIN riships or joint ventures: list below ip interest of each partner. For learner partner or a limited partner.	the name, business address
Name	Business Address	Percentage Interest
<u>N/A</u>		· · ·
a. List below the name,	ED IS A LIMITED LIABILITY COM business address and percentage If there are no managers, write "no	of ownership interest of each
Name	Business Address	Percentage Interest
Canal Taylor Venture	71 South Wacker, Chicag	50, IL 100%

For corporations that are not registered on a national securities exchange pursuant to

c.

officers."	names and titles of all officers, if any. If the	
Name	Title	
No Officers		
OTHER SIMILAR ENT a. List below the	RSIGNED IS A LAND TRUST, BUSINE TTY: name and business address of each indiv ty that is the subject of the trust.	
Name	Business Address	
N/A		
b. List below the obeneficiary on whose	name, business address and percentage o	f beneficial interest of each
beneficiary on whose Name	name, business address and percentage of behalf title is held.	f beneficial interest of each Percentage Interest
Name N/A	name, business address and percentage of behalf title is held. Business Address	f beneficial interest of each Percentage Interest
Name N/A 5. IF THE UNDER provide the name, bus	name, business address and percentage of behalf title is held. Business Address	f beneficial interest of each Percentage Interest first describe the entity, then est of all individuals or legal
Name N/A 5. IF THE UNDER provide the name, bus	name, business address and percentage of behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENTITY, inness address, and the percentage of inter	f beneficial interest of each Percentage Interest first describe the entity, then est of all individuals or legal

	Percentage Interest
BUSINESS RELATIONSHIPS WITH CIT	TY ELECTED OFFICIALS
NS AND DISCLOSURE REQUIREMEN	т
rship" means any "contractual or other per spouse, or of any entity in which an officivith a person or entity which entitles an officion or more in a calendar year; but a "finar rough purchase at fair market value or inhition, or any corporate subsidiary, parent of dends on such shares, if such shares at to the Securities Exchange Act of 1934, at to an official or employee for his office or equally to all residents of the City; (iv) a time of endowment or insurance policy or annuity. A "contractual or other private business inship of an official's spouse with an entition."	private business dealing" of an cial or his or her spouse has a cial to compensation or payment incial interest" does not include: deritance of less than 1% of the raffiliate thereof, regardless of are registered on a securities as amended, (ii) the authorized employment; (iii) any economic or demand deposit in a financial ty contract purchased from an edealing" does not include any city when such spouse has no
TION	
	h any City elected official in the
	BUSINESS RELATIONSHIPS WITH CIT NS AND DISCLOSURE REQUIREMENT igned must indicate whether it had a "busine 12 months before the date this EDS is a Chapter 2-156 of the Municipal Code of Chaship" means any "contractual or other parts are spouse, or of any entity in which an office with a person or entity which entitles an office, 500 or more in a calendar year; but a "final prough purchase at fair market value or infection, or any corporate subsidiary, parent or idends on such shares, if such shares at to the Securities Exchange Act of 1934, at to an official or employee for his office or equally to all residents of the City; (iv) a time of a endowment or insurance policy or annuity. A "contractual or other private business on ship of an official's spouse with an enting or input relating to the relationship between the date this EDS is signed? [*] No

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

(indicate Address	(attorney, lobbyist, etc.)	paid or estimated)
whether		
retained		
or anticipated		
to be retained)		
John Gearen	71 South Wacker Attorne	\$480/Hr
Mayer Brown Rowe & Maw	Chicago, IL 60606	Total Estimate: \$10,000

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

N/A	
N/A	
	The Undersigned and its affiliates have not, in the past five years, been found in tion of any City, state or federal environmental law or regulation. If there have been any violations, note them below:
	e letters "NA," the word "None," or no response appears on the lines above, it will be lusively presumed that the Undersigned certified to the above statements.
Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fee, tax or other charge owed to the City. This includes all water charges, sewer charging license fees, parking tickets, property taxes or sales taxes. If there are any sidelinquencies, note them below: N/A	

If the letters "NA," the word "None," or no response appears on the lines above, it will be

conclusively presumed that the Undersigned certified to the above statements.

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- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragra (C) and (D) above, provide an explanation:		
N/A		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
- 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default;
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

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- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

N/A	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. The U	CERTIFICATION Indersigned certifies that the Undersigned [check one] is is not
a "fina	incial institution" as defined in Section 2-32-455(b) of the Municipal Code.
В.	If the Undersigned IS a financial institution, then the Undersigned pledges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
define meani	Undersigned is unable to make this pledge because it or any of its affiliates (as ad in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the ng of Chapter 2-32 of the Municipal Code, explain here (attach additional pages essary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

	nings when used in this Part V.
1.	In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter? [] Yes [¾No
or (3 If yo the i	TE: If you answered "No" to Item V(1), you are not required to answer Items V(2) below. Instead, review the certification in Item V(4) and then proceed to Part VI. ou answered "Yes" to Item V(1), you must first respond to Item V(2) and provide information requested in Item V(3). After responding to those items, review the ification in Item V(4) and proceed to Part VI.
	Unless cold numerical to a process of commutative hidding, no City elected official as
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.
2.	employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning
3.	employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V. Does the Matter involve a City Property Sale? [] Yes [] No If you answered "yes" to Item V(1), provide the names and business addresses of the officials or employees having such interest and identify the nature of such interest:

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records

of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the Matter: [Begin list here, add sheets as necessary]:
N/A

List below the names of all individuals registered under the federal Lobbying Disclosure

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

A.

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- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

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C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.			ave on file affirmative action prog See 41 CFR Part 60-2.)	rams pursuant to
	[]Yes	[] No	[] N/A	
B.	Have you par equal opportu		ous contracts or subcontracts sub	oject to the
	[]Yes	[] No	[] N/A	
C.	Contract Con		ing Committee, the Director of the the Equal Employment Opportuni ling requirements?	
	[]Yes	[] No	[] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Canal/Taylor Central, LLC	Date: 9(30/05
(Print or type name of individual or legal entity submitting this EDS)	
By: (sign here)	
Print or type name of signatory:	
John P. Sweeney	
Title of signatory:	·
General Partner of Managing Member	
Subscribed to before me on [date] $\frac{9/30/2005}{1100}$, at	
Ooyce M. Wat Notary Public	
Commission expires:	
"OFFICIAL SEAL" Joyce M. Vogt Notary Public, State of Illinois My Commission Exp. 01/08/2006	

FEB-25-2004 16:32

FOR CITY USE	
AFFIDAVIT.NO	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please print or type all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filling an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filling entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entitles or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entitles or individuals named in this EDS.

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CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its internet site and/or upon request.

GEN	ERAL INFORMATION
Date	this EDS is completed: November 22, 2004
A. "Und	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDSColumn Group
Unde EDS regul	E: The Undersigned is the individual or entity submitting this EDS, whether the resigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, so observe whether the section you are completing applies only to Applicants.
門	Check here if the Undersigned is filing this EDS as an Applicant.
[]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
В.	Business address of the Undersigned: 1300 W. Higgins, #104 Park Ridge, IL 60068
C.	Telephone: 847-698-7400 Fax: 847-698-5167 Email: pgearen@nplchicago.com
D.	Name of contact person: Paul Gearen .
E:	Tax identification number (optional):36-3425889

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable): Reconstruction of Taylor Street Viaduct involving TIF financing
G.	Is the Matter a procurement? [] Yes [¾ No
H.	If a procurement, Specification # and Contract #
1.	If not a procurement:
	1. City Agency requesting EDS: Department of Planning
	2. City action requested (e.g. loan, grant, sale of property): Approval relative to TIF financing———————————————————————————————————
	 If property involved, list property location: Taylor Street from Canal St. to 320 +/-feet east of Canal
SEC1	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual
	[] General partnership [] Other entity (please specify) [] Limited partnership
2.	State of incorporation or organization, if applicable:
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [XYes [] No [] N/A

B. ORGANIZATION INFORMATION

- 1. IF THE UNDERSIGNED IS A CORPORATION:
- a. List below the names and titles of all executive officers and all directors of the corporation. For not-for-profit corporations, also list below any executive director of the corporation, and indicate all members, if any, who are legal entitles. If there are no such members, write "no members."

Name	Title	
N/A		
registered on a national please provide the fo	s a procurement and the Undersigned is a chair securities exchange pursuant to the Securities exchange shareholder the corporation's outstanding shares.	curities Exchange Act of 1934,
Name	Business Address	Percentage Interest
N/A		
are registered on a 11934, please provide	e not a procurement, and the Undersigned national securities exchange pursuant to the othe following Information concerning share 1% of the corporation's outstanding shares	is a corporation whose shares he Securities Exchange Act of holders who own shares equal
Name	Business Address	Percentage Interest
N/A		
N/A		

the Securities	rporations that are not registered on a national sect Exchange Act of 1934, list below the name, busine interest of each shareholder.	
Name	Business Address	Percentage Interest
N/A	· · · · · · · · · · · · · · · · · · ·	
For general of and percentage	E UNDERSIGNED IS A PARTNERSHIP OR JOINT r limited partnerships or joint ventures: list below t ge of ownership Interest of each partner. For lin partner is a general partner or a limited partner.	he name, business address
Name	Business Address	Percentage Interest
	SEE ATTACHED LIST	
a. List be	E UNDERSIGNED IS A LIMITED LIABILITY COMP slow the name, business address and percentage of the company of the	fownership interest of each
Name	Business Address	Percentage Interest

COLUM GROUP

		%
Name	Address	Interest
GENERAL PARTNER:		
Paul C. Gearen	2609 N. Greenview, Unit B	24.0
	Chicago, IL 60614	
LIMITED PARTNERS:		
John J Gearen	179 Linden, Unit 2	6.4
·	Oak Park, IL 60302	
Thomas Gearen	400 N. McClurg Ct., #3803	6.4
•	Chicago, IL 60611	
The Chresh/Postel Family LLC	594 Franklin	6.4
	Cambridge, MA 02139	
Gearen Properties	1300 W. Higgins, #104	44.0
	Park Ridge, IL 60068	
Peter F. Gearen	12221 W. 89 th St	6.4
	Gainesville, FL 32608	•
Anthony Barbato	444 Ashland Ave., #2 North	6.4
	River Forest, IL 60305	

Name	Title	
No officers	<u>.</u> :	
OTHER SIMILAR EI	****	
	e name and business address of each indeerly that is the subject of the trust.	lividual or legal entity holding
Name	Business Address	
N/A	· · · · · · · · · · · · · · · · · · ·	
b. List below th	e name, business address and percentage se behalf title is held.	
b. List below th	e name, business address and percentage	
b. List below th beneficiary on whos Name	e name, business address and percentage se behalf title is held.	e of beneficial interest of each Percentage Interest
b. List below th beneficiary on whos Name	e name, business address and percentage se behalf title is held. Business Address	e of beneficial interest of each Percentage Interest
b. List below th beneficiary on whose Name N/A 5. IF THE UND provide the name, but the same is the s	e name, business address and percentage se behalf title is held. Business Address	Percentage Interest Y, first describe the entity, then erest of all individuals or legal
b. List below th beneficiary on whose Name N/A 5. IF THE UND provide the name, but the same is the s	e name, business address and percentage se behalf title is held. Business Address ERSIGNED IS ANY OTHER LEGAL ENTITy business address, and the percentage of interesting the secondary of the s	Percentage Interest Y, first describe the entity, then erest of all individuals or legal
b. List below th beneficiary on whose Name N/A 5. IF THE UND provide the name, be entitles having an or	e name, business address and percentage se behalf title is held. Business Address ERSIGNED IS ANY OTHER LEGAL ENTITy business address, and the percentage of interesting the secondary of the s	Percentage Interest Y, first describe the entity, then erest of all individuals or legal
b. List below the beneficiary on whose Name N/A 5. IF THE UND provide the name, be entitles having an order to be entity:	e name, business address and percentage se behalf title is held. Business Address ERSIGNED IS ANY OTHER LEGAL ENTITy business address, and the percentage of interesting the secondary of the s	Percentage Interest Y, first describe the entity, then erest of all individuals or legal

Name	Business Address	Percentage Interest
SECT	ION TWO: BUSINESS RELATIONSHIPS WITH CITY	ELECTED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT	
1. electe	The Undersigned must indicate whether it had a "busined official in the 12 months before the date this EDS is sig	
official financial in the (i) any share the value exchange complete institutions and the complete in the compl	Pursuant to Chapter 2-156 of the Municipal Code of Chice ness relationship" means any "contractual or other prior his or her spouse, or of any entity in which an official interest," with a person or entity which entitles an official amount of \$2,500 or more in a calendar year; but a "financy ownership through purchase at fair market value or inhers of a corporation, or any corporate subsidiary, parent or alue of or dividends on such shares, if such shares are ange pursuant to the Securities Exchange Act of 1934, as ensation paid to an official or employee for his office or entiton; or (v) an endowment or insurance policy or annuity ance company. A "contractual or other private business of the contractual or other private business of the concerning or input relating to the relationship betweenton concerning or input relating to the relationship betweentons.	vate business dealing" of an all or his or her spouse has a all to compensation or payment cial interest" does not include: witance of less than 1% of the affiliate thereof, regardless of re registered on a securities amended, (ii) the authorized inployment; (iii) any economic demand deposit in a financial of contract purchased from an idealing" does not include any of when such spouse has no
В.	CERTIFICATION	.•
1. 12 m	Has the Undersigned had a "business relationship" with onths before the date this EDS is signed? [] Yes [] No	any City elected official in the
such	If yes, please identify below the name(s) of such City electionship(s):	lected official(s) and describe

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SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

BE RETAINED BY THE UNDERSIGNED.

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated		Relationship to Unders (attorney, lobby	•	Fees (indicate whether paid or estimated)	
to be retained John Gear fayer Brown	en	190 S. LaSalle St. Chicago, IL 6060	Attorn 3	164 \$480/Hr Total Estimate:	\$10,000
				•	-

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO

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SECTION FOUR: CERTIFICATIONS

____CERTIFICATION OF COMPLIANCE

For purposes of the cartifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragraph if (C) and (D) above, provide an explanation: N/A				
			· · · · ·	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any Individual who, directly or Indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Fallure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- X 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, lillnois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- 3. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated
 Entity or any other official, agent or employee of the Undersigned, any Applicable
 Party or any Affiliated Entity, acting pursuant to the direction or authorization of a
 responsible official of the Undersigned, any Applicable Party or any Affiliated Entity
 (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to
 any such agreement, or been convicted or adjudged guilty of agreement or
 collusion among bidders or prospective bidders, in restraint of freedom of
 competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affillated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

N/A		·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. CERTIFICATION The Undersigned certifies that the Undersigned [check one] is X is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned piedges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this piedge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1. In accordance with Section 2-156-110 of the Municipal Code:

Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?

[] Yes

[] No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those Items, review the certification in Item V(4) and proceed to Part VI.

Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (I) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?
[] Yes [] No

3. If you answered "yes" to Item V(1), provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:						
Name	Business Address	Nature of Interest				
		·				

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policles from the slavery era (including insurance policles issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voldable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all record of the Undersigned and any and all predecessor entitles for records of investments or profit from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(a above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves of slaveholders. The Undersigned verifies that the following constitutes full disclosure of all sucrecords:

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the Matter: [Begin list here, add sheets as necessary]:						
	_N/A					
				·		

List below the names of all Individuals registered under the federal Lobbying Disclosure

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any ecoperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, Ilnked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Α.	Have you deve	eloped and do you hav	e on file affirmative action programs pursuant	to
	applicable fed	eral regulations? (See	41 CFR Part 60-2.)	
	[]Yes	[] No	[] N/A	
В.	Have you part	cipated in any previou	s contracts or subcontracts subject to the	
	equal opportur	nity clause?		
	[]Yes	[] No	[] N/A	
Ç.	Have you filed	with the Joint Reporting	Committee, the Director of the Office of Feder	al
	Contract Comp	ollance Programs, or the	Equal Employment Opportunity Commission	all
	reports due un	der the applicable filin	g requirements?	
	[.] Yes	[] No	[] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on Individuals or entitles seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City:
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter,

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Colum Group (Print or type name of Individual or legal entity submitting this EDS)	Date:	<u>March</u>	1, 2004
(oign here)			-
Print or type name of signatory:			
Paul C. Gearen			
Title of signatory:			
General Partner			
Subscribed to before me on [date] 3//04, at		ook_	County,
Commission expires: 5/9/07			
"OFFICIAL SEAL" LYNN M. DePIETRO Notary Public, State of Illinois My Commission Expires 05/09/07	-		

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with constr. of Taylor St. viaduct involving [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that TIF financing he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Colum Group (Print or type name of individual or legal entity submitting this recertification) November 22, 2004
(algn hére)
Print or type name of signatory:
Paul C. Gearen
Title of signatory: General Partner
Subscribed to before me on [date] // 24/04 , at Durge County, filming [state]. Notary Public.
Continuesion expires.
Ver. 8/23/03 "OFFICIAL SEAL" ARLENE J. MAGOC Notary Public, State of Illinois My Commission Expires 11/26/06

ı				
ı	FOR CITY USE	•		
	AFFIDAVIT NO	٠.		
	·			

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be Interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entitles holding an Interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related-ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its internet site and/or upon request.

GENERAL INFORMATION Date this EDS is completed: March 1, 2004 Who is submitting this EDS? That individual or entity will be the Canal/Taylor Venture "Undersigned" throughout this EDS. NOTE: The Undersigned is the individual or entity submitting this EDS, whether the Undersigned is an Applicant or is an entity holding an interest in the Applicant. This EDS requires certain disclosures and certifications from Applicants that are not required from entities holding an interest in the Applicant. When completing this EDS, please observe whether the section you are completing applies only to Applicants. Check here if the Undersigned is filing this EDS as an Applicant. £¥ Check here if the Undersigned is filing as an entity holding an interest in an \mathbf{I} Applicant. Also, please identify the Applicant in which this entity holds an interest: Business address of the Undersigned: B. 71 South Wacker Chicago, IL 60606 jsweeney@jpsinterests.com Telephone: 312-704-1066 Fax: 312-704-1066 Email: C. John P. Sweeney Name of contact person: D. 36-3435155

Tax identification number (optional):

E:

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable):					
	Development of retail shopping center at Roosevelt Road and Canal Street requiring business planned development approval					
G.	Is the Matter a procurement? [] Yes [] No					
H.	If a procurement, Specification # and Contract #					
l.	If not a procurement:					
	1. City Agency requesting EDS: Department of Planning					
	2. City action requested (e.g. loan, grant, sale of property): Business Planned Development Approval					
	3. If property involved, list property location: 1003-1143 S. Canal Street: 364-430 West Roosevelt Rd.: 363-433 W. Taylor S					
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS					
A.	NATURE OF ENTITY					
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual [] Business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No					
	[] General partnership [] Other entity (please specify) [] Limited partnership					
2.	State of incorporation or organization, if applicable: Illinois					
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A					

B. ORGANIZATION INFORMATION

a. List below the corporation. For no	e names and titles of all executive office offor-profit corporations, also list below a cate all members, if any, who are legal enterprise."	ny executive director of the
Name	Title	
N/A		
registered on a nation please provide the fo	a procurement and the Undersigned is a contain securities exchange pursuant to the Securities exchange pursuant to the Securities information concerning shareholder the corporation's outstanding shares.	urities Exchange Act of 1934
Name N/A	Business Address	Percentage Interest
are registered on a r 1934, please provide	not a procurement, and the Undersigned is attonal securities exchange pursuant to the following information concerning shares. % of the corporation's outstanding shares.	e Securities Exchange Act o nolders who own shares equa
Name	Business Address	Percentage Interest
N/A		

N/A	Business Address	Percentage Interest
For general or limite and percentage of	ERSIGNED IS A PARTNERSHIP OR JOIN ed partnerships or joint ventures: list below to ownership interest of each partner. For liner is a general partner or a limited partner.	he name, business address
Name	Business Address	Percentage Interest
a 1/m 1	r Limited Partnership 71 South Wa	cker Chicago, IL
weeney Canal/Taylo	1 Bimited Idithership , 1 Boota	onicago, 12
	ed Partnership 1300 W. Higgins, F	
3. IF THE UND a. List below the	ERSIGNED IS A LIMITED LIABILITY COMP e name, business address and percentage of nanager. If there are no managers, write "no	Park Ridge, II. 60068 PANY: of ownership interest of each

b. office	ers."	•	If there are no officers, write "no
Nam		Title	
No 0:	fficers		
a.	ER SIMILAR ENTITY:	business address of each	SINESS TRUST, ESTATE OR individual or legal entity holding
Nam		Business Address	
N/B			
b. bene	List below the name, busi ficiary on whose behalf title		age of beneficial interest of each
Nam	е	Business Address	Percentage Interest
N/A			•
		S ANY OTHER LEGAL EN	TITY, first describe the entity, then f interest of all individuals or legal
Desc	cribe the entity:		
N/	A		

Name	Business Address	Percentage Interest
N/A		
SEC1	TION TWO: BUSINESS RELATIONSHIPS WIT	H CITY ELECTED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIRE	MENT
1. electe	The Undersigned must indicate whether it had a ced official in the 12 months before the date this El	
official "finan in the (i) any share the val exchal comp benef institu insura emplo	Pursuant to Chapter 2-156 of the Municipal Code iness relationship" means any "contractual or or al, or his or her spouse, or of any entity in which a call interest," with a person or entity which entitles a amount of \$2,500 or more in a calendar year; but a yownership through purchase at fair market value as of a corporation, or any corporate subsidiary, paralue of or dividends on such shares, if such shange pursuant to the Securities Exchange Act of 1 tensation paid to an official or employee for his official provided equally to all residents of the City; (iv) a stion; or (v) an endowment or insurance policy or ance company. A "contractual or other private but by the relationship of an official's spouse with a stion concerning or input relating to the relationship	ther private business dealing" of an an official or his or her spouse has a n official to compensation or payment a "financial interest" does not include: or inheritance of less than 1% of the rent or affiliate thereof, regardless of ares are registered on a securities 934, as amended, (ii) the authorized ce or employment; (iii) any economic time or demand deposit in a financial annuity contract purchased from an siness dealing" does not include any an entity when such spouse has no
В.	CERTIFICATION	
1. 12 mo	Has the Undersigned had a "business relationshonths before the date this EDS is signed? [] Yes [¾ No	ip" with any City elected official in the
such I	If yes, please identify below the name(s) of sucl relationship(s):	n City elected official(s) and describe

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipat	Business Address ed	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (Indicate whether paid or estimated)			
to be retained)		See Schedule "A" Attached				

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEDMENT AND AFFIDAVIT

ATTACHMENT TO CERTIFICATION PART B

Schedule A

Name	Business Address	Relationship to Applicant (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
John J. George	Two First National Plaza Suite 400 20 S. Clark Street Chicago, IL 60603-1903	Attorney	Standard Hourly Rate
John Gearen Mayer Brown Roe & Maw	190 South LaSalle Street, Chicago, IL 60603-3441	Attorney	Standard Hourly Rate
Walt Eckenhoff Eckenhoff Saunders Architects	700 South Clinton Suite 200 Chicago, Illinois 60607	Architect	Standard Hourly Rate

SECTION FOUR: CERTIFICATIONS

<u>CERTIFICATION OF COMPLIANCE</u>

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the propertment of Revenue, nor are the Undersigned fee, tax or other charge owed to the City. This	
license fees, parking tickets, property taxes delinquencies, note them below:	
If the letters "NA," the word "None," or no resp conclusively presumed that the Undersigned ce	
B. The Undersigned and its affiliates have violation of any City, state or federal environmen such violations, note them below: N/A	not, in the past five years, been found in tal law or regulation. If there have been any
2	
If the letters "NA," the word "None," or no resp	

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

(C) and (D) abo	fine Undersigned is unable to make the certifications required in Section Four, paragraph C) and (D) above, provide an explanation:				
N/A			,		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Fallure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
 The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civily charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affillated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affillated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

 Undersigned must explain below:	
N/A	
	 _

If the Undersigned is unable to certify to any of the above statements in this Part III, the

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

E.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

a "financial insti	itution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Ur	ndersigned IS a financial institution, then the Undersigned piedges:
of the Mu of them Municipa an affilia	not and will not become a predatory lender as defined in Chapter 2-32 unicipal Code. We further pledge that none of our affiliates is, and none will become, a predatory lender as defined in Chapter 2-32 of the al Code. We understand that becoming a predatory lender or becoming the of a predatory lender may result in the loss of the privilege of doing is with the City."
defined in Secti	ned is unable to make this pledge because it or any of its affiliates (as on 2-32-455(b) of the Municipal Code) is a predatory lender within the pter 2-32 of the Municipal Code, explain here (attach additional pages

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

In accordance with Section 2-156-110 of the Municipal Code:
 Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?
 [] Yes
 [☑ No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those Items, review the certification in Item V(4) and proceed to Part VI.

2. Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?
[] Yes [] No

3. City o		o Item V(1), provide the names a ving such interest and identify the			
Name		Business Address	Nature of Interest		

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

1. The Undersigned verifies that (a) the Undersigned has searched any and all records

of the Undersigned and any and al from slavery, the slave industry, or has found no records of investmer insurance policies and no records	r slaveholder insunts or profits from	rance policies, a slavery, the slave	nd (b) the Undersi industry, or slaveh	gned
2. The Undersigned verifies above, the Undersigned has found slave industry, or slaveholder in slaveholders. The Undersigned verecords:	d records relating nsurance policies	to investments or and/or the na	profits from slaver mes of any slave	y, the is or
		• • •		

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A.	List below the names of all individuals registered under the federal Lobbying Discio	
	1995 who have made lobbying contacts on behalf of the Undersigned with respect t	to th
Matte	: [Begin list here, add sheets as necessary]:	
	NT / A:	
	N/A	

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.			ave on file affirmative action programs pursuant See 41 CFR Part 60-2.)	to
	[]Yes	[] No	[] N/A	
В.	Have you part equal opportu		ous contracts or subcontracts subject to the	
	[]Yes	[] No	[] N/A	
C.	Have you filed Contract Com	with the Joint Report	ing Committee, the Director of the Office of Feder the Equal Employment Opportunity Commission a	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156and2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of Information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Canal/Taylor Venture (Print or type name of individual or legal entity submitting this EDS)	Date:	October	4,	2005
By. (sign here)				
Print or type name of signatory:				
John P. Sweeney				
Title of signatory:				
General Partner				
Subscribed to before me on [date] October 4, 2005, at		ook		County,
"OFFICIAL SEAL" Joyce M. Vogt Notary Public, State of Illinois My Commission Exp. 01/08/2006				

FOR CITY USE	
AFFIDAVIT.NO.	· · · · · · · · ·
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an Interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its internet site and/or upon request.

GENE	ERAL INFORMATION		
Date t	this EDS is completed: November	22, 2004	
A. "Unde	Who is submitting this EDS? That indiviorsigned" throughout this EDSGearen		
NOTE: The Undersigned is the individual or entity submitting this EDS, whether the Undersigned is an Applicant or is an entity holding an interest in the Applicant. This EDS requires certain disclosures and certifications from Applicants that are not required from entities holding an interest in the Applicant. When completing this EDS, please observe whether the section you are completing applies only to Applicants.			
Ð	Check here if the Undersigned is filing this	EDS as an Applicant.	
M	Check here if the Undersigned is filing as a Applicant.	n entity holding an interest in an	
	Also, please identify the Applicant in which Colum Group	this entity holds an interest:	
В.	Business address of the Undersigned:	1300 W. Higgins, #104 Park Ridge, IL 60068	
C.	Telephone: 847-698-7400 Fax: 847-69	98-5167 Email: pgearen@nplchicago.com	
D.	Name of contact person: Paul Gear	cen	
€:	Tax identification number (optional):	36–3192025	

F.	Brief description of contract, transaction or other undertaking (referred to below the "Matter") to which this EDS pertains. (Include project number and local applicable): Reconstruction of Taylor Street Viaduct involving TIF financial actions.	
G.	Is the Matter a procurement? [] Yes [¾No	
H.	If a procurement, Specification # and Contract #	
I.	If not a procurement:	
	1. City Agency requesting EDS: Department of Planning	
	2. City action requested (e.g. loan, grant, sale of property): Approval relative to TIF financing———————————————————————————————————	
	 If property involved, list property location: Taylor Street from Canal St. to 320 +/-feet east of Canal 	
SECT	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS	
A.	NATURE OF ENTITY	
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual	
	[] General partnership [] Other entity (please specify) [] Limited partnership	
2.	State of incorporation or organization, if applicable:	
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A	

B. ORGANIZATION INFORMATION

1. IF THE UNDERSIGNED IS A CORPORATION: a. List below the names and titles of all executive officers and all dir corporation. For not-for-profit corporations, also list below any executive of corporation, and indicate all members, if any, who are legal entitles. If there members, write "no members."			
Name	Title	-	
registered on a nation please provide the foll	a procurement and the Undersigned is a coal securities exchange pursuant to the Securities exchange shares.	urities Exchange Act of 1934	
Name	Business Address	Percentage Interest	
N/A			
a re registered on a na 1934, please provide t	not a procurement, and the Undersigned is ational securities exchange pursuant to the help following information concerning shares.	e Securities Exchange Act o colders who own shares equa	
Name	Business Address	Percentage Interest	

N/A

Name	Business Address	Percentage Interest
		, o, comago intercer
N/A		<i>y</i>
For general or limite and percentage of	ERSIGNED IS A PARTNERSHIP OR JOIL od partnerships or joint ventures: list below ownership interest of each partner. For er is a general partner or a limited partner.	v the name, business address limited partnerships, indicate
Name	Business Address	Percentage Interest
	SEE ATTACHED LIST	
	ERSIGNED IS A LIMITED LIABILITY COM e name, business address and percentage nanager. If there are no managers, write "no	e of ownership interest of each
(I) member and (II) member and III) member and IIII) member and IIIII member and IIII) member and IIII member and IIII) member and IIII member and IIII member and IIII) member and IIII member and IIII member and IIII member and IIII) member and IIII member and IIII member and IIII member and IIII	naged.	•
``	Business Address	Percentage Interest

For corporations that are not registered on a national securities exchange pursuant to

Ç.

GEAREN PROPERTIES

Name	Address	% Interest
GENERAL PARTNER:		
Paul C. Gearen	2609 N. Greenview, Unit B Chicago, IL 60614	11.11
LIMITED PARTNERS:		
John J Gearen	179 Linden, Unit 2 Oak Park, IL 60302	11.11
Anthony Barbato	444 Ashland Ave., #2 North River Forest, IL 60305	11.11
Peter F. Gearen	12221 W. 89 th St Gainesville, FL 32608	11.11
Michael V. Gearen	224 Pakohana Street Honolulu, HI 96813	6.61
Barbara Gearen	666 Prospect, #603 Honolulu, HI 96814	4.51
Mark J. Gearen	PMB #348 6890 E. Sunrise Dr., #120 Tucson, AZ 85750-0840	11.11
Thomas Gearen	400 N. McClurg Ct., #3803 Chicago, IL 60611	11.11
Catherine C. Gearen	322 Park River Forest, IL 60305	11.11
Elizabeth G. Fischer	834 Jackson Ave. River Forest, IL 60305	11.11

Name	Title	
No officers		
- NO OTTIGOTO		
4. IF THE UN OTHER SIMILAR E	DERSIGNED IS A LAND TRUST, BUSIN	·
Name	Business Address	
N/A	· · · · · · · · · · · · · · · · · · ·	
b. List below to	ne name, business address and percentage se behalf title is held.	e of beneficial interest of each
b. List below the	ne name, business address and percentage	
b. List below to beneficiary on who Name	ne name, business address and percentage se behalf title is held.	e of beneficial interest of each Percentage Interest
b. List below to beneficiary on who Name	ne name, business address and percentage se behalf title is held. Business Address	e of beneficial interest of each Percentage Interest
b. List below to beneficiary on who Name	ne name, business address and percentage se behalf title is held. Business Address	e of beneficial interest of each Percentage Interest
b. List below to beneficiary on who Name N/A 5. IF THE UND provide the name,	ne name, business address and percentage se behalf title is held. Business Address	Percentage Interest Y, first describe the entity, then
b. List below to beneficiary on who Name N/A 5. IF THE UND provide the name,	ne name, business address and percentage see behalf title is held. Business Address DERSIGNED IS ANY OTHER LEGAL ENTITY business address, and the percentage of into ownership or other beneficial interest in the experience.	Percentage Interest Y, first describe the entity, then
b. List below to beneficiary on who Name N/A 5. IF THE UND provide the name, entitles having an organization.	ne name, business address and percentage see behalf title is held. Business Address DERSIGNED IS ANY OTHER LEGAL ENTITY business address, and the percentage of into ownership or other beneficial interest in the experience.	Percentage Interest Y, first describe the entity, then

è

Name	Business Address Percentage Interest
SECT	ON TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT
1. electe	The Undersigned must indicate whether it had a "business relationship" with a City official in the 12 months before the date this EDS is signed.
official financial in the (I) any share the value complete institutions and the complete institutions are complete institutions and the complete institutions are complete institutions and complete institutions are complete institutions and complete institu	Pursuant to Chapter 2-156 of the Municipal Code of Chicago (the "Municipal Code"), a sea relationship" means any "contractual or other private business dealing" of an or his or her spouse, or of any entity in which an official or his or her spouse has a all interest," with a person or entity which entitles an official to compensation or payment mount of \$2,500 or more in a calendar year; but a "financial interest" does not include: ownership through purchase at fair market value or inheritance of less than 1% of the of a corporation, or any corporate subsidiary, parent or affiliate thereof, regardless of ue of or dividends on such shares, if such shares are registered on a securities ge pursuant to the Securities Exchange Act of 1934, as amended, (ii) the authorized insation paid to an official or employee for his office or employment; (iii) any economic provided equally to all residents of the City; (iv) a time or demand deposit in a financial on; or (v) an endowment or insurance policy or annuity contract purchased from an ace company. A "contractual or other private business dealing" does not include any ment relationship of an official's spouse with an entity when such spouse has no on concerning or input relating to the relationship between that entity and the City.
В.	CERTIFICATION
1. 12 m	Has the Undersigned had a "business relationship" with any City elected official in the athere the date this EDS is signed? [] Yes 图 No
such	If yes, please identify below the name(s) of such City elected official(s) and describe lationship(s):

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipate	Business Address	Relationship to Undersig (attorney, lobbyist		Fees (indicate whether paid or estimated)
to be retaine		••		
John Gear	•	190 S. LaSalle St.	Attorne	\$480/Hr
Mayer Brown	Rowe & Maw	Chicago, IL 60603		Total Estimate: \$10,000

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

L____CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illino Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine fee, tax or other charge owed to the City. This includes all water charges, sewer charges license fees, parking tickets, property taxes or sales taxes. If there are any sucdelinquencies, note them below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found it violation of any City, state or federal environmental law or regulation. If there have been an such violations, note them below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Lindersland cartified to the above statements

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable	to make the certification	s required in Sectle	on Four, paragraph I
(C) and (D) above, provide a	n explanation:	•	
N/A			
		,	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Fallure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- _____ 4. There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The cartifications in subparts B and D concern:
 - the Undersigned;
 - · any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affillated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an Individual or entity that directly or Indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity:
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- E. If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:

N/A	,		· · · · · · · · · · · · · · · · · · ·
		A Company of the Comp	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

<i>P</i> .	CERTIFICATION
The U	Indersigned certifies that the Undersigned [check one]
	x ls not
a "fina	ancial institution" as defined in Section 2-32-455(b) of the Municipal Code.
₽.	if the Undersigned IS a financial institution, then the Undersigned piedges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
define mean	Undersigned is unable to make this pledge because it or any of its affiliates (as ed in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the ling of Chapter 2-32 of the Municipal Code, explain here (attach additional pages essary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

In accordance with Section 2-156-110 of the Municipal Code:

Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?

[] Yes

[] No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those Items, review the certification in Item V(4) and proceed to Part VI.

Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (I) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?

[] Yes

[] No

City officials or employees hav Name		Business Address	Nature of Interest	

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policles from the slavery era (including insurance policles issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voldable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all recor	ds
of the Undersigned and any and all predecessor entitles for records of investments or prof	its
from slavery, the slave industry, or slaveholder Insurance policies, and (b) the Undersign	ed
has found no records of investments or profits from slavery, the slave industry, or slavehold	er
insurance policies and no records of names of any slaves or slaveholders.	
·	

2 The Unc	dersigned verifies that,	as a result of	conducting	the search	in sten (1)(a)		
	rsigned has found reco						
slave industry, or slaveholder insurance policles and/or the names of any slaves							
siavenoiders. In	e Undersigned verifies	that the following	ig constitute	is tuli disclos	ure of all such		
records:							
1000100.							
							
							
		_					

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

A. List below the names of all Individuals registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the Matter: [Begin list here, add sheets as necessary]:					
N/A					

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

if the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- D. The Undersigned certifies that either (I) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (II) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragrephs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. — If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (If any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

Â.	Have you dev	eloped and do you h	ave on file affirmative action programs pursuant to		
	applicable fed	leral regulations? (S	see 41 CFR Part 60-2.)		
	[]Yes	[] No	[] N/A		
В.	• ,	Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?			
	[]Yes	[] No	[] N/A		
Ç.	Have you filed	with the Joint Report	Ing Committee, the Director of the Office of Federa		
		pllance Programs, or nder the applicable fi	the Equal Employment Opportunity Commission all ling requirements?		
	[]Yes	[] No	[] N/A		

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156and2-164 of the Municipal Code, impose certain duties and obligations on Individuals or entitles seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING OF DRIVANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City;
- 2) Limit the glifs and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a, any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City dutles and responsibilities.
- Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in he Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any Information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Gearen Properties	Date:	March 1	, 2004
(Print or type name of individual or legal entity automitting this ED9)			
By: (eign here)			
(astri read)	1 .		
Print or type name of signatory:			
Paul C. Gearen			
Title of signatory:		. '	
General Partner	• .		
Subscribed to before me on [date] 3//09, at_		oo/K	County,
Sym M Dalletto Notary Public.			· · · · ·
Commission expires: 5/9/07			
"OFFICIAL SEAL" LYNN M. DePIETRO Notary Public, State of Illinois My Commission Expires 05/09/07		.	- 1. - 1.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless

requested. Construction of Taylor St. viaduct involving TIF financing This recertification is being submitted in connection with [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true. accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments. Gearen Properties November 22, 2004 Date: (Print or type name of individual or legal entity submitting this recertification) By: (alon here) Print or type name of signatory: Paul Gearen Title of signatory: General Partner 11/24/04 Subscribed to before me on idatel [state]. Notary Public. Commission expires: Ver. 6/23/03 'OFFICIAL SEAL" 23 ARLENE J. MAGOC

> Notary Public, State of Illinois My Commission Expires 11/26/06

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entitles holding an Interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related-ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GENE	RAL INFORMATION
Date t	his EDS is completed:November 22, 2004
A. "Und	Who is submitting this EDS? That individual or entity will be the ersigned" throughout this EDS. Sweeney Canal/Taylor Limited Partnership
Unde EDS (requi	: The Undersigned is the individual or entity submitting this EDS, whether the rsigned is an Applicant or is an entity holding an interest in the Applicant. This requires certain disclosures and certifications from Applicants that are not red from entities holding an interest in the Applicant. When completing this EDS, e observe whether the section you are completing applies only to Applicants.
[3]	Check here if the Undersigned is filing this EDS as an Applicant.
[]	Check here if the Undersigned is filing as an entity holding an interest in an Applicant.
	Also, please identify the Applicant in which this entity holds an interest:
В.	Business address of the Undersigned: 30 West monroe Street Suite 1000 Chicago, IL 60603
C.	Telephone: 312-704-1066 Fax: 312-704-1010 Email: jsweeney@jpsinterests.com
D.	Name of contact person: John P. Sweeney
E:	Tax identification number (optional):

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable): Reconstruction of Taylor Street Viaduct involving TIF financing				
G.	Is the Matter a procurement? [] Yes [\$\footnote{N}\text{O}				
H.	If a procurement, Specification # and Contract #				
Į.	If not a procurement:				
	1. City Agency requesting EDS: Department of Planning				
	City action requested (e.g. loan, grant, sale of property): Approval relative to TIF financing				
	 If property involved, list property location: Taylor Street from Canal St. to 320 +/-feet east of Canal 				
SEC	TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS				
A.	NATURE OF ENTITY				
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual				
	[] General partnership [] Other entity (please specify) [] Limited partnership				
2.	State of incorporation or organization, if applicable:Delaware				
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A				

Ver. 6/23/03

B. ORGANIZATION INFORMATION

Name	Title	
N/A		
N/A		
registered on a national please provide the follow	procurement and the Undersigned is a securities exchange pursuant to the Se wing information concerning sharehold a corporation's outstanding shares.	curities Exchange Act of 1934,
Name	Business Address	Percentage Interest
Name		, crosmago mitorost
N/A		: i
b(2). If the Matter is no are registered on a nati	ot a procurement, and the Undersigned onal securities exchange pursuant to e following information concerning share of the corporation's outstanding share	is a corporation whose shares the Securities Exchange Act o
b(2). If the Matter is no are registered on a nati	ot a procurement, and the Undersigned onal securities exchange pursuant to e following information concerning share	is a corporation whose shares the Securities Exchange Act o

	934, list below the name, but	securities exchange pursuant to usiness address and percentage
Name	Business Address	Percentage Interest
N/A		
For general or limited partnersh	nterest of each partner. F	low the name, business address or limited partnerships, indicate
Name	Business Address	Percentage Interest
See Attached Schedule	"A"	
a. List below the name, bus	•	OMPANY: age of ownership interest of each "no managers," and indicate how
Name	Business Address	Percentage Interest
	N/A	* 11 ** ** ** ** ** ** ** ** ** ** ** **

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEDMENT AND AFFIDAVIT

ATTACHMENT TO ORGANIZATION INFORMATION PART B Section 2

Schedule A

Name	Position	Percentage
John P. Sweeney	Sole General Partner	44%
James J. McDonough	Limited Partner	25.7%
John O. Bulut	Limited Partner	17.8%
Dennis Michon	Limited Partner	2.25%
E. Herbert Tinney Jr.	Limited Partner	2.25%
Catherine Scanlon	Limited Partner	2.25%
Philip Radloff	Limited Partner	2.25%
Francis Kokes	Limited Partner	1%
Donald Kosik	Limited Partner	1%

Name	Title	
No Officers		
		
4. IF THE UNDI	ERSIGNED IS A LAND TRUST, BUS	SINESS TRUST, ESTATE OR
	name and business address of each erty that is the subject of the trust.	individual or legal entity holding
Name	Business Address	
N/A		,
N/A		
N/A		
N/A		
	name, business address and percenta	
b. List below the	name, business address and percenta	age of beneficial interest of each
b. List below the beneficiary on whose Name	name, business address and percenta behalf title is held. Business Address	age of beneficial interest of each
b. List below the beneficiary on whose Name	name, business address and percenta behalf title is held.	age of beneficial interest of each
b. List below the beneficiary on whose Name	name, business address and percenta behalf title is held. Business Address	age of beneficial interest of each Percentage Interest
b. List below the beneficiary on whose Name	name, business address and percenta behalf title is held. Business Address	age of beneficial interest of each Percentage Interest
b. List below the beneficiary on whose Name N/A 5. IF THE UNDE	name, business address and percental behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENT	Percentage Interest TITY, first describe the entity, ther
b. List below the beneficiary on whose Name N/A 5. IF THE UNDE provide the name, but	name, business address and percental behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENTURINGES address, and the percentage of	Percentage Interest TTY, first describe the entity, thereinterest of all individuals or legal
b. List below the beneficiary on whose Name N/A 5. IF THE UNDE provide the name, but	name, business address and percental behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENT	Percentage Interest TITY, first describe the entity, thereinterest of all individuals or legal
b. List below the beneficiary on whose Name N/A 5. IF THE UNDE provide the name, but	name, business address and percental behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENTURINGES address, and the percentage of	Percentage Interest TITY, first describe the entity, thereinterest of all individuals or legal
b. List below the beneficiary on whose Name N/A 5. IF THE UNDE provide the name, buentities having an ow	name, business address and percental behalf title is held. Business Address RSIGNED IS ANY OTHER LEGAL ENTURINGES address, and the percentage of	Percentage Interest TITY, first describe the entity, thereinterest of all individuals or legal

Name	e Business Address	Percentage Interest			
	N/A				
SECT	SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS				
A.	DEFINITIONS AND DISCLOSURE REQUIREMENT				
1. electe	The Undersigned must indicate whether it had a "business reled official in the 12 months before the date this EDS is signed.	elationship" with a City			
official "finar in the (i) an share the v exchi comp bene institt insur empl	elected official in the 12 months before the date this EDS is signed.				
В.	CERTIFICATION				
1. 12 m	Has the Undersigned had a "business relationship" with any (nonths before the date this EDS is signed? [] Yes M No	City elected official in the			
such	If yes, please identify below the name(s) of such City elected hardstonship(s):	l official(s) and describe			

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
whether	Address	(ditorney, lobbyist, etc.)	paid of desimated)
retained			
or anticipate	ed		
to be retain	ed)		
John Gea	aren	190 S. LaSalle St. Attorne	
Mayer Brown	n Rowe & Maw	Chicago, IL 60603	Total Estimate: \$10,000

[] CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

SECTION FOUR: CERTIFICATIONS

CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such
delinquencies, note them below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.
B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

If the Undersigned is unable to make the certifications required in Section Four, paragrap (C) and (D) above, provide an explanation:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. *Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.*

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements. The Circuit Court of Cook County, Illinois or another Illinois court of competent 3. jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a courtapproved agreement for the payment of all such child support owed; or both (a) and (b). There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affillated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to certify to any of the above statements in this Part III, the Undersigned must explain below:									
	N/A	к ехріан веюч.								
					:					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Undersigned certifies that the Undersigned [check one]

CERTIFICATION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

	X_ is not
a "fina	ancial institution" as defined in Section 2-32-455(b) of the Municipal Code.
В.	If the Undersigned IS a financial institution, then the Undersigned pledges:
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
define mean	Undersigned is unable to make this pledge because it or any of its affiliates (as ed in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the hing of Chapter 2-32 of the Municipal Code, explain here (attach additional pages essary):
<u> </u>	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

1. In accordance with Section 2-156-110 of the Municipal Code:

Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?

[] Yes [] No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those Items, review the certification in Item V(4) and proceed to Part VI.

Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?
[] Yes [‡No

" to Item V(1), provide the names a naving such interest and identify th	
Business Address	Nature of Interest

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X_1. The Undersigned verifies that (a) the Undersigned has searched any and all records
of the Undersigned and any and all predecessor entities for records of investments or profits
from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned
has found no records of investments or profits from slavery, the slave industry, or slaveholder
insurance policies and no records of names of any slaves or slaveholders.

above, the Undersign slave industry, or sl slaveholders. The Unrecords:	ed has found aveholder in	records relating	g to investnes and/or	nents or pro the names	fits from slave of any sla	ery, the ves or
1000140.						
		÷ .,				
			•			
	• • •					

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

	List below the names of all individuals registered under the federal Lobbying Discle 1995 who have made lobbying contacts on behalf of the Undersigned with respect	
Mat	: [Begin list here, add sheets as necessary]:	
	N/A	

[if no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	•	•	ave on file affirmative action programs pursuant See 41 CFR Part 60-2.)	to
	[]Yes	[] No	[] N/A	
B.	Have you par equal opportu		ous contracts or subcontracts subject to the	
	[]Yes	[] No	[] N/A	
C.	•	•	ting Committee, the Director of the Office of Feder	
		nder the applicable fi	the Equal Employment Opportunity Commission a ling requirements?	all
	[]Yes	[] No	[]N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156and2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Sweeney Canal/Taylor Limited Partnership	Date:	March	1, 2004
(Print or type name of individual or legal entity submitting this EDS)	Dato.		
By:			
(\$ign here)			
Print or type name of signatory:			
John P. Sweeney	•		
Title of signatory:			
General Partner			
Subscribed to before me on [date]		Page	County,
Commission expires: 11/26/66.			
and the second s			
"OFFICIAL SEAL" ARLENE J. MAGOC Notary Public, State of Illinois My Commission Expires 11/26/06			

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new **EDS** with correct or corrected Information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with construction of Taylor St. viaduct [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Undersigned, (2) warrants that all certifications and statements contained in the Undersigned's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

involving TIF financin

Sweeney Canal/Taylor Limited Partnershi XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Date:	Novembe	r 22, 2	004
By				
(sign flere)				
Print or type name of signatory:				
John P. Sweeney				
Title of signatory:				
General Partner		4		
	/₀华,∶ otary Pub		Loge	County,
Commission expires:	•			
Ver 6/23/03				

"OFFICIAL SEAL" ARLENE J. MAGOC Notary Public, State of Illinois My Commission Expires 11/26/06

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TB-25-2004 16:32

FOR CITY USE	•
AFFIDAVIT.NO	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please print or type all responses clearly and legibly. Add additional pages if needed, being careful to Identify the portion of the EDS to which each additional page refers.

WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants</u>: Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an Interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

Ver. 6/23/03

GENERAL INFORMATION

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its internet site and/or upon request.

Date t	this EDS is completed: Nover	nber 22, 2004
A. "Und	Who is submitting this EDS? That incorporate throughout this EDS	
Unde EDS requi	rsigned is an Applicant or is an entity requires certain disclosures and cert red from entities holding an interest in t	r entity submitting this EDS, whether the holding an interest in the Applicant. This diffications from Applicants that are not the Applicant. When completing this EDS, a completing applies only to Applicants.
Û.	Check here if the Undersigned is filing the	nis EDS as an Applicant.
M	Check here if the Undersigned is filing as Applicant.	s an entity holding an interest in an
	Also, please identify the Applicant in whi	ch this entity holds an interest:
В.	Business address of the Undersigned:	594 Franklin Cambridge, MA 02139
C.	Telephone: 617-491-8628 Fax: 617	-945-8628 Email:
D.	Name of contact person: Rob: Pos	tel
E:	Tax identification number (optional):	04-3368335

F.	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location if applicable): Reconstruction of Taylor Street Viaduct involving TIF financing
G.	Is the Matter a procurement? [] Yes [¾No
H.	If a procurement, Specification # and Contract #
l.	If not a procurement:
	1. City Agency requesting EDS: Department of Planning
	2. City action requested (e.g. loan, grant, sale of property): Approval relative to TIF financing
	 If property involved, list property location: Taylor Street from Canal St. to 320 +/-feet east of Canal
SECT	ION ONE: DISCLOSURE OF OWNERSHIP INTERESTS
A.	NATURE OF ENTITY
1.	Indicate whether the Undersigned is an individual or legal entity: [] Individual
	[] Limited partnership
2.	State of incorporation or organization, if applicable:Delaware
3.	For legal entities not organized in the State of Illinois: Is the organization authorized to do business in the State of Illinois as a foreign entity? [] Yes [] No [] N/A

B. ORGANIZATION INFORMATION

a. List below the corporation. For not-	SIGNED IS A CORPORATION: names and titles of all executive office for-profit corporations, also list below a ate all members, if any, who are legal e embers."	any executive director of the
Name	Title 4	ř
N/A		
registered on a nationa please provide the follo	procurement and the Undersigned is a collisecurities exchange pursuant to the Section of the Sec	urities Exchange Act of 1934,
Name N/A	Business Address	Percentage Interest
are registered on a nati 1934, please provide th	ot a procurement, and the Undersigned is tional securities exchange pursuant to the e following information concerning shareh of the corporation's outstanding shares.	e Securities Exchange Act of noiders who own shares equal
Name	Business Address	Percentage Interest
N/A		
	· · · · · · · · · · · · · · · · · · ·	

c. For corporations that are not registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, list below the name, business address and percentage of ownership interest of each shareholder.

N/A	% <u>-</u>	
		À

Name Business Address Percentage Interest

N/A

and percentage of ownership interest of each partner. For limited partnerships, indicate

3. IF THE UNDERSIGNED IS A LIMITED LIABILITY COMPANY:

whether each partner is a general partner or a limited partner.

a. List below the name, business address and percentage of ownership interest of each (i) member and (ii) manager. If there are no managers, write "no managers," and indicate how the company is managed.

Name	Business Address Pe	ercentage Interest
*Sidney Cheresh	975 Memorial Dr., Cambridge, MA	28.4%
*Barbara Cheresh	975 Memorial Dr., Cambridge, MA	28.4%
*Matthew Cheresh_	4330 Via Presada, Santa Barbara, CA	10.8%
Elizabeth C. Allen 1778 Ala Moana Blvd., #3214, Honolulu, HI 10.89		
Louis Postel	33 Tremont St., Cambridge, MA	7.2%
*Robert Postel 594 Franklin St., Cambridge, MA		7.2%
Charles Postel	711 Grizzly Peak Blvd., Berkeley, CA 7.2%	

^{*}Indicates Manager

officer	List below the names and titles of all officers, if any. If there are no officers, write "no s."
Name	Title
No	officers
OTHE	IF THE UNDERSIGNED IS A LAND TRUST, BUSINESS TRUST, ESTATE OR R SIMILAR ENTITY: List below the name and business address of each individual or legal entity holding tile to the property that is the subject of the trust.
Name	Business Address
N/	Ά
	
b. benefi	List below the name, business address and percentage of beneficial interest of each clary on whose behalf title is held.
Name	Business Address Percentage Interest
N/	'A
	IF THE UNDERSIGNED IS ANY OTHER LEGAL ENTITY, first describe the entity, then e the name, business address, and the percentage of interest of all individuals or legal s having an ownership or other beneficial interest in the entity.
Descri	be the entity:
N/	'A
	
Ver. 8/23/	<i>1</i> 03

Name Busine		Business Address	Percentage Interest
SECT	TION TWO: BUSINE	SS RELATIONSHIPS WITH CITY	ELECTED OFFICIALS
A.	DEFINITIONS AND	DISCLOSURE REQUIREMENT	
1. electe		ust Indicate whether it had a "busin onths before the date this EDS is sig	
official "finand In the (I) any share the value complete complete institution insurate employeems of the complete complete institution insurate employeems of the complete complete insurate employeems of the complete complete insurate employeems of the complete complete complete insurate employeems of the complete com	ness relationship" rail, or his or her spous cial interest," with a peramount of \$2,500 or ray ownership through pas of a corporation, or salue of or dividends ange pursuant to the Sensation paid to an of it provided equally to a tion; or (v) an endownership or pastionship or ownership ownership or ownership or ownership or ownership or ownership o	2-156 of the Municipal Code of Chica means any "contractual or other prive, or of any entity in which an official rson or entity which entities an official more in a calendar year, but a "finance or calendar year, but a "finance or corporate subsidiary, parent or a calendary each shares are securities Exchange Act of 1934, as ficial or employee for his office or employe	vate business dealing" of an all or his or her spouse has a lito compensation or payment ital interest" does not include: affaince of less than 1% of the affiliate thereof, regardless of a registered on a securities amended, (ii) the authorized aployment; (iii) any economic demand deposit in a financial contract purchased from an ealing" does not include any when such spouse has no
В.	CERTIFICATION		
1. 12 mo	Has the Undersigner onths before the date []Yes	d had a "business relationship" with a this EDS is signed? 됝 No	any City elected official in the
such r	If yes, please identif relationship(s):	y below the name(s) of such City ele	ected official(s) and describe

Ver. 8/23/03

SECTION THREE: DISCLOSURE OF RETAINED PARTIES

A. DEFINITIONS AND DISCLOSURE REQUIREMENTS

1. The Undersigned must disclose certain information about attorneys, lobbyists, accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

2. If the Undersigned is uncertain whether a disclosure is required under this Section, the Undersigned must either ask the City whether disclosure is required or make the disclosure.

B. CERTIFICATION

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

(indicate whether	Address	(attorney, lobbyist, etc.)	paid or estimated)
retained			
or anticipate			
to be retaine	d)		
John Gea	ren	190 S. LaSalle St. Attorr	nev\$480/Hr
Mayer Brown	Rowe & Maw		Total Estimate: \$10,000
		•	•
[] CHECK HER BE RETAINED B		DIVIDUALS HAVE BEEN RETAINED BY THE $oldsymbol{U}$ GNED.	NDERSIGNED OR ARE ANTICIPATED TO

SECTION FOUR: CERTIFICATIONS

L___CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicla of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

A. The Undersigned is not delinquent in the payment of any tax administered by the illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements. B. The Undersigned and its affiliates have not, in the past five years, been found in violation of any City, state or federal environmental law or regulation. If there have been any such violations, note them below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- C. If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Metter for the duration of time that such facility remains on the list.
- D. If the Undersigned is the Applicant, the Undersigned will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to these in Section Four, I, (A-C) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Undersigned has reason to believe has not provided or cannot provide truthful certifications.

igned is unable to mak above, provide an expla	e the certifications requi anation:	red in Section Fo	ur, paragraph l

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned cartified to the above statements.

II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

Check one:

- X 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
 - 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- 3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a court-approved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civily charged by a
 governmental entity (federal, state or local) with commission of any of the
 offenses enumerated in clause (A)(2) of this section;
- have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding; the date of this EDS, been convicted, adjudged guilty, or found liable in a dvll proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
 - the Undersigned;
 - any party participating in the performance of the Matter ("an Applicable Party");
 - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without ilmitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an Individual or entity that directly or Indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
 - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affillated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affillated Entity's contract or engagement in connection with the Matter.

- 1. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to
 any such agreement, or been convicted or adjudged guilty of agreement or
 collusion among bidders or prospective bidders, in restraint of freedom of
 competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (Living Wage Ordinance).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affillated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

Ε.	If the Undersigned is unable to certify	y to any of the al	oove statements in	n this Part II	I, the
	Undersigned must explain below:			÷	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

N/A

IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any Ilcansee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

A. The U	CERTIFICATION Indersigned certifies that the Undersigned [check one] is is not	
a "fina	ancial institution" as defined in Section 2-32-455(b) of the Municipal Code.	
3 .	If the Undersigned IS a financial institution, then the Undersigned piedges:	
	"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."	
If the Undersigned is unable to make this piedge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

V. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

In accordance with Section 2-156-110 of the Municipal Code:
 Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person in the Matter?
 Yes
 No

NOTE: If you answered "No" to Item V(1), you are not required to answer Items V(2) or (3) below. Instead, review the certification in Item V(4) and then proceed to Part VI. If you answered "Yes" to Item V(1), you must first respond to Item V(2) and provide the information requested in Item V(3). After responding to those Items, review the certification in Item V(4) and proceed to Part VI.

2. Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (I) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.

Does the Matter involve a City Property Sale?
[] Yes [] No

3. If you answered "yes" to Item V(1), provide the names and business addresses. City officials or employees having such interest and identify the nature of such interest.					
Name	Business Address	Nature of Interest			

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voldable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all record of the Undersigned and any and all predecessor entitles for records of investments or profit from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slavehold insurance policies and no records of names of any slaves or slaveholders.
2. The Undersigned verifies that, as a result of conducting the search in step (1)(above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves slaveholders. The Undersigned verifies that the following constitutes full disclosure of all sucrecords:

A.

SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

I. CERTIFICATION REGARDING LOBBYING

Act of 1995 who have made lobbying contacts on behalf of the Undersigned with respect to the Matter. [Begin list here, add sheets as necessary]:				
N/A	À			

List below the names of all Individuals registered under the federal Lobbying Disclosure

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

if the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any Individual for Influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, Ilnked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

IL CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcentractors:

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	• .		ave on file affirmative action programs pursuant t see 41 CFR Part 60-2.)	
	[]Yes	[]No	[] N/A	
В.	Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?			
	11Yes	[] No	I I N/A	
C.	Contract Com	•	Ing Committee, the Director of the Office of Federa the Equal Employment Opportunity Commission a ing requirements? [] N/A	

SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on Individuals or entitles seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every

aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- BY CHECKING THIS BOX THE UNDERSIGNED ACCOUNTEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING OFFINANCIS, AMONG OTHER THINGS:
- 1) Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the glifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
 - a. any cash gift or any anonymous gift; and
 - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City dutles and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.

7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all cartifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

The Cheresh/Postal Family LLC	Date: March 1, 2004
(Print or type name of Individual or legal entity exemitting this ED9)	
By:	
(aign haire)	
Print or type name of signatory:	
Rob Postel	
Title of signatory:	
Manager	
Subscribed to before me on (date) 78(0	oc , at Middlesex county
	otary Public.
Commission expires: NOTARY PUBLIC	·

8/16/10

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Undersigned must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection [identify the Matter]. Under penalty of perjury, the he/she is authorized to execute this EDS recertific warrants that all certifications and statements contains true, accurate and complete as of the date furnished.	person s ation on ained in t	Igning below behalf of the ne Undersign	v: (1) war e Unders ned's orig	rents that igned, (2) inal EDS	IE financing
accurate and complete as of the date of this acknowledgments.					
The Cheresh/Postel Family LLC	Date:	November	22, 20	04	
(Print or type name of individual or legal entity submitting this recentification	on)	,		-	
By: Robert for				•	
(high fvero)					
Print or type name of signatory:					
Rob Postel			-	(
Title of signatory: MANAGER	· .				
Subscribed to before me on [date] <u>Avveuders</u> August Maria (hise fistate). August Motor Noto Commission expires: <u>April 20, 20</u> 1.1	933, at ary Publi		<u>ldles</u>	County,	