CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

S&C Electric Company	
Check ONE of the following three boxes:	·
Indicate whether Disclosing Party submitting 1. [3] the Applicant OR	this EDS is:
	irect interest in the Applicant. State the legal name of the
	of control (see Section II.B.1.b.) State the legal name of ds a right of control:
B. Business address of Disclosing Party:	6601 North Ridge Boulevard
•	Chicago, Illinois 60626
C. Telephone: (773) 338-1000 Fax: (7	73) 338-1682 Email: mmoses@sandc.com
D. Name of contact person: Michael W. Mo	ses
E. Federal Employer Identification No. (if yo	u have one):36-1747665
	r other undertaking (referred to below as the "Matter") to
S&C Electric Company is applying to th the Illinois Industrial Jobs Recovery	e city of Chicago for TIF District status under Law and for TIP Assistance.
G. Which City agency or department is reque	sting this EDS? Department of Planning and Developmen
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
•	

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company* [] Publicly registered business corporation [] Limited liability partnership* [X] Privately held business corporation [] Joint venture* [] Sole proprietorship [] Not-for-profit corporation [] General partnership* (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership* []Ycs []No [] Trust [] Other (please specify) * Note B.1.b below. 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? k] Yes []No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). Name Title SEE ATTACHED LISTING

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

OFFICERS AND DIRECTORS OF S&C ELECTRIC COMPANY AS OF SEPTEMBER 27, 2006

OFFICERS

Elected

John W. Estey President and Chief Executive Officer

Stanley F. Slabas Senior Vice President and Chief Financial Officer

Robert L. Alworth Senior Vice President - Product Divisions

Stephen J. Maruszewski Vice President – U.S. Salcs Thomas J. Tobin Vice President – R&D

Vilma M. Bell Vice President – Human Resources
John P. Crain Vice President – Information Technology

John L. Blumenshine Vice President - Facilities

Gustavo A. Guerra Vice President - Operations Support
Salvador O. Palafox Vice President - International Operations

Phillip J. Fahey Vice President - Metal-Enclosed Gear Products Division

Witold P. Bik Vice President - Automation Systems Division
Wanda Reder Vice President - Power Systems Services Division

Steven L. Thurander Vice President – Switch Products Division
Boyd V. Rice Vice President – Strategic Marketing
Mark W. Stavnes Vice President – Fuse Products Division

Dorothy A. Skiera Secretary
Philip C. Thompson Controller
John E. Johann Treasurer

Appointed

John E. Johann Assistant Secretary

Michael W. Moses Assistant Secretary and Assistant Treasurer

DIRECTORS

Catherine W. Conrad Stockholder

Lynn O. Conrad Remainderman beneficiary, the John Trust
John W. Estey President and CEO, S&C Electric Company

Joanne F. Herrick Stockholder

G. Michael Horn The Marmon Group

John E. Jones Chairman and CEO, Cummins-American Corp.

John E. Kirkpatrick Attorney, of Counsel, Kirkland & Ellis

Stanley F. Slabas Scnior Vice President and CFO, S&C Electric Company

Name		Title	,
indirect beneficial interest of such an interest includenterest of a member or sestate or other similar endunicipal Code of Chic	est (including ownership) in ex de shares in a corporation, par manager in a limited liability of atity. If none, state "None." N	erning each person or entity hat cess of 7.5% of the Disclosing tnership interest in a partnersh company, or interest of a beneficially between the company of the company	g Party. Examples tip or joint venture ficiary of a trust, 54-030 of the
Name	Business Address	Percentage Interest in Disclosing Par	the
Conrad Family Trust	c/o Disclosing Pa	•	30.0%
Catherine W. Conrad	2317 Armstrong St.,	Honolulu, HI 96822	14.6%
oanne F. Herrick	419 Meadowbrook Dr.,	Santa Barbara, CA 93108	14.6%
&C 401(k) Retirement	t Savings Plan Trust c/	Disclosing Party	17.0%
SECTION III BUSI	NESS RELATIONSHIPS W	ITH CITY ELECTED OFF	ICIALS
•	•	ip," as defined in Chapter 2-1: before the date this EDS is sig	_
[]Yes	[X] No		
If yes, please identify be relationship(s):	elow the name(s) of such City	elected official(s) and describe	e such
			Maria de la compansa

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

• If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name	Business	Relationship to Disclosi	ng Party	Fees		
(indicate whether	Address	(subcontractor, attorney	,	(indicate who	ether	
retained or anticipated		lobbyist, etc.)		paid or estim	ated)	
to be retained)						
Ernst & Young LLP	233 S. Wacker	r, Chicago, IL	TIF Consu	ltant	\$404,514	(pd)
Polsky & Associates Ltd.	205 N. Michi	gan Ave., Chicago, IL	Transacti	on Attorney	\$111,118	(pd)
Mayer, Brown, Rowe & Maw	71 S. Wacker	, Chicago, IL	Zoning At	torney	\$11,316	(pd)
Gremley & Biedermann	4505 N. Elst	on, Chicago, IL	Surveyor		\$18,664	(pd)

(Add sheets if necessary) SEE ATTACHED

[] Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

[] No

[]Yes

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	[X] No	[] No person owns 10% or more of the Disclosing Party.
•	•	d into a court-approved agreement for payment of all support owed and h that agreement?

S&C Electric Company EDS Form

Section IV - Disclosure of Subcontractors and Other Retained Parties Continued

Bell, Boyd & Lloyd LLC 700 W. Madison, Chicago, IL Zoning Attorney \$16,234 (pd)

A.C. Alexander 7248 W. Devon, Chicago, IL Engineering Services \$3,200 (pd)

Chicago Title Ins. 171 N. Clark, Chicago, IL Title Company \$18,600 (pd)

B. FURTHER CERTIFICATIONS

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - · the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Noither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
 agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furth Certifications), the Disclosing Party must explain below:		
	e letters "NA," the word "None," or no response appears on the lines above, it will be conclusively umed that the Disclosing Party certified to the above statements.	
, C. (CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
mea trus deal trus lice	purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" ns a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, tecompany, savings bank, investment bank, securities broker, municipal securities broker, securities are, municipal securities dealer, securities underwriter, municipal securities underwriter, investment to the venture capital company, bank holding company, financial services holding company, or any under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential tragge Licensing Act. However, "financial institution" specifically shall not include any entity	

whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code.

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

(Additional definitions may be found in Municipal Code Section 2-32-455(b).)

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Munici	pal Code, explain here (attach addi	tional pages if necessary):
_	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be lied to the above statements.
D. CERTIFICATI	on regarding interest in	CITY BUSINESS
Any words or term meanings when use		of the Municipal Code have the same
	financial interest in his or her own t	funicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you cho		o Items D.2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assessing "City Property Sale	employee shall have a financial inter rentity in the purchase of any prop- ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Ycs	[] No	
	ked "Yes" to Item D.1., provide the rees having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph
1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:
SECTION VI – CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1.	ist below the names of all persons or entities registered under the federal Lobbying		
Discl	are Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with		
respect to the Matter. (Begin list here, add sheets as necessary):			

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?
[] Yes	[] No
If "Yes," answer t	e three questions below:
-	eveloped and do you have on file affirmative action programs pursuant to egulations? (See 41 CFR Part 60-2.)
[]Ycs	[]No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements? [] No
equal opportunity	·
[]Yes	[]No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.
- B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ewnership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

S&C Eletric Company Date:	10/11/06
(Print or type name of Disclosing Party)	
By: Tanley T. Slalas	
(sign here)	
Stanley F. Slabas	
(Print or type name of person signing)	
Senior Vice President and CFO (Print or type title of person signing)	
Signed and sworn to before me on (date) 10-11-00 at COOK County, 1 (state).	, by,
Commission expires: 1-29-7010.	"OFFICIAL SEAL" Tammi Silverstein, Notary Public
	County of Cook, State of Illinois My Commission Expires 07/29/2010

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I – GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a if applicable:

THEUS PROPERTY HOLDINGS, LLC

Cl

Ch	heck ONE of the following three boxes:	
Inc	dicate whether Disclosing Party submitting this EDS is: 1. [X] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: OR 3. [] a specified legal entity with a right of control (see Section II.B.1.b) State the legal name of the entity in which Disclosing Party holds a right of control:	e
В.	Business address of Disclosing Party: 618 W. Fulton, Chicago, IL 6066	<u>51</u>
C.	Telephone: 312/491-0200 Fax: 312/491-0228 Email: thadwong@atproperties.com	m
D.	Name of contract person: Thaddeus Wong	
E.	Federal Employer Identification No. (if you have one):	
	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to hich this EDS pertains. (Include project number and location of property, if applicable):	1
_	Application for TIF in connection with rehabilitation of 4703-17 N. Broadway	
G.	Which City agency or department is requesting this EDS?	<u>D</u>
	If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
	Specification # and Contract #	

SECTION II - DISCLOSURING OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSURE PARTY

[] [] [] []	1. Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership* Limited partnership* Trust	[X]Limited liability company* [] Limited liability partnership* [] Joint venture* [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
*	Note B.1.b below.	
	2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
_		Illinois
bu	3. For legal entities not organized in the Siness in the State of Illinois as a foreign ent	State of Illinois: Has the organization registered to do ity?
	[] Yes [] No	[]N/A
B.	IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
no	not-for-profit corporations, also list below	tles of all executive officers and all directors of the entity. all members, if any, which are legal entities. If there are usts, estates or other similar entities, list below the legal
Na	me	Title No officers
	npany," "Limited Liability partnership" or '	rship," "Limited partnership," "Limited liability 'Joint venture" in response to Item A.1. above (Nature of of each general partner, managing member, manager or

ach legal entity listed below must submit an EDS on its own behalf.		
Name Thaddeus Wong		Title Managing Member
indirect beneficial ir of such an interest ir interest of a member estate or other similar Municipal Code of O	nterest (including ownership) in nelude shares in a corporation, r or manager in a limited liabili ar entity. If none, state "None.	oncerning each person or entity having a direct or a excess of 7.5% of the Disclosing Party. Examples partnership interest in a partnership or joint venture, ity company, or interest of a beneficiary of a trust, "NOTE: Pursuant to Section 2-154-030 of the he City may require any such additional information of achieve full disclosure.
Name	Business Address	Percentage Interest in the Disclosing Party
Thaddeus Wong	618 W. Fulton, Chicago, I	•
SECTION III BU	USINESS RELATIONSHIPS	WITH CITY ELECTED OFFICIALS
	.	onship, " as defined in Chapter 2-156 of the Municipal hs before the date this EDS is signed?
[] Yes	[X] No	
If yes, please identif relationship(s):	y below the name(s) of such C	ity elected official(s) and describe such
		RACTORS AND OTHER RETAINED PARTIES and business address of each subcontractor, attorney,
lobbyist, accountant	, consultant and any other pers	on or entity whom the Disclosing Party has retained as well as the nature of the relationship, and the total

any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE:

'amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose Employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative Action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

Relationship to Disclosing Party

Fees

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Business

(indicate whether retained or anticipated to be retained)	Address d	(subcontractor, attorney, lobbyist, etc.)	(indicate paid or es	
•	retained) 203 No	orth LaSalle, Chicago, IL 60601	Atty./Lobbyist	est. \$30,000
Laube Companies (re	tained) 200 S. V	Vacker #3100, Chicago, IL 60606	TIF Consultant	est. \$20,000
Space Architects (reta	ained) 687	N. Milwaukee, Chicago, IL	Architect	est. \$20,00
(Add sheets if necessar	ary)			
[] Check here if the entities.	Disclosing party	has not retained, nor expects to re	tain, any such per	rsons or
SECTION V - CER	TIFICATIONS	· ·		
A. COURT-ORDE	RED CHILD ST	UPPORT COMPLIANCE		
-		92-415, substantial owners of busing the child support obligations		
· ·	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe		
[] Yes	[X] No	[] No person owns 10% or more o	f the Disclosing P	arty.
If "Yes," has the person is the person in compl		a court-approved agreement for pay agreement?	ment of all suppo	ort owed and
[] Yes [] No			

Name

B. FURTHER CERTIFICATIONS

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations instituted by the City or by the federal government, any state, or any other unit of local government.
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either r the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
 agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state of local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letter "NA, " the word "None, " or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, saving bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)
1. CERTIFICATION
The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender with in the meaning of Chapter

2-32 of the Municip	al Code, explain here (attach add	litional pages if necessary):
· ·	the word "None, " or no respons ned that the Disclosing Party cert	se appears on the lines above, it will be tified to the above statements.
D. CERTIFICATIO	ON REGARDING INTEREST I	N CITY BUSINESS
Any words or terms meanings when use	•	6 of the Municipal Code have the same
	nancial interest in his or her own	Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you chec Item D.1., proceed t	* -	to Items D.2. and D.3. If you checked "No" to
elected official or er any other person or for taxes or assessm "City Property Sale"	nployee shall have a financial in entity in the purchase of any pro ents, or (iii) is sold by virtue of l	tive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, aken pursuant to the City's eminent domain power aning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[]Yes	[X] No	
	"Yes" to Item D.1., provide the es having such interest and ident	names and business address of the City tify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

comply with these disclosure requirements may make the Matter to which this EDS pertains voidable Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2. X 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investment or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders. 2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records: SECTION VI – CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS **NOTE**: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary):

m_		Λ		1	7
ra	ge	7	of	-1	.3

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gove/omb/grants/grants_forms.html.

- 4. The Disclosing party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[]Yes	[] No
If "Yes," answer the three	questions below:
-	and do you have on file affirmative action programs pursuant to ons? (See 41 CFR Part 60-2.) [] No
•	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements? [] No
3. Have you participa equal opportunity clause? [] Yes	ted in any previous contracts or subcontracts subject to the [] No
If you checked "No" to qu	estions 1. or 2. above, please provide an explanation:

SECTION VII – ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES DISCLOSURE

The Disclosing Party understands and agrees that:

- A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.
- B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2. If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under the penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Theus Property Holdings LLC Date: 10/16/06
(Print or type name of Disclosing Party)
By:
(sign here)
Thaddeus Wong
(Print or type name of person signing)
Managing Member (Print or type title of person signing)
Signed and sworn to before me on (date October 10th 2000, by Thadday Wong
at COIL County, 11 1001 5 (state).
αι <u>(γγιο </u>
Notary Public. Official Seal Carrie J. Sulliven
Commission expires: 2 6 06