Release Summary Sheet

Contract (PO) Number: 9911

Release Number: 46

Specification Number: 26936

Name of Contractor: ROSSI CONTRACTORS, INC.

City Department: DEPARTMENT OF WATER MANAGEMENT

Title of Contract: DOWM - JOC - SITE WORK

Dollar Amount of Release: $51,867.81

Release Description: DOWM - JOC - SITE WORK

Procurement Services Contact Person: BYRON WHITTAKER

Vendor Number: 1033386
Submission Date:
CITY OF CHICAGO
BLANKET RELEASE

SUBJECT TO SUBCONTRACTOR CERTIFICATION
Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009-03-30</td>
<td>9911</td>
<td>46</td>
<td>26936</td>
<td>1033386</td>
<td>A</td>
<td></td>
<td>1</td>
</tr>
</tbody>
</table>

DELIVER TO: 88-D40
CHIEF WATER ENGINEER'S OFFICE
1000 E OHIO ST
CHICAGO IL 60611
Chicago, IL

DELIVERY CHARGES to be PREPAID
TITLE TO PASS ON DELIVERY

ORDERED FROM:
ROSSI CONTRACTORS, INC.
201 W LAKE ST (EFT)
NORTHLAKE, IL 60164

CONTACT: 312-742-3614

PO DESCRIPTION: DOWM - JOC - SITE WORK

BLANKET RELEASE

THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: 9911

THIS PURCHASE IS FOR DEPARTMENT: 88 - DEPARTMENT OF WATER MANAGEMENT

SUBMIT THE ORIGINAL INVOICE TO:

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT COST</th>
<th>TOTAL COST</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>DOWM - JOC - SITE WORK</td>
<td>0.00</td>
<td>USD</td>
<td>1.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

REQ# 41311; SO8-044.A-3; SOUTH WATER PURIFICATION PLANT; EMERGENCY REPAIR; PO# 9911

<table>
<thead>
<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACCNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
<th>Futr</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>007</td>
<td>0228</td>
<td>082020</td>
<td>0550</td>
<td>220550</td>
<td>W706</td>
<td>08201070</td>
<td>000000</td>
<td>0000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SHIPPING TOTAL: 0.00

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT COST</th>
<th>TOTAL COST</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>DOWM - JOC - SITE WORK</td>
<td>51,867.81</td>
<td>USD</td>
<td>1.00</td>
<td>51,867.81</td>
</tr>
</tbody>
</table>

REQ# 41311; SO8-044.A-3; SOUTH WATER PURIFICATION PLANT; EMERGENCY REPAIRS; PO# 9911

<table>
<thead>
<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACCNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
<th>Futr</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>007</td>
<td>0228</td>
<td>082020</td>
<td>0550</td>
<td>220550</td>
<td>W706</td>
<td>08201070</td>
<td>000000</td>
<td>0000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SHIPPING TOTAL: 51,867.81

RELEASE TOTAL: 51,867.81

Payment on this order will be made upon receipt of an original vendor invoice form referencing this order. Submit the original invoice to the department referenced above. Mark all packages and papers with the purchase number. Any deliveries containing overfillments will be reflected unless otherwise authorized in this purchase. This purchase is subject to the City of Chicago General Conditions for Supplies. Work, or Professional Consulting Services; Special Conditions, Disclosure Ownership, Acceptance Page, as applicable, which are attached hereto or incorporated herein by reference.
CITY OF CHICAGO  
BLANKET RELEASE  

SUBJECT TO SUBCONTRACTOR CERTIFICATION  
Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009-03-30</td>
<td>9911</td>
<td>46</td>
<td>26936</td>
<td>1033386</td>
<td>A</td>
<td></td>
<td>2</td>
</tr>
</tbody>
</table>

**DELIVER TO:** 88-D40  
D40  
CHIEF WATER ENGINEER'S OFFICE  
1000 E OHIO ST  
CHICAGO IL 60611  
Chicago, IL

**ORDERED FROM:**  
ROSSI CONTRACTORS, INC.  
201 W LAKE ST (EFT)  
NORTHLAKE, IL 60164

DELIVERY CHARGES to be PREPAID  
TITLE TO PASS ON DELIVERY  

**CONTACT:** 312-742-3614

**APPROVAL**  
[Signature]  
Date: 4/21/07

**SIGNATURE**  
[Signature]  
Date: [Blank]

---

Payment on this order will be made upon receipt of an original vendor invoice form referencing this order.  
Submit the original invoice to the department referenced above.  
Mark all packages and papers with the purchase number.  
Any deliveries containing over-shipments will be reflected unless otherwise authorized in this purchase.  
This purchase is subject to the City of Chicago General Conditions for Supplies, Work, or Professional Consulting Services; Special Conditions, Disclosure Ownership, Acceptance Page, as applicable, which are attached hereto or incorporated herein by reference.
# Disclosure of Retained Parties

## A. Definitions and Disclosure Requirements

1. As used herein, the term “Contractor” means a person or entity who has any contract or lease with the City of Chicago.

2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons whom the contractor has retained or expects to retain with respect to the contract or lease. In particular, the contractor must disclose the name of each such person, his or her business address, the nature of the relationship, and the amount of fees paid or estimated to be paid.

3. The Contractor is not required to disclose employees who are paid solely through the Contractor’s regular payroll.

4. “Lobbyist” means any person (a) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action, or (b) any part or whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

## B. Certification

Contractor hereby certifies as follows:

1. This Disclosure relates to the following transaction:

   **Project name:** S-08-044-A-3
   **SWPP Buildings - Emergency Repairs**

   **Specification, loan or other identifying number:** 26936

2. **Name of Contractor:** Rossi Contractors, Inc.

3. **EACH AND EVERY** attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained by the Contractor with respect to or in connection with the contract or lease is listed below (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>Name</th>
<th>BUSINESS ADDRESS</th>
<th>MBE WBE or Non</th>
<th>RelationShip (attorney, lobbyist, subcontractor, etc.)</th>
<th>Fee (Indicate whether paid or estimated)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Omelas Construction Company</td>
<td>12520 W. Horseshoe Drive</td>
<td>MBE</td>
<td>Subcontractor</td>
<td>$23,100.00 Est.</td>
</tr>
<tr>
<td></td>
<td>New Lenox, IL, 60451</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Era Valdivia Contractors, Inc.</td>
<td>11909 South Avenue O</td>
<td>MBE</td>
<td>Subcontractor</td>
<td>$32,000.00 Est.</td>
</tr>
<tr>
<td></td>
<td>Chicago, IL, 60617</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED.**

4. The Contractor understands and agrees that the city may rely on the information provided herein and that providing any false incomplete or inaccurate information shall constitute default under the contract and may result in termination of the contract or lease.

5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under the Executive order, the Contractor must either ask the city whether disclosure is required or make the disclosure.

Under the Penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no relevant information has been withheld.

_Signature_

_Robert Rossi_

_Name (Type or Print)_

_Date: 2/11/09_

_Vice President_

_Title_

Subscribed and sworn to before me this ______ day of ____________ 20__

_Notary Public Signature_

_Date: ___________________ 20__

_Official Seal_

_Lorraine Dullar_

_Notary Public, State of Illinois_

_My Commissioner Expires 08/21/2009_

_Disclosure Statement_
Mr. Montel M. Gayles  
Chief Procurement Officer  
Department of Procurement Services  
City Hall, Room 403  
121 North LaSalle Street  
Chicago, Ill 60602  

Subject: Job Order Contract - Department of Water Management  
Project Description: SWPP Buildings - Emergency Repairs to Stop Rain Water Infiltration  
Project No: S-08-044.A-3  
Contract No: 9911  
Spec. No: 26936  
Estimated Cost: $135,000.00  
Funding No.: 007-0222-0882020-0550-W706-220550-07203070

02/14/2008

Dear Mr. Gayles:

Repair identified roof areas, flashings, expansion joints, masonry walls, and parapets at the following locations:

#1 Completed under JOC Project S-07-037.A-3.*
#2 Repair water infiltration in roof at grid 43 in Stair 2 of the Filter Building.
#3 Repair water infiltration into the Laboratory Building at the base of the wall along grid 70 in Switchboard Room 2, through the ceiling of Battery Room 1, and through the retaining wall at 69/Ja.
#4 Repair water infiltration at the 2nd floor window wall elevation +29'-6" Administration Building Room 213.
#5 Repair water infiltration in the roof of the Laboratory Building Electrical Switchgear Room at grids 66c/Ld elevation +31'-6".

Estimated duration of this Project is (4) four Weeks.

Enclosed is the preliminary estimate of cost for the work involved and a project description. Funding for this work is in place.

If you concur with this request, please notify this office as soon as possible and forward a copy of the approved memorandum of authorization. For any further information concerning this matter, contact Richard Patrick, Bureau of Engineering Services at 744-5067.

Yours Truly,

[Signature]

John F. Spatz, Jr.  
Commissioner

Approved By:

[Signature]

Montel M. Gayles  
Chief Procurement Officer
The Department of Water Management

JOC Approval Letter

Utilizing JOC For City Construction Projects

Project No: S-08-044.A-3
SWPP Buildings - Emergency Repairs to Stop Rain Water Infiltration

For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3, 4 and 5. Attach to MOA (JOC Approval Letter)

1. Please describe the major features of this project.
   Repair identified roof areas, flashings, expansion joints, masonry walls, and parapets at various buildings at SWPP.

2. Please explain why this project could not be bid using the city’s traditional bid process and the need to use JOC Procurement system.
   JOC can perform this emergency priority repair work more quickly. It is necessary to stop infiltration of water into various buildings at SWPP immediately in order to minimize damage to these buildings. These emergency repairs are to be performed in advance of stone cladding and roof replacements that will be performed using the traditional bid process later in the year.

3. If the project is a one trade project, please provide additional explanation of why it would not be in the city’s best interest to do the project under JOC.
   N/A.

4. If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.
   N/A.

5. If some elements of the proposed JOC Project scope of work are covered by city term agreements, these elements should be removed from the JOC Project.
   N/A.
DISTRIBUTION AND APPROVAL LIST
CORRESPONDENCE ORIGINATING FROM
BUREAU OF ENGINEERING SERVICES

DATES: February 11, 2008

SUBJECT: JOC CONTRACT
MEMO-AUTHORIZATION REQUEST
SOUTH WATER PURIFICATION PLANT BUILDINGS
EMERGENCY REPAIRS TO STOP RAIN WATER
INfiltrATION
PROJECT NO. S-08-044.A-3
SPECIFICATION NO. 26936
CONTRACT NO. 9911

Commissioner____________________________________[ ]

Bureau Head____________________________________[ ]

Burt Rezko____________________________________[ ]

Sam Wit____________________________________[ ]

[MF] 2/22/08
[BR] 2/20/08

[JM] 2/18/08

Originated By: Richard Patrick
CLARIFICATION TO THE MOA FOR S-08-044.A-3

Project No: S-08-044.A-3
SWPP Buildings - Emergency Repairs to Stop Rain Water Infiltration

*Project S-07-037.A-3 and S-08-044.A-3 both involve emergency roof repairs at SWPP. The emergency roof repairs required work in approximately (5) areas. The first area (CHE Building) was completed under project # S-07-037.A-3 while areas 2-5 will be completed under project # S-08-044.A-3.