**Release Summary Sheet**

Contract (PO) Number: 9911

Release Number: 21

Specification Number: 26936

**Name of Contractor:** ROSSI CONTRACTORS, INC.

City Department: DEPT OF WATER MANAGEMENT

Title of Contract: DOWM – JOC – SITE WORK

**Dollar Amount of Release:** $ 466,183.05

Release Description: DOWM – JOC – SITE WORK

Procurement Services Contact **Person:** BYRON WHITTAKER

Vendor **Number:** 1033386

Submission **Date:**
CITY OF CHICAGO
BLANKET RELEASE

SUBJECT TO SUBCONTRACTOR CERTIFICATION
Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
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<td>26936</td>
<td>1033386</td>
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DELIVER TO:  88-D40

D40
CHIEF WATER ENGINEER'S OFFICE
1000 E OHIO ST
CHICAGO IL 60611

ORDERED FROM:
ROSSI CONTRACTORS, INC.
201 W LAKE ST
NORTHLAKE, IL 60164

DELIVERY CHARGES to be PREPAID
TITLE TO PASS ON DELIVERY

CONTACT:  RICHARD PATRICK 742-3614

PO DESCRIPTION:  DOWM - JOC - SITE WORK

BLANKET RELEASE

THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: 9911

THIS PURCHASE IS FOR DEPARTMENT:  88 - DEPARTMENT OF WATER MANAGEMENT

SUBMIT THE ORIGINAL INVOICE TO:

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
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<td>1</td>
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<td>DOWM - JOC - SITE WORK</td>
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S-06-006R; JWPP-FILTER WATER AND WASHER JOINTS - ADDTL WORK

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<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
<th>FUTR</th>
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SHIPMENT TOTAL: 466,183.05

RELEASE TOTAL: 466,183.05

APPROVAL:  

SIGNATURE:  
DATE: 2/6/07

PAYMENT ON THIS ORDER WILL BE MADE UPON RECEIPT OF AN ORIGINAL VENDOR INVOICE FORM REFERRING TO THIS ORDER.

MARK ALL PACKAGES AND PAPERS WITH THE PURCHASE NUMBER.

ANY DELIVERIES CONTAINING OVERSHEMPTIONS WILL BE REFLECTED UNLESS OTHERWISE AUTHORIZED IN THIS PURCHASE.

THIS PURCHASE IS SUBJECT TO THE CITY OF CHICAGO GENERAL CONDITIONS FOR SUPPLIES, WORK, OR PROFESSIONAL CONSULTING SERVICES: SPECIAL CONDITIONS, DISCLOSURE OWNERSHIP, ACCEPTANCE AND ATTACHMENT, AS APPLICABLE, WHICH ARE ATTACHED HERETO OR INCORPORATED HEREIN BY REFERENCE.
**Disclosure of Retained Parties**

**A. Definitions and Disclosure Requirements**
1. As used herein, the term “Contractor” means a person or entity who has any contract lease with the City of Chicago.
2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement.
   Providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons.
   Whom the contractor has retained or expects to retain with respect to the contract or lease. In particular, the contactor
   must disclose the name of each such person, his or her business address, the nature of the relationship, and the
   amount of fees paid or estimated to be paid.
3. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
4. "Lobbyist" means any person (a) who for compensation or on behalf of any person other than himself undertakes to
   influence any legislative or administrative action, or (b) any part or whose duties as an employee of another
   includes undertaking to influence any legislative or administrative action.

**B. Certification**

Contractor hereby certifies as follows:
1. This Disclosure relates to the following transaction:
   - Project name: S-06-006 B-2
   - JWPP Conduit Expansion Joint Repairs Phase Two
   - Specification, loan or other identifying number: 26936
2. Name of Contractor: Rossi Contractors, Inc.
3. EACH AND EVERY attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated
   to be retained by the Contractor with respect to or in connection with the contract or lease is listed below
   (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>Name</th>
<th>BUSINESS ADDRESS</th>
<th>MBE or Non</th>
<th>Relationship (attorney, lobbyist, subcontractor, etc.)</th>
<th>FEE (Indicate whether paid or estimated)</th>
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<tr>
<td>Underwater Construction</td>
<td>4316 N. Roosevelt Stevensville, MI, 49127</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$369,333.00 Est.</td>
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<td>Petromex, Inc.</td>
<td>14702 S. Hamlin Rd Midlothian, IL, 60445</td>
<td>MBE</td>
<td>Subcontractor</td>
<td>$5,200.00 Est.</td>
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<tr>
<td>Rossi Contractors, Inc.</td>
<td>201 W. Lake Street Northlake, IL, 60164</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$87,450.05 Est.</td>
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<td>Patten Power Systems</td>
<td>615 West Lake Street Elmhurst, IL, 60126</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$4,200.00 Est.</td>
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</table>

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED:

4. The Contractor understands and agrees that the city may rely on the information provided herein and that providing
   any false incomplete or inaccurate information shall constitute default under the contract and may result in
   termination of the contract or lease.

5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under the Executive order, the Contractor must either
   ask the city whether disclosure is required or make the disclosure.

Under the Penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no
relevant information has been withheld.

**Signature**

BRIAN HOFER

**Date**

5 JAS/07

**Title**

PROJECT MANAGER

Subscribed and sworn to before me
Ms. Barbara A. Lumpkin  
Chief Procurement Officer  
Department of Procurement Services  
City Hall, Room 403  
121 North LaSalle Street  
Chicago, IL 60602

12/21/2006

Subject: Job Order Contract - Department of Water Management  
Project Description: JWPP Filter Water and Wash Water Joints - Additional Work  
Project No: S-06-006.B-2  
Contract No: 9911  
Spec. No: 26936  
Estimated Cost: $477,192.85  
Funding No.: 004-0211-0882020-0550-W706-220550-04301040  
006-0212-0882020-0550-W706-220550-04301060

Dear Ms. Lumpkin:

This Department is requesting that the Contractor perform "Phase B" repair of six (6) remaining JWPP filter water and wash water conduit expansion joints of the original twelve (12). (Please see attached memorandum for explanation).

Work will include:
- Repair of six remaining filtered water conduit expansion joints and wash water conduit expansion joints between the JWPP Administration and Filter Buildings.

Estimated duration of this Project is (50) fifty Days.

Enclosed is the preliminary estimate of cost for the work involved and a project description. Funding for this work is in place.

If you concur with this request, please notify this office as soon as possible and forward a copy of the approved memorandum of authorization. For any further information concerning this matter, contact Richard Patrick, Bureau of Engineering Services at 744-5067.

Yours Truly,
[Signature]

John F. Spatz, Jr.  
Acting Commissioner

Approved By:
[Signature]  
Barbara A. Lumpkin  
Chief Procurement Officer
The Department of Water Management
JOC Approval Letter
Utilizing JOC For City Construction Projects

Project No: S-06-006.B-2
JWPP Filter Water and Wash Water Joints - Additional Work

For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3, 4 and 5. Attach to MOA (JOC Approval Letter)

1. Please describe the major features of this project.
   Underwater repair of expansion joints.

2. Please explain why this project could not be bid using the city's traditional bid process and the need to use JOC Procurement system.
   This is "Phase B" to continue repairs that have already been completed. These remaining repairs must be competed immediately because large quantities of water are leaking from the joints and filling the drainage trenches at Elevation -15 at several locations. If this leakage increases it could overrun the drainage trenches and flood the adjacent floors and could conceivably exceed the capacity of the South Sump system. We request that this be a continuation of the JOC project (Phase "A") because of the urgency.

3. If the project is a one trade project, please provide additional explanation of why it would not be in the city's best interest to do the project under JOC.
   N/A.

4. If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.
   N/A.

5. If some elements of the proposed JOC Project scope of work are covered by city term agreements, these elements should be removed from the JOC Project.
   N/A.
JOC Work Order #: S-06-006.B-2
Location:
WorkOrder Title: JWPP Filter Water and Wash Water Joints - Additional Work

Independent Cost Estimate - CSI

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
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<tbody>
<tr>
<td>Estimate Sub-Total</td>
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<tr>
<td>Overhead</td>
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<td>Profit</td>
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