Release Summary Sheet

Contract (PO) Number: 15880

Release Number: 36

Specification Number: 58904

Name of Contractor: FH PASCHEN SN NIELSEN&ASS LLC

City Department: CHICAGO DEPARTMENT OF TRANSPORTATION

Title of Contract: CDOT-JOC REPAIR, RECONSTRUCTION AND REHABILITATION OF FLAT WORK/SITE WORK AND STRUCTURES

Dollar Amount of Release: $23,708.82

Release Description: CDOT-JOC REPAIRS, RECONSTRUCTION & REHABILITATION OF FLAT WORK SPACE, SITE WORK SPACE & STRUCTURES

Procurement Services Contact Person: LYLIANIS RODRIGUEZ

Vendor Number: 50167025
Submission Date:
# CITY OF CHICAGO
**BLANKET RELEASE**

Furnish the supplies and/or services described below in conformance with conditions set forth herein and in your offer.

<table>
<thead>
<tr>
<th>RELEASE DATE</th>
<th>PURCHASE ORDER</th>
<th>RELEASE NUMBER</th>
<th>SPECIFICATION NUMBER</th>
<th>VENDOR NUMBER</th>
<th>SITE NAME</th>
<th>DELIVERY DATE</th>
<th>PAGE NUMBER</th>
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<tbody>
<tr>
<td>8/15/2011</td>
<td>15880</td>
<td>36</td>
<td>58904</td>
<td>50167025</td>
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**DELIVER TO:**

A06
BUREAU OF BRIDGES AND TRANSIT
30 N. LASALLE ST - STE 500
CHICAGO ILLINOIS 60602-2570
Chicago, IL

**ORDERED FROM:**

FH PASCHEN SN NIELSEN&ASS LLC
O'HARE PLAZA (EFT)
8725 W HIGGINS
CHICAGO, IL 60631

DELIVERY CHARGES to be PREPAID
TITLE TO PASS ON DELIVERY

**CONTACT:**

742-3244

**PO DESCRIPTION:**

CDOT-JOC REPAIR, RECONSTRUCTION AND REHABILITATION OF FLAT WORK/SITE WORK AND STRUCTURES

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**BLANKET RELEASE**

THIS SIGNED RELEASE IS YOUR AUTHORITY TO FURNISH THE SPECIFIED SUPPLIES AND/OR SERVICES IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE BLANKET AGREEMENT NUMBER: 15880

THIS PURCHASE IS FOR DEPARTMENT:

84 - CHICAGO DEPARTMENT OF TRANSPORTATION

SUBMIT THE ORIGINAL INVOICE TO:

A04
BUREAU OF ADMINISTRATION AND PLANNING
CHICAGO ILLINOIS 60602-2570, IL

<table>
<thead>
<tr>
<th>PO Line</th>
<th>Ship Line</th>
<th>COMMODITY INFORMATION</th>
<th>QUANTITY</th>
<th>UOM</th>
<th>UNIT COST</th>
<th>TOTAL COST</th>
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<tr>
<td>1</td>
<td>1</td>
<td>95875</td>
<td>23,708.82</td>
<td>USD</td>
<td>1.00</td>
<td>23,708.82</td>
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CDOT-JOC REPAIRS, RECONSTRUCTION & REHABILITATION OF FLAT WORK SPACE, SITE WORK SPACE & STRUCTURES

REQ# 62091; 09-84-188-034.D; MADISON STREET BRIDGE; PO# 15880

<table>
<thead>
<tr>
<th>Dist</th>
<th>BFY</th>
<th>FUND</th>
<th>COST CTR</th>
<th>APPR</th>
<th>ACNT</th>
<th>ACTV</th>
<th>PROJECT</th>
<th>RPT CAT</th>
<th>GENRL</th>
<th>FUTR</th>
</tr>
</thead>
<tbody>
<tr>
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<td>0842125</td>
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<td>0000</td>
<td>00000000</td>
<td>00000000</td>
<td>00000</td>
<td>0000</td>
</tr>
</tbody>
</table>

SHIPMENT TOTAL: 23,708.82

RELEASE TOTAL: 23,708.82

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Payment on this order will be made upon receipt of an original vendor invoice form referencing this order.

Submit the original invoice to the department referenced above.

Mark all packages and papers with the purchase number.

Any deliveries containing overshipments will be reflected unless otherwise authorized in this purchase.

This purchase is subject to the City of Chicago General Conditions for Supplies, Work, or Professional Consulting Services; Special Conditions, Disclosure Ownership, Acceptance Page, as applicable, which are attached hereto or incorporated herein by reference.

AUTHORIZED SIGNATURE: [Signature]

DATE: 8/25/11
June 30, 2011

Ms. Jamie L. Rhee  
Chief Procurement Officer  
Department of Procurement Services  
City Hall, Room 403  
121 North LaSalle Street  
Chicago, IL 60602

Attn: Carolyn Johnson  
City JOC Manager

Re: JOC Project Number: 09-84-11-034.D  
Project Title: Madison Street Bridge House Emergency Stabilization  
Estimated Cost: $24,000.00  
P.O. Number: F.H. Paschen, S.N. Nielsen & Assoc., Inc. – 15880  
Funding Source: TIF Funds

Dear Ms. Rhee:

We request that the above referenced project be contracted under the Job Order Contracting (JOC) Method. Attachment A describes the scope of work and the appropriateness for using JOC for this project.

If you concur with our recommendation, please sign below and return a copy for our files.

Sincerely,

Gabe Klein  
Commissioner

APPROVED:  
Jamie L. Rhee  
Chief Procurement Officer

Approved: file  
jlm  
Reviewed by: Lou Langone  

30 NORTH LASALLE STREET, SUITE 1100, CHICAGO, ILLINOIS 60602
For all Proposed JOC Projects, Please complete Items 1 and 2 below. As appropriate, Complete items 3, 4 and 5. Attach to MOA (JOC Approval Letter)

1. Please describe the major features of this project.

Prior to this contract’s construction activities, the Bridge House had settled and also listed slightly to the east. The original contract involved the installation of the new foundation and jacking of the bridge house to the original elevation; however, these activities did not end up alleviating the Bridge House movement to the east. Because of this there is a gap along the side of Bridge House where it lines up to the face of the Bridge Structure. This gap is too wide to utilize the correct weatherizing material to ensure that water does not infiltrate in the area and cause damage. Limestone pieces will be procured and installed to provide the correct width.

Additionally, during the Bridge House jacking activities, several cracks in the limestone developed and some previous limestone block patch work became unstable and needs to be repaired.

Incident synopsis: The contractor along with CDOT and the consultant for the project identified the limits, sizes and areas to be restored, rehabbed or replaced. There is a total of approximately 11 pieces of limestone that will be procured (depending on what they find during the removal process). This additional funding request is to have the contractor procure and install the stone and weatherization materials and grind and epoxy cracks.

2. Please explain why this project could not be bid using the city’s traditional bid process and the need to use JOC Procurement system.

CDOT was made aware of the situation on June 14, 2011 and identified the limits and extents of the required work to provide the correct weatherization for the stone and replace any damaged limestone blocks. The existing JOC contractor is imperative to use due to them already being familiar with the project and having subs lined up for the work.

3. If the project is a one trade project, please provide additional explanation of why it would be in the city’s best interest to do the project under JOC:

N/A

4. If it is anticipated that over 25% of the project will consist of Non-prepriced items, please provide an explanation on why JOC should be used.

N/A

5. If some elements of the proposed JOC project scope of work are covered by city term agreements, explain why they are included in this JOC project instead of being procured through the term agreements.

N/A
TO: Lou Langone  
Director of Administration  
Chicago Department of Transportation

FROM: John Yonan  
Deputy Commissioner  
City of Chicago - Department of Transportation Division of Engineering

Division of Engineering

RE: JOC Project Number: 09-84-11-034.D  
Project Title: Madison Street Bridge House Emergency Stabilization  
Estimated Cost: $24,000.00  
P.O. Number: F.H.Paschen, S.N.Nielsen & Assoc., Inc. - 15880  
Contractor: F.H.Paschen, S.N.Nielsen & Assoc., Inc.  
Funding Source: TIF Funds

DATE: June 30, 2011

Division of Project Development recommends the above referenced project be contracted under Job Order Contracting (JOC) method.

Description of JOC Project:
Prior to this contract's construction activities, the Bridge House had settled and also listed slightly to the east. The original contract involved the installation of the new foundation and jacking of the bridge house to the original elevation; however, these activities did not end up alleviating the Bridge House movement to the east. Because of this there is a gap along the side of Bridge House where it lines up to the face of the Bridge Structure. This gap is too wide to utilize the correct weatherizing material to ensure that water does not infiltrate in the area and cause damage. Limestone pieces will be procured and installed to provide the correct width.

Additionally, during the Bridge House jacking activities, several cracks in the limestone developed and some previous limestone block patch work became unstable and needs to be repaired.

Incident synopsis: The contractor along with CDOT and the consultant for the project identified the limits, sizes and areas to be restored, rehabbed or replaced. There is a total of approximately 11 pieces of limestone that will be procured (depending on what they find during the removal process). This additional funding request is to have the contractor procure and install the stone and weatherization materials and grind and epoxy cracks.

Is this additional work on an existing JOC Project - Yes or No: Yes

Has the work been completed for this JOC Project? - Yes or No: No

Method of Selection for JOC Contractor:
Same JOC contractor
Prior approval to start JOC work:
JOC contract already in place for existing work

Did work start prior to submission of Memorandum of Authorization - (MOA):
No work has started
Madison Street Bridge House Stabilization – Limestone repairs
09-84-11-034.D

Gap to be filled with additional limestone pieces and weatherization material.

Top half of North Wall: Example of cracks to be repaired.
### Section I: General Contract Information

<table>
<thead>
<tr>
<th><strong>Department Name</strong></th>
<th>Transportation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Department Contact Name</strong></td>
<td>Charlene Walsh</td>
</tr>
<tr>
<td><strong>Department Contact Number</strong></td>
<td>(312) 742-3244</td>
</tr>
<tr>
<td><strong>Department Contact Email</strong></td>
<td><a href="mailto:Charlene.walsh@cityofchicago.org">Charlene.walsh@cityofchicago.org</a></td>
</tr>
<tr>
<td><strong>Contract Number</strong></td>
<td>14609</td>
</tr>
<tr>
<td><strong>Contract Subject Name</strong></td>
<td>Cdot-Joc Repair, Reconstruction And Rehabilitation Of Flat Work/Site Work And Structures</td>
</tr>
<tr>
<td><strong>Contract Initiation Date</strong></td>
<td>11/13/2007</td>
</tr>
<tr>
<td><strong>Original Contract Amount</strong></td>
<td>$1,379,946.24</td>
</tr>
<tr>
<td><strong>Original Contract Expiration Date</strong></td>
<td>11/12/2009 (received extension)</td>
</tr>
<tr>
<td><strong>Budgeted amount for current year</strong></td>
<td>$1,986,702.17</td>
</tr>
<tr>
<td><strong>Year to date expenditure</strong></td>
<td>$1,778,554.31 (known paid invoices)</td>
</tr>
<tr>
<td>Are funds</td>
<td>Operating</td>
</tr>
<tr>
<td>What is the funding strip?</td>
<td>010-0A50-84-2125-9010-0540</td>
</tr>
<tr>
<td>If contract modification or task request is approved, will department have enough funds to cover new expenditure?</td>
<td>Yes.</td>
</tr>
<tr>
<td>If no, what is the plan to address the short fall?</td>
<td></td>
</tr>
</tbody>
</table>

### Section II: Contract Modifications

Complete this section if you are modifying the value of an existing contract.

| **Contract Value Increase** | $24,000.00 |
| **New total contract amount** | $2,010,702.17 |
| **New contract expiration date** | Project Completion |
| **Goods/services provided by this contract** | Construction Services |
| Justification of need to modify this contract | Prior to this contract's construction activities, the Bridge House had settled and also listed slightly to the east. The original contract involved the installation of the new foundation and jacking of the bridge house to the original elevation; however, these activities did not end up alleviating the Bridge House movement to the east. Because of this there is a gap along the side of Bridge House where it lines up to the face of the Bridge Structure. This gap is too wide to utilize the initial weatherizing material to ensure that water does not infiltrate in the area and cause damage. Limestone pieces will be procured and installed to provide the correct width. Additionally, during the Bridge House jacking activities, several cracks in the limestone developed and some previous limestone block patch work became unstable and needs to be repaired. Incident synopsis: The contractor along with CDOT and the consultant for the project identified the limits, sizes and areas to be restored, rehabbed or replaced. There is a total of approximately 11 pieces of limestone that will be procured (depending on what they find during the removal process). This additional funding request is to have the contractor procure and install the stone and weatherization materials and grind and epoxy cracks. |
| Impact of denial | Would not be able to weatherize the façade of the building and further deterioration will occur. |

**Section III: Issue a Request for Services to a Master Consulting Agreement**

Complete this section if you want to issue a request for services to a Master Consulting Agreement

| Value of planned task order request | $ |
| Expiration date of planned task order request | |
| Scope of services | |
| Justification of need to issue request for services | |
| Impact of denial | |

**Section IV: Assessment of Office of Budget and Management Analyst**

<p>| Approve/Deny | Reason This project is approved and funded. |</p>
<table>
<thead>
<tr>
<th>OBM Analyst Initials</th>
<th>BO'R</th>
</tr>
</thead>
<tbody>
<tr>
<td>OBM Analyst Name/number</td>
<td>Beth O'Reilly 744-9571</td>
</tr>
</tbody>
</table>
Disclosure of Retained Parties

A. Definitions and Disclosure Requirements
1. As used herein, the term "Contractor" means a person or entity who has any contract or lease with the City of Chicago.
2. Pursuant to Executive Order 97-1, every city contract and lease must be accompanied by a disclosure statement.
   Providing certain information and attorneys, lobbyists, accountants, consultants, subcontractors, and other persons
   Whom the contractor has retained or expects to retain with respect to the contract or lease. In particular, the contractor
   Must disclose the name of each such person, his or her business address, the nature of the relationship, and the
   Amount of fees paid or estimated to be paid.
3. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
4. "Lobbyist" means any person (a) who for compensation or on behalf of any person other than himself undertakes to
   Influence any legislative or administrative action, or (b) any part or whose duties as an employee of another
   Includes undertaking to influence any legislative or administrative action.

B. Certification

Contractor hereby certifies as follows:

1. This Disclosure relates to the following transaction:
   Project name: 09-84-11-034.D Madison St. Additional Limestone Repairs
   Specification, loan or other identifying number: 15980
3. Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated
   to be retained by the Contractor with respect to or in connection with the contract or lease is listed below
   (attach additional pages if necessary):

<table>
<thead>
<tr>
<th>Name</th>
<th>BUSINESS ADDRESS</th>
<th>MBE WBE or Non</th>
<th>Relationship (attorney, lobbyist, subcontractor, etc.)</th>
<th>FEE (indicate whether paid or estimated)</th>
</tr>
</thead>
<tbody>
<tr>
<td>F.H. Paschen, S.N. Nielsen &amp; Assoc.</td>
<td>8725 W Higgins O'Hare Plaza Suite 200</td>
<td>Non</td>
<td>Subcontractor</td>
<td>$23,708.82 Est.</td>
</tr>
</tbody>
</table>

CHECK HERE IF NO SUCH PERSONS HAVE BEEN RETAINED OR ARE ANTICIPATED TO BE RETAINED

4. The Contractor understands and agrees that the city may rely on the information provided herein and that providing
   Any false incomplete or inaccurate information shall constitute default under the contract and may result in
   Termination of the contract or lease.
5. The Contractor understands and agrees that in any case in which the Contractor is uncertain whether a disclosure is required under the Executive order, the Contractor must either
   Ask the city whether disclosure is required or make the disclosure.

Under the Penalties of perjury, I certify that I am authorized to execute this Disclosure on behalf of the Contractor that the information disclosed herein is true and complete, and that no
relevant information has been withheld.

Signature

[Signature]

Date

[Date]

Name (Type or Print)

[Name]

General Manager

[Title]

Subscribed and sworn to before me

[Subscribed]

[Signature]

Notary Public Signature

[Notary Public Signature]

Disclosure Statement