March 29, 2019

#### **ADDENDUM NO. 3**

**FOR** 

## **REQUEST FOR Qualifications ("RFQ")**

**FOR** 

# LEAD TUNNEL DESIGN AND ENGINEERING SERVICES – TERMINAL AREA PLAN – FOR THE O'HARE 21 PROGRAM

### **SPECIFICATION NO. 880169**

For which Proposals were due in the office of the Chief Procurement Officer, Department of Procurement Services, Room 103, City Hall, 121 N. LaSalle Street, Chicago, IL 60602 at 4:00 p.m., Central Time, on April 5, 2019.

The following changes and/or revisions are incorporated into the above referenced RFQ Document as noted. All other provisions and requirements as originally set forth remain in force and are binding.

# THE RESPONDENT MUST ACKNOWLEDGE RECEIPT OF THE ADDENDUM IN THE COVER LETTER SUBMITTED WITH THEIR PROPOSAL

## SECTION 1. NOTICE OF REVISIONS TO THE RFQ

1.	The Statement of Qualifications Due Date has been postponed to April 15, 2019. For
	which Statement of Qualifications are due in the Department of Procurement Services, Bid
	& Bond Room, Room 103, City Hall, 121 N. LaSalle Street, Chicago, Illinois, 60602, at 4:00
	p.m., Central Time.
2.	Delete the existing Submittal Checklist and replace in its entirety with the revised Submittal
	Checklist in Attachment A of this Addendum.
3.	Delete the existing line 1, Subsection 5. of Section B. Volume I - Statement of
	Qualifications - Required Content on Page 17 and replace it in its entirety with the
	following:
	"Professional Qualifications, Capabilities, Resources, and Specialized Experience – limit of
	five (5) pages plus ten (10) pages for Project Reference Forms"
4.	Delete the existing page C-26 in Exhibit 3: Special Conditions Regarding MBE/WBE
	Participation and replace it in its entirety with the revised page in C-26 in Attachment B of
	this Addendum.

# SECTION 2. ANSWERS TO QUESTIONS SUBMITTED FOR CLARIFICATION OF THE RFQ

Question 1:	M/WBE Subconsultant firms that are "listed" as part of the CMAR teams have been waiting already two years for potential work. With no scope or schedule defined for these M/WBE subconsultants, why is the City preventing them the opportunity to participate in the Lead Engineering procurement but conflicting out ALL subconsultants? It could be years before any of these firms have the opportunity to participate on the CMAR scope of services. Other agencies allow M/WBE subconsultants to at least participate in the procurement and if selected provide a plan for mitigation.
Response:	Conflicted parties do have the opportunity to resolve conflicts as identified in Section I.F.3 as identified on Page 10 of the RFQ.
Question 2:	Per the conflict matrix provided – subconsultant associated with the Program Management Office, only fall into a "Potential Conflict" category, whereas sub-consultants on CMAR teams and other Lead contracts are defined "Definite Conflict." This is inconsistent and unfair, subconsultants to the PMO should be subjected to the same scrutiny.
Response:	Reference Section I.F.1 on Page 9 of the RFQ. Conflict exists because subconsultant may not have "a construction management role for any project which they have worked on. Generally, professional services firms providing design services for a facility cannot also be part of constructing the same facility."
Question 3:	How does the City determine a conflict? It would seem that sub-consultants playing only a minor/supporting role should be able to participate in other non-key roles in multiple procurements if there is a mitigation plan.
Response:	Conflicts of interest are as identified in Section I.F on Pages 9-13 of the RFQ. Special attention is drawn to Section I.F.1 on Page 9 that states (in bold) "no Engineering or Architectural firm in either a prime of sub-consultant role will have oversight or review of any design work or a construction management role for any project which they have worked on."
Questions 4	Please confirm on page 17 of the RFQ that the Professional Qualifications, Capabilities, Resources and Specialized Experience is limited to 5 pages plus 10 pages for Reference Forms and the word "three" is incorrect.
Response:	The Professional Qualifications, Capabilities, Resources, and Specialized Experience is limited of five (5) pages plus ten (10) pages for Project Reference Forms. See the Section 1. of this Addendum.
Question 5	Does the comprehensive list of all airfield projects count towards the page count for the Qualifications, Capabilities, Resources and Specialized Experience?
Response	The "comprehensive list of all airfield projects containing apron, gate and taxiway/taxilane work in excess of \$20 million as well as airport APM/tunnel projects completed in the past 15 years with contact information for the client representative." as required per Page 17 of the RFQ does not count towards the page total.
Questions 6	Are the Conflicts of Interest supposed to be provided for only the Prime Respondent or should it include subconsultants?
Response	Conflicts of interest apply to Respondents as well as subcontractors as stated in Section I.F. Conflicts of Interest on Pages 9-13. Pay special attention to all language that addresses subcontractors and Covered Entity #2 & #3.
Questions 7	Is Respondents Corporate History only required for the Prime Respondent?

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Response	Corporate History is required for Respondents as identified in Section II.C.2. Respondent means the entities that submit Statement of Qualifications ("Submittals) in response to the RFQ. This would include Joint Venture Members or any legal entity that is part of the respondent. Corporate history is not required for subconsultants that are not a legal entity comprising Respondent.
Questions 8	Are Legal Actions required for the Prime Respondent or entire team?
Response	Legal Actions are required for Respondents as identified in Section II.C.3 on Page 18. Respondent means the entities that submit Statement of Qualifications ("Submittals) in response to the RFQ. This would include Joint Venture Members or any legal entity that is part of the respondent. A listing and brief description of all material actions is not required for subconsultants that are not a legal entity comprising Respondent.
Question 9	Are the Financial Statements only required for the Prime Respondent?
Response	Financial Statements are required for Respondents as identified in Section II.C.5 on Page 19. Respondent means the entities that submit Statement of Qualifications ("Submittals) in response to the RFQ. This would include Joint Venture Members or any legal entity that is part of the respondent. Financial Statements are not required for subconsultants that are not a legal entity comprising Respondent.
Question 10	Would a firm that is as a subconsultant on the team for the "Environmental Review Support for the O'Hare Environmental Impact Statement" contract be deemed to have a conflict of interest as either a subconsultant, prime, or JV partner for the Lead Tunnel Design and Engineering Services contract?
Response	Reference Section I.F. and Attachment A.1 – Conflicts Matrix. If there are no identified Conflicts of Interest associated with the work performed in Conjunction with the O'Hare Modernization Final Environmental Impact Statement (July 2005) or subsequent Re-Evaluations, then involvement with the Environmental Review Support for the O'Hare Environmental Impact Statement itself would not constitute a conflict.
Question 11	Do references need to be provided on resumes for each project listed? In addition, do project references need to be included for non-key staff?
Response	Yes, they need to be provided for all projects and staff. Reference Section B.6.c on Page 18. "Respondent must submit resumes or corporate personnel profiles of all staff (maximum two pages per individual which will not be included in the page count) which demonstrate relevant past experience for each proposed staff member and Key Personnel. Profiles should contain the individual's title on the project and narrative of the specific role the individual fulfilled on the project along with a reference with contact information."
Question 12	Please clarify the number pages on Page 17 Section 5 – Is the limit <u>three</u> or <u>five</u> pages (plus 10 pages for Project Reference Forms) for the Professional Qualifications?  Professional Qualifications, Capabilities, Resources, and Specialized Experience – <u>limit</u> of three (5) pages plus ten (10) pages for Project Reference Forms
Response	The Professional Qualifications, Capabilities, Resources, and Specialized Experience is a limit of five (5) pages plus ten (10) pages for Project Reference Forms. See Section 1. of this Addendum.
Question 13	In reference to the RFQ for Lead Tunnel Design and Engineering Services – Terminal Area Plan – for the O'Hare 21 Program, Specification No. 880169, please confirm whether the respondent's team needs to include an APM consultant or if facility interface

	requirements will be provided by others.
Response	The teams do not need an APM consultant at this time and interface requirements
	will be provided by others. Please note, however, experience in designing a tunnel
	for an Automated People Mover (APM) is an essential element of the qualifications
	required for response to this RFQ. Reference Section B.5 on Page 17.
Question 14	Page 18 of the RFQ under MBE/WBE Participation Plan and Commitment states that
<i>quodion 11</i>	Respondents are not required to complete the Schedule D-1 and C-1 documents for the
	RFQ submission. Exhibit 3: Special Conditions Regarding MBE/WBE Participation states
	bidder must submit Schedule C-1 and D-1. Please clarify if Exhibit 3 was only sample text
	and Schedule C and D are not required with the submittal.
Posponso	
Response	Per Section B.7 on Page 18. "Respondents are not required to complete the Schedule D-1 and C-1 documents for this RFQ submission."
Question 15	
Question 15	Our firm was a subconsultant for a contract awarded in May 2013 under Specification
	103340. To clarify, we would be conflicted out from participating on any teams for
	Specification No. 880169, unless we remove ourselves from this planning contract? If so,
	is that something you need to do prior to participating on a team at the proposal stage, or
Decree	can we do that if we are on a winning team for this RFP?
Response	Per Attachment A.1, "CDA Planning Contracts Subs" are conflicted with the Lead
	Tunnel Designer & Engineer JV (Spec. No. 880169). Conflicted parties must resolve
	conflicts, when applicable, as identified in Section I.F.3 as identified on Page 10 of
Question 16	the RFQ.
Question 16	Does the pedestrian tunnel scope include the interior finishes, lighting, HVAC systems,
D	security and airport systems and moving walks, or just the shell?
Response	The pedestrian tunnel is for shell, however close coordination will be required with
0	the lead architectural designer for the incorporation of finishes.
Question 17	Will the tunnel interior finishes be determined by the terminal designers for incorporation
	into the tunnel design documents?
Response	No, it is anticipated that tunnel documents will be for shell only, however in
	collaboration with the CMR for certain components finishes may need to be
	incorporated into the tunnel design documents such as structural embeds. Close
	coordination will be required with the lead architectural designer for the
	incorporation of finishes. Please see the response to Question 16 of this Addendum for additional information.
Question 18	
WUESHOII IO	Is the Design Consultant responsible for the tie-in to the existing H&R mechanical and power distribution, or will that be the responsibility of the terminal designer?
Posponso	
Response	It is not yet determined that the initial phases of the development program will be served through the existing H&R Plant. The connection to the source of these
	services may be included in this contract if it is determined that a separate
Question 19	Will the Terminal Design Team take the lead on the development of the everall
wuesuon 19	Will the Terminal Design Team take the lead on the development of the overall
	mechanical, electrical, communications and security systems strategy that the Tunnel
Pospones	Design Team will adopt for their design conditions?"
Response	Yes
Question 20	Exhibit 1: Scope of Services Section 4.05.G.5.a Material Finishes Report (RFQ page A-
<b>ชนธิงแบบ 20</b>	•
	39) indicates that the aforementioned report is to define the levels of finishes of each
Pospones	tenant space. Please define the tenant requirements within the tunnel design scope.
Response	Any tenant requirements within the tunnel scope will be further defined at a later
	time and are not required for successful response to this RFQ.

Question 21	Exhibit 1: Scope of Services Section 4.05.G.8.a (RFQ page A-41) Tunnel Structure
	Systems Report appears to have been copied over from the description of the Terminal
	Structural Systems Report. Please confirm this paragraph was intended to read Tunnel
	Structures Systems Report and references to items like "roof structure", "blast protection"
	and "wind loads" were not intended to be part of the requirements for the Tunnel Report.
Response	All items listed in Exhibit 1: Scope of Services Section 4.05.G.8.b (RFQ page A-41)
пооролоо	are valid.
Question 22	Exhibit 1: Scope of Services Section 4.05.G.9 .b (RFQ page A-41) MEP/FP Systems
Question 22	Report indicates the scope of this report includes airline equipment battery charging
	systems, aircraft potable water system, 400 Hz aircraft power systems, the aircraft pre-
	conditioned air system, interior, exterior and apron lighting and lighting control systems.
	Please confirm this is part of the Terminal/Satellite design scope and not the Lead Tunnel
	Design and Engineering scope
Response	That is correct it is a part of the Architectural Scope, however any potable water
	distributions systems, or any other trunk utility outside the parking limit line will be
	the responsibility of the Engineering Consultant.
Question 23	Exhibit 1: Scope of Services Section 4.05.G.12.d (RFQ page A-43) Baggage Handling
	System Interface indicates Consultant shall prepare a math model indicating transit times
	for inbound, outbound and connecting baggage. Shouldn't this be the responsibility of the
	baggage handling systems consultant? Please clarify the responsibility of this design
	consultant vs. other consultants that the CDA will be using on other, related contracts.
Response	That is correct that it will be the responsibility of the baggage system consultant to
-	provide this information and will not be the responsibility of the Engineering
	consultant.
Question 24	Exhibit 1: Scope of Services Section 4.05.G.13 (RFQ page A-43) Signage and Graphics
	Report - Please clarify the extent of Design Consultant's work to design signage as
	compared to the terminal/satellite design scope.
Response	The signage and graphics will mainly be within the Architectural scope, however,
	there will be some portions of the utility tunnel structure that the architectural
	teams will not have scope responsibilities such as the tunnels cells containing
	utility distributions. Engineering team should have those capabilities as a part of
	the team.
Question 25	Exhibit 1: Scope of Services Section 8.05.B (RFQ page A-65) does not list Bentley
44000011120	Microstation, however, this program has been CDA's standard for airfield civil design for
	OMP. Will Microstation be used?
Response	Design Standards currently being established by the CDA will dictate the
Response	engineering software that will be required to complete this project. Per Exhibit 1 -
	Scope of Services - Section 12.5 CAD/Geospatial Data Standards and Procedures
	will be incorporated into the Project by reference. Design team must be qualified to
	implement the software required by the CDA for the execution of this project.
Question 26	
Question 20	Exhibit 1: Scope of Services Section 11 (RFQ page A-68) – Technology Requirements –
	will the considerations for the technology planning, infrastructure and design need to take
	into consideration the Technology requirements provided by the Lead Architectural Design
_	Team for the TAP?
Response	Yes
0	
Question 27	Exhibit 1: Scope of Services Section 12 (RFQ page A-70) – Technical Requirements /
	Specifications will the technology design planning documents from the Architectural Team
	be included in the list of reference documents?

Response	No, they will not be included in the list of reference documents, however, pertinent
	information developed by the Architectural Team will be provided as required throughout the life of this project.
Question 28	Do the Security Assessment Reports noted in the various design phases need to be
Question 20	stand-alone for the defined area of Scope or is the intent to incorporate them into the
	larger assessments for the Terminal and Concourses?
Response	To be incorporated into a larger assessment of the Terminal and Concourses.
•	
Question 29	Are Intelligent Transportation Systems, for managing airside vehicle movements, to be considered for this scope of services?
Response	Management of operations and movements, vehicular and other, through facilities
	designed under Specification No. 880169 will need to be considered as part of the
	design of these facilities.
Question 30	SUBMITTAL CHECKLIST – The Submittal Checklist lists a Schedule B is required for an
	LLC, however the provided Schedule B in the RFQ is written for Joint Ventures and a
	Schedule B is only requested for JVs (not LLCs) in Section II Required Information.
Boonanaa	Please confirm a Schedule B is required for LLCs and if so the format desired.
Response	A Schedule B is only required for a Joint Venture. The body of the RFQ shall take precedence over the Submittal Checklist, however, please see the revised Submittal
	Checklist as Attachment A of this Addendum.
Question 31	SUBMITTAL CHECKLIST – The Submittal Checklist shows JV and LLC agreements
question or	under Executive Summary, but the Section II Required Information requests this
	information in Section 3 Company Profile. Please advise in which section this information
	should be placed.
Response	The body of the RFQ shall take precedence over the Submittal Checklist, however,
•	please see the revised Submittal Checklist as Attachment A of this Addendum.
Question 32	SUBMITTAL CHECKLIST - The Submittal Checklist lists "Licensing information" under
	the Executive Summary section, however Section II Required Information states to place it
	in Section 6. Professional Qualifications, Specialized Experience and Local Availability of
	Key Personnel Committed to this Project. Please confirm the licenses should be placed in
	Section 6 and not in the Executive Summary.
Response	The body of the RFQ shall take precedence over the Submittal Checklist, however,
0 4 00	please see the revised Submittal Checklist as Attachment A of this Addendum.
Question 33	Section II Required Information subsection B.5 Professional Qualifications, Capabilities,
	Resources, and Specialized Experience (RFQ page 17) states "Limit of three (5) pages."
Deemanaa	Please let us know if the page limit is 3 or 5 pages for this section.
Response	The Professional Qualifications, Capabilities, Resources, and Specialized Experience is limited of five (5) pages plus ten (10) pages for Project Reference
	Forms. See the Section 1. of this Addendum.
Question 34	Section II Required Information subsection B.6.c Professional Qualifications, Specialized
Question 54	Experience and Local Availability of Key Personnel Committed to this Project (RFQ page
	18) states "Profiles should contain the individual's title on the project and narrative of the
	specific role the individual fulfilled on the project along with a reference with contact
	information." Please confirm CDA would like reference information included in all staff
	resumes, or just the key personnel.
Response	Include a reference for the project in all staff resumes or corporate personnel
-	profiles. Reference Section B.6.c on Page 18. "Respondent must submit resumes
	or corporate personnel profiles of all staff"
Question 35	Section II Required Information subsection C.4 Business License/Authority to do Business
	in Illinois (RFQ page 19) – Please confirm licenses should be submitted for each separate

	legal entity for those respondents comprised of more than one entity (such as an LLC).
Response	Confirmed, licenses must be included for each separate legal entity.
Question 36	Exhibit 3 (RFQ page C-1) states Pursuant to MCC 2-92-535, the prime contractor may apply be awarded an additional 0.5 percent credit, up to a maximum of a total of 5 percent additional credit, for every 1 percent of the value of a contract self-performed by MBEs or WBEs, or combination thereof, that have entered into a mentoring agreement with the contractor or subcontractor-to-subcontractor mentoring agreement. Schedule D-1 notes an additional 0.33% M/WBE participation credit, per 1% of work performed, for each mentor-protégé relationship. Will this credit apply for this contract? If so, will the 0.5 or 0.33 percent credit apply?
Response	Reference Exhibit 3 Section 1.1 as the following paragraph is applicable: "Pursuant to MCC 2-92-535, the prime contractor may apply be awarded an additional 0.5 percent credit, up to a maximum of a total of 5 percent additional credit, for every 1 percent of the value of a contract self-performed by MBEs or WBEs, or combination thereof, that have entered into a mentoring agreement with the contractor or subcontractor-to-subcontractor mentoring agreement. This up to 5% may be applied to the Contract Specific Goals, or it may be in addition to the Contract Specific Goals." The body of the RFQ shall take precedence over the Schedule D-1 form, however, please see the revised Page C-26 of the Schedule D-1 form as Attachment B of this Addendum.
Question 37	Exhibit 3 Section 1.6 (5) (RFQ page C-10) Application for Approval of Mentor Protégé Agreement states "Any applications for City approval of a Mentor Protégé agreement must be included with the bid." Please confirm this should be included in the proposal, the desired format, and in which Volume/Section of the proposal it should be placed.
Response	Application for Approval of Mentor/Protégé Agreement must be included in the RFQ response. Please reference the revised Submittal Checklist included in Attachment A of this Addendum for the location of this item in the RFQ response. The following hyperlink to the City of Chicago Department of Procurement Services Website provides the Rules regarding the Mentor/Protégé Program and has a sample agreement included: <a href="https://www.chicago.gov/content/dam/city/depts/dol/rulesandregs/MentorProtegeM">https://www.chicago.gov/content/dam/city/depts/dol/rulesandregs/MentorProtegeM</a> arch2018.pdf
Question 38	Are Commissioning Authority Services included in this contract or is the designer of record's commissioning scope limited to coordination with the City's Commissioning Authority?
Response	Commissioning Authority Services are not included in this contract and Respondent's scope includes coordination with the City's Commissioning Authority.
Question 39	Please clarify the page limit (three pages or five pages) for the Professional Qualifications, Capabilities, Resources, and Specialized Experience in Section II.B.5 on page 17.
Response	The Professional Qualifications, Capabilities, Resources, and Specialized Experience is a limit of five (5) pages plus ten (10) pages for Project Reference Forms. See Section 1. of this Addendum.
Question 40	Will the experience and qualifications of subconsultant team members be applicable toward the Professional Qualification requirements of the Respondent listed in Section II.B.5 on page 17 of the RFQ?
Response	Respondent may use subconsultants to fulfill Professional Qualifications, Capabilities, Resources, and Specialized Experiences required per Section II.B.5.

Question 41	Could you clarify the design system goals and intent for deicing fluid collection & reuse, as
Question 41	referenced in Exhibit 2a, Maximum Hourly Rates per Position & Multipliers?
Response	We anticipate that this may be a required element of design, however, additional information is not available at this time.
Question 42	Please confirm there are no issues with including personnel on this project that were also already identified for the O'Hare TAP submission.
Response	There is no conflict with including staff that were submitted in the Lead
	Architectural Design Services – Terminal Area Plan – For the O'Hare 21 Program at O'Hare International Airport (Specification No. 428915)
Question 43	Is the project still targeting LEED Gold equivalence without pursuing formal certification with the GBCI? And what version of LEED should be assumed?
Response	Yes. The project will aspire to meet the goals of LEED v2009 Gold certification, however, final determination of LEED versions, goals, and equivalencies will be defined at a later time."\
Question 44	What is the status of the pre-design survey for the Lead Tunnel Design and Engineering Services - Terminal Area Plan project? Is there an existing survey the consultants will have available to them?
Response	Available survey information will be provided to the successful Respondent. Respondent must include qualification to perform site survey and investigations as identified in Design Services Scope in Section 2.03.A.41 on Page A-10.
Question 45	Please see the attached letter from CMT requesting confirmation that the 'Conflict of Interest" provision in Section 1.F of the RFQ (Specification No. 880169 – Lead Tunnel Design and Engineering Services for the O'Hare 21 TAP) does not preclude CMT from submitting as a subcontractor on a Proposal in response to this RFQ
Response	Reference Section I.F. and Attachment A.1 – Conflicts Matrix on Pages 9-13. If there are no identified Conflicts of Interest associated with the work performed in Conjunction with Specification 103340, this Aviation Planning Contract itself would not constitute a conflict. Section I.F. and Attachment A.1 – Conflicts Matrix, however, supersede this response and Respondent is responsible for determining whether there are any identifiable conflicts of interest based on criteria set forth in the RFQ. Per Section I.F.9. on Page 12 the Respondent should include a Mitigation Plan as applicable.
Question 46	Can you please advise what the Proposal Execution Page is that's referenced in the addenda?
	"BIDDER MUST ACKNOWLEDGE RECEIPT OF THIS ADDENDUM IN THE SPACE PROVIDED ON THE PROPOSAL EXECUTION PAGE."
	We were going to acknowledge them in the cover letter as indicated in the RFQ, but please advise if that is the wrong place.
Response	This is boilerplate language for competitive bids which should have been deleted. This RFQ does not contain a proposal execution page. Follow the instructions in the RFQ and acknowledge addenda in the cover letter.

# **END OF ADDENDUM 3**

CITY OF CHICAGO DEPARTMENT OF PROCUREMENT SERVICES SHANNON E. ANDREWS CHIEF PROCUREMENT OFFICER

# ATTACHMENT A REVISED SUBMITTAL CHECKLIST

### **SUBMITTAL CHECKLIST - REVISED**

This checklist is provided for ease of review of the Respondent's submittal content; however, it is the responsibility of the Respondent to ensure that all the required material requested in this RFQ is addressed and included in the Respondent's submittal.

**Volume I - Required Content** 

□ Cover Letter
☐ Executive Summary and Associated Information
☐ Respondent's Legal Entity Contracting Information
□ Company Profile
☐ Joint Venture Agreement including Schedule B and Disclosures as appropriate
☐ LLC operating Agreement and Disclosures as appropriate
☐ Project Understanding and Approach (plus team organizational chart)
☐ Professional Qualifications Capabilities, Resources, and Specialized Experience
☐ Project Reference Forms
$\qed$ Expertise and Experience of Key Staff/Resumes (plus individual role, responsibility organizational
chart)
□ Resumes
☐ Organizational Chart
☐ Licensing information
☐ MBE/WBE Participation Plan and Commitment
Volume II - Required Content
□ Conflict of Interests
☐ Respondent's Corporate History
☐ Legal Actions Form
☐ Business License/Authority to do Business in Illinois
☐ Required Information Financial Statements
☐ Economic Disclosure Statement and Affidavit
☐ MBE/WBE Documentation — SUMMARY
☐ Application for Approval of Mentor Protege Agreement (if applicable)
☐ Schedule B and JV Agreement, if appropriate
☐ Evidence of Insurability

# ATTACHMENT B REVISED SCHEDULE D-1 PAGE C-26

# Schedule D-1: Affidavit of Implementation of MBE/WBE Goals and Participation Plan



Project Name:\_

#### **SCHEDULE D-1**

Compliance Plan Regarding MBEWBE Utilization
<u>Affidavit of Prime Contractor</u>

FOR
NON-CONSTRUCTION
PROJECTS ONLY

MUST BE SUBMITTED WITH THE BID. FAILURE TO SUBMIT THE SCHEDULE D-1 WILL CAUSE THE BID TO BE REJECTED. DUPLICATE AS NEEDED.

Specification No.:
In connection with the above captioned contract, I HEREBY DECLARE AND AFFIRM that I am a duly authorized representative of  (Name of Prime Consultant/Contractor)
and that I have personally reviewed the material and facts set forth herein describing our proposed plan to achieve the MBE/WBE goals of this contract.
All MBE/WBE firms included in this plan have been certified as such by the City of Chicago and/or Cook County, Illinois (Letters of Certification Attached).
I. Direct Participation of MBE/WBE Firms:
<b>NOTE:</b> The bidder/proposer shall, in determining the manner of MBE/WBE participation, first consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services directly related to the performance of this contract.
A. If bidder/proposer is a joint venture and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification, Schedule B formand a copy of Joint Venture Agreement clearly describing the role of each MBE/WBE firm(s) and its ownership interest in the joint venture.
B. Complete this section for each MBE/WBE Subcontractor/Supplier/Consultant participating on this contract:
1. Name of MBE/WBE:
Address:
Contact Person:
Phone Number:
Dollar Value of Participation \$
Percentage of Participation %
Mentor Protégé Agreement (attach executed copy): ( ) Yes ( ) No Add'l Percentage Claimed:%
Total Participation %
2. Name of MBE/WBE:
Address:
Contact Person:
08/2013 Page 1 of 5

**C-26** | Page