

City of Chicago



O2022-1113

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

4/27/2022

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 3-F at 330 W Chestnut St -

App No. 20993

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards



BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all of the C1-5 Neighborhood Commercial District symbols and indications as shown on Map 3-F in the area bounded by:

North Orleans Street; a line 143.36' feet north of and parallel to West Chestnut Street; the public alley east of and parallel to North Orleans Street; and West Chestnut Street

to those of the DX-5 Downtown Mixed-Use District.

SECTION 2. That the Chicago Zoning Ordinance be amended by changing all of the C1-5 Neighborhood Commercial District symbols and indications as shown on Map 3-F in the area bounded by:

North Orleans Street; a line 143.36' feet north of and parallel to West Chestnut Street; the public alley east of and parallel to North Orleans Street; and West Chestnut Street

to those of Residential Planned Development.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and publication.

Address: 330 W. Chestnut

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RESIDENTIAL PLANNED DEVELOPMENT PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number ____, ("Planned Development") consists of approximately 14,377 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property"). DK Chestnut LLC is the "Applicant" for this planned development and owner of the Property.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance (the "Zoning Ordinance"), the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Chicago Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development ("DPD") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

Pursuant to a negotiated and executed Perimeter Restoration Agreement ("Agreement") by and between the Department of Transportation's Division of Infrastructure Management and the Applicant, the Applicant shall provide improvements and restoration of all public way adjacent to the property, which may include, but not be limited to, the following as shall be reviewed and determined by the Department of Transportation's Division of Infrastructure Management:

- Full width of streets
- Full width of alleys
- Curb and gutter
- Pavement markings
- Sidewalks
- ADA crosswalk ramps
- Parkway & landscaping

The Perimeter Restoration Agreement must be executed prior to any Department of Transportation and Planned Development Part II review permitting. The Agreement shall reflect that all work must comply

Applicant:

DK Chestnut, LLC

Address:

330 W. Chestnut

Introduction:

April 27, 2022

Plan Commission: TBD

EAST\189782471.2

with current Rules and Regulations and must be designed and constructed in accordance with the Department of Transportation's Construction Standards for work in the Public Way and in compliance with the Municipal Code of Chicago Chapter 10-20. Design of said improvements should follow the Department of Transportation's Rules and Regulations for Construction in the Public Way as well as The Street and Site Plan Design Guidelines. Any variation in scope or design of public way improvements and restoration must be approved by the Department of Transportation.

- 4. This Plan of Development consists of these __ Statements; a Bulk Regulations and Data Table and the following exhibits and plans attached hereto prepared by Fitzgerald Associates and dated _____ (the "Plans"): an Existing Zoning Map; an Land Use Map; a Planned Development Boundary and Property Line Map; a Street Scape Site Plan; and Building Elevations (North, South, East and West). In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereof, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following uses are permitted in the area delineated herein as a Residential Planned Development: Dwelling Units located on and above the ground floor; accessory parking and related, incidental and accessory uses.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of DPD. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the Property shall be in accordance-with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Net Site Area of 14,377 square feet and a base FAR of 5.0.

The Applicant acknowledges that the project has received a bonus FAR of 2.68, pursuant to Sec. 17-4-1000 of the Zoning Ordinance. With this bonus FAR, the total FAR for the Planned Development is 7.68. In exchange for the bonus FAR, the Applicant is required to make a corresponding payment, pursuant to Sections 17-4-1003-B & C, prior to the issuance of the first building permit for any building in the Planned Development; provided, however, if the Planned Development is constructed in phases, the bonus payment may be paid on a pro rata basis as the first building permit for each subsequent new building or phase of construction is issued. The bonus payment will be recalculated at the time of payment (including partial payments for phased developments) and may be adjusted based on changes in median land values in accordance with Section 17-4-1003-C.3

The bonus payment will be split between three separate funds, as follows: 80% to the Neighborhoods Opportunity Fund, 10% to the Citywide Adopt-a-Landmark Fund and 10% to the Local Impact Fund. In lieu of paying the City directly, the Department may: (a) direct developers to deposit a portion of the funds

Applicant: DK Chestnut, LLC

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with a sister agency to finance specific local improvement projects; (b) direct developers to deposit a portion of the funds with a landmark property owner to finance specific landmark restoration projects; or, (c) approve proposals for in-kind improvements to satisfy the Local Impact portion of the payment.

- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by DPD. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines, including Section 17-13-0800. Final landscape plan review and approval will be by DPD. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. In the event the Applicant does not proceed with the south hotel tower depicted on the East and West Elevations, the Applicant shall have the right to incorporate the square footage allocated to such hotel to create additional office square footage consistent with the elevations for office floors shown in the PD, which modification shall be subject to approval pursuant to Section 17-13-0611.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall obtain the number of points necessary to meet the requirements of the Chicago Sustainable Development Policy, in effect at the time the Part II review process is initiated for each improvement that is subject to the aforementioned Policy and must provide documentation verifying compliance.
- 15. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant's goals for participation of certified M/WBE

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firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant's submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts, and (d) updates (if any) to the applicant's M/WBE and city resident participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

16. The Applicant acknowledges and agrees that the rezoning of the Property from the C1-5 Neighborhood Commercial District to the DX-5 Downtown Mixed-Use District and then to a Residential Planned Development is an "entitlement" that triggers the requirements of Section 2-44-085 of the Municipal Code of Chicago (the "ARO"). The PD is located in a "downtown district" within the meaning of the ARO and permits the construction of 129 dwelling units. The Applicant intends to construct a 129-unit rental building (the "Project").

Developers of rental projects in downtown districts with 30 or more units must provide between 10% and 20% of the units in the residential development as affordable units, depending on the average depth of affordability provided, as described in subsection (F)(2) of the ARO. Regardless of the applicable percentage of affordable units in the rental project, developers must construct at least 25% of the affordable units on-site and another 25% on-site or off-site (collectively, the "Required Units"), and may satisfy the balance of their affordable housing obligation through: (a) the establishment of additional on-site or off-site affordable units; (b) payment of a fee in lieu of the establishment of on-site or off-site affordable units; or (c) any combination thereof. All on-site affordable units must be accessible dwelling units, as required under subsection (W)(10) of the ARO, and developers must give preference in leasing accessible units to people with disabilities, as specified in the ARO rules. All off-site affordable units must have at least two bedrooms and must be located in a downtown district, inclusionary housing area, or community preservation area. Whether on-site or off-site, developers must give preference in leasing affordable units of two bedrooms or more to multi-person households, as specified in the ARO rules. If a residential project is located in a transit-served location, off-site units must be located in a substantially comparable transit-served location.

The Applicant has elected the 20% option as set forth in the chart in subsection (F)(2) of the ARO. As a result, the Applicant's affordable housing obligation is 26 affordable units (20% of 129) and half of those affordable units are Required Units. Pursuant to subsection (T) of the ARO, the Applicant must either pay

Applicant: DK Chestnut, LLC
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a fractional in lieu fee or provide an additional unit on-site or off-site to satisfy the fractional obligation. The Applicant has agreed to satisfy its affordable housing obligation by providing all 26 affordable units in the rental building in the PD, as set forth in the Affordable Housing Profile (AHP) attached hereto. The Applicant agrees that the affordable rental units must be affordable to households with a range of incomes averaging 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually, provided that (x) the maximum income level for any affordable unit may not exceed 80% of the AMI, (y) at least one-third (or 9 units) must be affordable to households at or below 50% of the AMI, of which one-sixth (or 2 of the 9 units) must be affordable to households at or below 40% of the AMI, and (z) all income levels must be multiples of 10% of the AMI.

If the Applicant requests any material change to its method of compliance with the ARO, such as locating affordable units off-site instead of on-site or changing the target affordability level after the passage of this PD, DOH may adjust the AHP as requested, in accordance with the ARO, without amending the PD, provided however, the Applicant must update and resubmit the revised AHP to DOH for review and approval and, at DOH's request, provide an informational presentation to Plan Commission on such change. Prior to the issuance of any building permits for any residential building in the PD, including, without limitation, excavation or foundation permits, the Applicant must execute and record an Inclusionary Housing Agreement ("IHA") in accordance with subsection (N) of the ARO. The terms of the IHA and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the IHA will be recorded against the PD, and will constitute a lien against such property. The Commissioner of DOH may enforce remedies for any breach of this Statement 16, including any breach of any IHA, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the PD.

This statement does not include all ARO requirements and options. It is intended to provide an overview of the application of the ARO to this PD. In the event of any conflict between this statement and the terms and conditions of the ARO, the ARO shall govern.

17. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Zoning Administrator of DPD shall initiate a Zoning Map Amendment to rezone the property to the DX-5 Downtown Mixed-Use District.

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RESIDENTIAL PLANNED DEVELOPMENT BULK REGULATIONS AND DATA TABLE

Gross Site Area (sf): 25,085

Area of Public Rights-of-Way (sf): 10,708

Net Site Area (sf): 14,377

Maximum Floor Area Ratio: 7.6754

Maximum Number of Dwelling Units: 129

Minimum Off-Street Parking Spaces: 13

Minimum Bicycle Parking Spaces: 80

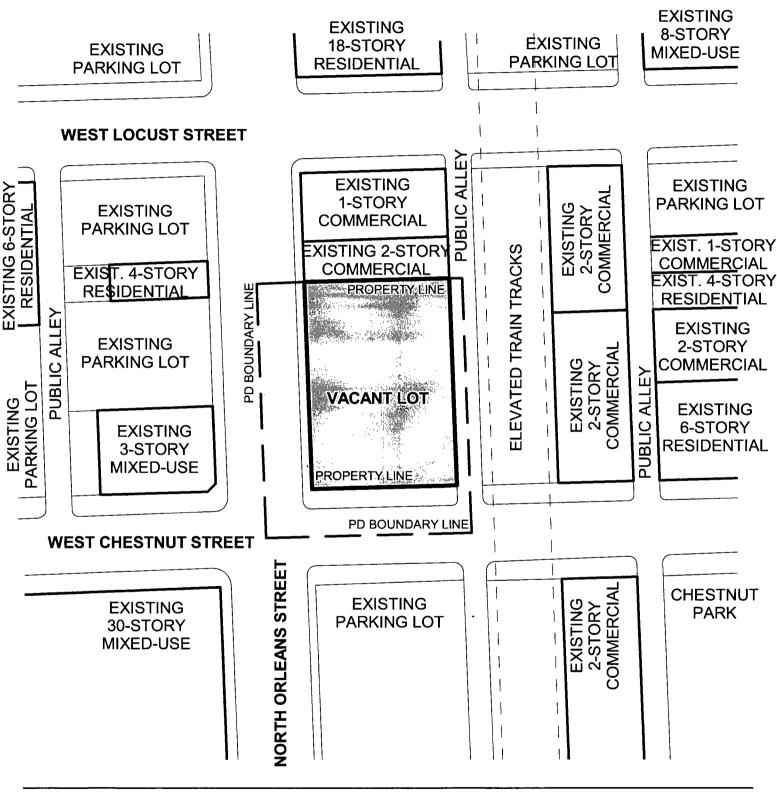
Minimum Off-Street Loading: 0

Maximum Building Height: 115'

Minimum Setbacks: In conformance with the Plans

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Planned Development No. - EXISTING LAND USE MAP



Applicant:

DK Chestnut LLC

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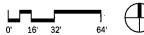
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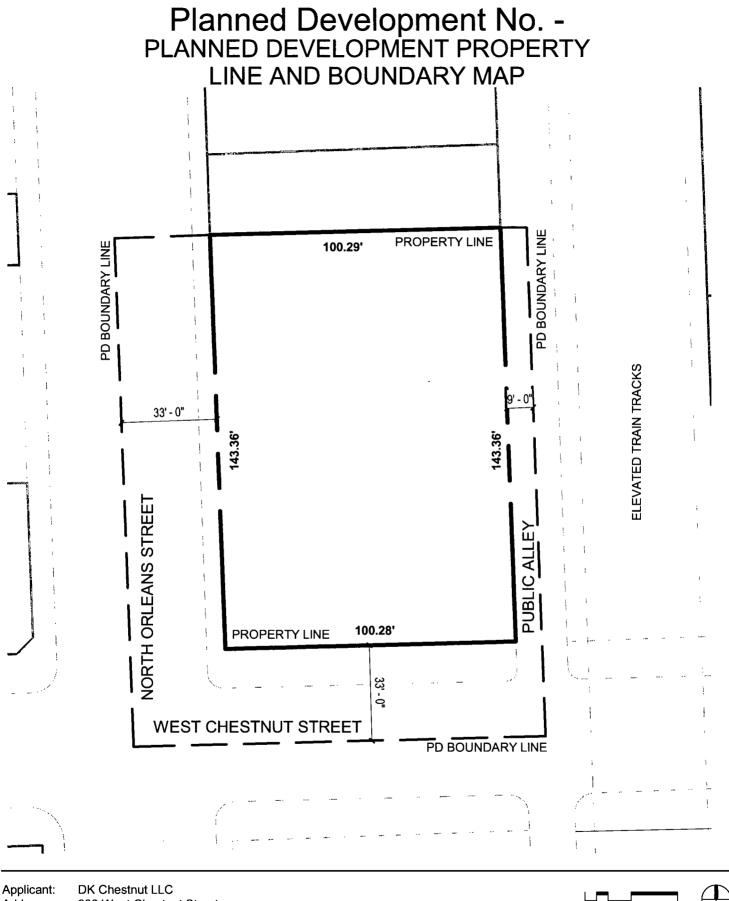
330 West Chestnut Street

Chicago, IL 60610

Introduced:

CPC Date: Amended:





Address:

330 West Chestnut Street

Chicago, IL 60610

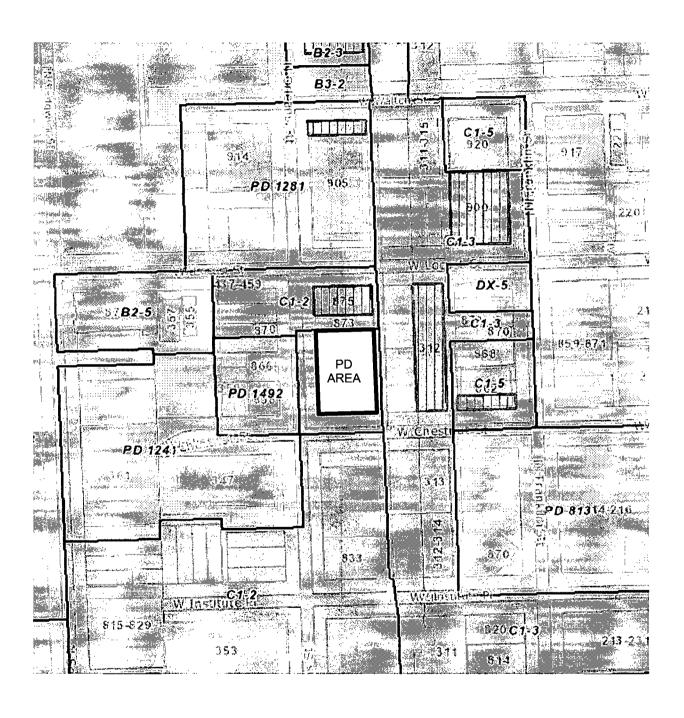
Introduced: CPC Date:

Amended:

April 27, 2022



Planned Development No. - EXISTING ZONING MAP



Applicant: DK Chestnut LLC

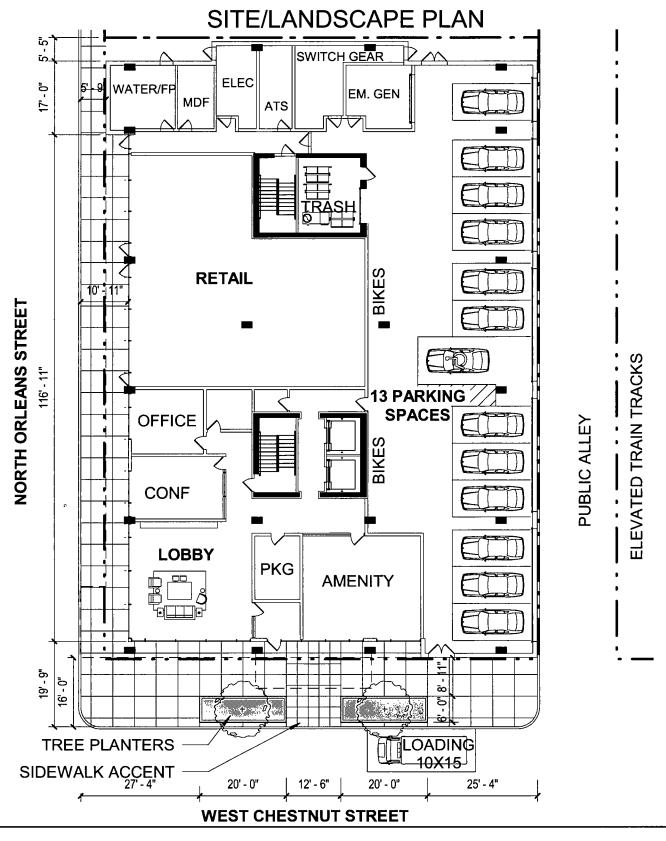
Address: 330 West Chestnut Street

Chicago, IL 60610

Introduced: April 27, 2022



Planned Development No. -



Applicant:

DK Chestnut LLC

Address:

330 West Chestnut Street

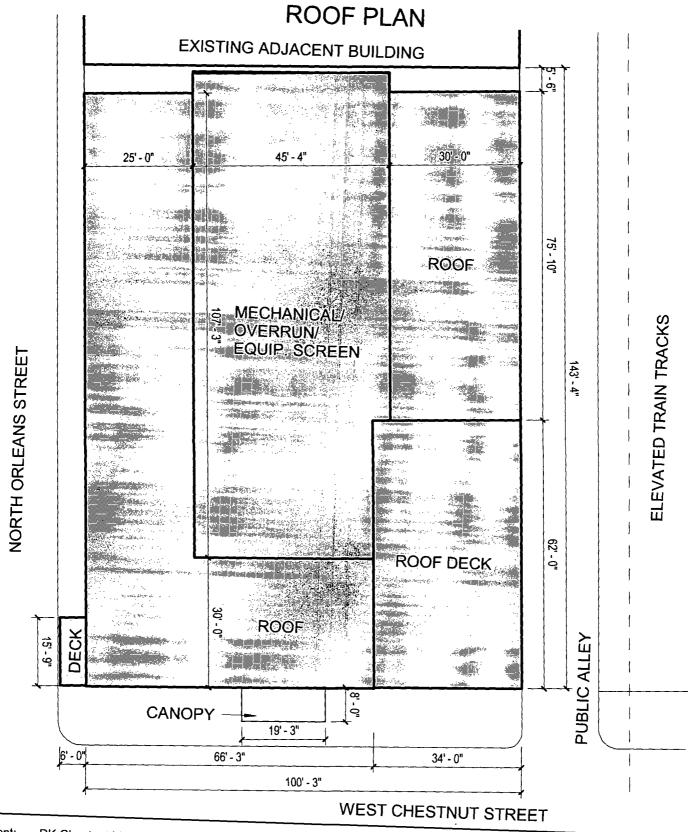
Chicago, IL 60610

CPC Date: Amended:

Introduced: April 27, 2022



Planned Development No. -



Applicant: Address:

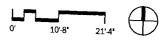
DK Chestnut LLC

330 West Chestnut Street

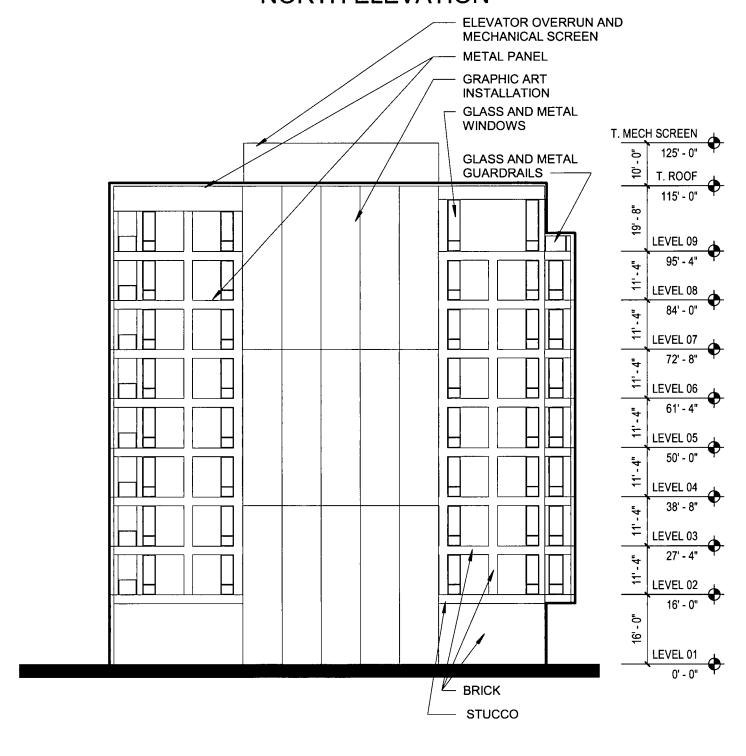
Chicago, IL 60610 April 27, 2022

Introduced: CPC Date:

Amended:



Planned Development No. - NORTH ELEVATION



Applicant:

DK Chestnut LLC

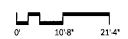
Address:

330 West Chestnut Street

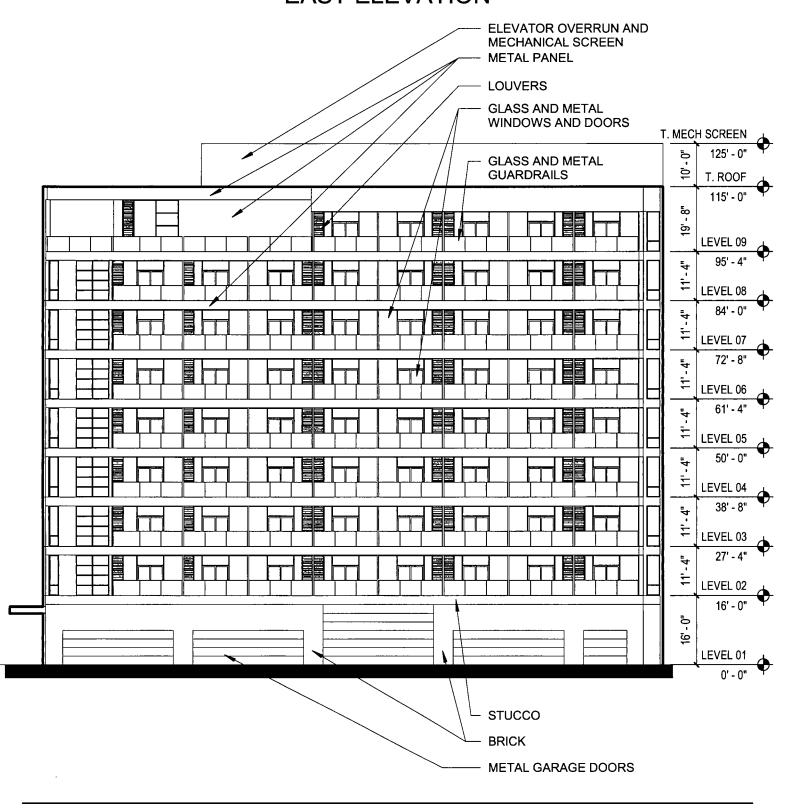
Chicago, IL 60610

April 27, 2022

Introduced:



Planned Development No. - EAST ELEVATION



Applicant:

DK Chestnut LLC

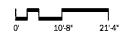
Address:

330 West Chestnut Street

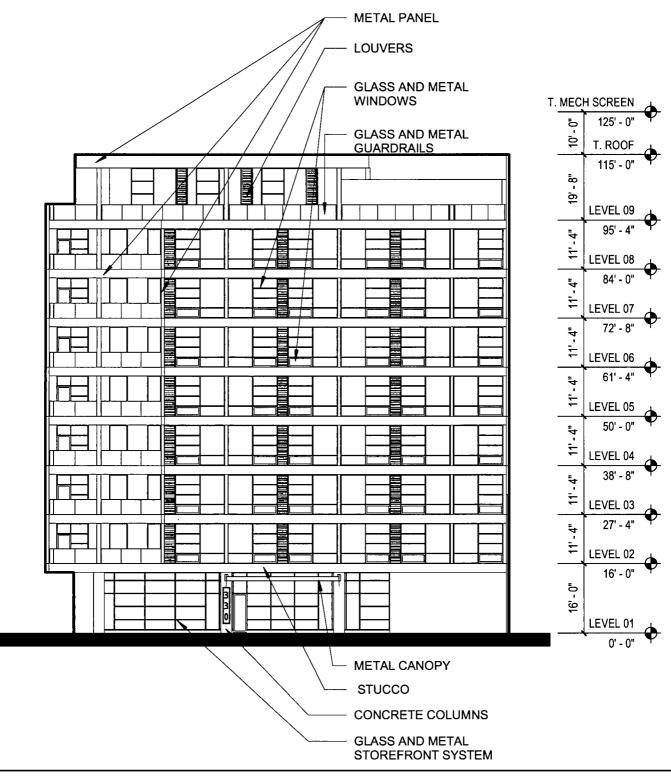
Chicago, IL 60610

April 27, 2022

Introduced:



Planned Development No. - SOUTH ELEVATION

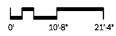


Applicant: Address:

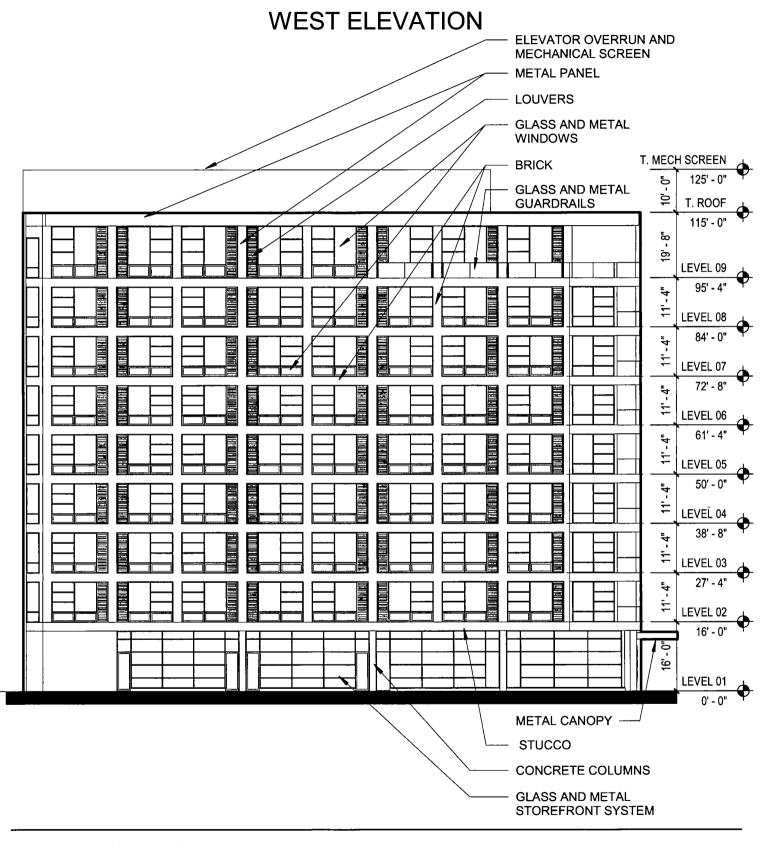
DK Chestnut LLC 330 West Chestnut Street

Chicago, IL 60610

Introduced: April 27, 2022



Planned Development No. -



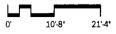
DK Chestnut LLC Applicant:

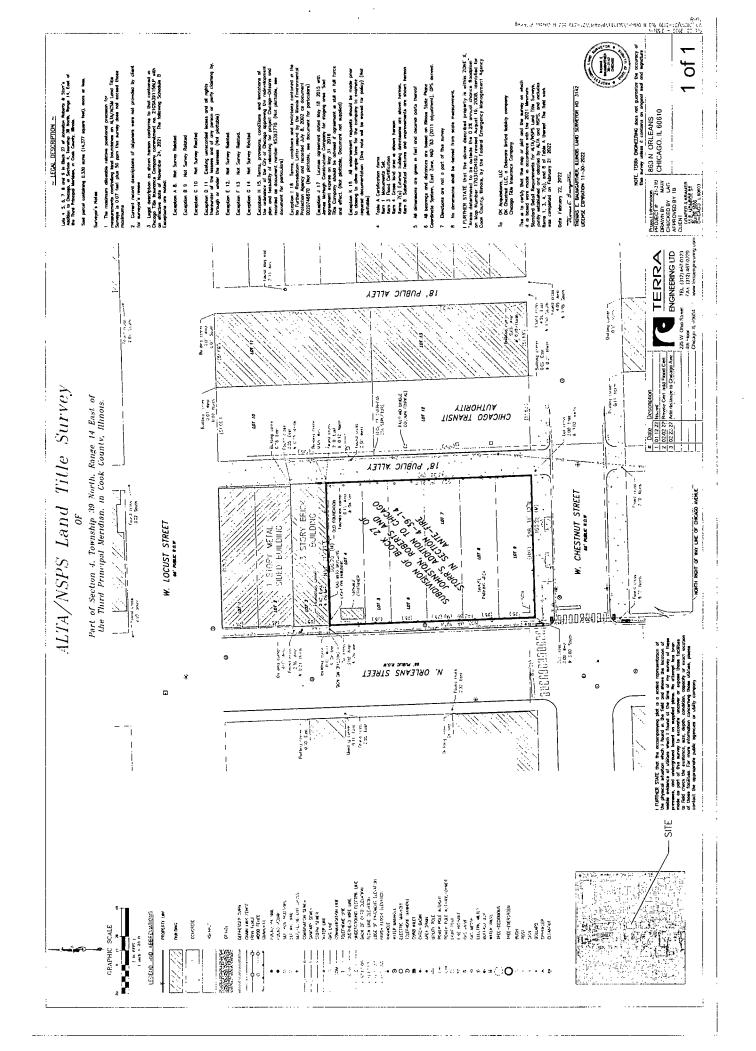
Address: 330 West Chestnut Street

Chicago, IL 60610

April 27, 2022 Introduced:

CPC Date: Amended:





#20993 INTRODATE APRIL 27, 2022 CITY OF CHICAGO

AMENDED - APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:
	330 W. Chestnut, Chicago, IL 60610
2.	Ward Number that property is located in: 27th Ward
3.	APPLICANT <u>DK Chestnut LLC</u>
	ADDRESS55 E. Monroe, Suite 3900
	CITY Chicago STATE IL ZIP CODE 60603
	PHONE (312) 795-2232 EMAIL gziegen@draperandkramer.com
	CONTACT PERSON Gordon Ziegenhagen
4.	Is the applicant the owner of the property? YES NO X If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.
	OWNER 863 N Orleans LLC ADDRESS c/o BlitzLake Partners, 670 N. Clark St., 4th Floor
	CITY Chicago STATE IL ZIP CODE 60654
	PHONE <u>312-410-9000</u> EMAIL
	CONTACT PERSON David Blitz
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY Katie Jahnke Dale & Rich Klawiter - DLA Piper LLP (US)
	ADDRESS 444 W Lake Street, Suite 900
	CITY Chicago STATE IL ZIP CODE 60606
	PHONE (312) 368-2153 / 7243 FAX (312) 251-2856
	EMAIL katie.dale@dlapiper.com / richard.klawiter@dlapiper.com

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements:			
	See attached Economic Disclosure Statements			
7.	On what date did the owner acquire legal title to the subject property? August 2015			
8.	Has the present owner previously rezoned this property? If yes, when? Yes, May 2016			
9.	Present Zoning District C1-5 Neighborhood Commercial District			
	Proposed Zoning District <u>DX-5 Downtown Mixed-Use District then Residential Planned Development</u>			
10.	Lot size in square feet (or dimensions) 14,377 sf			
11.	Current Use of the Property Vacant / Parking lot			
12.	Reason for rezoning the property Mandatory Planned Development pursuant to Section 17-8-0514 (Bonus Floor Area)			
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)			
	The Applicant requests a rezoning of the subject property from C1-5 Neighborhood Commercial District to the DX-5 Downtown Mixed-Use District then to a Residential Planned Development to allow the construction of a 9-story residential building containing approximately 129 dwelling units and 13 parking spaces. The overall floor area ratio (FAR) will be 7.68.			
14.	The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?			
	YES X NO			

COUNTY OF COOK STATE OF ILLINOIS	
FAMA DIC authorized signatory of D all of the above statements and the stateme correct.	K CHESTNUT LLC, being first duly sworn on oath, states that nts contained in the documents submitted herewith are true and
	Signature of Applicant
Subscribed and Sworn to before me this day of Mul, 2022. Yotary Public	OFFICIAL SEAL JENNIFER SCOTT NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 09/03/2024
	For Office Use Only
Date of Introduction:	
File Number:	
Ward:	

AUTHORIZATION

The undersigned, **863** N ORLEANS LLC ("Owner"), being the owner of the property commonly known as 330 W. Chestnut (the "Subject Property"), hereby authorizes DK CHESTNUT LLC, a Delaware limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to the Subject Property.

IN WITNESS WHEREOF, the undersigned has executed this Authorization as of this day of April, 2022

By: David Blitz
Its: Manager



DLA Piper LLP (US)
444 West Lake Street, Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Katie Jahnke Dale katie.dale@us.dlapiper.com T 312.368.2153

April 14, 2022

The Honorable Tom Tunney, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602 Teresa Córdova, Chair Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Planned Development / Affidavit of Notice of Filing 330 W. Chestnut, Chicago, IL

Dear Chairman Tunney and Chairwoman Córdova:

The undersigned, Katie Jahnke Dale, an attorney with the law firm of DLA Piper LLP (US), which firm represents DK Chestnut, LLC, the applicant for a proposal to rezone the subject property from theC1-5 Neighborhood Commercial District to the DX-5 Downtown Mixed-Use District then to a Residential Planned Development, that they intend to comply with the requirements for Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice will be sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contains the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately April 27, 2022; and a source for additional information on the application.

The undersigned certifies that they have made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

DLA Piper LLP (US)

Tata Llah Dall

Katie Jahnke Dale

Subscribed and sworn to before me This 4th day of A 2022.

otary Public

ILLIANA SILVA Official Seal Notary Public - State of Illinois My Commission Expires Aug 12, 2023

DLA Piper LLP (US)
444 W Lake Street Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Katie Jahnke Dale katie.dale@us.dlapiper.com T 312.368.2153



April 27, 2022

FIRST CLASS MAIL

Dear Sir or Madam:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about April 27, 2022 the undersigned, on behalf of DK Chestnut, LLC (the "Applicant"), intends to file an application to rezone the property located at 330 W. Chestnut, Chicago, IL (the "Property") from the C1-5 Neighborhood Commercial District to the DX-5 Downtown Mixed-Use District then to a Residential Planned Development. A map of the Property is printed on the reverse side of this letter.

The Property is currently vacant and utilized as a parking lot. The Applicant requests a rezoning of the subject property from C1-5 Neighborhood Commercial District to the DX-5 Downtown Mixed-Use District then to a Residential Planned Development in order to allow for the construction of a 9-story residential building containing approximately 129 dwelling units and 13 parking spaces. The overall floor area ratio (FAR) will be 7.68.

Please note that the Applicant is not seeking to rezone or purchase your property. You are receiving this notice as required by the Chicago Municipal Code because the assessor's tax records indicate that you own property within 250 feet of the Property.

I am an authorized representative of the Applicant, and my address is 444 W. Lake Street, Suite 900, Chicago, IL 60606. The Applicant is DK Chestnut LLC and its address, and its address is 55 E. Monroe, Suite 3900, Chicago, IL 60603. The owner of the property is 863 N Orleans LLC, and its address is c/o BlitzLake Partners, 670 N. Clark St., 4th Floor, Chicago, IL 60654.

Please contact me at 312-368-2153 with questions or to obtain additional information.

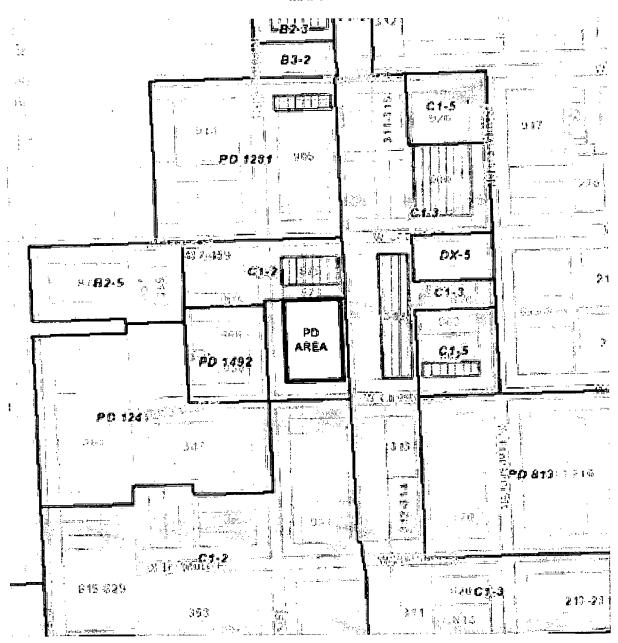
Very truly yours,

DLA Piper LLP (US)

Cathi John Dale

Katie Jahnke Dale





PIN:

17-04-437-018-0000

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclos	ing Party submitt	ing this EDS. Inclu	ide d/b/a/ if applic	cable:
DK CHESTNUT LLC				
Check ONE of the following	three boxes:			
Indicate whether the Disclosin 1. [X] the Applicant OR 2. [] a legal entity current			vithin six months	after City action o
the contract, transaction or oth "Matter"), a direct or indirect	ner undertaking to	which this EDS po	ertains (referred to	below as the
name: OR		- V V V V V - V		
3. [] a legal entity with a State the legal name of the ent		_	'	, , , ,
B. Business address of the Di	sclosing Party:	55 E. Manroa St.	Suite 3900, Chicago,	11 60603
2. Dusiness address of the Di	sciosing rarty.		Saite 3900, Chicago,	1L 00003
C. Telephone: 312-795-2232	Fax:		Email: gziegen@c	Iraperandkramer.con
D. Name of contact person: _	Gordon P Ziegenhage	1		
E. Federal Employer Identifie	cation No. (if you	have one):		
F. Brief description of the Maproperty, if applicable):	atter to which this	s EDS pertains. (In	clude project nun	nber and location of
Zoning Application for 330 W. Chestr	nut Street			
G. Which City agency or dep	artment is reques	ting this EDS?_ _{Depa}	artment of Planning and	d Development
If the Matter is a contract being complete the following:	ng handled by the	City's Department	of Procurement S	Services, please
Specification # N/A		_ and Contract #	N/A	· · · · · · · · · · · · · · · · · · ·
Ver.2018-1	Pa	ge 1 of 15		

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	ty:
[] Person	[x] Limited liability company
Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	[]Yes []No
Trust	Other (please specify)
	[] carrot (browner beauty)
2 For legal entities, the state (or foreign count	ry) of incorporation or organization, if applicable:
2. To logar offices, the state (of foldigh count	
Delaware	
: · · ·	· · · · · · · · · · · · · · · · · · ·
3 For legal entities not organized in the State	of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign enti	
business in the state of minors as a foreign enti-	
[x]:Yes [] No	[] Organized in Illinois
[V] 169 [] 140	
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
B. IF THE DISCLOSING PART I IS A LEGA	AL ENTITY.
1 T' (1 1 24 0 1 1 24 10 10	1' 11 0 (') 11 11 11 11 11 11 11 11 11 11 11 11 11
	olicable, of: (i) all executive officers and all directors of
	, all members, if any, which are legal entities (if there
· · · · · · · · · · · · · · · · · · ·	h are legal entities"); (iii) for trusts, estates or other
	rator, or similarly situated party; (iv) for general or
	nies, limited liability partnerships or joint ventures,
	ger or any other person or legal entity that directly or
indirectly controls the day-to-day management	of the Applicant.
	Θ.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name	Title
Draper and Kramer Investment Corp.	Member and Manager
·	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOIE: Each leg	gal entity listed below may be require	d to submit an EDS on its own behalf.
Name See attached So	Business Address chedule A	Percentage Interest in the Applicant
SECTION III OFFICIALS	- INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECTEI
	ng Party provided any income or con preceding the date of this EDS?	npensation to any City elected official during the [] Yes [x] No
	ing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes [x] No
•	of the above, please identify below toome or compensation:	he name(s) of such City elected official(s) and
:		
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in ICC")) in the Disclosing Party?
	dentify below the name(s) of such Ciescribe the financial interest(s).	ty elected official(s) and/or spouse(s)/domestic

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Address (sub	ationship to Disclosing Pa econtractor, attorney, oyist, etc.)	rty Fees (<u>indicate v</u> <u>paid or estimat</u> "hourly rate" o	<u>ed</u> .) NOTE:
,			not an acceptab	
DLA Piper LLP (US)	444 W. Lake Stre Chicago, IL 6060		\$50K	
Fitzgerald & Associate			\$50K	
(Add sheets if necessary	Chicago, IL 606 y)	07		
[] Check here if the Di	sclosing Party has	not retained, nor expects	to retain, any such per	sons or entities.
SECTION V CERT	IFICATIONS			÷ .
A. COURT-ORDEREI	O CHILD SUPPOR	T COMPLIANCE		,
		owners of business entiti ort obligations throughou		ne City must
7 1		owns 10% or more of the by any Illinois court of co		1.6
[] Yes [x] No [] No person directl	y or indirectly owns 10%	or more of the Disclo	sing Party.
If "Yes," has the person is the person in complia		rt-approved agreement for ement?	r payment of all suppo	ort owed and
[] Yes [] No				3 3
B. FURTHER CERTIF	FICATIONS	:		
Procurement Services.]	In the 5-year period	atter is a contract being hod preceding the date of the ion in (5) below has eng	his EDS, neither the D	isclosing

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to cer Certifications), the Disclosing Party must e	rtify to any of the above statements in this Part B (Further explain below:
If the letters "NA," the word "None," or no presumed that the Disclosing Party certified	response appears on the lines above, it will be conclusively d to the above statements.
complete list of all current employees of the	owledge after reasonable inquiry, the following is a see Disclosing Party who were, at any time during the 12-0S, an employee, or elected or appointed official, of the City "none").
complete list of all gifts that the Disclosing the 12-month period preceding the execution official, of the City of Chicago. For purpose made generally available to City employees the course of official City business and have	owledge after reasonable inquiry, the following is a grarty has given or caused to be given, at any time during on date of this EDS, to an employee, or elected or appointed ses of this statement, a "gift" does not include: (i) anything s or to the general public, or (ii) food or drink provided in ving a retail value of less than \$25 per recipient, or (iii) a sed as required by law (if none, indicate with "N/A" or e also list the name of the City recipient.
	* * * * * * * * * * * * * * * * * * * *
C. CERTIFICATION OF STATUS AS FI 1. The Disclosing Party certifies that the I [] is [x] is not	
a "financial institution" as defined in M	CC Section 2-32-455(b).
2. If the Disclosing Party IS a financial ins	stitution, then the Disclosing Party pledges:
pledge that none of our affiliates is, and no MCC Chapter 2-32. We understand that be	ry lender as defined in MCC Chapter 2-32. We further ne of them will become, a predatory lender as defined in ecoming a predatory lender or becoming an affiliate of a he privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
	the word "None," or no response med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.	
D. CERTIFICATION	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS	
Any words or term	s defined in MCC Chapter 2-156 h	have the same meanings if used in this Part D.	
after reasonable inc		he best of the Disclosing Party's knowledge se of the City have a financial interest in his or entity in the Matter?	
[] Yes	[x] No		
	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.	
official or employe other person or ent taxes or assessmen "City Property Sale	e shall have a financial interest in ity in the purchase of any property ts, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, sen pursuant to the City's eminent domain the meaning of this Part D.	
Does the Matter in	volve a City Property Sale?		
[] Yes	[] No		
		imes and business addresses of the City official ify the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	
_	Party further certifies that no prob ty official or employee.	nibited financial interest in the Matter will be	

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the must disclose below or in an attachment to this EDS all information required by	_	•		
comply with these disclosure requirements may make any contract entered into with the City in				
connection with the Matter voidable by the City.				
compositor with the matter volutions by the city.		•		
<u>x</u> 1. The Disclosing Party verifies that the Disclosing Party has searched an the Disclosing Party and any and all predecessor entities regarding records of it from slavery or slaveholder insurance policies during the slavery era (including issued to slaveholders that provided coverage for damage to or injury or death the Disclosing Party has found no such records.	nvestments g insurance	or profits policies		
2. The Disclosing Party verifies that, as a result of conducting the search	in sten (1) s	hove the		
Disclosing Party has found records of investments or profits from slavery or slavery				
policies. The Disclosing Party verifies that the following constitutes full disclo				
records, including the names of any and all slaves or slaveholders described in				
records, merading the names of any and an slaves of slavestered sections in	inose recor			
	· · · · · · · · · · · · · · · · · · ·			
		 		
	<u> </u>	<u> </u>		
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MAT	TERS	4		
SECTION VI CERTIFICATIONS I ON I EDERWIEET I CHUDED MIXI	·			
NOTE: If the Matter is federally funded, complete this Section VI. If the M	Natter is no	of.		
federally funded, proceed to Section VII. For purposes of this Section VI, tax				
the City and proceeds of debt obligations of the City are not federal funding.				
	1.	2.4		
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the feder	al Lobbyin	g		
Disclosure Act of 1995, as amended, who have made lobbying contacts on beh				
Party with respect to the Matter: (Add sheets if necessary):				
	•	· · · · · · · · · · · · · · · · · · ·		
	·			
(If no explanation appears or begins on the lines above, or if the letters "NA" o	r if the wor	d "None"		
appear, it will be conclusively presumed that the Disclosing Party means that N				
registered under the Lobbying Disclosure Act of 1995, as amended, have made				
behalf of the Disclosing Party with respect to the Matter.)	3 3			

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	rty the Applicant?		
[]Yes	[] No		it .
	·	volume variable vari	
If "Yes," answer the	three questions be	elow:	1.0
1 11 1 1	1 11 1	ma cm	
federal regulations?	. .	ave on file affirmative action programs pursuant t 60-2.)	to applicable
[] Yes	[] No		
applicable filing rec	•	[] Reports not required	
3. Have you particle equal opportunity contact the second		ous contracts or subcontracts subject to the	
[]Yes	[] No	:	
If you checked "No	to question (1) or	(2) above, please provide an explanation:	
			: .

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(state).

DK CHESTNUT LLC
(Print or type exact legal name of Disclosing Party)
By: M
(Sign here)
Edward M. Polich
(Print or type name of person signing)
Executive Vice President, Draper and Kramer, Incorporated
(Print or type title of person signing)
Signed and sworn to before me on (date)

NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 09/03/2024

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[x] No	· · · · · · · · · · · · · · · · · · ·			
which such person	lentify below (1) the name and is connected; (3) the name and has a familial relationship, an	d title of the elected c	ity official	or depart	ment head to
	•		-		
		-	* • •		
		· · · · · · · · · · · · · · · · · · ·	 _		
		· · · · · · · · · · · · · · · · · · ·			

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant to MCC Section 2-	or any Owner identified a 92-416?	is a build	ing code
[] Yes	[x] No	·		<u>.</u>	:
the Applicant ident		, •	v exchange, is any officer oblem landlord pursuant t		
2-92-416?				7	
[] Yes	[] No.	[x] The Applica	nt is not publicly traded o	n any ex	change.
	· · · · · · · · · · · · · · · · · · ·	<u>.</u>		10 10 200	i.
•	scofflaw or problen	· · · · · · · · · · · · · · · · · · ·	me of each person or lega ddress of each building o	-	
	· · · · · · · · · · · · · · · · · · ·	÷		•	
		. ,		<u> </u>	:
	· · · · · · · · · · · · · · · · · · ·	·		- ' '	<u> </u>

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes	· :	: 	
[] No		ř.	
[X] N/A – I am not an A	Applicant that is a "contract	or" as defined in MCC	Section 2-92-385.
This certification shall	serve as the affidavit requir	ed by MCC Section 2	-92-385(c)(1).
If you checked "no" to	the above, please explain.) 24 25	
· · · · · · · · · · · · · · · · · · ·		·	-

<u>DK Chestnut, LLC</u> 55 E. Monroe, Suite 3900 Chicago, IL, 60603

Schedule A Response to EDS Section II.B.2 For property generally located at 330 W. Chestnut Street

Entity/Individual	Business Ad	ldress	Ownership Interest
Draper and Kramer Investment Corp.	55 E. Monroe, Suite 3900 Chicago, IL 60603		100% (Direct) and Manager of Applicant
DKH, Incorporated	55 E. Monroe, Suite 3900 Chicago, IL 60603	<u> </u>	100% (Indirect)
D&K Insurance Agency. Inc.	55 E. Monroe, Suite 3900 Chicago, IL 60603		21.5% (Indirect)
Stephen J. Pollack (an individual)	55 E. Monroe, Suite 3900 Chicago, IL 60603		11.5% (Indirect)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

Ver.2018-1

A. Legal name of the Disclosing Party submitting this EDS. 1	Include d/b/a/ if applicable:
Draper and Kramer Investments Corp.	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR	
2. [X] a legal entity currently holding, or anticipated to ho the contract, transaction or other undertaking to which this ED "Matter"), a direct or indirect interest in excess of 7.5% in the name: DK CHESTNUT LLC	OS pertains (referred to below as the
OR 3. [] a legal entity with a direct or indirect right of control State the legal name of the entity in which the Disclosing Part	
B. Business address of the Disclosing Party: 55 E. Monroe	St., Suite 3900, Chicago, IL 60603
C. Talanhana, 312 705 2222	Email: gziegen@draperandkramer.com
C. Telephone: 312-795-2232 Fax: D. Name of contact person: Gordon P Ziegenhagen	Email:
E. Federal Employer Identification No. (if you have one):	
F. Brief description of the Matter to which this EDS pertains. property, if applicable):	(Include project number and location of
Zoning Application for 330 W. Chestnut Street	
G. Which City agency or department is requesting this EDS?	Department of Planning and Development
If the Matter is a contract being handled by the City's Departn complete the following:	nent of Procurement Services, please
Specification # and Contract	#N/A

Page 1 of 15

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

[] Person [] Publicly registered [x] Privately held busin [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	ness corporation	[] Limited liability co [] Limited liability pa [] Joint venture [] Not-for-profit corp (Is the not-for-profit co	oration rporation also a 5] No fy)	
Delaware				
_	ot organized in the State f Illinois as a foreign en [] No	e of Illinois: Has the organ tity? [] Organized in Illinoi	;	l to do
	ING PARTY IS A LEG			`.
the entity; (ii) for not- are no such members, similar entities, the tr limited partnerships, each general partner, r	for-profit corporation write "no members whit ustee, executor, administimated liability comparts.	oplicable, of: (i) all executes, all members, if any, when the are legal entities"); (iii) strator, or similarly situate anies, limited liability parager or any other person of the Applicant.	ich are legal entited of trusts, estated party; (iv) for a structure or journment o	ties (if there tes or other general or int ventures,
NOTE: Each legal ent	ity listed below must su	ıbmit an EDS on its own b	behalf.	
Name		Title		
See attached "Officer	s and Directors of Drape	er and Kramer Investment	s Corp."	*

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

are all all and a regul	ommer indicate of the many contequent	ed to submit an EDS on its own beha	
Name See attached Sche	Business Address	Percentage Interest in the	Applicant
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
SECTION III II OFFICIALS	NCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, CIT	Y ELECTE
	Party provided any income or coreceding the date of this EDS?	npensation to any City elected offici [] Yes	al during the [x] No
	g Party reasonably expect to proving the 12-month period following	de any income or compensation to a the date of this EDS? [] Yes	ny City [x] No
	the above, please identify below the or compensation:	he name(s) of such City elected office	cial(s) and
inquiry, any City el	•	isclosing Party's knowledge after real partner, have a financial interest (as ICC")) in the Disclosing Party?	
[] Yes	[x] No		₹ E
If "yes," please ider	* *	ty elected official(s) and/or spouse(s	s)/domestic
partner(s) and descr	the the financial interest(s).	•	

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		
[x] Check here if the Dis	sclosing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTI	(FICATION	'S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the d support obligations throughout the	
.	•	ectly owns 10% or more of the Disc ations by any Illinois court of compo	
[]Yes []No [x]	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person is the person in compliant		a court-approved agreement for pay agreement?	ment of all support owed and
[]Yes []No			
B. FURTHER CERTIF	ICATIONS		
Procurement Services.] Party nor any Affiliated performance of any pub inspector general, or into investigative, or other si	In the 5-year Entity [see of lic contract, the egrity complemilar skills,	the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, iance consultant (i.e., an individual designated by a public agency to he as well as help the yendors reform the	EDS, neither the Disclosing I, in connection with the independent private sector or entity with legal, auditing, left the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

N/A		
If the letters "NA," the word "None," or no response appears on the lines presumed that the Disclosing Party certified to the above statements.	above, it will be c	onclusivel
12. To the best of the Disclosing Party's knowledge after reasonable inqu complete list of all current employees of the Disclosing Party who were, month period preceding the date of this EDS, an employee, or elected or of Chicago (if none, indicate with "N/A" or "none").	at any time during	the 12-
		
	<u> </u>	
complete list of all gifts that the Disclosing Party has given or caused to the 12-month period preceding the execution date of this EDS, to an emp official, of the City of Chicago. For purposes of this statement, a "gift" d made generally available to City employees or to the general public, or (i the course of official City business and having a retail value of less than 5 political contribution otherwise duly reported as required by law (if none "none"). As to any gift listed below, please also list the name of the City N/A	loyee, or elected of oes not include: (ii) food or drink property per recipient, of indicate with "N/"	or appointe) anything covided in or (iii) a
	<u> </u>	**
	· · · · · · · · · · · · · · · · · · ·	
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION		
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) [] is [x] is not		
1. The Disclosing Party certifies that the Disclosing Party (check one)		
 The Disclosing Party certifies that the Disclosing Party (check one) is [x] is not 	arty pledges:	

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-32	earty is unable to make this pleed-455(b)) is a predatory lender onal pages if necessary):			
If the letters "NA."	' the word "None," or no respo	onse appear	s on the lines abov	ve. it will be
	med that the Disclosing Party			
D. CERTIFICATI	ON REGARDING FINANCI	IAL INTER	EST IN CITY BU	JSINESS
Any words or term	ns defined in MCC Chapter 2-	156 have th	e same meanings	if used in this Part D.
after reasonable in	with MCC Section 2-156-110 quiry, does any official or em the name of any other personal pers	ployee of th	ne City have a fina	
[] Yes	[x] No			
	ecked "Yes" to Item D(1), pro Items D(2) and D(3) and proc	•		If you checked "No"
official or employed other person or ent taxes or assessment "City Property Sal	rsuant to a process of competing shall have a financial interestity in the purchase of any property, or (iii) is sold by virtue of e"). Compensation for proper institute a financial interest with	est in his or perty that (in legal procest ty taken pu	her own name or in the object of the court of the suit of the court of the court to the City's	n the name of any ity, or (ii) is sold for city (collectively, seminent domain
Does the Matter in	volve a City Property Sale?			
[]Yes	[] No		, ·	
-	"Yes" to Item D(1), provide to ng such financial interest and			
Name	Business Address	:. :	Nature of Finar	ncial Interest
; ;	7		. ·	
-	g Party further certifies that no ity official or employee.	o prohibited	financial interest	in the Matter will be

Ver.2018-1

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

, , , , , , , , , , , , , , , , , , , ,	low. If the Disclosing Party checks (2), the Discloment to this EDS all information required by (2).	
	rements may make any contract entered into with	
	•	
	es that the Disclosing Party has searched any and a l predecessor entities regarding records of investm	
from slavery or slaveholder insurance	ce policies during the slavery era (including insur-	ance policies
the Disclosing Party has found no su	l coverage for damage to or injury or death of their uch records.	r slaves), and
2. The Disclosing Party verifie	es that, as a result of conducting the search in step	(1) above, the
	of investments or profits from slavery or slavehol	
	fies that the following constitutes full disclosure of and all slaves or slaveholders described in those in	
		···
	· ·	
	, , ,	
SECTION VI CERTIFICATIO	ONS FOR FEDERALLY FUNDED MATTERS	· -
federally funded, proceed to Section	funded, complete this Section VI. If the Matter on VII. For purposes of this Section VI, tax credit ations of the City are not federal funding.	
A. CERTIFICATION REGARDIN	G LOBBYING	
	persons or entities registered under the federal Lobd, who have made lobbying contacts on behalf of the dd sheets if necessary):	
		· · · · · · · · · · · · · · · · · · ·
(If no explanation appears or begins	s on the lines above, or if the letters "NA" or if the	word "None"
appear, it will be conclusively presu	imed that the Disclosing Party means that NO per	sons or entities
- , ,	losure Act of 1995, as amended, have made lobby	ing contacts on
behalf of the Disclosing Party with	respect to the iviation.)	

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Ver.2018-1

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
[] Yes [] No	
	A control of the cont
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative act	tion programs pursuant to applicable
federal regulations? (See 41 CFR Part 60-2.)	
[] Yes [] No	
[]ICS []IVO	
applicable filing requirements? [] Yes [] No [] Reports not require	ed :
3. Have you participated in any previous contracts or subcontr equal opportunity clause?	acts subject to the
[]Yes []No	
If you checked "No" to question (1) or (2) above, please provide	e an explanation:

Page 10 of 15

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[x] No					
If wes inlease ide	entify below (1) the nar	me and title	of such nersor	. (2) the name o	f the legal er	atity to
	s connected; (3) the na	<u>-</u>			, •	
whom such person l	has a familial relationsl	hip, and (4)	the precise na	ture of such fami	lial relations	hip.
	· .	· · · ·				- ,
			.		· · · · · · · · · · · · · · · · · · ·	-

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		0, is the Applicant or any 0 to MCC Section 2-92-416		uilding code
[] Yes	[_X] No	· · · · · · · · · · · · · · · · · · ·	,	
	~ • • •	olicly traded on any exchan		
[] Yes	[] No	[x] The Applicant is not	publicly traded on any	y exchange.
- ' '	scofflaw or problem	entify below the name of ear landlord and the address of	_	=
			2 8	
			· · · · · · · · · · · · · · · · · · ·	•
· :		: :	. ,	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes	i.	· :					
[] No							
[x] N/A – I an	n not an A	Applicant that is	a "contracto	r" as def	ined in	n MCC S	ection 2-92-385.
This certificat	ion shall	serve as the affi	davit require	d by MC	C Sec	tion 2-92	-385(c)(1).
If you checked	d ["] "no" to	the above, pleas	se explain.	•	•	٠.	
	7. - 1. 1				•		
	·	**************************************			,		
							:
		:					

Officers & Directors of Draper and Kramer Investments Corp.

Officers:

Todd A. Bancroft

President and Chief Executive Officer

Anthony F. Kramer

Executive Vice President and Assistant Secretary

Edward M. Polich

Executive Vice President of Real Estate

James P. Hayes

Senior Vice President, Chief Financial Officer

Christine Ramsey

Senior Vice President and Chief Administrative Officer

Denise Schneider

Senior Vice President, Controller and Treasurer

Blas Puzon

Chief Investment Officer

James Elsman

Vice President

Ohamiaan Canli

vice i residen

Shamiran Sarkis

Secretary

Directors:

Todd A. Bancroft James P. Hayes Edward M. Polich

<u>DK Chestnut, LLC</u> 55 E. Monroe, Suite 3900 Chicago, IL, 60603

Schedule A Response to EDS Section II.B.2 For property generally located at 330 W. Chestnut Street

Entity/Individual	Business Address	Ownership Interest
Draper and Kramer Investment Corp.	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Direct) and Manager of Applicant
DKH, Incorporated	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Indirect)
D&K Insurance Agency. Inc.	55 E. Monroe, Suite 3900 Chicago, IL 60603	21.5% (Indirect)
Stephen J. Pollack (an individual)	55 E. Monroe, Suite 3900 Chicago, IL 60603	11.5% (Indirect)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the I	Disclosing 1	Party submitti	ng this EDS. Inc	clude d/b	/a/ if application	able:
DKH, Incorporated						
Check ONE of the following	lowing thr	ee boxes:				
Indicate whether the Di 1. [] the Applican OR 2. [X] a legal entity	at	·	_	within s	ix months a	fter City action on
the contract, transaction "Matter"), a direct or in name: OR	n or other u direct inter	ındertaking to	which this EDS	pertains	(referred to	below as the
3. [] a legal entity State the legal name of						
B. Business address of	the Disclo	sing Party:	55 E. Monroe	St., Suite 3	3900	<u> </u>
			Chicago, IL 60	603		
C. Telephone: 312-34	6-8600	Fax: <u>312</u> -	795-2783	Email	: gziegen@d	raperandkramer.co
D. Name of contact per	rson: <u>Gor</u>	don P. Ziegenh	agen			
E. Federal Employer I	dentification	on No. (if you	have one):			<u> </u>
F. Brief description of property, if applicable)		to which this	EDS pertains. (Include p	project num	ber and location o
Zoning Application fo	or 330 W. C	hestnut Street				
G. Which City agency	or departm	ent is request	ing this EDS?I	Departme	nt of Plannin	g & Development
If the Matter is a contracomplete the following	_	andled by the	City's Departme	nt of Pro	curement Se	ervices, please
Specification #	N/A		and Contract #		N/A	
Ver.2018-1		Pag	ge 1 of 15			

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Limited liability company [] Person [] Publicly registered business corporation [] Limited liability partnership [x] Privately held business corporation [] Joint venture [] Not-for-profit corporation [] Sole proprietorship (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] Limited partnership [] Yes [] No [] Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [X] No [] Yes [] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or

limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
See attached "Officers & Directors	·-	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name See attached Schedule A SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the [x] No 12-month period preceding the date of this EDS? [] Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entitie
SECTION V CERTI	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	٠.
		antial owners of business entities the	
¥ ±	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	•
[] Yes [X] No []	No person o	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e	entered into	a court-approved agreement for pay	ment of all support owed and
is the person in complian	ce with that	agreement?	
[] Yes [] No			
B. FURTHER CERTIFI	CATIONS		
Procurement Services.] Party nor any Affiliated I performance of any publinspector general, or intellinvestigative, or other sir	In the 5-yea Entity [<u>see contract, in the contract, in the complete complete complete complete the complete co</u>	the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, iance consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform	DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above states Certifications), the Disclosing Party must explain below:	ments in this	Part B (Further
If the letters "NA," the word "None," or no response appears on the line presumed that the Disclosing Party certified to the above statements.	s above, it w	ill be co	nclusively
12. To the best of the Disclosing Party's knowledge after reasonable inquestion of all current employees of the Disclosing Party who were month period preceding the date of this EDS, an employee, or elected or of Chicago (if none, indicate with "N/A" or "none"). N/A	at any time appointed o	during t	he 12-
	* *		
1.		•	
made generally available to City employees or to the general public, or the course of official City business and having a retail value of less than political contribution otherwise duly reported as required by law (if non "none"). As to any gift listed below, please also list the name of the City N/A	\$25 per rece, indicate w	ipient, or	(iii) a
		-	· · ·
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION			
 The Disclosing Party certifies that the Disclosing Party (check one) is [X] is not 			
a "financial institution" as defined in MCC Section 2-32-455(b).			· · · .
2. If the Disclosing Party IS a financial institution, then the Disclosing	Party pledge	es:	
"We are not and will not become a predatory lender as defined in MCC pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC			

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Par MCC Section 2-32-4 here (attach addition	55(b)) is a predator	y lender within			-
			· · · · · · · · · · · · · · · · · · ·		
If the letters "NA," the conclusively presum					vill be
D. CERTIFICATIO	N REGARDING FI	NANCIAL INT	EREST IN C	ITY BUSINE	SS
Any words or terms	defined in MCC Ch	apter 2-156 hav	e the same me	anings if used	i in this Part D.
1. In accordance wire after reasonable inquired her own name or in t	iry, does any officia	al or employee o	of the City hav	e a financial i	
[]·Yes	[x] No				
NOTE: If you check to Item D(1), skip Ite		• • •	, ,	d D(3). If you	u checked "No"
2. Unless sold pursu official or employee other person or entit taxes or assessments "City Property Sale" power does not cons	shall have a financi y in the purchase of , or (iii) is sold by v). Compensation fo	al interest in his any property the irtue of legal pro r property taken	or her own na at (i) belongs to ocess at the su a pursuant to th	ame or in the rest to the City, or it of the City ne City's emine	name of any (ii) is sold for (collectively,
Does the Matter invo	olve a City Property	Sale?			
[] Yes	[] No			,	•
3. If you checked "" or employees having	, , . <u>-</u>	•			
Name	Business Ad	dress	Nature	of Financial I	nterest
		· · ·			
		<u></u>			· · · · · · · · · · · · · · · · · · ·
4. The Disclosing I	-	-	ited financial i	interest in the	Matter will be

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
<u>X</u> _1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
· · · · · · · · · · · · · · · · · · ·
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or
behalf of the Disclosing Party with respect to the Matter)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Is the Disclosing Porty the Applicant?

Ver.2018-1

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

12 me Disclosing	g ranty unc I	Applicant:			_				
[] Yes		[] No							
, š.		~ 3		÷	<i>;</i> ;				
If "Yes," answer	the three q	uestions be	low:					,	
4		, -		٠.	٠.	•			
1. Have you de	veloped and	do you ha	ve on file at	ffirma	ive action	on progr	ams pursi	ant to appl	icable
federal regulatio		•		•		1 2	•		
[] Yes	,						••		٠
2. Have you fileCompliance Proapplicable filing[] Yes	grams, or the requirement	ne Equal En nts?	nployment	Oppor	tunity C	ommiss			
3. Have you pa	y clause?		ous contract	s or su	bcontra	cts subje	ect to the		
[] Yes	, ·	[] No	·						
If you checked "	No" to ques	stion (1) or	(2) above, p	blease	provide	an expl	anation:		
						• • • • • • • • • • • • • • • • • • • •		:	

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

DKH incorporated
(Print or type exact legal name of Disclosing Party)
Ву:
(Sign here)
Edward M. Polich
(Print or type name of person signing)
Executive Vice President
(Print or type title of person signing)
Signed and sworn to before me on (date) $4/11/2022$
at COOK County, Illinois (state).
Lenyper Seatt
Notary Public
OFFICIAL SEAL JENNIFER SCOTT
Commission expires: NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 09/03/2024
WWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWWW

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	÷		
which such person	is connected; (3) the	e name and title of the ele	erson, (2) the name of the legal entity tected city official or department head to nature of such familial relationship.	0
		a a		
		,	,	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[x] No	
	• • •	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[x] No	[] The Applicant is not publicly traded on any exchange.
	cofflaw or problen	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which
· · · · · · · · · · · · · · · · · · ·		
· · · · · · · · · · · · · · · · · · ·		·
		
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes				
[] No .			;	
[x] N/A – I am	not an Applicant that is a "contractor	or" as defined in	MCC Section 2-9	2-385.
	on shall serve as the affidavit require """ to the above, please explain.	ed by MCC Secti	on 2-92-385(c)(1)) .
- ,			•	
P)	

Officers & Directors of DKH Incorporated

Officers:

Todd A. Bancroft

President and Chief Executive Officer

Anthony F. Kramer

Executive Vice President

Edward M. Polich

Executive Vice President of Real Estate

James P. Hayes

Senior Vice President, Chief Financial Officer and Assistant Secretary

Christine Ramsey

Senior Vice President and Chief Administrative Officer

Denise Schneider

Senior Vice President, Controller and Treasurer

Blas Puzon

Chief Investment Officer

Shamiran Sarkis

Secretary

Directors:

Todd A. Bancroft

Sara L. Hays

James D. Marks

Stephen P. Miller, Chair

Michael P. Neal

Nick A. Pavelich

Roger L. Pollak

Robert H. Slater

<u>DK Chestnut, LLC</u> 55 E. Monroe, Suite 3900 Chicago, IL, 60603

Schedule A Response to EDS Section II.B.2 For property generally located at 330 W. Chestnut Street

Entity/Individual	Business Address	Ownership Interest
Draper and Kramer Investment Corp.	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Direct) and Manager of Applicant
DKH, Incorporated	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Indirect)
D&K Insurance Agency. Inc.	55 E. Monroe, Suite 3900 Chicago, IL 60603	21.5% (Indirect)
Stephen J. Pollack (an individual)	55 E. Monroe, Suite 3900 Chicago, IL 60603	11.5% (Indirect)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
D & K Insurance Agency, Inc.
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR
2. [X] a legal entity currently holding, or anticipated to hold within six months after City action or the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:
OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 55 E. Monroe St., Suite 3900 Chicago, IL 60603
C. Telephone: 312-346-8600 Fax: 312-795-2783 Email: gziegen@draperandkramer.co
D. Name of contact person:
F. Brief description of the Matter to which this EDS pertains. (Include project number and location o property, if applicable):Zoning Application for 330 W. Chestnut Street
G. Which City agency or department is requesting this EDS? Department of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
[] Person	[] Limited liability company
Publicly registered business corporation	[] Limited liability partnership
[X] Privately held business corporation	[] Joint venture
Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
Trust	[] Other (please specify)
2. For legal entities, the state (or foreign coun	try) of incorporation or organization, if applicable:
Delaware	
business in the State of Illinois as a foreign ent	
[X] Yes [,] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared	plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant.
NOTE: Each legal entity listed below must sul	bmit an EDS on its own behalf.
37.	mu.
Name	Title
See attached "Officers & Directors of D & K	Insurance Agency, Inc."

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name See attached Schedule A SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? | | Yes [x] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whe paid or estimated. "hourly rate" or "t not an acceptable in	.) NOTE: b.d." is
(Add sheets if necessary)	1	A de la constante de la consta		
[X] Check here if the Dis	closing Part	y has not retained, nor expects to re	tain, any such persor	ns or entities.
SECTION V CERTIF	FICATION	S	• •	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE		
		antial owners of business entities the support obligations throughout the		City must
		ectly owns 10% or more of the Disc ations by any Illinois court of comp		eclared in
[] Yes [] No [X]	No person o	directly or indirectly owns 10% or n	nore of the Disclosin	g Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support	owed and
[] Yes [] No				
B. FURTHER CERTIFI	CATIONS			
Procurement Services.] Party nor any Affiliated	In the 5-yea Entity [<u>see</u> c	the Matter is a contract being handler period preceding the date of this Edefinition in (5) below] has engaged the services of an integrity monitor,	DS, neither the Disc , in connection with	closing the

- inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

If the letters "NA," the word "None," or presumed that the Disclosing Party cere	or no response appears on the lines above, it will be conclustrified to the above statements.	ivel
complete list of all current employees month period preceding the date of thi of Chicago (if none, indicate with "N/A		
_N/A		
· · · · · · · · · · · · · · · · · · ·	:	
complete list of all gifts that the Disclothe 12-month period preceding the exe	s's knowledge after reasonable inquiry, the following is a osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apportunity of this statement of the statement of th	inte
complete list of all gifts that the Discletthe 12-month period preceding the exe official, of the City of Chicago. For promade generally available to City emplethe course of official City business and political contribution otherwise duly re "none"). As to any gift listed below, p	osing Party has given or caused to be given, at any time dur	inte ning d in
complete list of all gifts that the Discletthe 12-month period preceding the exe official, of the City of Chicago. For promade generally available to City emplethe course of official City business and political contribution otherwise duly re-	osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apporture of this statement, a "gift" does not include: (i) anythologies or to the general public, or (ii) food or drink provided dhaving a retail value of less than \$25 per recipient, or (iii) eported as required by law (if none, indicate with "N/A" or	inted ning d in
complete list of all gifts that the Discletthe 12-month period preceding the exe official, of the City of Chicago. For promade generally available to City emplethe course of official City business and political contribution otherwise duly re "none"). As to any gift listed below, p	osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apporture of this statement, a "gift" does not include: (i) anythologies or to the general public, or (ii) food or drink provided dhaving a retail value of less than \$25 per recipient, or (iii) eported as required by law (if none, indicate with "N/A" or	inte ning d in
complete list of all gifts that the Discletthe 12-month period preceding the exe official, of the City of Chicago. For promade generally available to City emplethe course of official City business and political contribution otherwise duly re "none"). As to any gift listed below, p	osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apporture of this statement, a "gift" does not include: (i) anytheorems or to the general public, or (ii) food or drink provided dhaving a retail value of less than \$25 per recipient, or (iii) eported as required by law (if none, indicate with "N/A" or oblease also list the name of the City recipient.	inte ning d in
complete list of all gifts that the Discletthe 12-month period preceding the exe official, of the City of Chicago. For promade generally available to City emplethe course of official City business and political contribution otherwise duly re "none"). As to any gift listed below, p	osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apporture of this statement, a "gift" does not include: (i) anytheloyees or to the general public, or (ii) food or drink provided having a retail value of less than \$25 per recipient, or (iii) eported as required by law (if none, indicate with "N/A" or oblease also list the name of the City recipient.	inte ning d in
complete list of all gifts that the Disclotthe 12-month period preceding the exe official, of the City of Chicago. For purade generally available to City emplethe course of official City business and political contribution otherwise duly re "none"). As to any gift listed below, particle. C. CERTIFICATION OF STATUS A. 1. The Disclosing Party certifies that	osing Party has given or caused to be given, at any time durecution date of this EDS, to an employee, or elected or apporture of this statement, a "gift" does not include: (i) anytheloyees or to the general public, or (ii) food or drink provided dhaving a retail value of less than \$25 per recipient, or (iii) eported as required by law (if none, indicate with "N/A" or oblease also list the name of the City recipient. AS FINANCIAL INSTITUTION the Disclosing Party (check one)	inte ning d in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

	455(b)) is a predatory lender within all pages if necessary):	n the meaning of MCC Chapter 2-32, explai
	the word "None," or no response a ned that the Disclosing Party certif	ppears on the lines above, it will be led to the above statements.
D. CERTIFICATIO	ON REGARDING FINANCIAL IN	NTEREST IN CITY BUSINESS
Any words or terms	defined in MCC Chapter 2-156 ha	ave the same meanings if used in this Part D.
after reasonable inqu		ne best of the Disclosing Party's knowledge to of the City have a financial interest in his ontity in the Matter?
[] Yes	[x] No	
-	eked "Yes" to Item $D(1)$, proceed to ems $D(2)$ and $D(3)$ and proceed to	to Items D(2) and D(3). If you checked "No Part E.
official or employee other person or entit taxes or assessments "City Property Sale"	shall have a financial interest in he y in the purchase of any property to s, or (iii) is sold by virtue of legal p	idding, or otherwise permitted, no City electronis or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	[] No	
		mes and business addresses of the City officify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
-	Party further certifies that no prohy	ibited financial interest in the Matter will be

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the I must disclose below or in an attachment to this EDS all information required by comply with these disclosure requirements may make any contract entered into a connection with the Matter voidable by the City.	(2). Failure to
<u>x</u> _1. The Disclosing Party verifies that the Disclosing Party has searched any the Disclosing Party and any and all predecessor entities regarding records of inform slavery or slaveholder insurance policies during the slavery era (including issued to slaveholders that provided coverage for damage to or injury or death of the Disclosing Party has found no such records.	vestments or profits insurance policies
2. The Disclosing Party verifies that, as a result of conducting the search in Disclosing Party has found records of investments or profits from slavery or slav policies. The Disclosing Party verifies that the following constitutes full disclos records, including the names of any and all slaves or slaveholders described in the	veholder insurance ure of all such
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATT	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matterally funded, proceed to Section VII. For purposes of this Section VI, tax of the City and proceeds of debt obligations of the City are not federal funding.	
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federa Disclosure Act of 1995, as amended, who have made lobbying contacts on behal Party with respect to the Matter: (Add sheets if necessary):	
(If no explanation appears or begins on the lines above, or if the letters "NA" or appear, it will be conclusively presumed that the Disclosing Party means that NO registered under the Lobbying Disclosure Act of 1995, as amended, have made I behalf of the Disclosing Party with respect to the Matter.)	D persons or entities

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

is the Disclosing Party th	* *			•		
[] Yes	[] No					
If "Yes," answer the three	e questions be	low:				:
1. Have you developed federal regulations? (See [] Yes	•		firmative action	on programs p	oursuant to	applicable
2. Have you filed with to Compliance Programs, of applicable filing requirer [] Yes	r the Equal Er	nployment (•	ommission al		
3. Have you participated equal opportunity clause [] Yes		ous contracts	or subcontra	cts subject to	the	
If you checked "No" to q	uestion (1) or	(2) above, p	lease provide	an explanatio	n:	

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

D & K Insurance Agency, Inc.
(Print or type exact legal name of Disclosing Party)
By
(Sign here)
Edward M. Polich
(Print or type name of person signing)
Executive Vice President
(Print or type title of person signing)

Commission expires:

OFFICIAL SEAL JENNIFER SCOTT

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

currently have	a "familial relationship'	" with an elected ci	y official or department	head?
[] Yes	[X] No			
which such per	son is connected; (3) th	e name and title of	such person, (2) the name the elected city official precise nature of such f	or department head to
r		F), ()		, f.
			+ (t - + 2 t	
				* * * * * * * * * * * * * * * * * * * *

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any at to MCC Section 2-92-41	Owner identified as a build 6?	ling code
[] Yes	[x] No			
		· ·	ange, is any officer or direct landlord pursuant to MCC S	
[] Yes	[x] No	[] The Applicant is n	ot publicly traded on any ex	change.
	cofflaw or proble		each person or legal entity is of each buildin	
		·	· · · · · · · · · · · · · · · · · · ·	
·.				 :

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes			· :		
[] No					
[x] N/A – I am no	t an Applicant that	is a "contractor" a	s defined in MCC	Section 2-92-385.	
This certification	shall serve as the a	ffidavit required by	MCC Section 2-9	2-385(c)(1).	
If you checked "n	o" to the above, ple	ease explain.			

Officers & Directors of D & K Insurance Agency, Inc.

Officers:

Todd A. Bancroft President and CEO

Shamiran Sarkis Secretary

Anthony F. Kramer Executive Vice President and Assistant Secretary
James P. Hayes Senior Vice President and Chief Financial Officer

Blas Puzon Chief Investment Officer

Denise Schneider Senior Vice President, Controller and Treasurer

Martin Kennelly Vice President

Carol Heerema Assistant Vice President

Clare Chen Senior Accountant

Directors:

Todd A. Bancroft

Sara L. Hays

James D. Marks

Stephen P. Miller, Chair

Michael P. Neal

Nick A. Pavelich

Roger L. Pollak

Robert H. Slater

<u>DK Chestnut, LLC</u> 55 E. Monroe, Suite 3900 Chicago, IL, 60603

Schedule A

Response to EDS Section II.B.2

For property generally located at 330 W. Chestnut Street

Entity/Individual	Business Address	Ownership Interest
Draper and Kramer Investment Corp.	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Direct) and Manager of Applicant
DKH, Incorporated	55 E. Monroe, Suite 3900 Chicago, IL 60603	100% (Indirect)
D&K Insurance Agency. Inc.	55 E. Monroe, Suite 3900 Chicago, IL 60603	21.5% (Indirect)
Stephen J. Pollack (an individual)	55 E. Monroe, Suite 3900 Chicago, IL 60603	11.5% (Indirect)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

		tting this EDS. Inc	lude d/b/a/ if applicable:
_ 863 N Orle	ins, LLC		
Check ONE of the fo	llowing three boxes:		
 [] the Applica OR [] a legal entit 	y currently holding, or a	anticipated to hold	within six months after City action on
			pertains (referred to below as the oplicant. State the Applicant's legal
	y with a direct or indire f the entity in which the	_	of the Applicant (see Section II(B)(1)) olds a right of control:
B. Business address o	f the Disclosing Party:	(070 N, Clar Chicago, IL	1KS+, FL4 , 60694
C. Telephone: 477-	131-0810 Fax:		Email: tomy@blitzlale.com
D. Name of contact pe	erson: Tomy De Call	<u> </u>	_
	Identification No. (if yo	A.A.	(A)
F. Brief description o property, if applicable		is EDS pertains. (I	nclude project number and location of
Zoning application	for 336 w. Clest	nut Street	
G. Which City agency	or department is reques	sting this EDS? <u>De</u>	partment of Planning and Development
If the Matter is a contr complete the following	_	e City's Departmen	t of Procurement Services, please
Specification #		_ and Contract # _	
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[X] Limited liability company
[] Publicly registered business corporation	Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership [] Limited partnership	(Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No
Trust	Other (please specify)
[] Hust	[] Other (prease specify)
2. For legal entities, the state (or foreign coun	try) of incorporation or organization, if applicable:
Illinois	
2 F 1 1 22	
5. For legal entities not organized in the State business in the State of Illinois as a foreign ent	of Illinois: Has the organization registered to do
ousiness in the State of Imnois as a foreign ent	ny:
[] Yes [] No	[X] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
1. List below the full names and titles, if app	plicable, of: (i) all executive officers and all directors of
	, all members, if any, which are legal entities (if there
-	th are legal entities"); (iii) for trusts, estates or other
· · · · · · · · · · · · · · · · · · ·	trator, or similarly situated party; (iv) for general or
	nies, limited liability partnerships or joint ventures,
	ger or any other person or legal entity that directly or
indirectly controls the day-to-day management	of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name	Title
David Blitz	Managel
Jeffiey Lake	Manager
Jeffiey Lake Blitzlake 3D,LLC	Ower-100% (ONHO)

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Owner Blitzlate 30, LL/ 670 N. Clark, FLY. Chicago IL, 60654 100% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? No [X] [] Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
			not all acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	losing Party	has not retained, nor expects to ret	tain, any such persons or entities.
SECTION V CERTIF	CATIONS	S	
A. COURT-ORDERED	CHILD SUF	PPORT COMPLIANCE	
		ntial owners of business entities the support obligations throughout the	
		ctly owns 10% or more of the Disc tions by any Illinois court of compe	
[] Yes [X] No [] I	No person di	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		court-approved agreement for paysagreement?	ment of all support owed and
[] Yes [] No			•
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim	n the 5-year Intity <u>[see</u> de c contract, th grity complia ilar skills, d	he Matter is a contract being handled period preceding the date of this Electinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of esignated by a public agency to help s well as help the vendors reform the	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). Pavid Blitz Selves as a Commissional on the SSA # 17 Central Lakeview Commission
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [x] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32	Party is unable to make this pledge (2-455(b)) is a predatory lender with onal pages if necessary):	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	the word "None," or no response a med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[] Yes	[X] No	
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employe other person or ent taxes or assessmen "City Property Sale	te shall have a financial interest in lity in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
•		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	16.127	
4 The D'1'	Ports for the specific of the state of the	thited financial interest in the Motter will be

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?	
[] Yes	[] No	
If "Yes," answer the three of	questions bel	ow:
 Have you developed an federal regulations? (See 4 Yes 	•	ve on file affirmative action programs pursuant to applicable 60-2.)
_	he Equal Em	ting Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the
[] Yes		[] Reports not required
3. Have you participated in equal opportunity clause?	n any previo	us contracts or subcontracts subject to the
[] Yes	[] No	
If you checked "No" to que	stion (1) or (2) above, please provide an explanation:
		· .

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

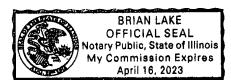
The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

463NOSTEANS, LLC
(Print or type exact legal name of Disclosing Party)
Ву:
(Sign here)
David Blitz
(Print or type name of person signing)
Monager
(Print or type title of person signing)
Signed and sworn to before me on (date) $\frac{4/11/22}{}$
at COOK County, IL (state).
Notary Public
Commission expires: 4/16/23



CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such person	is connected; (3) the nam	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[X] No	
	_ , ,	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.
	offlaw or problen	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
[χ] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Di	sclosing Party submitt	ing this EDS. Inc	lude d/b/a/ if applicable:
Blitzfale 3D, L	<u>C</u>		
Check ONE of the follo	owing three boxes:		
the contract, transaction	currently holding, or ar or other undertaking to	nticipated to hold which this EDS p	within six months after City action on pertains (referred to below as the plicant. State the Applicant's legal
OR 3. [x] a legal entity of the state the legal name of the state of	ne entity in which the I	_	f the Applicant (see Section II(B)(1)) olds a right of control:
B. Business address of t	he Disclosing Party:	670N. (las Chicago, I	k St, FL4 L, 60654
C. Telephone: <u>847-43</u>	81-0810 Fax:		Email: tommy@blitzlate, com
D. Name of contact pers	on: Tommy DeCons	ino	_
E. Federal Employer Ide			, -
F. Brief description of the property, if applicable):	he Matter to which this	EDS pertains. (In	nclude project number and location of
Zoning Application-	For 330 W. Clesta	it Street	
G. Which City agency o	r department is request	ing this EDS?	postment of Planning and Developmen
If the Matter is a contrac complete the following:	t being handled by the	City's Department	t of Procurement Services, please
Specification #	····	and Contract #_	
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DI	SCLOSING PARTY	
1. Indicate the nature [] Person [] Publicly registered bus [] Privately held business [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	siness corporation	ty: [X] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the s	tate (or foreign count	ry) of incorporation or organization, if applicable:
Illinois		
3. For legal entities not of business in the State of Illi	_	of Illinois: Has the organization registered to do ty?
[] Yes	[] No	[X] Organized in Illinois
B. IF THE DISCLOSING	PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for- are no such members, writ similar entities, the truste limited partnerships, lim	profit corporations, te "no members which e, executor, administrated liability compara- aging member, manage	olicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there is are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity l	isted below must sub	mit an EDS on its own behalf.
Name David Blitz		Title Manage
Jeffrey Lake		Manager
Utital lala		1 rue of

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Owner 670 N. CARS+ FLY diago, IL, 60654 4796 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

retained or anticipated Address to be retained)	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		
[X] Check here if the Disclosing Par	rty has not retained, nor expects to re	etain, any such persons or entities.
SECTION V CERTIFICATION	NS	
A. COURT-ORDERED CHILD SU	JPPORT COMPLIANCE	
	stantial owners of business entities the ld support obligations throughout th	
	rectly owns 10% or more of the Disgations by any Illinois court of comp	
[] Yes [x] No [] No person	directly or indirectly owns 10% or r	more of the Disclosing Party.
If "Yes," has the person entered into is the person in compliance with that	a court-approved agreement for pay at agreement?	yment of all support owed and
[] Yes [] No		
B. FURTHER CERTIFICATIONS		
Procurement Services.] In the 5-year Party nor any Affiliated Entity [see performance of any public contract, inspector general, or integrity compinvestigative, or other similar skills,	The Matter is a contract being handled ar period preceding the date of this Edefinition in (5) below] has engaged the services of an integrity monitor, liance consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform to	EDS, neither the Disclosing I, in connection with the independent private sector or entity with legal, auditing, the the agency monitor the

Relationship to Disclosing Party

Fees (indicate whether

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

Name (indicate whether Business

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). David Blift saves as a Commissioner on the SSA#17 (entral Lateview Commission.
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		ge because it or any of its affiliates (as defined in ithin the meaning of MCC Chapter 2-32, explain
	the word "None," or no respons med that the Disclosing Party ce	te appears on the lines above, it will be retified to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL	LINTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-150	6 have the same meanings if used in this Part D.
after reasonable in		the best of the Disclosing Party's knowledge yee of the City have a financial interest in his or rentity in the Matter?
[]Yes	[X] No	
-	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed	ed to Items D(2) and D(3). If you checked "No" it to Part E.
official or employed other person or ent taxes or assessment "City Property Sale	ee shall have a financial interest in ity in the purchase of any proper ts, or (iii) is sold by virtue of leg	e bidding, or otherwise permitted, no City elected in his or her own name or in the name of any ty that (i) belongs to the City, or (ii) is sold for al process at the suit of the City (collectively, taken pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
		names and business addresses of the City officials ntify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
-	Party further certifies that no pr	ohibited financial interest in the Matter will be

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?	
[] Yes	[] No	
If "Yes," answer the three	questions bel	low:
 Have you developed a federal regulations? (See Yes 	41 CFR Part	ve on file affirmative action programs pursuant to applicable 60-2.)
•	the Equal Ements?	ting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due under the
[] Yes	[] No	[] Reports not required
3. Have you participated equal opportunity clause? [] Yes	in any previo	us contracts or subcontracts subject to the
If you checked "No" to qu	estion (1) or ((2) above, please provide an explanation:
	· · · · · · · · · · · · · · · · · ·	

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

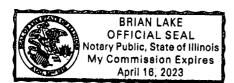
The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Blitzlate 3D, LLC
(Print or type exact legal name of Disclosing Party)
By:
(Sign here)
David Blitz
(Print or type name of person signing)
Manager
(Print or type title of person signing)
Signed and sworn to before me on (date) 4/11/22,
at COOK County, IL (state).
Britalo
Notary Public
Commission expires: $4/16/2-3$



CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[k] No	
which such person	is connected; (3) the nar	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

			is the Applicant or any Owner identified as a building code MCC Section 2-92-416?
[] Yes	k] No	
the A			cly traded on any exchange, is any officer or director of e scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	[x] The Applicant is not publicly traded on any exchange.
as a t	• • • • • • • • • • • • • • • • • • • •	or problem la	ify below the name of each person or legal entity identified ndlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.