Release Summary Sheet

Contract (PO) Number: T26463

Release Number: 221

Specification Number: B09684210

Name of Contractor: F.H. PASCHEN/S.N. NIELSEN, INC

City Department: DEPT OF GENERAL SERVICES

Title of Contract: T0968421001 REHABILITATION OF CITY FACILITIES

Dollar Amount of Release: $5,394.19

Release Description: GENERAL CONSTRUCTION - PUBLIC WORKS RELATED
JOB ORDER CONTRACTING (J.O.C.)
UNRESTRICTED-REHABILITATION

Procurement Services Contact Person: BYRON WHITTAKER

Vendor Number: 1055528
Submission Date:
CITY OF CHICAGO  
BLANKET RELEASE  

Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer:

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/27/2004</td>
<td>T26463</td>
<td>221</td>
<td>B09684210</td>
<td>1055528</td>
<td>A</td>
<td></td>
<td>1</td>
</tr>
</tbody>
</table>

DELIVER TO: 38-038  
038-2005 30 NORTH  
30 N. LA SALLE ST.  
ROOM 3700  
Chicago, IL 60602  

ORDERED FROM:  
F.H. PASCHEN/S.N. NIELSEN, INC  
O'HARE PLAZA  
8725 W. HIGGINS RD STE 200  
CHICAGO, IL 60631  

DELIVERY CHARGES to be PREPAID  
TITLE TO PASS ON DELIVERY  

CONTACT: HYUN LEE  

PO DESCRIPTION: T0968421001 REHABILITATION OF CITY FACILITIES  

BLANKET RELEASE  
THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: T26463  

THIS PURCHASE IS FOR DEPARTMENT: 38 - DEPT OF GENERAL SERVICES  
SUBMIT THE ORIGINAL INVOICE TO:  

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT COST</th>
<th>TOTAL COST</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>96642</td>
<td>5,394.19</td>
<td>USD</td>
<td>1.00</td>
<td>5,394.19</td>
</tr>
</tbody>
</table>

GENERAL CONSTRUCTION - PUBLIC WORKS RELATED  
JOB ORDER CONTRACTING (J.O.C.) UNRESTRICTED-REHABILITATION  

1 002 0497 0382005 4069 220540 0000 90035021 000000 0000 0000 5,394.19  
JUP817.B; Tuckpoint Waterfall; P-4-052; Green  

SHIPMENT TOTAL: 5,394.19  

RELEASE TOTAL: 5,394.19  

APPROVAL:  
SIGNATURE DATE  

SIGNATURE DATE  

PAYMENT ON THIS ORDER WILL BE MADE UPON RECEIPT OF AN ORIGINAL VENDOR INVOICE FORM REFERENCING THIS ORDER.  
SUBMIT THE ORIGINAL INVOICE TO THE DEPARTMENT REFERENCED ABOVE.  
MARK ALL PACKAGES AND PAPERS WITH THE PURCHASE NUMBER.  
ANY DELIVERIES CONTAINING OVERSHPMENTS WILL BE REFLECTED UNLESS OTHERWISE AUTHORIZED IN THIS PURCHASE.  
"THIS PURCHASE IS SUBJECT TO THE CITY OF CHICAGO GENERAL CONDITIONS FOR SUPPLIES. WORK, OR PROFESSIONAL CONSULTING SERVICES; SPECIAL CONDITIONS, DISCLOSURE OWNSHIP, ACCEPTANCE PAGE, AS APPLICABLE, WHICH ARE ATTACHED HERETO OR INCORPORATED HERIN BY REFERENCE."
To: Department of: General Services

Sub-Order Total: $5,349.19

Date: 06/28/2004

DISCLOSURE OF RETAINED PARTIES

A. Definitions and Disclosure Requirements.
   1. As used herein, the term "Contractor" means a person or entity who has any contract or lease with the City of Chicago.
   2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons whom the Contractor has retained or expects to retain with respect to the contract or lease. In particular, the Contractor must disclose the name of each such person, his or her business address, the naure of the relationship, and the amount of fees paid or estimated to be paid.
   3. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
   4. "Lobbyist" means any person (a) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

B. Certification
   Contractor hereby certifies as follows:

   1. This Disclosure relates to the following transaction:
      Project name: JUP817.B NPV Waterfall and Stream Tuck-Pointing
      Specification, loan or other Identifying number: B09684210A

   2. Name of Contractor: F.H. Paschen/SN Nielsen

   3. EACH AND EVERY attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained by the Contractor with respect to or in connection with the contract or lease is listed below (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>NAME</th>
<th>BUSINESS ADDRESS</th>
<th>MBE WBE or Non</th>
<th>RELATIONSHIP (attorney, lobbyist, subcontractor, etc.)</th>
<th>FEE (Indicate whether paid or estimated)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frederick Corporation</td>
<td>11900 South Division Blue Island, IL 60406</td>
<td>Non</td>
<td>Subcontractor</td>
<td>4,225.00</td>
</tr>
</tbody>
</table>

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED
4. The Contractor understands and agrees that the City may rely on the information provided herein and that providing any false, incomplete or inaccurate information shall constitute default under the contract and may result in termination of the contract or lease.

5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under the Executive Order, the Contractor must either ask the City whether disclosure is required or make the disclosure.

Under penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no relevant information has been withheld.

[Signature]

JAMES CRNIK

Name (type or print)

Subscribed and sworn to before me this 28 day of June 2004

Notary Public Signature

[Official Seal]

Tedd A Bloom

Notary Public State of Illinois

My Commission Expires 09/17/04

[Date]

6/28/04

[Title]

PROJECT MANAGER
November 15 2005

Ms. Barbara A. Lumpkin  
Chief Procurement Officer  
Department of Procurement Services 
City Hall, Room 403  
121 North LaSalle Street  
Chicago, IL 60602

Attn: Catherine Mellon  
JOC Manager

Re: JOC Project Number: JUP817.B / P-4-013
Project Title: NPV Waterfall – Landscape Related Construction
Address: NPV Administration Bldg C  
5801 N. Pulaski Rd.
Estimated Cost: $6,000.00
Specification Number: B09664210A
Funding Source: 02-497-38-2005-4069-0540-90035-021  
02-497-38-2005-4063-0540-90031-15-1

Dear Ms. Lumpkin:

We request that the above referenced project be contracted under the Job Order Contracting (JOC) Method. Attachment A describes the scope of work and the appropriateness for using JOC for this project.

Please direct all technical inquiries to Project Manager Susan Green at 744-6268 and all other inquiries to Staci-Rae Bixler at 744-1636.

If you concur with our recommendation, please sign below and return a copy for our files.

Sincerely,

Michi E. Peña
Commissioner

APPROVED: [Signature]
Barbara A. Lumpkin  
Chief Procurement Officer

Approved: John Plazbert  
Nicholas Arens  
Mary Capecci  
Arthur Andros
The Department of General Services
JOC Approval Letter
Utilizing JOC For City Construction Projects
NPV Administration Building C
5801 N. Pulaski Rd.

Project No: JUP817.B

For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3, 4 and 5. Attach to MOA (JOC Approval Letter)

1. Please describe the major features of this project.
   To provide all landscape related construction as needed at North Park Village

2. Please explain why this project could not be bid using the city’s traditional bid process and the need to use JOC Procurement system.
   These services shall be [provided through the current JOC contract until a landscape contract is awarded

3. If the project is a one trade project, please provide an explanation of why it would not be in the city’s best interest to do the project using DGS trades or existing term agreements.
   This is a multi-trade project

4. If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.
   All items shall be found in the Unit Price Book

5. If some elements of the proposed JOC project scope of work are covered by city term agreements, explain why they are included in this JOC project instead of being procured through the term agreements.
   No elements apply
DEPARTMENT OF GENERAL SERVICES
Bureau of Architecture, Engineering & Construction Management
JOB ORDER CONTRACT (JOC)
PRE-CONSTRUCTION FUNDING APPROVAL

User Dept: General Services  Date: November 15, 2005
Originator: Susan Green  Phone: (312) 744-6268
Project Number: JUP817.B/P-4-052
Project Name: NPV Waterfall Tuckpointing
Project Scope: Tuckpointing of existing waterfall at North Park Village to resolve continuous leaks
Location/Address: 5801 N. Pulaski Rd.

Justification:

Est. Project Budget: $6,000
CIP #: 130-02-0336
Funding Strips:
02-497-38-2005-4069-0540-90035-021 - $2,580.46
02-497-38-2005-4063-0540-90031-15-1 - $2,768.72

Funding Verified By: [Signature]  Date: 11-15-05

Can this request be completed by one of the following:

1  In-House Trades  Yes  X  No
2  Term Contract  Yes  X  No
3  Competitive Bid  Yes  X  No

If No, for any of the above please explain:

APPROVED:

[Signature]  Date: 11-16-05
Nicholas J. Ahrens, Jr., Deputy Commissioner

[Signature]  Date: 11-16-05
John J. Plosert, First Deputy Commissioner