JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT

COMPLETE THIS SECTION IF NEW CONTRACT
For contract(s) in this request, answer applicable questions in each of the 4 major subject areas below in accordance with the Instructions for Preparation of Non-Competitive Procurement Form on the reverse side.

Request that negotiations be conducted only with ARGONNE NATIONAL LABS for the product and/or services described herein.

(Name of Person or Firm)
This is a request for _______ (One-Time Contractor Requisition # ___________, copy attached) or 40983 _________ Term Agreement or Delegate Agency (Check one). If Delegate Agency, this request is for "blanket approval" of all contracts within the

EMERGENCY PREPAREDNESS (Attach List) Pre-Assigned Specification No. 70310
  (Program Name)
  Pre-Assigned Contract No.

COMPLETE THIS SECTION IF AMENDMENT OR MODIFICATION TO CONTRACT
Describe in detail the change in terms of dollars, time period, scope of services, etc., its relationship to the original contract and the specific reasons for the change. Indicate both the original and the adjusted contract amount and/or expiration date with this change, as applicable. Attach copy of all supporting documents. Request approval for a contract amendment or modification to the following:

Contract #: ____________________________ Company or Agency Name: ____________________________

Specification #: ____________________________ Contract or Program Description: ____________________________

Mod. #: ____________________________ (Attach list, if multiple)

Richard W. Rzeszutko 745-3504 CDPH 11/24/08
Originator Name Telephone Department Date

Indicate SEE ATTACHED in each box below if additional space needed:

☐ PROCUREMENT HISTORY

Contract Number 14903 With Argonne National Laboratory Expired in June of 2008. It was a one year Sole Source Contract

☐ ESTIMATED COST

3 year Total $596,037.00

DATE 02/09/09

☐ SCHEDULE REQUIREMENTS

Attached

CONDITIONALLY APPROVED

☐ EXCLUSIVE OR UNIQUE CAPABILITY

Attached

RETURN TO DEPT.

☐ OTHER

APPROVED BY: WINNIE W. KINGS
DEPARTMENT HEAD OR DESIGNEE DEPARTMENT HEAD OR DESIGNEE 12/19/08 12/19/08
DATE BOARD CHAIRPERSON DATE 02/03/09

Date of Approval 2-24-08
To          MONTEL M. GAYLES  
Chief Procurement Officer  
City of Chicago Department of Procurement Services

From:  Terry Mason, M.D., F.A.C.S.  
Commissioner, Chicago Department of Public Health

Date:  11/24/2008

Re: Request for Sole Source Contract with Argonne National Laboratory to Provide the Chicago Disease Outbreak Distance Tabletop Exercises.

The Chicago Department of Public Health (CDPH) requests the establishment of a Sole Source Contract with Argonne National Laboratory (ANL). ANL will utilize proprietary software and intellectual property that has been effectively used with organizations such as the U.S. Department of Defense, Department of Homeland Security, Department of Energy, City of Chicago, and the Chicago Hospital System. These proprietary tools and the unique experience of the ANL scientists who have worked with the aforementioned organizations will serve as the driving force behind CDPH’s Disease Outbreak Distance Tabletop Exercises and the design, execution, and evaluation of public health disaster exercises. Research of other organizations has found that ANL is the only one that can effectively provide these services.

CDPH is seeking a multiple year contract with ANL to provide the necessary services for the Health and Safety of the Citizens of Chicago.

Thank you for your assistance in this matter. If you have any questions or need additional information, please contact Richard Rzeszutko at 312-745-3504 or Rosemary Lebron at 312-747-9657.

cc. Rosemary Lebron
DPS PROJECT CHECKLIST

IMPORTANT: PLEASE READ AND FOLLOW THE INSTRUCTIONS FOR COMPLETING THE PROJECT CHECKLIST AND CONTACT THE APPROPRIATE UNIT MANAGER IF YOU HAVE ANY FURTHER QUESTIONS. ALL INFORMATION SHOULD BE COMPLETED, ATTACH ALL REQUIRED MATERIALS AND SUBMIT FOR HANDLING TO THE DEPARTMENT OF PROCUREMENT SERVICES, ROOM 403, CITY HALL, 121 N. LASALLE STREET, CHICAGO, ILLINOIS 60602.

GENERAL INFORMATION:
Date: 11-24-08
REQ No.: 4983
Contact Person: R. RZESZUTKO
Tel: 5-3504  Fax: E-mail: rzeszutko_richard@cityofchicago.org
Specification No.: (if known): 70310
PO No.: (if known):
Modification No.: (if known):
Project Manager: Edward Lefevour
Tel: 745-0251  Fax:  E-mail: @cityofchicago.org
Previous PO No.: (if known):
Project Description: SOLE SOURCE CONTRACT TO PLAN CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES

FUNDING:
City:  Corporate  Bond  Enterprise  Grant*  Other
State:  IDOT/Transit  IDOT/Highway  FAA  Grant*  Other
Federal:  FHWA  FTA  Grant*  Other

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Estimated Value $ 596,037.

*IF GRANT FUNDED, A COPY OF THE APPROVED GRANT AND APPLICATION ARE REQUIRED
and any other Terms and Conditions that may apply.

SCOPE STATEMENT:
☒ Attached is a Detailed Scope of Services and/or Specification

IMPORTANT: THIS IS A CRITICAL PORTION OF YOUR SUBMITTAL. IN ORDER FOR DPS TO ACCEPT YOUR SUBMITTAL YOU MUST COMPLETE THE SPECIFIC SCOPE REQUIREMENTS AS SET FORTH IN THE SUPPLEMENTAL CHECKLIST FOR THAT UNIT.

The following is a general description of what should be included in a Scope of Services or Specification:
A clear description of all anticipated services and products, including: time frame for completion, special qualifications of prospective vendors, special requirements or needs of the project, locations, anticipated participating user departments, citation of any applicable City ordinance or state/federal regulation or statute.

TYPE OF PROCUREMENT REQUESTED (check all that apply):

NEW REQUEST
☒ Blanket Agreement
☐ Standard Agreement
☐ Small Orders

MOD/AMENDMENT
☐ Time Extension
☐ Vendor Limit Increase
☐ Scope Change/Price Increase/Additional Line Item(s)
☐ Other (specify):

FORMS:
☒ Requisition
☐ Special Approvals
☒ Non-Competitive Review Board (NCRB)

CONTRACT TERM: 3 YEARS
Requested Term (number of months): 36 MONTHS

Form Dated 04/24/2007
DPS PROJECT CHECKLIST

PRE BID/SUBMITTAL REQUIREMENTS:
Requesting Pre Bid/Submittal Conference? ☐ Yes ☐ No
Requesting Site Visit? ☐ Yes ☐ No

ARCHITECTURAL/ENGINEERING SUPPLEMENTAL CHECKLIST

Required Attachments: Scope of Services, including location, description of project, services required, deliverables, and other information as required

Risk Management
Will services be performed within 50 feet of CTA train or other railroad property? ☐ Yes ☐ No
Will services be performed on or near a waterway? ☐ Yes ☐ No

If applicable, Pre-Qualification Category No. Category Description:
For Pre-Qualification Program, attach list of suggested firms to be solicited

Other Agency Concurrence Required: ☐ None ☐ State ☐ Federal ☐ Other (fill in)

AVIATION CONSTRUCTION SUPPLEMENTAL CHECKLIST

DOA sign-off for final design documents: ☐ Yes ☐ No

Required Attachments:
Copy of Draft Contract Documents and Detailed Specifications.

Risk Management:
Current Insurance Requirements prepared/approved by Risk Management: Yes ☐ No ☐
Will work be performed within 50 feet of CTA or ATS structure or property? Yes ☐ No ☐
Will work be performed airside? Yes ☐ No ☐

*NOTE: Any non-construction Aviation request, complete the applicable section.

COMMODITIES SUPPLEMENTAL CHECKLIST

Required Attachments: Detailed Specifications (Scope of Services) including detailed description of the product, delivery location, user department contact, price escalation considerations, Bidder’s qualification, contract term and extension options, Contractor’s qualifications, citation of any applicable City/State/Federal statutes or regulations, citation of any applicable technical standards and Price Lists/Catalogs, technical drawings and other exhibits and attachments as appropriate.

If Modification request, please verify and provide the following:

Contractor’s Name:
Contractor’s Address:
Contractor’s e-mail Address:
Contractor’s Phone Number:
Contractor’s Contact Person:

CONSTRUCTION SUPPLEMENTAL CHECKLIST

Required attachments:
Copy of Draft (80% Completion), Contract Documents and Detailed Specifications

Risk Management
Will services be performed within 50 feet of CTA train or other railroad property? ☐ Yes ☐ No
Will services be performed on or near a waterway? ☐ Yes ☐ No

Form Dated 04/24/2007
DPS PROJECT CHECKLIST

VEHICLES/HEAVY EQUIPMENT SUPPLEMENTAL CHECKLIST

Required Attachments:
- Detailed Specifications including detailed description of the vehicle(s) or equipment, mounted equipment, if any, and options/accessories.
- Special Provisions (Delivery, Warranty, Manuals, Training, Additional Unit Purchase Options, Bid Submittal Information, etc.)
- Delivery Location(s)
- Technical Literature
- Drawings, if any
- Part Number List (Manufacturer; or Dealer; or Other Source: )
- Current Price List(s)/Catalog(s)
- Special Approval Form
- Exhibits and Attachments

If Modification request, please verify and provide the following:

Contractor’s Name:

Contractor’s Address:

Contractor’s e-mail Address:

Contractor’s Phone Number:

Contractor’s Contact Person:

---

PROFESSIONAL SERVICES SUPPLEMENTAL CHECKLIST

- Detailed description of project listing obligations of each party.
- The Schedule of Compensation
- Deliverables
- Request for individual contract services (if applicable)
- The appropriate EPS form
- ITSC (approved by BIS)
- OBM (approved by Budget form/memo)
- Grant document attached

Attach any documentation indicating any previous purchase activity to assist in the procurement process.

---

TELECOMMUNICATIONS AND UTILITIES SUPPLEMENTAL CHECKLIST

Required Attachments: Detailed Scope of Services/Specification which sets forth all of the anticipated services and products the user department wants provided, including time frame for completion, special qualifications of prospective vendors, special requirements or needs of the project, locations, anticipated participating user departments, citation of any applicable City ordinance or state/federal regulation or statute.

Has the project been reviewed by BIS? □ Yes □ No

Attach copy of BIS Recommendation; Reservation(s); or participate under current contract.

Does the project include software? □ Yes □ No

If yes, is signed ITSC form attached? □ Yes □ No

Does the location involve:
- A public way? □ Yes □ No
- Any concession in the City’s facilities? □ Yes □ No

Is it anticipated City Council approval of the project or contract will be required? □ Yes □ No
DPS PROJECT CHECKLIST

WORK SERVICES/FACILITY MAINTENANCE SUPPLEMENTAL CHECKLIST

**Required Attachments:** Detailed Specifications (Scope of Services) including detailed description of the work, locations (with supporting detail), user department contacts, work hours/days, laborer/supervisor mix, compensation and price escalation considerations, Bidder’s qualification, contract term and extension options, Contractor’s qualifications, citation of any applicable City/State/Federal statutes or regulations, citation of any applicable technical standards and Price Lists/Catalogs, technical drawings and other exhibits and attachments as appropriate.

**Risk Management:**
- Will services be performed within 50 feet (50’) of CTA train or other railroad property? □ Yes □ No
- Will services be performed on or near a waterway? □ Yes □ No
- Will services require the handling of hazardous/bio-waste material? □ Yes □ No
- Will services require the blocking of streets or sidewalks which may affect public safety? □ Yes □ No

If Modification or Amendment request, please verify and provide the following:

Contractor’s Name:

Contractor’s Address:

Contractor’s e-mail Address:

Contractor’s Phone Number:

Contractor’s Contact Person:
CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES

Argonne is at the forefront of exercise policy development and methods research. Argonne assisted FEMA in developing its Radiological Emergency Preparedness (REP) exercise program by designing its exercise methodology and evaluation criteria. Argonne also developed and taught the REP exercise evaluation course at FEMA’s Emergency Management Institute until December 2000. Building on their REP experience, in 1989, Argonne assisted the Army and FEMA in developing their CSEPP exercise program. In 1996, Argonne researched and designed an innovative exercise process called Integrated Performance Evaluation that became the standard exercise process for both REP and CSEPP. In 2002, with Argonne’s assistance, the Department of Homeland Security adopted and adapted the IPE concept into the Homeland Security Exercise Evaluation Program (HSEEP). Argonne continues to be involved in exercise policy development by providing research and technical support to FEMA in the areas of exercise reporting systems, corrective action programs, lessons-learned, organization learning, and knowledge management constructs as part of DHS efforts to implement the HSEEP methodology. Additionally, Argonne sits on the FEMA HSEEP Toolkit Integration Committee, ensuring that current and future HSEEP automation systems comply with DHS guidelines and with external standards when appropriate.

As a result of working on a number of preparedness initiatives with CDPH, including prior exercises, Argonne has a unique knowledge of the City’s public health emergency response plans. Additionally, Argonne has unique skills planning and conducting emergency response exercise involving multiple Chicago hospitals and public health agencies. In the last five years, Argonne has planned and conducted 19 tabletop and functional exercises involving individual hospitals, regional groups of hospitals, CDPH, the Illinois Department of Public Health, and regional county departments of public health. Argonne has developed Distance Exercise (DISTEX) method, which was utilized successfully in the conduct of two Distance Table Top Exercise. During 2007-2008, the city demonstrated that tabletop exercises including Flunami (2007) and IllWind (2008) using distance learning techniques could contribute significantly to preparedness efforts. Argonne staff has unique insights as to how to make the developing DISTEX exercise method successful in the challenging environment of Chicago regional healthcare. The DISTEX method itself, was developed by Argonne, is not available outside the Laboratory, and cannot presently be operated or replicated by any other organization.
November 17, 2008

Mr. Steven A. Mier
Assistant Commissioner
Chicago Department of Public Health
DePaul Center
Room 200
333 South State Street
Chicago, IL 60604

Dear Mr. Mier:

I am writing at your request to document Argonne’s unique capabilities to support the Chicago Department of Public Health (CDPH) in developing, moderating, and evaluating the Chicago Disease Outbreak Distance Tabletop Exercises (CDODTE) as proposed in Argonne’s Proposal No. P-09018.

First, Argonne proposes to use its Distance Tabletop Exercise (DISTEX) method and software application to carry out the proposed work. DISTEX was developed under Argonne Proposal No. P-07092 for CDPH. The method and application remain developmental, and will be further improved during the course of the proposed work. No other organization has the skill or the software license(s) to enable it to do this work.

Second, Argonne has unique knowledge of and experience with emergency response plans and preparedness in the Chicago public health and hospital community. Since early 2003, Argonne has analyzed communicable disease outbreak emergency plans at eight major Chicago hospitals and has facilitated a process to improve their coordination and synchronization by using Argonne’s proprietary Emergency Response Synchronization Matrix® software. Argonne has also supported aspects of regional response planning for both CDPH and the Illinois Department of Public Health (IDPH). Consequently, Argonne staff members are familiar with a broad array of hospital emergency plans, their various planning processes, their planning personnel, and their emergency response leadership. The Laboratory is aware of no other organization with equivalent knowledge and experience outside of CDPH itself.

Finally, Argonne has unique skills in planning and conducting emergency response exercises involving multiple Chicago hospitals and cognizant public health agencies. In the last six years, Argonne has planned and conducted 19 tabletop or functional exercises involving individual hospitals, regional groups of hospitals, CDPH, IDPH, and other regional county health departments. This responsibility has earned Argonne staff the respect and trust of key planners, as well as giving Argonne staff unique insights into how to make the new method of exercising successful in the challenging environment of Chicago healthcare. Argonne is not aware of any other organization that has planned or conducted a comparable range of emergency exercises involving the Chicago healthcare community.

Sincerely yours,

Edward Tanzman, Co-Director
Center for Integrated Emergency Preparedness
To: Montel M. Gayles  
Chief Procurement Officer  
City of Chicago Department of Procurement Services

From: Terry Mason, M.D., F.A.C.S  
Commissioner, Chicago Department of Public Health

Date: 11/24/2008

RE: Waiver request of MBE/WBE compliance for a Sole Source contract with Argonne National Laboratories

The Chicago Department of Public Health (CDPH) requests the establishment of a Sole Source Contract with Argonne National Laboratory (ANL). ANL will utilize proprietary software and intellectual property that has been effectively used with organizations such as the U.S. Department of Defense, Department of Homeland Security, Department of Energy, City of Chicago, and the Chicago Hospital System. These proprietary tools and the unique experience of the ANL scientists who have worked with the aforementioned organizations will serve as the driving force behind CDPH’s Disease Outbreak Distance Tabletop Exercises and the design, execution, and evaluation of public health disaster exercises. Research of other organizations has found that ANL is the only one that can effectively provide these services.

The facility known as Argonne National Laboratory is owned by the United States Government and operated by UChicago Argonne LLC, under a Prime contract with the government. Based on these facts, we concur with Argonne National Laboratory, that no stated goals of the MBE/WBE requirement is appropriate for this contract.

Thank you for your assistance in this matter. If you have any questions or need additional information, please contact Richard Rzeszutko at 312-745-3504 or Rosemary Lebron at 312-747-9657.

cc. Esmeralda Soto  
Rosemary Lebron
P-09018

CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES

Work proposed by:

Argonne National Laboratory
Decision and Information Sciences Division
9700 South Cass Avenue
Lemont, IL 60439

Principal Investigator:
Edward Tanzman

Submitted to:

Steve Mier
Chicago Department of Public Health
Room 200, DePaul Center
333 South State Street
Chicago, IL 60604
(312) 747-9783

November 2008
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CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES

PROGRAM OVERVIEW

The Chicago Department of Public Health (CDPH) is the municipal agency responsible for protecting public health in the City of Chicago. Part of the City of Chicago’s (hereafter the City’s) concern with protecting the public against chemical and other terrorist attacks involves monitoring events and coordinating the response to bioterrorism and contagious disease outbreaks. The purpose of the tasks identified in this Statement of Work (SOW) is to plan, conduct, and evaluate additional Chicago Disease Outbreak Distance Tabletop Exercises (CDODTE) using Argonne National Laboratory’s Distance Exercise (DISTEX) method, which was initially developed under Argonne Proposal No. P-07092. Under the current proposal, Argonne will continue to improve the capability of the City’s departments, private healthcare organizations, and associated jurisdictions to respond to such emergencies in an integrated and coordinated manner. Further improvement of these capabilities will strengthen the City’s ability to protect the public from terrorist attacks, as well as from contagious disease outbreaks.

During 2007–2008, the City demonstrated that tabletop exercises using distance learning techniques could contribute significantly to preparedness. An underlying purpose of those exercises (FluNami 2007 and IlliWInd 2008) was to increase the cost-effectiveness of tabletop exercising. By combining the essential elements of traditional tabletop exercising, such as scenario discussions and scenario injects, with distance learning technologies, FluNami and IlliWInd allowed players to exercise a multiorganization scenario that unfolded over several weeks without requiring them to use valuable resources to travel from their home organizations to a central location. This approach also allowed planning organizations to include a broad range of staff in the exercise without disrupting normal operations.

Argonne is uniquely qualified to complete the work identified here because of its knowledge of the City’s public health emergency response plans, its conduct of prior emergency response exercises across the region, and its development of the DISTEX method. First, since early 2003, Argonne has analyzed emergency plans addressing communicable disease outbreaks for eight major Chicago hospitals and two area health departments in addition to CDPH. Argonne has facilitated a process funded by the Department of Homeland Security to improve coordination and synchronization among these organizations by using Argonne’s proprietary EMTools software. Second, Argonne has unique skills in planning and conducting emergency response exercises involving multiple Chicago hospitals and cognizant public health agencies. In the last five years, Argonne has planned and conducted 19 tabletop and functional exercises involving individual hospitals, regional groups of hospitals, CDPH, the Illinois Department of Public Health, and regional county departments of public health. This responsibility has earned Argonne staff the respect and trust of key regional emergency planners, as well as giving Argonne staff unique insights as to how to make the developing DISTEX exercise method successful in the challenging environment of Chicago regional healthcare. Finally, the DISTEX method itself was developed at Argonne, is not available outside of the Laboratory, and cannot presently be operated or replicated by any other organization. In summary, the Laboratory is aware of no
other organization with equivalent knowledge and experience applicable to the work described in this proposal.

During FY 2009–2011, the CDODTE program will consist of an annual exercise lasting approximately four weeks and following a similar format to that of FluNami and IllWInd. The exercises will be scheduled at a mutually agreeable time. The City recognizes and agrees that completion of this program requires its personnel to provide timely information and input to support its completion, and that this program may be delayed or become impossible to complete satisfactorily if inputs are not provided in a timely fashion. The program will comprise the tasks described in the following section.

**TASKS**

**TASK 1: PLAN CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES**

**Task 1.1: Plan Exercise Development and Configure Exercise Web Site**

Under this task, Argonne will:

1. Prepare a draft CDODTE project management timeline for each exercise.
2. After receiving the City’s comments, prepare a final CDODTE project management timeline for each exercise.
3. Participate in up to three meetings per exercise as directed by the City and, with users invited by the City, explain the DISTEX application and gather feedback.
4. On the basis of these meetings, configure the DISTEX Web site to support each exercise. Among the items to be configured are:
   a. forms for inputting exercise data;
   b. workflows and tasks, if applicable, for routing exercise-related assignments;
   c. group permissions, if applicable, to enable control of access to exercise-related information;
   d. reports, if applicable, for displaying data generated during the exercise;
   e. user training; and
   f. user guide.

**Task 1.2: Coordinate Development of Exercise Content**

The purpose of this task is to develop each exercise in detail. The Laboratory will work with City personnel and personnel from other organizations designated by the City that will be involved in planning exercises to draft the following documents:

1. Exercise objectives;
2. Extent-of-play;
3. Situation Manual;
4. Master Scenario Events List (MSEL);
5. Player problem-solving tasks, which will be assigned during weekly sessions to playing organizations;
6. Weekly injects and “mock media” stories; and

Many of these documents will evolve after their initial completion as additional information becomes available and as player involvement is crystallized.

**TASK 2: FACILITATE EXERCISES**

Argonne will facilitate implementation of the exercises. Although specific Laboratory responsibilities will depend on the details of the exercise documents identified in Task 1.2, facilitation may include:

1. Assisting in testing player computers and other equipment prior to exercise kickoff to avoid operational issues that could interfere with successful exercise participation;
2. Providing one consolidated training session for each exercise and subsequent Help Desk (i.e., e-mail and telephone) support;
3. Developing moderator PowerPoint slides to guide moderator during weekly Webcast scenario discussion sessions;
4. Moderating or controlling the weekly Webcast scenario discussion sessions;
5. Disseminating scenario injects, “mock media” stories, and broadcasts;
6. Staffing or assisting the SIMCELL;
7. Collecting player feedback on exercise experiences;
8. Preparing a draft After-Action Report for each exercise based on evaluations by exercise evaluators identified by the City; and
9. After receiving the City’s comments, preparing a final After-Action Report for each exercise.

Because the success of the exercises will depend greatly on close coordination with the Laboratory as the weekly scenario discussion sessions unfold, the City agrees that it will provide at least a dedicated half-time person with decision-making authority to work directly with Laboratory personnel during the CDODTE development and implementation periods.

**DELIVERABLES, ESTIMATED COSTS, AND BUDGET**

Table 1 lists the deliverables and estimated costs to provide the services identified in this SOW during FY 2009–2011. Table 2 presents the Laboratory’s estimated budget for Argonne Proposal No. P-09018.
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<th>Total Cost ($)</th>
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<th>FY 2011</th>
<th>Total Cost ($)</th>
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<td>Prepare draft CDO DTE project management timeline</td>
<td>Two weeks after program kickoff</td>
<td>2,500</td>
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<td>1.1.2</td>
<td>Prepare final CDO DTE project management timeline</td>
<td>One week after receiving City's comments on deliverable for Task 1.1.1</td>
<td>1,500</td>
<td>1,568</td>
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<td>1.1.3</td>
<td>Participate in up to 3 meetings to explain the DISTEX application and gather user feedback</td>
<td>Four weeks after completing Task 1.1.2</td>
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<td>Configure DISTEX Web site</td>
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<td>Prepare exercise objectives</td>
<td>Three weeks after completing Task 1.1.2</td>
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<td>1.2.2</td>
<td>Prepare extent-of-play</td>
<td>Two weeks after completing Task 1.2.1</td>
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<td>1.2.3</td>
<td>Prepare Situation Manual</td>
<td>Two weeks after completing Task 1.2.2</td>
<td>3,500</td>
<td>3,658</td>
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<td>1.2.4</td>
<td>Prepare MSEL</td>
<td>Four weeks after completing Tasks 1.2.1, 1.2.2, and 1.2.3</td>
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<td>Prepare weekly problem-solving tasks</td>
<td>At least one day before each weekly Webcast</td>
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<td>1.2.6</td>
<td>Prepare weekly injects and &quot;mock media&quot; stories</td>
<td>At least one day before each weekly Webcast</td>
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<td>1.2.7</td>
<td>Prepare Exercise Evaluation Guide</td>
<td>One week after completing Task 1.2.4</td>
<td>5,000</td>
<td>5,225</td>
<td>5,460</td>
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<tr>
<td></td>
<td><strong>Estimated Subtask Effort Cost</strong></td>
<td></td>
<td>71,500</td>
<td>74,718</td>
<td>78,079</td>
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<tr>
<td>2.1</td>
<td>Test player computers and other equipment</td>
<td>At least one week before first Webcast</td>
<td>1,500</td>
<td>1,568</td>
<td>1,639</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>2.2</td>
<td>Provide training session and help desk</td>
<td>At least one week before first Webcast</td>
<td>5,000</td>
<td>5,225</td>
<td>5,460</td>
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<td></td>
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</tr>
<tr>
<td>2.3</td>
<td>Develop moderator PowerPoint slides</td>
<td>On or before the date of each weekly Webcast scenario discussion session</td>
<td>10,000</td>
<td>10,450</td>
<td>10,920</td>
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<tr>
<td>2.4</td>
<td>Moderate or control weekly Webcast scenario discussion sessions</td>
<td>On the date of each weekly Webcast scenario discussion session</td>
<td>10,000</td>
<td>10,450</td>
<td>10,920</td>
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<tr>
<td>2.5</td>
<td>Disseminate scenario injects, mock media stories, and broadcasts</td>
<td>As determined by the schedule in the MSEL developed during Task 1.2.4</td>
<td>15,000</td>
<td>15,674</td>
<td>16,379</td>
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<td>2.6</td>
<td>Staff or assist SIMCELL</td>
<td>As determined by the schedule in the MSEL developed during Task 1.2.4</td>
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<td>10,450</td>
<td>10,920</td>
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<tr>
<td>2.7</td>
<td>Collect player exercise feedback</td>
<td>On the date of the final Webcast scenario discussion session</td>
<td>1,500</td>
<td>1,568</td>
<td>1,639</td>
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<tr>
<td>2.8</td>
<td>Prepare draft After-Action Report</td>
<td>Four weeks after exercise is completed</td>
<td>20,000</td>
<td>20,900</td>
<td>21,840</td>
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<td>2.9</td>
<td>Prepare final After-Action Report</td>
<td>Two weeks after receiving City's comments on the draft After-Action Report prepared for Task 2.8</td>
<td>5,000</td>
<td>5,225</td>
<td>5,460</td>
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<td>Annual Travel</td>
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<td>2,000</td>
<td>2,090</td>
<td>2,184</td>
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<td>Annual Project Materials and Services</td>
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<td>2,000</td>
<td>2,090</td>
<td>2,184</td>
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<tr>
<td></td>
<td><strong>Estimated Total Annual Cost</strong></td>
<td></td>
<td>190,000</td>
<td>196,662</td>
<td>207,485</td>
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<td>196,662</td>
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<td>FY 2010</td>
<td>FY 2011</td>
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|                               | Person-
|                               | Months | Cost   | Person-
|                               |        | ($1,000)| Months | Cost   | Person-
|                               |        |        |        | ($1,000)| Months | Cost   | Person-
|                               |        |        |        |        |        | ($1,000)|        |
| Direct Effort                 | 8.0     | 108.8   | 8.0     | 115.1  | 8.0    | 120.2  | 24.0    | 344.1  |
| Total Effort                  | 8.0     | 108.8   | 8.0     | 115.1  | 8.0    | 120.2  | 24.0    | 344.1  |
| Purchased Material            | 2.0     | 2.1     | 2.0     | 2.1    | 2.0    | 2.1    | 2.0     | 6.3    |
| Special Term Appointees       | 17.4    | 18.2    | 17.4    | 18.2   | 17.4   | 18.2   | 17.4    | 54.6   |
| Travel                        | 2.0     | 2.1     | 2.0     | 2.1    | 2.0    | 2.1    | 2.0     | 6.3    |
| Other Direct Costs            |         |         |         |        |        |        |         |        |
| Other Costs Subtotal          | 21.4    | 22.4    | 21.4    | 22.4   | 21.4   | 22.4   | 21.4    | 67.2   |
| Total Direct Costs            | 130.2   | 137.5   | 130.2   | 137.5  | 130.2  | 137.5  | 130.2   | 411.3  |
| Laboratory Indirect (LI)      | 59.8    | 61.0    | 59.8    | 61.0   | 59.8   | 61.0   | 59.8    | 184.6  |
| LDRD Indirect (included in LI)| 11.9    | 11.2    | 11.9    | 11.2   | 11.9   | 11.2   | 11.9    | 34.8   |
| Total ANL Cost                | 190.0   | 198.5   | 190.0   | 198.5  | 190.0  | 198.5  | 190.0   | 596.0  |
| Full-cost recovery rate       | 190.0   | 198.5   | 190.0   | 198.5  | 190.0  | 198.5  | 190.0   | 596.0  |

*Costs may be rounded.
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<td>Purchased Material</td>
<td>2,000</td>
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<td></td>
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<td>6,274</td>
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<td>Other Direct Costs</td>
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<td>Other Costs Subtotal</td>
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<td>Total Direct Costs</td>
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<td>ALD Administration</td>
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<td>Laboratory Indirect (LI)</td>
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<tr>
<td>Total ANL Cost</td>
<td>190,000</td>
<td></td>
<td>198,550</td>
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<td>207,485</td>
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<td></td>
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<tr>
<td>Full-Cost Recovery Rate</td>
<td>190,000</td>
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<td>207,485</td>
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</tbody>
</table>
November 18, 2008

Mr. Steven A. Mier  
Assistant Commissioner  
Chicago Department of Public Health  
City of Chicago  
DePaul Center  
Room 200  
333 South State Street  
Chicago, IL 60604

Dear Mr. Mier:

Subject: Minority Business Enterprises and Women Business Enterprises  
Reference: Argonne’s Proposal P-09018 entitled “Chicago Disease Outbreak Distance Tabletop Exercises (CDODTE)”

The facility known as Argonne National Laboratory is owned by the United States Government and operated by UChicago Argonne, LLC, under a Prime Contract with the Government. The Laboratory complies with the requirements dictated in our Prime Contract with respect to Socio-Economic Goals. The performance rating of the Laboratory by the federal Government is judged in part by our compliance with those requirements. In FY 2007 the Laboratory exceeded its Goals for Small Business Concerns, Women Owned Business Concerns, and Small Disadvantaged Minority Concerns. In FY-08 the Laboratory exceeded its goals in the two of the three areas and is committed to reaching and or exceeding all three in FY-09.

The Laboratory endorses the concept of including language regarding Minority and Women Business Enterprises in any contemplated agreement between our respective organizations. Because of the limitations inherent in our Prime Contract, we request that this provision continue to reflect the following language, which has been used in previous agreements that reads as follows: Section entitled Minority and Women’s Business Enterprises Commitment

“In the performance of this Agreement, including the procurement and lease of materials or equipment, the Laboratory abides by the U.S. Department of Energy requirements with respect to minority and women owned businesses.”

Should you have any questions, please feel free to contact the undersigned at your convenience at 630-252-7030.

Sincerely,

William M. Walsh

cc: K. Duke/Small Business Liaison
ACORD CERTIFICATE OF LIABILITY INSURANCE

PRODUCER
WAUSAU INSURANCE
PO BOX 8017
WAUSAU WI 54402-8017

INSURED
UCHICAGO ARGONNE LLC,
OPERATOR OF ARGONNE NATIONAL LABORATORY
9700 S CASS AVE
ARGONNE IL 60439

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURERS AFFORDING COVERAGE
INSURER A: EMPLOYERS INSURANCE COMPANY OF WAUSAU
INSURER B:
INSURER C:
INSURER D:
INSURER E:

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

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<th>POLICY NUMBER</th>
<th>POLICY EFFECTIVE DATE (MM/DD/YY)</th>
<th>POLICY EXPIRATION DATE (MM/DD/YY)</th>
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<td>10/01/08</td>
<td>10/01/09</td>
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<td>MED EXP (Any one person) $ 5,000</td>
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<td>(Each auto)</td>
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<td>BODILY INJURY (Per person) $</td>
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<td>E.L. DISEASE - POLICY LIMIT $ 500,000</td>
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OTHER

DESCRIPTION: SPECIFICATIONS, EXCLUSIONS, ENDORSEMENTS, SPECIAL PROVISIONS:

COVERAGE IS INCLUDED FOR ALL OPERATIONS OF THE NAMED INSURED IN CONNECTION WITH THE U.S. DEPARTMENT OF ENERGY CONTRACT #DE-AC02-06CH11357.

CERTIFICATE HOLDER: UCHICAGO ARGONNE LLC
OPERATOR OF ARGONNE NATIONAL LABORATORY
9700 S CASS AVE
ARGONNE IL 60439

ADDITIONAL INSURED: INSURER LETTER:

CANCELLATION:

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE INSURING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED SIGNATURE

ACORD 25-5 (7/97) © ACORD CORPORATION 1985
CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I – GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a if applicable:
   UChicago Argonne LLC

Check ONE of the following three boxes:

Indicate whether Disclosing Party submitting this EDS is:

1. [x] the Applicant
   OR

2. [ ] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
   Applicant in which Disclosing Party holds an interest: __________________________
   OR

3. [ ] a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity
   in which Disclosing Party holds a right of control: __________________________

B. Business address of Disclosing Party: UChicago Argonne LLC
   9700 South Cass Avenue
   Lemont, IL 60439

C. Telephone: 630-252-7030 Fax: 630-252-4517 Email: wmwalsh@anl.gov

D. Name of contact person: William M. Walsh

E. Federal Employer Identification No. (if you have one): 68-0628477

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS
   pertains. (Include project number and location of property, if applicable):
   Integrated Public Health Planning and Response to assist the City ability to prepare for responses to a variety of
   natural disease outbreaks, bioterrorism, and other outbreaks.

G. Which City agency or department is requesting this EDS? The Chicago Department of Public Health.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the
following:

   Specification # 62420 and Contract # 17429
SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
   [ ] Person
   [ ] Publicly registered business corporation  [ ] Limited liability partnership*
   [ ] Privately held business corporation  [ ] Joint venture*
   [ ] Sole proprietorship  [X] Not-for-profit corporation
   [ ] General partnership*  (Is the not-for-profit corporation also a 501(c)(3))?
   [ ] Limited partnership*  [X] Yes  [ ] No
   [ ] Trust  [ ] Other (please specify)

   * Note B.1.b. below.

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

   State of Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?
   [ ] Yes  [ ] No  [ ] N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:
   1.a. List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

   Name
   Title
   Sole member is the University of Chicago

   __________________________________________________________

   __________________________________________________________

   __________________________________________________________

   __________________________________________________________

   1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.
2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state “None.” NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

<table>
<thead>
<tr>
<th>Name</th>
<th>Business Address</th>
<th>Percentage Interest in the Disclosing Party</th>
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<td>None</td>
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**SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a “business relationship,” as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[ ] Yes  [X] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):


**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to
be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

<table>
<thead>
<tr>
<th>Name (indicate whether retained or anticipated to be retained)</th>
<th>Business Address</th>
<th>Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)</th>
<th>Fees (indicate whether paid or estimated)</th>
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(Add sheets if necessary)

[ ] Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[ ] Yes [ ] No [X] No person owns 10% or more of the Disclosing Party.

If “Yes,” has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[ ] Yes [ ] No
B. FURTHER CERTIFICATIONS

1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;

d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

2. The certifications in subparts 2, 3 and 4 concern:

- the Disclosing Party;

- any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or
principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

- any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter
6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

_________________________________________________________________________

_________________________________________________________________________

_________________________________________________________________________

_________________________________________________________________________

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[ ] is     [X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

________________________________________________________________________________________________________
________________________________________________________________________________________________________
________________________________________________________________________________________________________

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

   [ ] Yes  [X] No

   NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

   Does the Matter involve a City Property Sale?

   [ ] Yes  [ ] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

<table>
<thead>
<tr>
<th>Name</th>
<th>Business Address</th>
<th>Nature of Interest</th>
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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City
official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

X 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:

_________________________________________________________________

_________________________________________________________________

SECTION VI – CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) website at http://www.whitehouse.gov/omb/grants/sfllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?
[X] Yes  [ ] No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

   [X] Yes  [ ] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

   [X] Yes  [ ] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

   [X] Yes  [ ] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

____________________________________________________________________________________

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.

B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable
ordinances.

D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2.
above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

UCO Chicago Argonne LLC
(Date: 9/05/08)
(Print or type name of Disclosing Party)

By:

(sign here)

Richard E. Combs
(Print or type name of person signing)

Manager, Sponsored Research Office
(Print or type title of person signing)

Signed and sworn to before me on (date) 9/05/08, by Richard E. Combs, at UChicago Argonne LLC

DuPage County, Illinois (state).

Sandra Kalina Notary Public.


11/01/05 Version
SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
   The University of Chicago

Check ONE of the following three boxes:

Indicate whether Disclosing Party submitting this EDS is:
1. [ ] the Applicant
   OR
2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
   Applicant in which Disclosing Party holds an interest: UChicago Argonne, LLC
   OR
3. [ ] a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity
   in which Disclosing Party holds a right of control:

B. Business address of Disclosing Party: 5801 South Ellis Avenue
   Chicago, IL 60637

C. Telephone: 773-702-5799 Fax: 773-702-0934 Email: gmckeown@uchicago.edu
D. Name of contact person: Glenn McKeown
E. Federal Employer Identification No. (if you have one): 36-2177139
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS
   pertains. (Include project number and location of property, if applicable):
   Integrated Public Health Planning and Response to assist the City ability to prepare for responses to a variety of
   natural disease outbreaks, bioterrorism, and other outbreaks.
G. Which City agency or department is requesting this EDS? The Chicago Department of Public Health.

If the Matter is a contract being handled by the City’s Department of Procurement Services, please complete the
following:

Specification # 62420 and Contract # 17429
SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
   [ ] Person
   [ ] Publicly registered business corporation
   [ ] Privately held business corporation
   [ ] Sole proprietorship
   [ ] General partnership*
   [ ] Limited partnership*
   [ ] Trust
   [X] Not-for-profit corporation

   (Is the not-for-profit corporation also a 501(c)(3))?
   [X] Yes
   [ ] No
   [ ] Other (please specify)

   * Note B.1.b. below.

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

   State of Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

   [ ] Yes
   [ ] No
   [ ] N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1.a. List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

<table>
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<tr>
<th>Name</th>
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[Please see Attachment A]

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.
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**SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a “business relationship,” as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[ ] Yes  [X] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

_______________________________

_______________________________

**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

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be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

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SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[ ] Yes    [ ] No    [X] No person owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[ ] Yes    [ ] No
B. FURTHER CERTIFICATIONS

1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

   a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

   b. have not, within a five-year period preceding the date of this EDS, been convicted of: a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

   c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;

   d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

   e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

2. The certifications in subparts 2, 3 and 4 concern:

   • the Disclosing Party;

   • any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

   • any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the
Applicable Party, is under common control of another person or entity;

- any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):


If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

   [ ] Yes [X] No

   NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

   Does the Matter involve a City Property Sale?

   [ ] Yes [ ] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

   Name | Business Address | Nature of Interest


4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.
E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

__X__ 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-L.1L, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[ ] Yes [X] No
If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
   
   [ ] Yes  [ ] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
   
   [ ] Yes  [ ] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
   
   [ ] Yes  [ ] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

_________________________________________________________________________

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.

B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City’s Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly, controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees, or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA’s List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.
NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

The University of Chicago
(Print or type name of Disclosing Party)

By:

(sign here)

Glenn McKeown
(Print or type name of person signing)

Associate General Counsel
(Print or type title of person signing)

Signed and sworn to before me on (date) 8/29/08, by Glenn McKeown, at Chicago

Cook County, Illinois (state).

Notary Public.

Commission expires: 5/15/10

11/01/05 Version
OFFICERS AND TRUSTEES OF THE UNIVERSITY OF CHICAGO

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Thomas F. Rosenbaum, Provost of the University
Nim Chinniah, Vice-President for Administration and Chief Financial Officer
Michael C. Behnke, Vice-President and Dean of College Enrollment
Kermit E. Daniel, Vice President for Financial Strategy and Budget (effective 9/15/08)
David B. Fithian, Secretary of the University
Kimberly Goff-Crews, Vice-President and Dean of Students in the University
David A. Greene, Vice-President for Strategic Initiatives
Beth A. Harris, Vice-President and General Counsel, Office of Legal Counsel
Gregory A. Jackson, Vice-President and Chief Information Officer of the University
Donald Levy, Vice-President for Research and for National Laboratories
Ann Marie Lipinski, Vice President for Civic Engagement (effective 10/1/08)
James L. Madara, Dean of Biological Sciences Division and the Pritzker School of Medicine, University
       Vice-President for Medical Affairs, CEO, University Medical Center
Julie Peterson, Vice-President for Communications
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9/9/2008
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Grant Number: 5U90TP517008-09

Principal Investigator(s):
CHRISTINE KOSMOS

Project Title: PUBLIC HEALTH PREPAREDNESS AND RESPONSE FOR BIOTERRORISM

333 SOUTH STATE STREET, ROOM 200

CHICAGO, IL 606043972

Budget Period: 08/10/2008 – 08/09/2009
Project Period: 08/31/2005 – 08/09/2010

Dear Business Official:

The Centers for Disease Control hereby awards a grant in the amount of $11,382,673 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CHICAGO CITY DEPARTMENT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OFPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

[Signature]
Nealean K. Austin
Grant Management Officer
Centers for Disease Control

Additional information follows
SECTION I – AWARD DATA – 5U90TP517008-09

Award Calculation (U.S. Dollars)

Salaries and Wages $4,228,846
Fringe Benefits $1,275,423
Personnel Costs (Subtotal) $5,504,269
Equipment $298,160
Supplies $42,500
Travel Costs $46,202
Other Costs $1,097,640
Consortium/Contractual Cost $3,421,827

Federal Direct Costs $10,410,598
Federal F&A Costs $1,086,075
Approved Budget $11,496,673
Federal Share $11,496,673
Less Unobligated Balance $114,000
TOTAL FEDERAL AWARD AMOUNT $11,382,673

AMOUNT OF THIS ACTION (FEDERAL SHARE) $11,382,673

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

10 $15,703,041

Fiscal Information:

CFDA Number: 93.069
EIN: 1366005820A4
Document Number: 0CCU517008

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SUMMARY TOTALS FOR ALL YEARS

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Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N/OC: 4151

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U90TP517008-09

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U90TP517008-09

Page 2 of 6
This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Coordinating Office For Terrorism Preparedness And Emergency Response (CTPER)

Treatment of Program Income:
Additional Costs

SECTION IV – TP Special Terms and Conditions – 5U90TP517008-09

TERMS AND CONDITIONS OF THIS AWARD

INCORPORATION: RFA Number AA154 and the application dated July 3, 2008, are made a part of this award by reference.

INDIRECT COSTS: Indirect costs are approved based on the Indirect Cost Proposal Agreement dated May 6, 2008, at a rate of 10.74 percent.

FUNDING: Attached is a spreadsheet that reflects the total funding (financial assistance and direct assistance (if applicable) for your budget period 09 award.

CARRYOVER OF ESTIMATED UNOBLIGATED BALANCES FOR PAN FLU AND/OR RTDD ACTIVITIES: A total of $114,000 of estimated BP08 unobligated funds were used to fund the continuation of RTDD activities.

Cities Readiness Initiative (CRI) - This award includes $2,150,000 to ensure that selected cities are prepared to provide oral medications during an event to 100 percent of their affected populations. This generally will entail enhancing each city's capability to establish a network of points of dispensing (POD) staffed with trained/exercised paid and/or volunteer staff. In the wake of a catastrophic bioterrorism event, even the largest POD network that the jurisdiction is capable of mounting on its own may be insufficient to protect its citizens, in which case the grantee may elect to request staff and other resources from the Federal Government to augment the POD network or to deploy elements of the United States Postal Service to complement the POD network with direct delivery of antibiotics to residences.

REVIEW SUMMARY: Please see the Review Summary included with the Notice of Award for any specific weaknesses, recommendations, or items requiring additional information. A response to all weaknesses must be submitted through via DSLR PERFORMS by October 10, 2008.

CANCELLING FUNDS: FY 03 funds will cancel on October 1, 2008. All FY 03 funds should be drawn down and reported on the 272 Report by September 30, 2008. All unliquidated FY 03 obligations as of October 1, 2008 will be deobligated and funds returned to Treasury.

REPORTING REQUIREMENTS:

Semi-annual Progress Report - A semi-annual progress report for activities undertaken in the first six months of this budget period (August 10, 2008 through February 10, 2009), as well as special topics related to the goals and objectives, is due with the next budget period's continuation application. This report must be submitted via DSLR PERFORMS. CDC will provide templates for these reports to assess program outcomes related to activities undertaken in this budget period. In
addition, you may be required to submit information upon request based on changing threat status or national security priorities.

Estimated Financial Status Report (FSR) - An estimated FSR for the period August 10, 2008 through August 9, 2009 is due with the next budget period's continuation application.

Final Reports- An original and two copies of the final FSR is due to the Grants Management Officer named below by November 10, 2009. Final project reports (summarizing activities from August 10, 2008 through August 9, 2009, with emphasis on barriers encountered and accomplishments since February 11, 2009) must be submitted via DSLR PERFORMS by November 10, 2009.

PROGRAM REQUIREMENTS: The following two requirements are new for BP9:

1. Maintenance of Funding (MOF). Complete the MOF requirement that will calculate the amount of MOF you should be prepared to document during BP9. According to PL 109-417, any funds withheld from the PHEP cooperative agreement program or the Hospital Preparedness Program will be reallocated to the healthcare Facilities Partnership program in the same state.
2. Match. Documentation of a plan to identify and accumulate the 5% match required to obtain a BP10 award will be a component of your IPR submission in spring 2009.

CORRESPONDENCE: All correspondence regarding this award must be identified with the award number.

PRIOR APPROVAL: All requests which require the prior approval of the Grants Management Officer as noted in 45 CFR 74 and 92 (as applicable) must bear the signature of an authorized official of the business office of the grantee organization as well as the principal investigator or program or project director. Any requests received, which reflect only one signature, will be returned to the grantee unprocessed. Additionally, any requests involving funding issues must include a new proposed budget, and a narrative justification of the requested changes.

SUB-GRANTEE AND CONTRACT FUNCTIONALITY: Ensure that grant recipients understand the difference between a sub-grantee and a contractor and appropriately apply them when Federal financial assistance is used in award documents.

The determinate of a sub-grantee or contractor should not be based on the title of the instrument selected but on the true function of work undertaken by the agreement.

A sub-award is financial assistance, therefore the term that apply to recipients/grantees generally apply to sub-grantee/sub-recipient as well. Sub-grantee is involved in the financial assistance activities, i.e., to help fulfill their statement of work. Characteristics indicative of a sub-award received by a sub-recipient are when the organization:

1. determines who is eligible to receive what Federal financial assistance;
2. has its performance measured against whether the objective of the Federal program are met;
3. has responsibility for programmatic decision making;
4. has responsibility for adherence to applicable Federal program compliance requirements; and
5. uses Federal funds to carry out a program of the organization as compared to providing goods or services for a program of the pass-through entity.

Thus, the laws that apply to the recipient generally apply to a sub-recipient.

Contractor is involved in procurement, i.e., a vendor providing goods or services to directly benefit the recipient, does not seek to accomplish a public benefit, and does not perform substantive work on the project. Characteristics indicative of a payment for goods and services received by a vendor are when the organization:

1. provides the goods and services within normal business operations;
2. provides similar goods or services to many different purchasers;
3. operates in a competitive environment;
4. provides goods or services that are ancillary to the operation of the Federal program; and
5. is not subject to compliance requirements of the Federal program.

INVENTIONS: Acceptance of grant funds obligated recipients to comply with the standard patent rights clauses in 37 CFR 401.14.
PUBLICATIONS: Publications, journal articles, etc. produced under a CDC grant support project must bear an acknowledgement and disclaimer, as appropriate, such as: This publication (journal article, etc.) was supported by Grant/Cooperative Agreement Number (insert award number) from CDC. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of CDC.

PURCHASING AMERICAN-MADE EQUIPMENT AND PRODUCTS: To the greatest extent practicable, all equipment and products purchased with CDC funds should be American-made.

ACKNOWLEDGMENT OF FEDERAL SUPPORT: When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all awardees receiving Federal funds, including and not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

FRAUD, WASTE OR ABUSE HOTLINE: For your information, the United States Department of Health and Human Services Inspector General maintains a toll-free telephone number, 800-368-5779, for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Such reports are kept confidential, and callers may decline to give their names if they choose to remain anonymous.

PAYMENT INFORMATION: Payment under this award will be made available through the Department of Health and Human Services (HHS) Payment Management System (PMS). The Division of Payment Management, Program Support Center, HHS administers PMS. PMS will forward the OHHS Manual for Recipients Financed Under the Payment Management System (PMS), PMS-270 and PMS-272 forms.

PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows: Division of Payment Management, FMS/PSC/HHS, P. O. Box 6021, Rockville, MD 20852.

If a carrier other than the U.S. Postal Service is used, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows: Division of Payment Management, FMS/PSC/HHS, Rockwall Building #1, Suite 700, 11400 Rockville Pike, Rockville, MD 20852.

To expedite your first payment from this award, attach a copy of the Notice of Grant/Cooperative Agreement to your payment request form.

Posting of Funds in the Payment Management System - Funds awarded for budget period 09 PHEP activities have been placed in a separate sub-account in PMS. The account number is BIOT-08. Expenditures must be reported on the 272 under the appropriate sub-account.

AUDIT REQUIREMENT: You must comply with the audit requirements of OMB Circular A-133, Audits of State, Local Governments and Non-Profit Organizations, revised June 30, 1997, which rescinded OMB Circular A-128 Audits of State and Local Governments. Please send a courtesy copy of completed audits and any management letters on a voluntary basis to the following:

Centers for Disease Control and Prevention (CDC)
Attention: Head, Acquisition Assistance Oversight and Evaluation
2920 Brandywine Road, NE
Atlanta, Georgia 30341

You are required to ensure that subrecipients receiving CDC funds also meet the requirements of OMB A-133 (total Federal grant or cooperative agreement funds received exceed $500,000). Additionally, you must also ensure that appropriate corrective action is taken within six months after receipt of the subrecipient audit report in instances of non-compliance with Federal laws and regulations. You are to consider whether subrecipient audits necessitate adjustment of your own records. If a subrecipient is not required to have an OMB A-133 audit, you are still required by OMB A-133 to perform adequate monitoring of subrecipient activities. You should require each subrecipient to perform independent auditors to have access to the subrecipient=s records and
financial statements. YOU SHOULD INCLUDE THESE REQUIREMENTS IN SUBRECIPIENT CONTRACTS.

TRAFFICKING IN PERSONS: This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term and condition, go to: http://www.cdc.gov/od/pgo/funding/grants/Award_Term_and_Condition_for_Trafficking_in_Persons.shtm.

CDC CONTACT NAMES:

Business and Grants Policy Contact:
Angela R. Webb, Grants Management Specialist
Acquisition and Assistance, Branch VI
Procurement and Grants Office
Centers for Disease Control and Prevention (CDC)
2920 Brandywine Road, MS K69
Atlanta, GA 30341-4146
Telephone: 770-488-2784; Fax: 770-488-2670
Email: aqw6@cdc.gov

Programmatic Contact:
Kevin Griffy, Project Officer
Division Office of State & Local Readiness
Office of terrorism Preparedness and response
Centers for Disease Control & Prevention (CDC)
1600 Clifton Road, NE, Mailstop D-29
Telephone: 404-639-7744
Email: kwg2@cdc.gov

STAFF CONTACTS
Grants Management Specialist: Angela Webb
Centers for Disease Control and Prevention
Atlanta, GA 30333

Grants Management Officer: Nealean K. Austin
Centers for Disease Control and Prevention
Procurement and Grants Office
1600 Clifton Rd., Mail Stop K14
Atlanta, GA 30333
Email: nea1@cdc.gov Phone: 770-488-2754 Fax: 770-488-2777

SPREADSHEET SUMMARY
GRANT NUMBER: 5U90TP517008-09

INSTITUTION: CHICAGO DEPARTMENT OF PUBLIC HEALTH

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<td>Travel Costs</td>
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<td>Other Costs</td>
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# Chicago

**Public Health Emergency Preparedness--Program Announcement TPAA-154 - Budget Period 09**

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<td>$114,000</td>
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<td><strong>TOTAL--DA</strong></td>
<td>$-</td>
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<td>$-</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
</tbody>
</table>

**TOTAL AWARD**          | $9,232,673 | $-    | $2,150,000 | $-         | $-      | $114,000 | $11,496,673 |
**Workplan Technical Review Summary (TRS)**

**TRS related to Overall Program**

**TR (ID#: 19003) - Recommendation**

PER SNS SME: CDPH needs to address the plans and actions they will take to complete at least one full scale or functional mass prophylaxis dispensing exercise conducted in each CRI MSA in which each planning jurisdiction within that MSA participated (One full-scale exercise and 3 POD drills).

**TR (ID#: 19011) - Recommendation**

CDPH needs to address the plans and actions they will take to complete at least one full scale or functional mass prophylaxis dispensing exercise conducted in each CRI MSA in which each planning jurisdiction within that MSA participated (One full-scale exercise and 3 POD drills).

**Other TRS**

**TR (ID#: 18510) - Strength**

Will enhance First Responders Prophylaxis Plans and other public safety issues.

**Related to:**
- 20080001- Responder Safety and Health
- Ongoing Or New

**TR (ID#: 19008) - Recommendation**

Not sure what the focus is for this project. I would recommend revising the "How" section and the outputs to focus specifically on what you are trying to accomplish. Also, outputs should have a timeline linked to them so that progress can be accurately monitored.

**Related to:**
- 20080004- CBRN Detection
- What
- How

**TR (ID#: 19009) - Recommendation**

This project is of value to CDPH to keep informed of events worldwide, nationally, regionally, and locally, that could impact their city. Some proposed deadlines are included in a few of the outputs, but would recommend that CDPH deadlines for all major milestones to ensure that project is completed in an agreed-upon time frame. The budget for the project (Argonne Contract) was reviewed and approved for this budget period.

**Related to:**
- 20080011- Chicago Department of Public Health Fusion/Analysis Cell
- How
- Outputs

**TR (ID#: 19010) - Recommendation**

Operationally, this is important project for CDPH. It is recommended the CDPH provide milestones for the estimated completion of the system being operational at each hospital in the outputs section. This will ensure that project is completed in an agreed-upon time frame.

**Related to:**
- 20080016- Electronic Laboratory Reporting (ELR)
- Outputs

---

**Budget Exception Review (BER)**

**Budget Exceptions for Base Funding Source**

<table>
<thead>
<tr>
<th>Type</th>
<th>Category</th>
<th>Description</th>
<th>Requested</th>
<th>Approved</th>
<th>Exception</th>
</tr>
</thead>
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<tr>
<td></td>
<td></td>
<td></td>
<td>$</td>
<td>$</td>
<td>Text</td>
</tr>
</tbody>
</table>
| Needs More Info | Personnel | Medical Director  
(Personnel: $131,000 / Fringe: $39,510) | $170,510 | $170,510  
Provide name of person selected to fill this position when position is filled. |
|----------------|-----------|------------------------------------------------|
| Needs More Info | Personnel | Epidemiologist - II  
(Personnel: $64,704 / Fringe: $19,515) | $84,219 | $84,219  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Personnel | Epidemiologist - III  
(Personnel: $77,148 / Fringe: $23,268) | $100,416 | $100,416  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Personnel | Senior Emergency Management Coordinator  
(Personnel: $64,620 / Fringe: $19,489) | $84,109 | $84,109  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Personnel | Senior Emergency Management Coordinator  
(Personnel: $58,812 / Fringe: $17,738) | $76,550 | $76,550  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Personnel | Industrial Hygenist/Safety Officer  
(Personnel: $67,748 / Fringe: $20,433) | $88,181 | $88,181  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Personnel | Veterinarian  
(Personnel: $70,000 / Fringe: $21,112) | $91,112 | $91,112  
Provide name of person selected to fill this position when position is filled. |
| Needs More Info | Travel | Trip to National Biowatch Meeting | $2,550 | $2,550  
Provide verification of the numbers of nights and days.  
(Generally, the number of
<table>
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<tr>
<th>Needs More Info</th>
<th>Other</th>
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<td>$18,000</td>
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<td></td>
<td></td>
<td>Blood Borne Pathogen training)</td>
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<tr>
<td>Needs More Info</td>
<td>Other</td>
<td>CBRNE training - $15,000</td>
<td>$15,000</td>
<td>$15,000</td>
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<td></td>
<td></td>
<td>(Courses and seminars for PHEP management staff)</td>
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<td>Needs More Info</td>
<td>Other</td>
<td>Interwise Charges @ $1,500/month</td>
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<td>Needs More Info</td>
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<td>ATC Site Survey</td>
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*Budget Exceptions for CRI Funding Source*

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<th>Approved</th>
<th>Exception</th>
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</thead>
</table>

days exceeds the number of nights by one. Therefore, one night equals two days of travel.)

Provide information on how these costs were determined (e.g., items to be purchased and cost per item, etc.).

Provide information on how these costs were determined (e.g., items to be purchased and cost per item, etc.).

Provide information on how these costs were determined (e.g., items to be purchased and cost per item, etc.).

Other: Provide justification for Interwise monthly charges.

Provide information on how these costs were determined (e.g., items to be purchased and cost per item, etc.).
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<thead>
<tr>
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<th>Personnel</th>
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<tr>
<td>Training Director-Education Coordinator (Personnel: $65,912 / Fringe: $19,879)</td>
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<td>***Project Administrator/Grant Monitoring: (TBD) (Personnel: $62,581 / Fringe: $18,874)</td>
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<td>Provide name of person selected to fill this position when position is filled.</td>
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<tr>
<td>***Project Manager (Personnel: $34,960 / Fringe: $10,544)</td>
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<td>Provide name of person selected to fill this position when position is filled.</td>
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<tr>
<td>Fit Testing and medical evaluation for CDPH responders using N-95's and PAPR's- ongoing</td>
<td>$61,250</td>
<td>Provide information on how these costs were determined (e.g., items to be purchased and cost per item, etc.).</td>
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</table>

Budget Exceptions for PanFlu Funding Source

No Exceptions

Budget Exceptions for Real-Time Disease Detection Funding Source

No Exceptions
**CITY OF CHICAGO**
**PURCHASE REQUISITION**

**DELIVER TO:**
041 - DEPAUL 2FL
333 S. STATE ST.
2ND FLOOR
Chicago, IL 60604

**REQUISITION:** 40983
**PAGE:**
**DEPARTMENT:** 41 - DEPARTMENT OF HEALTH
**PREPARER:** Richard W Rzeszutko
**NEEDED:**

## REQUISITION DESCRIPTION

**SPECIFICATION NUMBER:** 70310

## COMMODITY INFORMATION

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**PLAN CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES FACILITATE EXERCISES AND COORDINATE EXERCISE CONTENT**

**SUGGESTED VENDOR:** ARGONNE NATIONAL LABORATORY
**REQUESTED BY:** Richard W Rzeszutko

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**LINE TOTAL:** 0.00

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**PLAN CHICAGO DISEASE OUTBREAK DISTANCE TABLETOP EXERCISES FACILITATE EXERCISES AND COORDINATE EXERCISE CONTENT**

**SUGGESTED VENDOR:** ARGONNE NATIONAL LABORATORY
**REQUESTED BY:** Richard W Rzeszutko

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<tr>
<th>DIST</th>
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**LINE TOTAL:** 0.00

**REQUISITION TOTAL:** 0.00

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*Where a commodity is for a particular or unique use other than standard quality, grades, color, size or other characteristics, give details of how it will be and for what purpose. Requisitions prepared incorrectly will be returned to the using department.*