CITY OF CHICAGO
STATEMENT OF FINANCIAL INTERESTS

To avoid a violation of the Chicago Ethics Ordinance, you must disclose all facts and file all reports accurately and completely. Violation of the Ethics Ordinance may result in fines and/or suspension from city employment. If you receive a request for a statement of financial interest before your annual statement is due, you must file the statement. If you are employed by the City of Chicago, you must file the statement with your department. Failure to file the statement may result in disciplinary action.

Please complete this form, sign it, and then mail or deliver it to the Board of Ethics. We cannot accept Statements of Financial Interests by email, fax or PDF. Please place all forms in an envelope and sign and date your form before returning it.

If you need more room, please attach an additional sheet.

Terms with an asterisk (*):
- In questions 2 & 6, indicate the appropriate income range by writing in the appropriate category letter:
- A = $25,000 or more
- B = $5,000 - $24,999
- C = $0 - $4,999

NOTE: For security reasons, files in the Chicago Fire Department and Police Department, as well as General's Office, Civil Service Office of Police Accountability and Office of Emergency Communications and building and health inspectors need not disclose the address of business(es), organizations or property in questions 2 or 9; for questions 5, however, members must list the number and types of properties owned, for example: two 6-flats, etc.

Last Name: Rocha
First Name: Dalia
Middle Initial: A

Mailing Address: 49th Ward PB
City: Chicago State: IL Zip: 60654

1. In 2017, were you employed by any governmental unit other than the City of Chicago? No

2. In 2017, did you serve as an employee, officer, director, associate, partner, or proprietor in any advisory capacity for any professional, business or other organization (other than your City employment or appointment) from which you received or derived income of more than $1,000? No

3. In 2017, did you receive compensation for your services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? No

4. In 2017, did your spouse or domestic partner* receive compensation for your services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? No

5. In 2017, did any entity in which you or your spouse or domestic partner* have a financial interest receive compensation in excess of $5,000 for professional*, business or other services rendered to any person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? No

6. In 2017, did you have a financial interest* in any person* doing business* with the City? No

* Financial interests include: (a) common stock interests in publicly held companies that represent less than 1/2 of 1% (0.5%) of the company’s outstanding common stock, or (b) demand deposits in financial institutions, endowments, policies or annuities purchased from insurance companies, and any other financial interests.

If YES, provide the following information about the entity in which your spouse/domestic partner have a financial interest:

Name of Person or Entity
Nature of Services
Government Unit With Which Person or Entity Did Business
Amount of Income

If YES, provide the following information about the person you held in this position:

Name
Title or Description of Position

If YES, provide the following information about the person you held in this position:

Name
7. In 2017, did you have a financial interest* in any person* conducting business in Chicago? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (.05%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.) □ Yes ☑ No

If YES, provide the following for each person:

Name

Type / Instrument of Ownership

8. In 2017, did you realize a capital gain of $5,000.00 or more from the sale of any capital asset other than your principal place of residence? □ Yes ☑ No

If YES, identify the asset(s) sold (including the address or legal description of the real estate) and the appropriate Category of the amount of gain realized for each identified asset (see note at top of form).

+ Amount of gain, By Category

9. Do you currently have a financial interest* in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. (If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest*, answer "yes" to this question.) □ Yes ☑ No

If YES, identify the real estate by address (see note at top of form for filers from certain departments), including zip code, or, if there is no address, by legal description:

10. In 2017, did you receive from any person* (other than relatives* or a domestic partner*) one or more gifts having an aggregate value in excess of $250.00? □ Yes ☑ No

If YES, identify the person or persons from whom you received such gifts:

11. In 2017, did you receive any improper gift* that you disposed of in accordance with Section 2-156-144 of the Governmental Ethics Ordinance? □ Yes ☑ No

If YES, identify the improper gift(s), the donor(s) if known, and method of disposal:

12. Do you currently have a financial interest* in any person* or entity who in 2017 applied to the City of Chicago for a license or franchise, or any permit for annexation, zoning or rezoning of real estate? □ Yes ☑ No

If YES, list the name of the person(s) in which you have the financial interest and describe the City action requested (including the nature of the application sought or the action requested):

Name

Action Requested

13. If you currently owe or anyone owes you more than $5,000.00, did the debtor, creditor or guarantor of the debt do business* with or do work for the City of Chicago in 2017? (Do not include: (1) debt instruments issued by financial institutions whose normal business includes the making of loans of the kind you received in accordance with other terms and conditions standard for such loans at the time the debt was contracted, if the loans are made at the prevailing rate of interest; or (2) debt instruments issued by publicly held corporations and purchased by you on the open market at the price available to the public.) □ Yes ☑ No

I neither owe nor am owed more than $5,000.00

14. Do you now serve on any board or commission either as a volunteer or for compensation (include non-City boards or commissions)? □ Yes ☑ No

If YES, provide the Name(s) of board(s) and your position(s) on the board(s):

15. Do you currently have a relative* or domestic partner* who is registered as a lobbyist with the City's Board of Ethics? □ Yes ☑ No

If YES, name the lobbyist(s) and the lobbyist's relationship to you:

Name(s)

Relationship

16. Do you currently have a relative* or domestic partner* who is an employee or full- or part-owner of a City contractor*? □ Yes ☑ No

If YES, name the relative(s) or domestic partner(s), his/her/their relationship to you, the City contractor(s), and his/her/their position with the contractor(s):

Name(s)/ Relationship(s)

Contractor(s)

Position(s)

VERIFICATION: I declare that I have examined this Statement of Financial Interests form, including any accompanying documents, and to the best of my knowledge and belief it is true and complete. I understand that knowingly filing a Statement containing false or misleading information, or failing to file by the deadline, can result in fines, removal from office, or employment sanctions, including discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

[Signature and Date]