# CITY OF CHICAGO

## 2014 STATEMENT OF FINANCIAL INTERESTS

To avoid a legal violation and sanction, including a $250 fine and having your name and violation being made public, you must return this form no later than June 2, 2014 to:

*Board of Ethics*

740 N. Sedgwick, Ste. 500
Chicago, IL 60654-6488

(312) 744-9660

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**Instructions:**

- Please print out this form on legal-sized paper, complete it, sign it and then mail / deliver it to the Board of Ethics. We cannot return this form.
- If you need more space, please attach and label extra sheets.
- Terms with an asterisk (*) are defined on the instruction sheet.
- In questions 2.5 & 8, indicate the appropriate income, compensation or capital gain by writing in the appropriate category closest:
  - A = $25,000 or more
  - B = $5,000 - $24,999
  - C = less than $5,000

**NOTE:** For public safety reasons, files in the Chicago Fire and Police Departments, Independent Police Review Authority and Office of Emergency Communications or who are building inspectors need not disclose the address of businesses, organization(s) or property. In questions 2 or 9 for question 5, however, they must list the number and types of properties owned, e.g., 1 single-family house, 2-6 flats, etc.

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### Applicant Information

<table>
<thead>
<tr>
<th>Last Name: LEWIS</th>
<th>First Name: DORIS</th>
<th>Middle Initial: J</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>City: CHICAGO</td>
<td>Zip:</td>
</tr>
<tr>
<td>City/Department/Agency: 21st WARD ALDERMAN CANDIDATE</td>
<td></td>
<td></td>
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<tr>
<td>Title: CANDIDATE</td>
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1. In 2013, were you employed by any governmental unit other than the City of Chicago?  
   - Yes ☐ No ☑
   - If YES, list the name of each governmental unit:

2. In 2013, were you an employee, officer, director, associate, partner, or proprietor of, or did you serve in any advisory capacity for, any professional, business or organization other than the City from which you received or derived income of more than $1,000? ☐ Yes ☑ No
   - If YES, for each organization, provide the following information; (see note above)

3. In 2013, did you receive compensation in excess of $5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  
   - Yes ☐ No ☑
   - If YES, provide the following for each person to which you provided services:

4. In 2013, did your spouse or domestic partner* receive compensation in excess of $5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  
   - Yes ☐ No ☑
   - If YES, provide the following for each person to which Spouse/Domestic Partner provided services:

5. In 2013, did any entity in which you or your spouse or domestic partner* have a financial interest* receive compensation in excess of $5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  
   - Yes ☐ No ☑
   - If YES, provide the following information about the entity in which you/spouse/domestic partner have a financial interest:

6. In 2013, did you have a financial interest* in any person* doing business* with the City? (Note: stock interests in publicly held corporations that were owned prior to November 1, 2012, time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies need not be disclosed, but stock worth $15,000 or more of a publicly held corporation which stock you acquired on or after November 1, 2012, must be disclosed if the corporation is doing business with the City.)  
   - Yes ☐ No ☑
   - If YES, provide the following for each person:

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### Notes:

- *Professional* refers to any work or employment for pay or profit.
- *Business* refers to any activity or service for a profit or gain.
- *Person* refers to any natural or legal person.
- *Financial Interest* refers to any proprietary interest, ownership interest, or stock interest.
7. In 2013, did you have a financial interest in any person conducting business in Chicago? (Note: stock interests in publicly held corporations that you owned prior to November 1, 2012, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies need not be disclosed, but stock worth $15,000 or more of a publicly held corporation which stock you acquired on or after November 1, 2012, must be disclosed if the corporation is conducting business in the City.) □ Yes □ No

If YES, provide the following for each person:

Name: ____________________________ Type / Instrument of Ownership: ____________________________

8. In 2013, did you realize a capital gain of $5,000.00 or more from the sale of any capital asset other than your principal place of residence? □ Yes □ No

If YES, identify the asset(s) sold (including its address or legal description of the real estate and the appropriate category of the amount of gain realized for each identified asset) (see note at top of form):

+ Amount of gain, by Category:

9. Do you have a financial interest in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. (If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest, answer "yes" to this question.) □ Yes □ No

If YES, identify the real estate by address (see note at top of form for forms from certain departments), including zip code, or, if there is no address, by legal description:

10. In 2013, did you receive from any person (other than relatives or a domestic partner) one or more gifts having an aggregate value in excess of $250,000? □ Yes □ No

If YES, identify the person or persons from whom you received such gifts:

11. In 2013, did you receive an improper gift that you disposed of in accordance with Section 2-156-144 of the Governmental Ethics Ordinance? □ Yes □ No

If YES, identify the improper gift(s), the donor(s) if known, and method of disposal as specified in the ordinance:

12. Do you have a financial interest in any person who in 2013 applied to the City of Chicago for a license or franchise, or any permit for annexation, zoning or recording of real estate? □ Yes □ No

If YES, list the name of the person(s) in which you have financial interest and describe the City action requested (including the nature of the application sought or the action requested):

Name: ____________________________ Action Requested: ____________________________

13. If you owe or anyone owes you more than $5,000.00, did the debtor, creditor or guarantor of the debt do business with or do work for the City of Chicago in 2013? (Do not include: (1) debt instruments issued by financial institutions whose normal business includes the making of loans of the kind received by you in accordance with other terms and conditions standard for such loans at the time the debt was contracted, if the loans are made at the prevailing rate of interest; or (2) debt instruments issued by publicly held corporations and purchased by you on the open market at the price available to the public.) □ Yes □ No

If YES, provide the following information:

Name of Debtor, Creditor or Guarantor: ____________________________ Is the Person a Debtor, Creditor or Guarantor: □ Yes □ No

Type of Debt Instrument: ____________________________

14. Do you serve on any board or commission (even if not a City board or commission, or not for compensation)? □ Yes □ No

If YES, provide the name(s) of board(s) and your position(s) on the board(s):

15. Do you have a relative or domestic partner who is registered as a lobbyist with the City of Chicago’s Board of Ethics? □ Yes □ No

If YES, name the lobbyist(s) and the lobbyist’s relationship to you:

Name(s): ____________________________ Relationship: ____________________________

16. Do you have a relative or domestic partner who is an employee or full- or part-owner of a City contractor? □ Yes □ No

If YES, name the relative(s) or domestic partner(s), his/her/their relationship to you, the city contractor(s), and his/her/their position with the contractor(s):

Name(s)/relationship(s): ____________________________ Contractor(s): ____________________________ Position(s): ____________________________

VERIFICATION: I declare that I have examined this Statement of Financial Interests, including any accompanying statements, and to the best of my knowledge and belief it is true and complete. I understand that filing a Statement containing false or misleading information with the intent to mislead can result in removal from office or in employment sanctions, including discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

Dante Lewis Birth

Signature and Date: 11-10-2014