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Board of Ethics

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March 25, 1988



Case Number 88030.Q

Dear Management

This letter is in response to your memorandum regarding the impact of lobbyist registration requirements of the Governmental Ethics Ordinance on cable companies. Please be advised that Section 26.2-1(o) of the Ordinance defines a "lobbyist" as any person:

(i) who for compensation or on behalf of any person other than himself undertakes to influence any legislative or administrative action; or (ii) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

Therefore, an individual will be classified as a "lobbyist" whenever they accept compensation to influence either <u>legislative action</u> or <u>administrative action</u>.

As defined by Section 26.2-1(n), "legislative action" is:

the introduction, sponsorship, consideration, debate, amendment, passage, defeat, approval, veto or other official action or non-action on any ordinance, resolution, motion, order, appointment, application or other matter pending or proposed in the City Council or any committee or subcommittee thereof.

In accordance with this definition, Cable companies engage in legislative lobbying whenever they attempt to influence a matter pending in City



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Council or one of its committees. Cable companies will therefore be required to register pursuant to Section 26.2-21 of the Ethics Ordinance, if the aggregate compensation or expenditures for this and other lobbying-related activities undertaken by the company exceeds \$5,000.

In addition to reporting legislative lobbying, Cable companies will be required to report any attempts by their company to influence administrative action. Section 26.2-1(a) defines "administrative action" as:

a decision on, or proposal, consideration, enactment or making of any rule, regulation, or other official non-ministerial action or non-action by any executive department, or by any official or employee of an executive department, or any matter which is within the official jurisdiction of the executive branch.

The term "executive department" refers only to those governmental units specifically designated as an "executive department" in the Municipal Code of Chicago. Please note that the Office of Cable Communications is not an "executive department" and therefore cable companies that appear before the Cable Office are not attempting to influence "administrative action" as defined by the Ethics Ordinance. Accordingly, a cable company that appears before the Cable Office is not "lobbying" and would not be required to report such representation to the Board of Ethics.

I hope that this analysis of the lobbyist provisions of the Ethics Ordinance addresses your concerns regarding the application of these laws to City of Chicago Cable Companies. If you have any further questions, please do not hesitate to contact the Board at 744-9660.

Sincerely,

Harriet McCullough

Executive Director

1/ See attached list of Executive Departments.



EXECUTIVE DEPARTMENTS

The following City departments are classified as "executive departments" for purposes of Section 26.2-1(a) of the Ethics Ordinance:

- 1. Department on Aging and Disability
- 2. Department of Aviation
- 3. Department of Consumer Services
- 4. Department of Cultural Affairs
- 5. Department of Economic Development
- 6. Department of Finance
- 7. Department of Fire
- 8. Department of General Services
- 9. Department of Health
- 10. Department of Housing
- 11. Department of Human Services
- 12. Department of Inspectional Services
- 13. Department of Investigations
- 14. Department of Law
- 15. Department of Management Information Services
- 16. Department of Neighborhoods
- 17. Department of Personnel
- 18. Department of Planning, City and Community Development
- 19. Department of Police
- 20. Department of Public Works
- 21. Department of Purchases, Contracts and Supplies
- 22. Department of Revenue
- 23. Department of Sewers
- 24. Department of Streets and Sanitation
- 25. Department of Water
- 26. Department of Zoning