Release Summary Sheet

Contract (PO) Number: 9911

Release Number: 37

Specification Number: 26936

Name of Contractor: ROSSI CONTRACTORS, INC.

City Department: DEPARTMENT OF WATER MANAGEMENT

Title of Contract: DOWM - JOC - SITE WORK

Dollar Amount of Release: $89,151.32

Release Description: DOWM - JOC - SITE WORK

Procurement Services Contact Person: BYRON WHITTAKER

Vendor Number: 1033386
Submission Date:
**CITY OF CHICAGO**
**BLANKET RELEASE**

Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
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<tbody>
<tr>
<td>10/23/2007</td>
<td>9911</td>
<td>37</td>
<td>26936</td>
<td>1033386</td>
<td>A</td>
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</table>

**DELIVER TO:** 88-D40

D40
CHIEF WATER ENGINEER'S OFFICE
1000 E OHIO ST
CHICAGO IL 60611

DELIVERY CHARGES to be PREPAID
TITLE TO PASS ON DELIVERY

**CONTACT:** RICHARD PATRICK 742-3614

**PO DESCRIPTION:** DOWM - JOC - SITE WORK

**BLANKET RELEASE**

THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: 9911

THIS PURCHASE IS FOR DEPARTMENT: 88 - DEPARTMENT OF WATER MANAGEMENT

SUBMIT THE ORIGINAL INVOICE TO:

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT</th>
<th>TOTAL COST</th>
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<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>DOWM - JOC - SITE WORK</td>
<td>95896</td>
<td>89,151.32</td>
<td>USD</td>
<td>1.00</td>
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S-07-041A-2; JARDINE WATER PURIFICATION PLANT; PO# 9911

<table>
<thead>
<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
<th>FUTR</th>
<th>TOTAL COST</th>
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<td>0227</td>
<td>0872025</td>
<td>0550</td>
<td>220550</td>
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<td>8,773.41</td>
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</table>

**SHIPMENT TOTAL:** 89,151.32

**RELEASE TOTAL:** 89,151.32

**APPROVAL**

[Signature]

**SIGNATURE**

[Signature]

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Payment on this order will be made upon receipt of an original vendor invoice form referencing this order.

Submit the original invoice to the department referenced above.

Mark all packages and papers with the purchase number.

Any deliveries containing overshipments will be reflected unless otherwise authorized in this purchase.

This purchase is subject to the City of Chicago General Conditions for Supplies, Work, or Professional Consulting Services: Special Conditions, Disclosure Ownership, Acceptance Page, as applicable, which are attached hereto or incorporated herein by reference.
## Disclosure of Retained Parties

### A. Definitions and Disclosure Requirements

1. As used herein, the term "Contractor" means a person or entity who has any contract lease with the City of Chicago.
2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement. Providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons Whom the contractor has retained or expects to retain with respect to the contract or lease. In particular, the contractor must disclose the name of each such person, his or her business address, the nature of the relationship, and the amount of fees paid or estimated to be paid.
3. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
4. "Lobbyist" means any person (a) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

### B. Certification

A contractor hereby certifies as follows:

1. This Disclosure relates to the following transaction:

   **Project name:** S-07-041 A-2
   **JWPP Expansion Joint Repair at EI-10**
   **Specification, loan or other identifying number:** 26938

2. **Name of Contractor:**
   Rossi Contractors, Inc.

3. **EACH AND EVERY** attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained by the Contractor with respect to or in connection with the contract or lease is listed below (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>Name</th>
<th>BUSINESS ADDRESS</th>
<th>MBE WBE or Non</th>
<th>Relationship (attorney, lobbyist, subcontractor, etc.)</th>
<th>Fee (indicate whether paid or estimated)</th>
</tr>
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<tbody>
<tr>
<td>Rossi Contractors, Inc.</td>
<td>201 W. Lake Street</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$81,151.32 Est.</td>
</tr>
<tr>
<td></td>
<td>Northlake, IL 60164</td>
<td></td>
<td></td>
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<tr>
<td>Genrock Company</td>
<td>140 West Lake Street</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$8,000.00 Est.</td>
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<td></td>
<td>Northlake, IL 60164</td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED.

4. The Contractor understands and agrees that the city may rely on the information provided herein and that providing any false or incomplete or inaccurate information shall constitute default under the contract and may result in termination of the contract or lease.

5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under Executive Order, the Contractor must either ask the city whether disclosure is required or make the disclosure.

Under the Penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no relevant information has been withheld.

**Signature:**

**Date:**

**Title:**

Subscribed and sworn to before me this 20th day of *September 2007*.  
*Notary Public Signature* 

[Official Seal]

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**Disclosure Statement**
Ms. Barbara A. Lumpkin  
Chief Procurement Officer  
Department of Procurement Services  
City Hall, Room 403  
121 North LaSalle Street  
Chicago, Ill. 60602

Subject: Job Order Contract - JWPP S.W. Settling Basin Gallery Connection Joint Repairs at Elev. -10.0
Project Description:

|-------------|--------------|-----------|----------------|-------------|
| S-07-041-A-2 | 9911         | 26936     | $120,000.00    | 004-0211-0882020-0550-W706-220550-04301040  
001-0227-0872045-0550-W706-220550-04301010  
006-0212-0882020-0550-W706-220550-04301060 |

Dear Ms. Lumpkin:

This Department is requesting that Contractor remove existing expansion joint closure material and damaged concrete in the area near the S.W. Settling Basin Gallery Connection floor at elevation -10.0 between column lines 50 to 52 just west of column line A. Repair concrete on each side of the joint opening, restoring to a uniform joint width with the specified repair mortar. Install the specified neoprene expansion joint seal that is air-pressurized and bonded in place with epoxy adhesive to a watertight condition in order to reduce the flow of water that can be handled by the building existing sump pumps.

Estimated duration of this Project is (4) four Weeks.

Enclosed is the preliminary estimate of cost for the work involved and a project description. Funding for this work is in place.

If you concur with this request, please notify this office as soon as possible and forward a copy of the approved memorandum of authorization. For any further information concerning this matter, contact Richard Patrick, Bureau of Engineering Services at 744-5067.

Yours Truly,

[Signature]

John F. Spatz, Jr.  
Commissioner

Approved By:  

[Signature]

Barbara A. Lumpkin  
Chief Procurement Officer
The Department of Water Management

JOC Approval Letter

Utilizing JOC For City Construction Projects

Project No: S-07-041.A-2
JWPP S.W. Settling Basin Gallery Connection Joint Repairs at Elev. -10.0

For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3,4 and 5. Attach to MOA (JOC Approval Letter)

1. Please describe the major features of this project.
   Remove existing expansion joint closure material and damaged concrete and replace with SikaQuick 2500 repair mortar and Wabo Jeene neoprene expansion joint seal.

2. Please explain why this project could not be bid using the city’s traditional bid process and the need to use JOC Procurement system.
   This is emergency repair work that needs to be completed before the beginning of October when the lake water temperature falls. This project can be defined by a written scope of work and does not have sufficient time to use the traditional bid process.

3. If the project is a one trade project, please provide additional explanation of why it would not be in the city’s best interest to do the project under JOC.
   N/A.

4. If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.
   N/A.

5. If some elements of the proposed JOC Project scope of work are covered by city term agreements, these elements should be removed from the JOC Project.
   N/A.
**City of Chicago**  
Department of Water Management  
1000 East Ohio Street  
Chicago, IL 60611  

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**JOC Work Order #:**  S-07-041.A-2  
**Date:**  August 20, 2007  

**Location:**  

**WorkOrder Title:**  JWPP S.W. Settling Basin Gallery Connection Joint Repairs at Elev. -10.0  

<table>
<thead>
<tr>
<th>Independent Cost Estimate - CSI</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Estimate Sub-Total</strong></td>
</tr>
<tr>
<td><strong>Overhead</strong></td>
</tr>
<tr>
<td><strong>Profit</strong></td>
</tr>
<tr>
<td><strong>Estimate Total</strong></td>
</tr>
</tbody>
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20 August 2007  
Page 1 of 1