**Board of Ethics**

**CITY OF CHICAGO**

**2017 STATEMENT OF FINANCIAL INTERESTS**

- Please complete this form, sign it and then mail it to the Board of Ethics. We cannot accept Statements via email, fax or PDF formats. Please answer all 16 questions and sign the completed form before returning it.
- If you need more room, please attach and label extra sheets.
- Terms with an asterisk (*) are defined on the instruction sheet.
- In questions 2-5 & 8, indicate the approximate gross annual income category writing in the appropriate letter of income category.
- This form is due no later than the close of business May 31, 2017 to: Board of Ethics 740 N. Sedgwick, Ste. 500 Chicago, IL 60654-6488

**Board of Ethics**

**Mailing Address:**

**City:**

**ZIP Code:**

**City Department/Agency:**

**Name:**

**First Name:**

**Middle Initial:**

1. In 2016, were you employed by any governmental unit other than the City of Chicago?__Yes__No__

2. In 2016, did you serve as an employee, officer, director, associate, partner, or proprietor or in any advisory capacity for any professional, business, or other organization (other than you, City employment or appointment) from which you received or derived income of more than $1,000.00?__Yes__No__

3. In 2016, did you receive compensation in excess of $5,000.00 for professional, business, or other services rendered to a person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?__Yes__No__

4. In 2016, did your spouse or domestic partner receive compensation or payment in excess of $5,000.00 for professional, business, employment, work, or other services rendered to a person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?__Yes__No__

5. In 2016, did any entity in which you or your spouse or domestic partner have a financial interest receive compensation in excess of $50,000.00 for professional, business, or other services rendered to a person or entity doing business with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?__Yes__No__

6. In 2016, did you have a financial interest in any person doing business with the City? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (0.02%) of the company's outstanding common stock or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.)__Yes__No__

**Name:**

**Title or Description of Position Held in This Person:**
7. In 2016, did you have a financial interest* in any person or entity conducting business in Chicago? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (.05%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.)

If YES, provide the following for each person:

Name: [Space for name]
Type / Instrument of Ownership: [Space for Ownership]

8. In 2016, did you realize a capital gain of $5,000.00 or more from the sale of any capital asset other than your principal place of residence?  
If YES, identify the asset(s) sold including the address or legal description of the real estate and the appropriate category of the amount of gain realized for each identified asset (see note at top of form):

Amount of Gain By Category:

9. Do you currently have a financial interest* in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest*, answer "yes" to this question.)

If YES, identify the real estate by address (see note at top of form for filers from certain departments), including zip code, or, if there is no address, by legal description:

None

10. In 2016, did you receive from any person* or entity who in 2016 applied to the City of Chicago for a license or franchise or any permit for annexation, zoning or rezoning of real estate?

If YES, identify the person or persons from whom you received such gifts:

None

11. In 2016, did you receive any improper gift* that you disposed of in accordance with Section 2-136-144 of the Governmental Ethics Ordinance?

If YES, identify the improper gift(s), the donor(s) if known, and method of disposal:

None

12. Do you currently have a financial interest* in any person or entity who in 2016 applied to the City of Chicago for a license or franchise or any permit for annexation, zoning or rezoning of real estate?

If YES, list the name of the person(s) with whom you have a financial interest and describe the City action requested (including the nature of the application sought or the action requested):

Name: [Space for name]
Action Requested: [Space for Request]

13. If you currently owe or anyone owes you more than $5,000.00, did the debtor, creditor or guarantor of the debt do business* with or do work for the City of Chicago in 2016? (Do not include: (1) debt instruments issued by financial institutions whose normal business includes the making of loans of the kind you received in accordance with other terms and conditions standard for such loans at the time the debt was contracted, if the loans are made at the prevailing rate of interest; or (2) debt instruments issued by publicly held corporations and purchased by you at the open market at the price available to the public.)

If YES, provide the following information:

Name of Debtor, Creditor or Guarantor: [Space for name]
Is the Person a Debtor, Creditor or Guarantor: [Space for Yes/No]
Type of Debt Instrument: [Space for Instrument]

14. Do you now serve on any board or commission either as a volunteer or for compensation (even if not a City board or commission)?

If YES, provide the name(s) of board(s) and your position(s) on the board(s):

15. Do you currently have a relative* or domestic partner* who is registered as a lobbyist with the City's Board of Ethics?

If YES, name the lobbyist(s) and the lobbyist's relationship to you:

Name(s): [Space for name(s)]
Relationship: [Space for relationship]

16. Do you currently have a relative* or domestic partner* who is an employee or full- or part-owner of a City contractor*?

If YES, name the relative(s) or domestic partner(s), his/her/their relationship to you, the City contractor(s), and his/her/their position with the contractor(s):

Name(s)/relationship(s): [Space for name(s) or relationship(s)]
Contractor(s): [Space for contractor(s)]
Position(s): [Space for position(s)]

VERIFICATION: I declare that I have examined this Statement of Financial Interests form, including any accompanying documents, and to the best of my knowledge and belief it is true and complete. I understand that knowingly filing a Statement containing false or misleading information, or failing to file by the deadline, can result in fines, removal from office, or employment sanctions, subject to discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

Signature: [Signature]
Date: [04/13/2017]