

BOARD OF ETHICS
OPEN SESSION MINUTES
MEETING OF MAY 16, 2022- 3:05 P.M.
740 North Sedgwick, Suite 500

BOARD MEMBERS PRESENT

William F. Conlon, Chair
Zaid Abdul-Aleem
Ryan Cortazar
Dr. Stephanie Cox-Batson
David L. Daskal
Norma Manjarrez
Hon. Barbara McDonald

STAFF PRESENT

Steven I. Berlin, Executive Director
Lisa S. Eilers, Deputy Director
Richard J. Superfine, Legal Counsel
Lauren Maniatis, Investigator/Attorney
Paully Casillas, Staff Assistant

GUESTS ATTENDING

Heather Cherone, WTTW
Pete Czosnyka, Citizen
Sara Gronkiewicz-Doran, Chair, United Northwest Side
Alex Nitkin, The Daily Line

Chris Norborg, Office of Inspector General
Deborah Witzburg, Inspector General of Chicago
Bryan Zarou, Better Government Association

The meeting was convened and conducted through the use of the Zoom remote video and audio meeting platform.

I. APPROVAL OF MINUTES

The Board VOTED 7-0 to approve the Open Session Minutes of the April 11, 2022 meeting.

II. CHAIR'S REPORT

The Chair welcomed all the guests and asked them to introduce themselves. He then again thanked the staff for all its hard work both during the pandemic, and recently, on producing the guide to proper and improper activities as to what would have been a ward remap referendum in late June.

III. MEMBERS' REPORTS

None

IV. EXECUTIVE DIRECTOR'S REPORT

A. Amendments to Ordinance

At the April 27 City Council meeting, a package of amendments to the Governmental Ethics Ordinance and City Council Rules were submitted. The package was then referred to the Committee on Committees and Rules. Last Friday, May 6, we participated in multiple City Council briefings on the proposal. The proposal itself has been in the works for several months, and we have been working closely with Chair Michele Smith of the City Council's Committee on Ethics and Government Oversight and her staff on them. We also greatly appreciate the

work of the Better Government Association, which also had a hand in drafting these amendments. To read them, please see: <https://chicago.legistar.com/View.ashx?M=F&ID=10846518&GUID=52355134-6182-40C8-8BEC-496276BCD229> and <https://chicago.legistar.com/View.ashx?M=F&ID=10846630&GUID=2A5CC5AE-64F0-4838-B673-D8574693AE4F>

We are working hard to ensure this legislation's passage. Highlights include:

- Increasing penalties for all “substantive” ethics ordinance violations to a range between \$1,000 and \$20,000 per violation (currently the range for such violations is \$1,000 - \$5,000 per violation), including violations of the sexual harassment prohibitions—and enabling the Board of Ethics to impose fines equal to any financial benefit the violator realized, if higher. (§2-156-465);
- Standardizing fines for “non-substantive” ethics violations, namely, late ethics form filing or training, at \$250 per day (§2-156-465);
- Greatly expanding the City’s conflicts of interest provisions to ensure that City officials or employees cannot in any way seek to influence City action on matters affecting or benefitting their relatives or domestic partners. Current law prohibits only managing contracts with firms with whom a City employee’s or official’s relative is employed or contracts. The new ordinance will sweep in any “administrative, legislative action or decision” – including for example issuing permits, licenses, and conducting inspections – and will prohibit firms from hiring relatives in a way that skirts this provision. (§2-156-030(b), §2-156-130(b), (c));
- Codifying long-standing Board case law that City employees and elected officials may not “represent,” even informally, the interests of other persons, including non-profits, before City agencies and employees and officials acting in their official capacity, unless that representation is in the course of and required to perform one’s City responsibilities (§2-156-090(a));
- Streamlining the restrictions on City employees offering or giving gifts to their official superiors; making it clear that there is never an obligation to give a gift; and imposing a \$10 or \$20 limit for group gifts and putting a cap of \$250 on an individual gift for very infrequently occurring situations like a wedding or childbirth (there is no such cap today). (§2-156-143);
- Ending the “privilege of the floor” for lobbying by former City Council members (§2-156-301);
- Amending City Council Rule 14 to require that City Council members who recuse themselves from any matter not already covered by the Ethics Ordinance disclose the recusal on the record to the City Clerk, who will record the recusal; and then the Board of Ethics will publish them (Rule 14);
- Requiring City Council members and other elected officials who recuse themselves from matters before City Council committee meetings to physically leave the committee room during discussion and voting on such matters (§§2-156-030(b), -080(b));
- Clarifying that independent contractors to City Council members and committees who provide substantive services to the City are not City employees, but still must complete

required training and file annual Statements of Financial Interests (§§2-156-010 (d-1), (d-2));

- Requiring City Council employees and independent contractors to disclose on their annual Statements of Financial Interests which City Council member, bureau, or committee they work for (§2-156-160);
- Expanding the list of persons or firms subject to the \$1,500 per year/per candidate committee limit on “corporate” political contributions to include subcontractors on City or “sister” agency contracts worth more than \$10,000 in a 12-month period (§2-156-445(a));
- Extending the \$1,500 per year/per candidate committee limit on “corporate” political contributions to include contractors or subcontractors of additional “sister” agencies, including the Chicago Housing Authority, Public Building Commission and future elected school board whose contracts are worth more than \$10,000 in a 12-month period (§2-156-445(a));
- Extending the prohibitions on using City property or resources for political purposes to candidates for City elected office—under current law only incumbents or City employees or officials are covered (§2-156-135(b));
- Expanding the definition of “City property” to include the official City seal, City intellectual property, and machinery and tangible equipment like computers and smart phones, none of which may be used for political activity or without authorization (§2-156-010(e-1));
- Clarifying that the lobbyist registration requirements do not apply to bona fide salespersons, or to regular citizens who are supporting efforts to get policy passed by, for example, visiting a ward office with a non-profit advocacy organization (§2-156-010(p));
- Clarifying that sworn CPD personnel may be employed as private security officers, provided they receive all required approvals from CPD itself (§2-156-142(f)).

We have on our website a color-coded version of the Ordinance showing all changes made since January 2018. See <https://www.chicago.gov/content/dam/city/depts/ethics/general/Ordinances/GEO-2019-color%20through%20June%202020.pdf>

B. Education

On-line Training

For all employees and aldermen

The all new employee/Elected Official training was posted on the City’s e-learning platform. To date, approximately 19,330 employees and 24 City Council members have completed it. This represents about 61.4% of the expected City-wide total. This program must be completed before January 1, 2023; those who fail to complete it will be subject to penalties of \$250 per day until they do. We are grateful to our colleagues at the Department of Human Resources for their invaluable assistance in migrating the training programs to the City’s e-learning management platform, as well as assisting us with the sexual harassment portions

of each year's training program. The migration enables users to take the training from any computer, including their home pc's. Previous training programs were deliberately designed to be taken only from City computers, for security reasons.

For lobbyists

To date, 511 lobbyists have completed the mandatory annual training, which is also posted on the City-wide e-learning system. This represents 63.3% of our registered lobbyists so far for 2022. Lobbyists must complete the program before July 1, 2022, or be subject to fines of \$200-\$750 per day until they complete it. We are sending weekly reminders to them.

For appointed officials

We are pleased that the all-new program for appointed officials went live the week of April 26. To date, 18 have completed it. Officials have until the end of the year to complete it. As with the all-employee/elected official and lobbyist trainings programs, it is hosted on the City's e-learning platform.

Classes and Other Presentations

We cancelled all in-person classes from March 2020 on. Given the course of the pandemic, we may re-start them in July. We have extended all training deadlines accordingly. All Board classes and educational programs cover sexual harassment.

On May 4, I served as a co-panelist along with a representative from the Illinois Secretary of State's Office for a one-hour presentation on the City's and State's lobbying laws, before the Local Government Committee of the Chicago Bar Association.

On May 9, we made a 60-minute presentation to the management of Joel Kennedy Construction Co., at its CEO's request.

On May 6 and 13, we made 45-minute presentations to incoming laborers from the Department of Streets & Sanitation, at the department's request.

On June 6, I will make a one-hour presentation on revolving door restrictions for the International Municipal Lawyers Association ("IMLA"). My co-presenters will be representatives from the Los Angeles City Ethics Commission and Pittsburgh Ethics Hearing Board.

On June 8, we will make a 60-minute presentation to the Law Department.

On June 13, we will make a 30-minute presentation on the City's ethics laws to all Mayoral Fellows, at the invitation of the Mayor's Office.

We are scheduling a class for new 11th Ward Ald. Nicole Lee and her staff.

C. Advisory Opinions

Since the Board's last meeting, we have issued 401 informal advisory opinions—a very busy period. The leading categories for informal opinions were, in descending order: Travel; Political Activity; Statements of Financial Interests; Gifts; City Property; Lobbying; Conflicts of Interests; and Campaign Financing.

The leading City departments from which requesters came in this period were, in descending order: City Council; Police Department/Civilian Office of Police Accountability (COPA)/Community Commission for Public Safety and Accountability (CCPSA); Mayor's Office; Office of Inspector General; Department of Public Health; and Department of Finance/Chief Financial Officer.

Informal opinions are not made public but are logged, kept, and used for training and future advisory purposes. This same practice occurs with our colleagues at the New York City Conflicts of Interest Board, who issue roughly the same number of informal opinions. They form the basis for much of our annual and periodic educational programs. Formal opinions are made public, in full text, with names and other identifying information redacted out.

In the past five (5) years, the Board has issued 64 formal opinions. There is one (1) on today's agenda.

D. Summary Index of Formal Advisory Opinions/Text of all Formal Advisory Opinions

The full text of every formal Board opinion issued since 1986 is posted on the Board's website (more than 915), redacted in accordance with the Ordinance's confidentiality provisions, here: https://www.chicago.gov/city/en/depts/ethics/auto_generated/reg_archives.html.

Redacted opinions are posted once issued by or reported to the Board. Summaries and keywords for each of these opinions are available on the Board's searchable index of opinions, here: https://www.chicago.gov/content/dam/city/depts/ethics/general/Publications/AO_index.docx. We are working to add to this document live links to the full text of each opinion.

Only a few other ethics agencies have comparable research tools. We are unaware of jurisdictions that make their *informal* opinions public—though others issue them confidentially and enable requesters to rely on them in the event of an investigation or enforcement.

E. 2022 Statements of Financial Interests

On February 28/March 1, as required by law, we notified 3,641 City employees and officials required to file 2022 Statements of their requirement to file and provided the link to file electronically. Since then, 97 individuals were added as filers by their departments: new hires, and those whose positions were re-classified into titles requiring them to file.

The filing deadline for the original 3,641 was May 2. As of May 3, 134 had not filed. They were each sent notices of probable cause, informing them that they had seven (7) days to file and/or present valid reasons for their lateness, or they would be found in violation of the Ordinance

and fined \$250/day beginning on May 10. 57 filed between May 3 and May 9, and will be found in violation but assessed no fine. 20 have filed since May 10, and will be found in violation and assessed fines totaling \$8,750. Six (6) are on official leaves of absence, so their matters were withdrawn; four (4) resigned prior to the deadline, so their cases were withdrawn. 43 have still not filed; they are in violation of the Ordinance and are accruing fines at \$250/day until they file. Later this week we will make public the names and applicable fines of all violators, as required by law. There will be more on this in Closed Session.

Note: as new filers are added by each department as new hires or promotions are made, these newly added filers receive their notice to file within 24 hours of being added to the system.

F. Lobbyists: Re-registration and Q4 Reports

Currently there are 807 registered lobbyists, and we have collected \$365,475 in registration fees. The Department of Finance resolved the reporting glitch, and this revenue figure is accurate.

We post updated lists of all lobbyists and their clients and contact information about once each month, at this link: <https://www.chicago.gov/content/dam/city/depts/ethics/general/LobbyistStuff/LISTS/LobbyistList.xls>

Note that we did have a slight decrease in the number of registered lobbyists in the past month, due to a relatively higher number of registration terminations.

1st Quarter lobbying activity reports were due before April 21. 36 failed to file by then, and received a reminder notification and then had 10 days from the date of the notice to file. Three (3) of these did not, and were sent a notice of probable cause on May 12, that they have seven (7) business days to file, or they will be found in violation of the law and fined \$1,000 per day beginning midnight on May 24 until they file.

G. Personnel Rules Revisions

In conjunction with the Mayor's Office, Departments of Human Resources, Law, Buildings, Business Affairs and Consumer Protection, and others, we worked on updating the City Personnel Rules, which were last revised in 2014. In particular, we are assisting on revisions to Rule XXIX, entitled "Conflict of Interest," with respect to: (i) conforming the Rules to the current version of the Governmental Ethics Ordinance; and (ii) expanding that Rule to prohibit City employees from making certain recommendations as to the hiring of other City employees and to recommending vendors or tradespeople to persons who are subject to inspections, permit reviews, etc.

H. Department Consultations

In the last few months, we assisted the Department of Streets & Sanitation in revising its conflicts of interests policies with respect to recommending outside business to residents, at the request of the Mayor's Office and the Department's Commissioner.

We also are working with the Commission on Human Relations to formulate a policy governing its employees' service on non-profit and other boards.

We also consulted with the Budget Office as to applicable ethics restrictions on the new Community Microgrants Program.

At the Mayor's directive we issued an ethics guide to evaluating and awarding CRP grants and contracts and as mentioned above, have offered each department a training session on the ethics guidelines.

I. Chicago Casino Bids

As was widely reported, the Mayor has chosen a casino operator. We issued guidance on lobbying to all elected officials recently, at the request of the Mayor. And, last month, issued guidance on the restrictions in the Ordinance for the ~80 City employees and officials who are working on the process of selecting the Casino operator, also at the request of the Mayor. Board staff worked closely with the Law Department, Mayor's Office, and the City's outside counsel (Taft, Stettinius and Hollister) to ensure that City officials and employees are informed of all reporting (and eventually, substantive ethics) requirements and prohibitions under the Illinois Gambling Act, 230 ILCS 10/1 et seq. Penalties for violating this law are severe: it is a Class 4 Felony under Illinois law, subjecting violators to fines up to \$25,000 and 1-3 years in prison. Note that the Gambling Act's reporting requirements are in addition to the restrictions in the City's Governmental Ethics Ordinance that would apply to those "applicants" who "communicate" with City officials or employees, such as the Ordinance's gifts restrictions and lobbyist registration requirements.

J. Waivers

Since July 1, 2013, the Board has had authority to grant waivers from certain provisions in the Ethics Ordinance. The Board has granted seven (7) and denied two (2). By law, we make all granted waivers public on our website.

K. Sister Agency Ethics Officers

We will meet next in July with the ethics officers from the other local governmental agencies: the Cook County Board of Ethics, Chicago Public Schools, Chicago Park District, Chicago Transit Authority, City Colleges of Chicago, Cook County Assessor's Office, Cook County Inspector General's Office (who are responsible for the Metropolitan Water Reclamation District), and the Chicago Housing Authority.

L. Summary Index of Board-Initiated Regulatory Actions/Adjudications/Pre-2013 Investigations

We post the summary index of all investigations, enforcement and regulatory actions undertaken by the Board since its inception in 1986 (other than those for violations of filing

or training requirements or campaign financing matters). It includes an ongoing summary of all regulatory actions the Board undertook without an IG investigation. See <https://www.chicago.gov/content/dam/city/depts/ethics/general/EnforcementMatters/Invest-Index.pdf>

The Board makes public the names of all violators and penalties it assesses when authorized by law to do so. There have been, to date, 131 such matters. But only in those that occurred after July 1, 2013, can the Board release the names of those found to have violated the Ordinance. Since July 1, 2013, alone, there have been 60 such matters.

M. Summary Index of Ongoing IG/LIG Investigations/Adjudications

There are currently no completed IG ethics investigations awaiting adjudication.

We post on our website and continually update an ongoing investigative record showing the status of every completed investigation brought to the Board by both the Office of Inspector General (13 since July 1, 2013) and the former Office of the Legislative Inspector General ("LIG"), since January 1, 2012, and the status of all 50 petitions to commence investigations presented to the Board by the LIG. We update it as appropriate, consistent with the Ordinance's confidentiality provisions. See <https://www.chicago.gov/content/dam/city/depts/ethics/general/EnforcementMatters/PulbicScorecard.pdf>

Whenever the IG presents the Board with a completed ethics investigation in which the IG believes there have been violations of the Governmental Ethics Ordinance, the procedure that follows is governed by §2-156-385(3) and (4) of the Ordinance: the Board reviews the IG's report, recommendations, and the entirety of the evidence submitted in its completed ethics investigation, including a review to ensure that the IG conformed with the requirement that it complete ethics investigations within two (2) years of commencing them (unless there is evidence that the subject took affirmative action to conceal evidence or delay the investigation), and that ethics investigations were commenced within five (5) years of the last alleged act of misconduct.

Then, if the Board finds that the evidence presented warrants a *prima facie* finding of probable cause to believe the subject violated the Ordinance, it notifies the subject of the allegations and affords the subject the opportunity to present written submissions and meet with the Board, together with an attorney or other representative present. The Ordinance provides that this meeting is *ex parte* – no one from the City's Law Department or IG is present. Note that the Board may request clarification from the IG as to any evidence adduced in its investigation before making a probable cause finding (and indeed has done so). The Board cannot administer oaths at this meeting but can and does assess the subject's credibility and the validity and weight of any evidence the subject provides.

If the subject does not rebut the Board's *prima facie* probable cause finding, the Board may enter into a public settlement agreement—or the Board or subject may decide to proceed to a merits hearing that is not open to the public. That hearing would be held before an administrative law judge (ALJ) appointed by the Department of Administrative Hearings. The City would be represented by the Law Department (or a specially hired Assistant Corporation Counsel for that purpose), and the subject by their attorney. At the conclusion of the hearing, the ALJ submits findings of fact and law to the Board, which can accept or reject them, based

solely on the written record of the hearing. The Board will then issue a public opinion in which it may find violations of the Ethics Ordinance, or find none, and impose appropriate fines.

The process may seem cumbersome. However, it was added to the Ordinance on July 1, 2013, based on specific recommendations of then-Mayor Emanuel's Ethics Reform Task Force in Part II of its 2012 Report—the primary purposes being to: (i) guarantee due process for all those investigated by the IG (or former LIG); (ii) ensure that only the Board of Ethics could make determinations as to whether a person investigated by the IG violated the Ordinance, given the Board's extensive jurisprudence and unique expertise in ethics matters; and (iii) balance due process for those investigated by the IG with an accurate adjudication by the Board and the public's right to know of ethics violations.

On our website, we have a publication describing this process in detail: <https://www.chicago.gov/content/dam/city/depts/ethics/general/Publications/EnforceProcedures.pdf>

Note: fines range from \$500-\$2,000 per violation for non-lobbying law violations that occurred before September 29, 2019, and \$1,000-\$5,000 per violation for violations occurring after that, except for unregistered lobbying violations, the penalties for which are \$1,000 per day beginning on the fifth day after the individual first engaged in lobbying and continuing until the individual registers as a lobbyist.

Please note finally that, in all matters adjudicated or settled on or after July 1, 2013, the Board makes public the names of all violators and penalties assessed, or a complete copy of the settlement agreement. All settlement agreements are posted here: <https://www.chicago.gov/city/en/depts/ethics/provdrs/reg/svcs/SettlementAgreements.html>

N. Disclosures of Past Violations

July 2013 amendments to the Ordinance provide that, when a person seeks advice from the Board about past conduct and discloses to the Board facts leading it to conclude that they committed a past violation of the Ordinance, the Board must determine whether that violation was minor or non-minor. If it was minor, the Board, by law, sends the person a confidential letter of admonition. If it was non-minor, then, under current law, the person is advised that they may self-report to the IG or, if he or she fails to do so within two (2) weeks, the Board must make that report. In 11 matters, the Board has determined that minor violations occurred, and the Board sent confidential letters of admonition, as required by the Ordinance. These letters are posted on the Board's website, with confidential information redacted out. There is one such matter on today's agenda, which was continued from last month.

O. Litigation

Lee v. City of Chicago. In June 2020, the City was sued in Cook County Circuit Court, Chancery Division, by a former City employee of the Civilian Office of Police Accountability (COPA). The case is *Jason W. Lee v. City of Chicago*, 2020 CH 04524. The plaintiff left City employment on February 28, 2020, and works as an attorney for the Policemen's Benevolent and Protective Association ("PBPA"). His suit alleges that the post-employment provisions of the Ordinance are unconstitutionally vague, and that the City is improperly attempting to regulate the practice of law by Illinois attorneys. It asked for a declaratory judgment and permanent

injunction prohibiting the City from enforcing these restrictions against him. After the matter was briefed by both sides, on July 31, 2020, the Honorable Anna Demacopoulos denied the plaintiff's request for a temporary restraining order. The plaintiff was granted leave to file an amended complaint, and filed one, adding an as-applied constitutional challenge. The City moved to dismiss the entire matter. On February 25, 2021, Judge Demacopoulos granted the City's motion to dismiss concerning the facial challenge to sections 100(a) and (b) and also the as-applied challenge to section 100(a). The court, however, denied the motion concerning the as-applied challenge to section 100(b), but expressed concern that this claim may be moot. Count III was also dismissed; it asked for a declaratory judgment that, by enforcing the Ordinance, the City is violating PBPA members' right to "counsel of their choice." Following the court's order on the City's motion to dismiss, the plaintiff was given leave to file an amended complaint, but he never did. Instead, he decided to move forward on the as-applied vagueness challenge to section 100(b) of the Ordinance. This is the only claim that survived the motion to dismiss. Judge Demacopoulos questioned whether this claim was moot in light of the expiration of the one year ban that applied to the plaintiff but left it up to the plaintiff whether he wanted to pursue the claim. Plaintiff may seek compensatory damages if he can prove that he suffered damage. The City filed its answer and affirmative defenses to the amended complaint on April 26, 2021. The plaintiff filed discovery requests. Board legal staff met with our attorneys in the Law Department and forwarded materials necessary to respond to these requests. There have been discussions regarding possible settlement of the matter as well, but the offer made by the plaintiff to settle the matter was rejected.

Note: several PBPA members filed grievances under their collective bargaining agreement, alleging that their right "to counsel of their choice" was violated by COPA. These were settled on terms that do not affect the Governmental Ethics Ordinance's post-employment provisions.

Brookins v. Board of Ethics, et al. This matter is assigned to the Honorable David Atkins in the Chancery Division of Cook County Circuit Court. The Board's and my attorneys have moved to dismiss the entire lawsuit and have submitted briefs. We await a decision.

Czosnyka et al. v. Gardiner et al., docket number is 21-cv-3240. We and the City of Chicago are now dismissed out of this case. On June 17, six (6) individuals residing in the 45th Ward filed a lawsuit in United States District Court against 45th Ward Ald. James Gardiner and the City, alleging that their 1st Amendment rights were violated by the Ald.'s improper blocking of them on his "official" City social media accounts. The plaintiffs sought certification of a class of all those improperly blocked by the Ald. The suit also alleged that more than 20 complaints of improper blocking were filed with the Board and the IG, but the City "failed to take any action to reprimand Ald. Gardiner, although it has the power to do so," and thus "acquiesced in [the Ald.'s] constitutional violations." It seeks to have the plaintiffs reinstated as full participants in these social media accounts and unspecified damages. The case is before the Honorable Judge Sharon J. Coleman.

On October 26, 2021, Judge Coleman granted the City's motion to dismiss it from the suit, and on January 12, 2022, denied the plaintiffs' motion to reconsider her decision. Plaintiffs could appeal this decision to the Seventh Circuit Court of Appeals. The residents sought to hold the City liable under the "failure to discipline" *Monell* theory of municipal liability. Specifically, they argued that the City should be held liable for failing to investigate Ald. Gardiner through the IG and also for failing to fine him through the Board of Ethics.

Note that Ald. Gardiner retained independent counsel and moved to dismiss the suit on the basis that the social media site does not constitute an “official City site.” On February 10, 2022, Judge Coleman denied that motion, writing that:

“plaintiffs have plausibly alleged that Ald. Gardiner restricted their access to a public forum in violation of the First Amendment by barring them or deleting their comments from the interactive portions of his Facebook page that designates Ald. Gardiner as a government official. These facts raise a reasonable inference that plaintiffs are not alone in suffering constitutional injuries resulting from Ald. Gardiner’s practices. Moreover, plaintiffs have set forth sufficiently detailed allegations that Ald. Gardiner knowingly banned constituents and engaged in content-based regulation of speech on his Facebook Page. Further, he did so unilaterally while seeking out engagement from users.”

P. Freedom of Information Act

Since the last Board meeting, the Board has received one (1) request, a City-wide request, for records about a particular individual. We consulted with the Law Department on our response.

Q. Employee Vaccination Status

I’m pleased to report that all seven (7) staff members are fully vaccinated for Covid-19, and in compliance with the City’s policy on vaccinations.

V. PUBLIC COMMENTS

Pete Czosnyka, a citizen, spoke about Ald. Sposato and other officials. He stated, in summary, that check-the-box ethics trainings will not result in an ethical culture change.

Sara Gronkiewicz-Doran, Chair, United Northwest Side, provided her written comments to the Board from which she read during the Open Session of the Board meeting, and they are attached to the back of these minutes.

VI. OLD BUSINESS

None

VII. NEW BUSINESS

The Executive Director discussed the package of amendments to the Governmental Ethics Ordinance submitted to City Council at its April 2022 meeting, and said that it represents the work of the Board, Chair Michele Smith of the City Council’s Committee on Ethics and Government Oversight, and the Better Government Association. He explained that the package was sent to the City Council’s Committee on Committees and Rules, which held three (3) briefings with City Council members on May 6. It is staff’s hope that the legislation will be transferred back to the Ethics Committee and passed by the City Council.

1. Discussion of Procedures re Amendments to Statements of Financial Interests
2. Discussion re Complaint about City Employee Serving as an Elected Official of Another Governmental Unit
3. Discussion re Mayor's Program to Make Prepaid Gas and Transit Cards Publicly Available
4. Discussion re Ward newsletters
5. Semi-Annual Review of Confidentiality of Executive Session Minutes under the Illinois Open Meetings Act

The Board addressed these matters in Executive Session.

VIII. PRIOR BOARD MEETING'S EXECUTIVE SESSION MINUTES

This matter shall be discussed in Executive Session.

At 3:25 p.m., the Board VOTED 7-0 to adjourn into Executive Session under: (i) 5 ILCS 120/2(c)(1) to discuss the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity. However, a meeting to consider an increase in compensation to a specific employee of a public body that is subject to the Local Government Wage Increase Transparency Act may not be closed and shall be open to the public and posted and held in accordance with this Act; (ii) 5 ILCS 120/2(c)(4) to hear and discuss evidence or testimony in closed hearing as specifically authorized pursuant to Governmental Ethics Ordinance Sections 2-156-385 and -392, and the Board's Rules and Regulations, as amended, effective January 5, 2017, presented to a quasi-adjudicative body, as defined in the Illinois Open Meetings Act, provided that the body prepares and makes available for public inspection a written decision setting forth its determinative reasoning; and (iii) 5 ILCS 120/2(c)(21) to discuss minutes of meetings lawfully closed under this Act, whether for purposes of approval by the body of the minutes or semi-annual review of the minutes as mandated by Section 2.06.

At 4:51 p.m. the Board VOTED 7-0 to reconvene in Open Session.

IX. MATTERS CONSIDERED BY THE BOARD IN EXECUTIVE SESSION

I. APPROVAL OF THE EXECUTIVE SESSION MINUTES

The Board confirmed its discussion in Executive Session, and VOTED 7-0 in Open Session, to approve the Executive Session Minutes of the April 11, 2022 meeting.

II. OLD BUSINESS

None

III. NEW BUSINESS

1. Discussion re Complaint about City Employee Serving as an Elected Official of Another Governmental Unit

The Board VOTED 7-0, to confirm the referral of this matter to the Office of Inspector General.

2. Discussion re Mayor's Program to Make Prepaid Gas and Transit Cards Publicly Available

The Chair announced that the Board took no action on this matter.

3. Discussion re Ward newsletters

The Board VOTED 7-0 to take no action in this matter, involving publications for constituents disseminated by elected officials.

4. Semi-Annual Review of Confidentiality of Executive Session Minutes under the Illinois Open Meetings Act

The Board VOTED 7-0 to maintain the confidentiality of the Board's Executive Session Minutes pursuant to mandatory review under the Illinois Open Meetings Act.

At 5:00 p.m., member Stephanie Cox-Batson left the meeting.

IV. CASEWORK

A. Report on Late Filers of Statements of Financial Interests

1. Case No. 22018.01.FIS, et seq., Statement of Financial Interests

The Board heard a report on the filings and failure to file of Statements of Financial Interests. There will be a list of non-filers released in the next few days on our website. Non-filers are subject to an ongoing daily fine. There was no need for a motion. This is just a point of information.

B. Advisory Opinions

2. Case No. 22014.A, Campaign Financing

The Board VOTED 6-0 (Stephanie Cox-Batson, absent) to approve an advisory opinion indicating the political contribution in this particular circumstance to a ballot initiative committee was permissible.

3. Case No. 22008.A, Campaign Financing

The Board VOTED 6-0 (Stephanie Cox-Batson, absent) to continue the matter to the June 13, 2022 meeting to obtain more information

C. Report on Status after Board Issuance of Probable Cause

4. Case No. 22013.IG, Unauthorized Use of Real or Personal City Property, Prohibited Political Activity, Fiduciary Duty

The Chair reported that this matter would be taken up at the June 13, 2022 Board meeting at the Subject's request.

D. Consideration of Further Action After Issuance of Board Advisory Opinion

5. Case No. 22005.A, Campaign Financing

The Board VOTED 6-0 (Stephanie Cox-Batson, absent) to continue this matter in light of a letter received from Counsel to the political fundraising committee involved in this case.

E. Consideration of Probable Cause Finding Based on Publicly Available Documents

6. Case No. 22015.A, Prohibited Political Activities, Unauthorized Use of Real or Personal City Property

The Board VOTED 6-0 (Stephanie Cox-Batson, absent) to send a letter to an elected official's campaign committee advising it to remove, upon receipt of the letter, official City business content from a campaign committee's social media account.

F. Report of Dismissed or Referred Complaints

7. Case No. 22017.C, No Jurisdiction

The Chair stated that the Board had heard staff's report on this matter, involving a complaint staff referred to the IG.

At 5:04 p.m., the Board VOTED 6-0 (Stephanie Cox-Batson, absent) to adjourn the meeting.

CONFIDENTIAL

BOARD OF ETHICS EXECUTIVE SESSION MINUTES MAY 16, 2022- 3:25 P.M. 740 North Sedgwick, Suite 500

I. APPROVAL OF MINUTES

Discussion regarding approval of the Executive Session Minutes of the April 11, 2022 meeting.

DISCUSSION MINUTES

II. OLD BUSINESS

None

III. NEW BUSINESS

1. Discussion of Procedures re Amendments to Statements of Financial Interests. Ald. James M. Gardiner, et al.

Staff reported that on April 11, "The People's Fabric" posted on social media that Ald. Jim Gardiner had failed to disclose on his Statement of Financial Interests that he serves on a City Board, the O'Hare Noise Compatibility Commission (whose members do not need to file annual Statements solely by virtue of serving on it). A review of other Ald. Statements showed that some had not disclosed that they serve on various City boards or commissions. Staff believed, and the Board agreed, that the issue was best handled by advising all City Council members via letter that, as provided in §2-156-160(a)(5), they must disclose all boards and commissions on which they serve, including City boards and commissions, and that they must review their Statements as appropriate to make necessary amendments within 30 days.

3. Discussion re Mayor's Program to Make Prepaid Gas and Transit Cards Publicly Available

The Board and staff had a brief discussion about whether the Mayor's Prepaid Gas and Transit Cards constitute "prohibited political activity." The Board discussed a resolution taking no action on this.

4. Discussion re Ward newsletters. Aids Michele Smith and Scott Waguespack

The Board and staff discussed whether written statements in these City Council members' newsletters may have been inconsistent with the guidance the Board published about refraining from making recommendations to constituents to vote for one ward remap proposal over another.

IV.