Release Summary Sheet

Contract (PO) Number: 9911

Release Number: 32

Specification Number: 26936

Name of Contractor: ROSSI CONTRACTORS, INC.

City Department: DEPT OF WATER MANAGEMENT

Title of Contract: DOWM – JOC – SITE WORK

Dollar Amount of Release: $ 136,948.61

Release Description: DOWM – JOC – SITE WORK

Procurement Services Contact Person: BYRON WHITTAKER

Vendor Number: 1033386

Submission Date:
CITY OF CHICAGO
BLANKET RELEASE

Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
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<td>26936</td>
<td>1033386</td>
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DELIVER TO: 88-D40

D40
CHIEF WATER ENGINEER'S OFFICE
1000 E OHIO ST
CHICAGO IL 60611

DELIVERY CHARGES to be PREPAID
TITLE TO PASS ON DELIVERY

ORDERED FROM:
ROSSI CONTRACTORS, INC.
201 W LAKE ST
NORTHLAKE, IL 60164

CONTACT: RICHARD PATRICK 742-3614

PO DESCRIPTION: DOWM - JOC - SITE WORK

BLANKET RELEASE

THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: 9911

THIS PURCHASE IS FOR DEPARTMENT: 88 - DEPARTMENT OF WATER MANAGEMENT

SUBMIT THE ORIGINAL INVOICE TO:

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
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<tr>
<td>1</td>
<td>1</td>
<td>DOWM - JOC - SITE WORK</td>
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<table>
<thead>
<tr>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT COST</th>
<th>TOTAL COST</th>
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<tr>
<td>DOWM - JOC - SITE WORK</td>
<td>135,948.61 USD</td>
<td>1.00</td>
<td>135,948.61</td>
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S-07-37A; SWPP CHE BUILDING CWEST ELEVATION REMOVE AND INSTALL JT SEALANT

<table>
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<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACCNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
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<td>0882020</td>
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<td>220550</td>
<td>W706</td>
<td>07201060</td>
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SHIPMENT TOTAL: 135,948.61

RELEASE TOTAL: 135,948.61

APPROVAL

SIGNATURE: [Signature] DATE: [Date]

Payment on this order will be made upon receipt of an original vendor invoice form referencing this order.
Submit the original invoice to the department referenced above.
Mark all packages and papers with the purchase number.
Any deliveries containing overshipments will be reflected unless otherwise authorized in this purchase.
Reason why the MOA differs from the Sub Order

Project No: S-07-037 A-3
SWPP - CHE Building (West Elevation) Remove and Install Joint Sealant for Stone Cladding

1. The Sub Order amount differs from the MOA estimate for the following reasons:

The Construction Cost Proposal is 4.58% higher than the MOA Construction Cost Estimate.
Disclosure of Retained Parties

A. Definitions and Disclosure Requirements
1. As used herein, the term "Contractor" means a person or entity who has any contract or lease with the City of Chicago.
2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement
   providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons
   whom the contractor has retained or expects to retain with respect to the contract or lease. In particular, the contractor
   must disclose the name of each such person, his or her business address, the nature of the relationship, and the
   amount of fees paid or estimated to be paid.
3. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
4. "Lobbyist" means any person (a) who for compensation or on behalf of any person other than himself undertakes to
   influence any legislative or administrative action, or (b) any part or whose duties as an employee of another
   includes undertaking to influence any legislative or administrative action.

B. Certification

Contractor hereby certifies as follows:

1. This Disclosure relates to the following transaction:
   Project name: S-07-037 A-3 SWPP Chem Bld Joints Sealing
   Specification, loan or other identifying number: 20936

2. Name of Contractor: Rossi Contractors, Inc.

3. EACH AND EVERY attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated
   to be retained by the Contractor with respect to or in connection with the contract or lease is listed below
   (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>Name</th>
<th>BUSINESS ADDRESS</th>
<th>MBE WBE or Non</th>
<th>Relationship (attorney, lobbyist, subcontractor, etc.)</th>
<th>$ FEE (indicate whether paid or estimated)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rossi Contractors, Inc.</td>
<td>201 W. Lake Street</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$40,948.61 Est.</td>
</tr>
<tr>
<td></td>
<td>Northlake, IL 60015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Era Valdivia Contractors, Inc.</td>
<td>11909 South Avenue O</td>
<td>MBE</td>
<td>Subcontractor</td>
<td>$95,000.00 Est.</td>
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<td></td>
<td>Chicago, IL 60617</td>
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CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED

4. The Contractor understands and agrees that the city may rely on the information provided herein and that providing
   any false or incomplete or inaccurate information shall constitute default under the contract and may result in
   termination of the contract or lease.
5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under the Executive order, the Contractor must either
   ask the city whether disclosure is required or make the disclosure.

Under the Penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no
relevant information has been withheld.

\[Signature\]

\[Date\]

\[Title\]

\[Name (Type or Print)\]

Subscribed and sworn to before me

\[Notary Public Signature\]

\[Official Seal\]

Disclosure Statement
Ms. Barbara A. Lumpkin  
Chief Procurement Officer  
Department of Procurement Services  
City Hall, Room 403  
121 North LaSalle Street  
Chicago, IL 60602

Subject: Job Order Contract -  
Department of Water Management  
Project Description: SWPP - CHE Building (West Elevation) Remove and Install Joint Sealant for Stone Cladding  
Project No: S-07-37 A-3  
Contract No: 9911  
Spec. No: 26936  
Estimated Cost: $130,000.00  
Funding No.: 006-0212-0882020-0550-W706-220550-07201060

Dear Ms. Lumpkin:

This Department is requesting that the Contractor remove and replace existing horizontal and vertical joint sealant between the stone cladding on the west elevation of the CHE Building at SWPP.

Estimated duration of this Project is (3) three Weeks.

Enclosed is the preliminary estimate of cost for the work involved and a project description. Funding for this work is in place.

If you concur with this request, please notify this office as soon as possible and forward a copy of the approved memorandum of authorization. For any further information concerning this matter, contact Richard Patrick, Bureau of Engineering Services at 744-5067.

Yours Truly,

\[Signature\]

John F. Spatz, Jr.  
Commissioner

Approved By:

\[Signature\]

Barbara A. Lumpkin  
Chief Procurement Officer
The Department of Water Management

JOC Approval Letter

Utilizing JOC For City Construction Projects

Project No: S-07-37-A-3

SWPP - CHE Building (West Elevation) Remove and Install Joint Sealant for Stone Cladding

For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3, 4 and 5. Attach to MOA (JOC Approval Letter)

1. **Please describe the major features of this project.**

   Remove and replace existing horizontal and vertical joint sealant between the stone cladding on the west elevation of the CHE Building.

2. **Please explain why this project could not be bid using the city’s traditional bid process and the need to use JOC Procurement system.**

   Emergency priority repair work to stop water leaks into the CHE Building. In order to minimize damage to the building, repairing leaks needs to be performed in advance of stone cladding repairs that will be bid using the traditional bid process later in the year.

3. **If the project is a one trade project, please provide additional explanation of why it would not be in the city’s best interest to do the project under JOC.**

   N/A.

4. **If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.**

   N/A.

5. **If some elements of the proposed JOC Project scope of work are covered by city term agreements, these elements should be removed from the JOC Project.**

   N/A.
JOC Work Order #: S-07-37.A-3  
Location:  
WorkOrder Title: SWPP - CHE Building (West Elevation) Remove and Install Joint Sealant for Stone Cl.

### Independent Cost Estimate - CSI

<table>
<thead>
<tr>
<th>Item</th>
<th>Amount</th>
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<tbody>
<tr>
<td>Estimate Sub-Total</td>
<td>130,000.00</td>
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<tr>
<td>Overhead</td>
<td>0.00</td>
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<tr>
<td>Profit</td>
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<tr>
<td>Estimate Total</td>
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