



740 North Sedgwick, Suite 500  
Chicago, Illinois 60654  
(312) 744-9660

CITY OF CHICAGO  
2009 STATEMENT OF FINANCIAL INTERESTS

Please return this form to the following address:

YOUR NAME AND ADDRESS:

Board of Ethics  
740 N. Sedgwick, Suite 500  
Chicago, IL 60654

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Department: \_\_\_\_\_

Work Address: \_\_\_\_\_

Employee ID:# \_\_\_\_\_ Title: \_\_\_\_\_ Phone #: \_\_\_\_\_

Terms with an asterisk (\*) are defined on the Instruction Sheet.

**OTHER INCOME**

1. In 2008, were you employed by any governmental unit other than City of Chicago?  Yes  No  
If yes, list the name of each governmental unit below:

\_\_\_\_\_  
\_\_\_\_\_

2. In 2008, not including your position with the City, were you an employee, officer, director, associate, partner, or proprietor of, or did you serve in any advisory capacity for, any professional, business or other organization from which you received or derived income of more than \$2,500?  Yes  No

If yes, for each organization provide the following:

Name and Address	Type of Organization	Your Position
_____	_____	_____
_____	_____	_____

3. In 2008, did you receive compensation in excess of \$5,000 for professional\*, business or other services rendered to a person\* doing business\* with the City of Chicago, the Chicago Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  Yes  No

If yes, provide the following for each person:

Name and Address	Services Rendered	Agency with Which Person Did Business
_____	_____	_____
_____	_____	_____

4. In 2008, did your spouse or domestic partner\* receive compensation in excess of \$5,000 for professional\*, business or other services rendered to a person\* doing business\* with the City of Chicago, the Chicago Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  Yes  No

If yes, provide the following for each person:

Name and Address	Services Rendered	Agency with Which Person Did Business
_____	_____	_____
_____	_____	_____

5. In 2008, did any entity in which you or your spouse or domestic partner\* have a financial interest\* receive compensation in excess of \$5,000 for professional\*, business or other services rendered to a person\* doing business\* with the City of Chicago, the Transit Authority, Board of Education (including the Chicago School Reform Board of Trustees), Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority?  Yes  No

If yes, provide the following:

Name and Address of Entity	Services Rendered	Person to Whom Services Were Rendered	Agency with Which Person Did Business
_____	_____	_____	_____
_____	_____	_____	_____

**INTEREST IN CITY BUSINESS**

6. In 2008, did you have a financial interest\* in any person\* doing business\* with the City? (Note: interests in publicly held corporations, time or demand deposits in financial institutions, and endowments, policies or annuities purchased from insurance companies need not be disclosed.)  Yes  No  
 If yes, provide the following for each person:

Name and Address	Title or Description of Position You Held in this Person
_____	_____
_____	_____

**BUSINESS OWNERSHIP**

7. In 2008, did your ownership interest in any person\* conducting business in Chicago entitle you to receive more than \$2,500, have a cost or present value of \$5,000 or more, or represent more than a 10% interest in the person? (Note: ownership interests in publicly held corporations need not be disclosed.)  Yes  No  
 If yes, provide the following for each person:

Name and Address	Type/Instrument of Ownership
_____	_____
_____	_____

**CAPITAL GAINS**

8. In 2008, did you realize a capital gain of \$5,000 or more from the sale of any asset other than your principal place of residence?  Yes  No  
 If yes, identify the asset sold (including the address or legal description of real estate).

\_\_\_\_\_

\_\_\_\_\_

**REAL ESTATE IN CHICAGO**

9. Do you have a financial interest\* in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. (If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest\*, answer "yes" to this question.)  Yes  No  
 If yes, identify the real estate by address, including zip code, or, if there is no address, by legal description.

\_\_\_\_\_

\_\_\_\_\_

**GIFTS AND HONORARIA**

10. In 2008, did you receive from any person\* (excluding relatives) one or more gifts or honoraria having an aggregate value in excess of \$500?  Yes  No  
 If yes, name the person or persons from whom you received such gifts or honoraria.

\_\_\_\_\_

\_\_\_\_\_

**LICENSE/FRANCHISE/ZONING APPLICATION**

11. Do you have a financial interest\* in any person\* who in 2008 applied to the City of Chicago for a license or franchise, or any permit for annexation, zoning or rezoning of real estate?  Yes  No  
 If yes, list the name of the person(s) in which you have financial interest and describe the City action requested.

Name and Address of Applicant	Action Requested
_____	_____
_____	_____

**LOANS AND DEBTS**

12. If you owe or anyone owes you over \$5,000, did the debtor, creditor or guarantor of the debt do business\* with or do work for the City of Chicago in 2008? (Do not include (1) debt instruments issued by financial institutions if the loans are made at the prevailing rate of interest or (2) debt instruments issued by publicly held corporations and purchased by the reporting individual on the open market at the price available to the public.)  Yes  No  
 If yes, provide the following information:

Name of Debtor, Creditor or Guarantor	Indicate whether the Person is a debtor, creditor or guarantor	Type of Debt Instrument
_____	_____	_____
_____	_____	_____

VERIFICATION: I declare that I have examined this Statement of Financial Interests, including any accompanying statements, and to the best of my knowledge and belief it is true and complete. I understand that willfully filing a Statement containing false or misleading information can result in removal from office or in employment sanctions, including discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

\_\_\_\_\_ Date of Filing \_\_\_\_\_ Signature of Person Filing Statement