BOARD OF ETHICS
2018 STATEMENT OF FINANCIAL INTERESTS

To avoid a violation of the Governmental Ethics Ordinance and sanctions, including fines, for failing to complete this form and return it to the Board of Ethics, you must return this form, completed and signed, no later than the close of business Thursday, May 31, 2018.

Board of Ethics 740 N. Sedgwick, Ste. 500 Chicago, IL 60654-8488
(312) 744-9960

Please complete this form, sign it and then mail or deliver it to the Board of Ethics. We cannot accept Statements via e-mail, fax or in formats. Please answer all 16 questions and sign your form before delivery.

- If you need more room, please attach and label as pages 2 or 8.
- Terms with an asterisk (*) are defined on the back of this form.
- In questions 2-5 & 8, indicate the appropriate income, compensation or capital gain by writing in the appropriate category letter as: $25,000 or more B: $5,000 - $24,999 C: less than $5,000

NOTE: For security reasons, files in the Chicago Fire and Police Departments, Inspector General's Office, Civilian Office of Police Accountability and Office of Emergency Communications, and building and health inspectors need not disclose the address of businesses, organizations or property in questions 2 or 9; for question 9, however, they must list the number and types of properties owned, for example: two 6-flats, etc.

Last Name: Baumann
First Name: Erin
Middle Initial: N
Mailing Address: 
City: 
State: 
Zip: 

City Department/Board or Commission: Alderman Reilly/City Council Services
Title: Director of Infrastructure

1. In 2017, were you employed by any governmental unit other than the City of Chicago? [ ] Yes [ ] No

If YES, list the name of each governmental unit:

2. In 2017, did you serve as an employee, officer, director, associate, partner, or proprietor of any advisory capacity for any professional, business or other organization (other than your City employment or appointment) from which you received or derived income of more than $1,000? [ ] Yes [ ] No

If YES, for each organization provide the following information (see note above):

Name & Address: 
Type of Organization: 
Your Position: 
+ Amount of Income, By Category: 

3. In 2017, did you receive compensation in excess of $5,000.00 for professional, business or other services rendered to a person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? [ ] Yes [ ] No

If YES, provide the following for each person to which you provided services:

Name: 
Nature of Services: 
Government Unit With Which Person or Entity Did Business: 
+ Amount of Income, By Category: 

4. In 2017, did your spouse or domestic partner* receive compensation or payment in excess of $5,000.00 for professional, business, employment, work or other services rendered to a person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? [ ] Yes [ ] No

If YES, provide the following for each person or entity to which Spouse/ Domestic Partner provided services:

Name: 
Nature of Services: 
Government Unit With Which Person or Entity Did Business: 
+ Amount of Income, By Category: 

5. In 2017, did any entity in which you OR your spouse or domestic partner* have a financial interest* receive compensation in excess of $5,000.00 for professional, business or other services rendered to any person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? [ ] Yes [ ] No

If YES, provide the following information about the entity in which you/spouse/domestic partner have a financial interest:

Name: 
Nature of Services: 
Government Unit With Which Person Did Business: 
+ Amount of Income, By Category: 

6. In 2017, did you have a financial interest* in any person doing business with the City? [ ] Yes [ ] No

Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (0.5%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.

If YES, provide the following for each person:

Name: 
Title or Description of Position: 
You Held in This Person: 

* Financial interest* includes common stock, bank accounts, real estate, insurance policies, and annuities.
7. In 2017, did you have a financial interest in any person conducting business in Chicago? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (0.5%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.) ☐ Yes ☒ No

If YES, provide the following for each person:

Name ____________________________ Type / Instrument of Ownership ____________________________

8. In 2017, did you realize a capital gain of $5,000.00 or more from the sale of any capital asset other than your principal place of residence? ☐ Yes ☐ No

If YES, identify the asset(s) sold (including the address or legal description of the real estate) and the appropriate category of the amount of gain realized for each identified asset (see note at top of form).

+ Amount of Gain, By Category ____________________________

9. Do you currently have a financial interest in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. (If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest, answer "yes" to this question.) ☐ Yes ☐ No

If YES, identify the real estate by address (see note at top of form for filers from certain departments), including zip code, or, if there is no address, by legal description:

__________________________________________________________

10. In 2017, did you receive from any person (other than relatives or a domestic partner) one or more gifts having an aggregate value in excess of $250.00? ☐ Yes ☐ No

If YES, identify the person or persons from whom you received such gifts:

__________________________________________________________

11. In 2017, did you receive any improper gift that you disposed of in accordance with Section 2-156-144 of the Governmental Ethics Ordinance? ☐ Yes ☐ No

If YES, identify the improper gift(s), the donor(s) if known, and method of disposal:

__________________________________________________________

12. Do you currently have a financial interest in any person or entity who in 2017 applied to the City of Chicago for a license or franchise, or any permit for annexation, zoning or rezoning of real estate? ☐ Yes ☐ No

If YES, list the name of the person(s) in which you have the financial interest and describe the City action requested (including the nature of the application sought or the action requested):

Name ____________________________ Action Requested ____________________________

13. If you currently owe or anyone owes you more than $5,000.00, did the debtor, creditor or guarantor of the debt do business with or do work for the City of Chicago in 2017? (Do not include: (1) debt instruments issued by financial institutions whose normal business includes the making of loans that you received in accordance with other terms and conditions standard for such loans at the time the debt was contracted, if the loans are made at the prevailing rate of interest; or (2) debt instruments issued by publicly held corporations and purchased by you on the open market at the price available to the public.) ☐ I either owe or am owed more than $5,000.00 ☐ Yes ☒ No

If YES, provide the following information:

Name of Debtor, Creditor or Guarantor ____________________________ Is the Person a Debtor, Creditor or Guarantor? ☐ Yes ☒ No ☐ No

Type of Debt Instrument ____________________________

14. Do you now serve on any board or commission either as a volunteer or for compensation (include non-City boards or commissions)? ☐ Yes ☐ No

If YES, provide the Name(s) of board(s) and your position(s) on the board(s):

__________________________________________________________

15. Do you currently have a relative or domestic partner who is registered as a lobbyist with the City's Board of Ethics? ☐ Yes ☒ No

If YES, name the lobbyist(s) and the lobbyist's relationship to you:

Name(s) ____________________________ Relationship ____________________________

16. Do you currently have a relative or domestic partner who is an employee of full- or part-owner of a City contractor? ☐ Yes ☒ No

If YES, name the relative(s) or domestic partner(s), his/her/their relationship to you, the City contractor(s), and his/her/their position with the contractor(s):

Name(s)/ relationship(s) ____________________________ Contractor(s) ____________________________ Position(s) ____________________________

VERIFICATION: I have examined this Statement of Financial Interests form, including any accompanying documents, and to the best of my knowledge and belief is true and correct. I understand that knowingly filing a Statement containing false or misleading information, or failing to file by the deadline, can result in fines, removal from office, or employment sanctions, including discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

Signature and Date ____________________________

5-16-18